

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2006**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MARSH, MALCOLM F	2. Court or Organization U.S. DISTRICT COURT, OREGON	3. Date of Report 05/09/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DIST. JUDGE, SR. STATUS	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address 1000 S. W. THIRD AVENUE SUITE 1507 PORTLAND, OR 97204	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 12/68	VESTED INTEREST IN FORMER LAW FIRM, CLARK, LINDAUER PLAN, PIONEER TRUST BANK, N.A., SALEM, OR SEE VII, P. 7, LINE 59
2.	
3.	

RECEIVED
2007 MAY 16 A 10:56
FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

MARSH, MALCOLM F

Date of Report

05/09/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 8/15/2006	Sale [REDACTED] house, \$375,000, less basis of \$117,016; Gain: \$257,984.00 (proceeds deposited in US Bank Money Market Acct, P. 7, line 56)
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

MARSH, MALCOLM F

Date of Report

05/09/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

MARSH, MALCOLM F

Date of Report

05/09/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SMITH BARNEY FINANCIAL MANAGEMENT ACCOUNT									
2. Smith Barney Municipal Money Market Fund Mutual Fund	A	Interest	K	T					
3. MUNICIPAL BONDS									
4. Lane County Ore Sch Dist 4J, Due 7/1/2018	A	Interest	K	T					
5. Forest Grove Ore Rev Rfdg & Campus, Due 5/1/2036	A	Interest	K	T					
6. Oregon St. Bd Bk Rev Ore Eco Comm Dev Dept, 01/01/2025	A	Interest	J	T					
7. Umatilla Co. Ore. Hosp., 12-01-2030	B	Interest	K	T					
8. Salem-Keizer Ore. S.D., 6-1-09, orig. maturity 06/01/2013	A	Interest	J	T					
9. Ore St. Health HSF Edl & Cultural, 11-15-2032	A	Interest	K	T					
10. Portland OR Housing Auth Rev Rfdg-Pooled 01/01/2027	A	Interest	J	T					
11. Clack Cnty Ore Sch Dist (Lk Osw), 06/01/2026	A	Interest	K	T					
12. OHSU Rev Ser A MSF MBIA, 07/01/2032	A	Interest	K	T					
13. Ore. St. Dept. Admin Svcs, due 05/01/2028	A	Interest	J	T					
14. Washington & Clackamas Cnty SD#23, Due 06/15/2020	B	Interest	K	T					
15. OR St Vets Welfare, Ser. B, Due 10/01/2042	B	Interest	K	T					
16. OR St. Bd Higher Ed G/O, Due 08/01/2028	B	Interest	L	T					
17. Portland City OR Ltd/Tax Conv. Ctr, Ser. A,	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

MARSH, MALCOLM F

Date of Report

05/09/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
Due 06/01/2030									
18. OR St Dept Transn Hwy User Tax Rev, Due 11/15/2028	B	Interest	K	T					
19. Ore St Dpt of Transportation, Due 11/15/2028	A	Interest	J	T	Buy	08/02	J		
20. Umatilla Co OR Hsp Fax Au Rev Cath, Due 5/1/2034	A	Interest	K	T					
21. Salem Ore Hosp Fac Auth Rev, Due 8/15/2030	A	Interest	J	T	Buy	12/06	J		
22. MUTUAL FUNDS									
23. Pimco Real Return Fund Mutual Fund	A	Interest			Sale	12/4	J	A	
24.									
25. SMITH BARNEY FINANCIAL MANAGEMENT ACCOUNT									
26. Citibank NA Bank Deposit Program	A	Interest	J	T					
27. MUNICIPAL BONDS									
28. Lane County Ore Sch Dist 4J, Due 7/1/2018	A	Interest	J	T					
29. Ore. St. Hsg & Cmnty Svcs Dept, Due 1/1/2023	A	Interest	J	T					
30. Forest Grove Ore Ref Rfdg & Campus, 05/01/2036	A	Interest	K	T					
31. Medford ORE Hosp Facs Auth Rev, 08/15/2028	A	Interest			Sale	06/01	K	A	
32. ORE St. Hlth HSF Edl & Cultural 11/15/2032	A	Interest	K	T					
33. Ptlld Or Hsg Auth Rev RFDG 01/01/2027	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$55,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

MARSH, MALCOLM F

Date of Report

05/09/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
34. Wash Cnty Ore Sch Dist (Forest Grove) 06/15/2021	A	Interest	J	T					
35. Clack Cnty Ore Sch Dist (Lk Osw), 06/01/2026	C	Interest	L	T					
36. OHSU Rev Ser. A. MSF, MBIA, 07/01/2032	A	Interest	K	T					
37. Ore. St. Veterans Welfare Service, Due 10/01/2042	A	Interest	K	T					
38. Washington/Clackamas Cnty S.D. 23 Tigard, Due 6/15/2020	B	Interest	K	T					
39. Bend OR full faith & credit obligs, due 12/1/2022	B	Interest	K	T					
40. Deschutes Cnty, OR, Hosp Facs, due 1/1/2022	C	Interest	L	T					
41. OR St Bd Higher Ed G/O Ser. B Due 8/1/2028	B	Interest	L	T					
42. Portland City OR Ltd. Tax Conv. Ctr Ser A, Due 6-1-2030	B	Interest	K	T					
43. Umatilla Co. OR Hsp Fac Au Rev Cath Due 5/1/2034	B	Interest	K	T					
44. OR St Dept Transn Hwy User, Due 11-15-2028	B	Interest	K	T					
45. Ore St Dptof Transportation, Due 11/15/2028	A	Interest	K	T	Buy	08/02	K		
46. Ptlld OR Swr Sys Rev First Lien, Due 6/15/2029	A	Interest	K	T	Buy	06/01	K		
47. Salem OR Hosp Fac Auth Rev, Due 8/15/2030	A	Interest	K	T	Buy	12/06	K		
48. Oregon State Ser 87A B/E, Due 12/1/2026	A	Interest	K	T	Buy	12/06	K		
49. MUTUAL FUNDS									
50. Pimco Real Return Fund, Cl. B	A	Interest			Sale	12/6	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

MARSH, MALCOLM F

Date of Report

05/09/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
51. Delaware Tax Free Oregon Insured Fund B	A	Interest	J	T	Partial Sale	06/01	J	A	
52.	A	Interest	K	T	Partial Sale	08/02	K	A	
53.	A	Interest	L	T	Partial Sale	12/06	L	A	
54. Key Bank Private Bank	A	Interest	K	T					
55. U.S. Bank Checking Acct	A	Interest	J	T					
56. U.S. Bank Money Market Acct	C	Interest	N	T					Proceeds of property sale
57.									deposited in this acct
58. 1/4 Interest in Clark, et al, Salem, OR Rental, App. 1992	D	Rent	M	Q					
59. 21% of Clark, Lindauer, et al., 401K PS Plan (Pioneer Trst)	F	Int & Div	O	T	Distrib.	1/10	K	A	Partial - annual w/draw profit sharing;
60.									No management of investments
61.									investments
62. Pioneer Trust Bank	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
--	---	--	---	---	-------------------------

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

MARSH, MALCOLM F

Date of Report

05/09/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

MARSH, MALCOLM F

Date of Report

05/09/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5/7/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544