

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sloviter, Dolores K.	2. Court or Organization United States Court of Appeals for the Third Circuit	3. Date of Report 05/15/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 18614 United States Courthouse 601 Market Street Philadelphia, PA 19106	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust # 1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2010	Temple University, Phila., PA	\$10,392.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Sandra Day O'Connor College of Law	4/24/2010 to 4/26/2010	Phoenix, Arizona	National Forum on the Future of Legal Education	Airfare, meals and lodging
2.	The Aspen Institute	6/11/2010 to 6/13/2010	Greentree Estates outside New York City	Seminar on International Human Rights and Humanitarian Laws	Cab fares, train fares, meals and lodging
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AT&T - com. stock	D	Dividend	M	T					
2. Beneficial Mutual Bancorp - com. stock	A	Dividend	J	T					
3. Exelon - com. stock	C	Dividend	L	T					
4. GE - com. stock	B	Dividend	O	T					
5. IT&T Industries - com. stock	A	Dividend	J	T					
6. HanesBrand, Inc. - com. stock		None	J	T					
7. Hartford Fin. Services - com. stock	A	Dividend	J	T					
8. PSEG	A	Dividend	K	T					
9. Rayonier - com. stock	A	Dividend	J	T					
10. Sara Lee - com. stock	A	Dividend	J	T					
11. Vanguard Mun. Bond Funds - see VIII	G	Dividend	P1	T					
12. Vanguard Windsor II - see VIII	D	Dividend	O	T					
13. Vanguard Star Fund	B	Dividend	L	T					
14. Vanguard 500 Index Fund	C	Dividend	M	T					
15. Allegheny Higher Ed., 4.5%, '22	A	Interest	J	T					
16. Bucks County W & S, 5%, '22	A	Interest	J	T					
17. Chambersburg S.D., 4.6%, '25	B	Interest	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Sloviter, Dolores K.	05/15/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Dauphin Cty., 4.4%, '19	A	Interest	J	T					
19. Dauphin Cty., 4.5%, '26	A	Interest	J	T					
20. Dauphin Cty., 4%, '26	B	Interest	K	T					
21. Del. Port Auth. - N.J. Port Auth., 5.2%, '25	B	Interest	K	T					
22. Greater Johnstown, 5%, '19	A	Interest	J	T					
23. Lower Merion S.D., 4.8%, '25	A	Interest	K	T					
24. Muhlenberg, PA, 5%, '23	A	Interest	K	T					
25. Northampton, 5%, '39	A	Interest	K	T					
26. Northeastern York, 4.5%, '26	B	Interest	K	T					
27. Pa. St. Higher Ed. Fac. Auth., 5.25%, '10	A	Interest			Sold	03/15/10	J	A	
28. Penna. Intergovt'l, 5 1/4%, '17	A	Interest			Sold	03/24/10	J		
29. Pa. Higher Ed., 6 1/8%, '10	A	Interest			Sold	01/04/10	J	C	
30. Pa. Turnpike, 5%, '23	A	Interest	J	T					
31. Pa. Turnpike, 5%, '23	A	Interest	J	T					
32. Pa. 4th Series, 4.5%, '24	A	Interest	K	T					
33. Pa. St. HFA, 4.5%, '24	A	Interest	K	T	Buy	01/05/10	K		
34. Pa. Turnpike, 4.75%, '27	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 I11 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Pa. First Series B, 4.375%, '27	B	Interest	K	T					
36. Pa. St. Pub. Sch. Bldg., 4.3%, '27	A	Interest	J	T					
37. Pa. First Series B/E, 4.375%, '28	B	Interest	K	T					
38. Pa. Turnpike, 5%, '39	A	Interest	J	T					
39. Pa. Hsg. Fin. Agency, 4.75%, '39		None	K	T	Buy	11/16/10	K		
40. Phila. Parking, 5.125%, '24	A	Interest	J	T					
41. Reading, 4.45%, '27	B	Interest	K	T					
42. Reading S.D., 4.75%, '38	B	Interest	K	T					
43. Somerset S.D., 4.2%, '22	A	Interest	K	T					
44. Southeast Delco S.D., 4.30%, '26	A	Interest	K	T					
45. Susquehanna Twp. Auth. Pa., 6%, '13	A	Interest			Sold	11/15/10	J	A	
46. Wachovia Accts.	A	Interest	K	T					
47. Temple University Charitable Gift Annuity	A	Interest	K	T					
48. Trust # 1 Wachovia Bank	F	Interest	O	T					
49. Univ. of Pennsylvania Charitable Gift Annuity	A	Interest	K	T					
50.									
51.									

1. Income Gain Codes:
(See Columns B1 and D4)

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H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

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2. Value Codes
(See Columns C1 and D3)

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N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.									
53.									
54.									
55.									
56.									
57.									
58.									
59.									
60.									
61.									
62.									
63.									
64.									
65.									
66.									
67.									
68.									

1. Income Gain Codes:
(See Columns B1 and D4)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Vanguard Municipal Bond Funds in VII have checking account features and were used for various transactions throughout the year.

The Trust #1 in VII - only asset is a Wachovia Bank account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Dolores K. Sloviter**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544