

AO 10
Rev. 1/2010

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) ROSS, ALLYNE R.	2. Court or Organization U.S. D. C., EDNY	3. Date of Report 08/02/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address U.S. DISTRICT COURT, E.D.N.Y. 225 CADMAN PLAZA EAST, 915 S BROOKLYN, NY 11201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee		Trust # 1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

Ross, Allyne R.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*

☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 1/1 -12/31	Partner in Willkie Farr & Gallagher LLP
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

☒ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Trust #1		None	J	W					
2. IRA #1	E	Dividend	O	T					
3. -Cisco Systems Inc.									
4. -Dreyfus Liquid Assets					Redeemed (part)	01/09/09	J		
5. -Dreyfus Liquid Assets					Redeemed (part)	01/27/09	J		
6. -Dreyfus Liquid Assets					Redeemed (part)	01/29/09	J		
7. -Dreyfus Liquid Assets					Buy	02/24/09	J		
8. -Dreyfus Liquid Assets					Redeemed (part)	03/18/09	J		
9. -Dreyfus Liquid Assets					Redeemed (part)	03/30/09	J		
10. -Dreyfus Liquid Assets					Redeemed (part)	04/08/09	J		
11. -Dreyfus Liquid Assets					Redeemed (part)	04/24/09	J		
12. -Dreyfus Liquid Assets					Redeemed (part)	05/04/09	J		
13. -Dreyfus Liquid Assets					Redeemed (part)	05/18/09	J		
14. -Dreyfus Liquid Assets					Redeemed (part)	06/15/09	J		
15. -Dreyfus Liquid Assets					Redeemed (part)	07/01/09	J		
16. -Dreyfus Liquid Assets					Redeemed (part)	07/06/09	J		
17. -Dreyfus Liquid Assets					Buy	07/08/09	J		

1. Income Gain Codes
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

I = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption) *	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Dreyfus Liquid Assets					Redeemed (part)	07/22/09	J		
19. -Dreyfus Liquid Assets					Redeemed (part)	07/27/09	J		
20. -Dreyfus Liquid Assets					Redeemed (part)	08/04/09	J		
21. -Dreyfus Liquid Assets					Redeemed (part)	08/05/09	J		
22. -Dreyfus Liquid Assets					Redeemed (part)	08/24/09	J		
23. -Dreyfus Liquid Assets					Redeemed (part)	09/02/09	J		
24. -Dreyfus Liquid Assets					Redeemed (part)	09/17/09	J		
25. -Dreyfus Liquid Assets					Buy	09/23/09	J		
26. -Dreyfus Liquid Assets					Redeemed (part)	09/25/09	J		
27. -Dreyfus Liquid Assets					Redeemed (part)	11/13/09	J		
28. -Dreyfus Liquid Assets					Buy	11/30/09	J		
29. -Dreyfus Liquid Assets					Buy	12/23/09	J		
30. -Ericsson									
31. -General Electric					Buy	07/24/09	J		
32. -General Electric					Buy	07/30/09	J		
33. -Annaly Capital Management					Buy	03/13/09	J		
34. -Annaly Capital Management					Buy	04/21/09	J		

1. Income Gain Codes
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

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R = Cost (Real Estate Only)
V = Other

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
35. Annaly Capital Management					Buy	08/19/09	J		
36. -American Express									
37. -Eli Lilly & Co.					Buy	09/22/09	K		
38. -Citigroup									
39. -Penn West Energy Trust									See Part VIII
40. -Pepsico Inc.									See Part VIII
41. -Intel									
42. -KBR					Sold	02/18/09	J	B	
43. -Microsoft									
44. -Windstream Corp.					Buy	09/14/09	J		
45. -Windstream Corp.					Buy	11/19/09	J		
46. -Viacom									
47. -ishares Barclays Short Treas. Bond Fund					Buy	07/22/09	K		
48. -ishares TRFTJE XINHUA HK China 25 Index					Buy	11/09/09	J		
49. -Powershares Exch-Traded Fund Final PFD Portfolio									See VIII
50. -Enterprise Prods Partners									
51. -Provident Energy Trust					Buy	02/08/09	K		

1. Income/Gain Codes (See Columns B1 and D3)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 I = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption) *	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -Putnam Premier Income Trust									
53. -Enerplus Resources									
54. -Bank of America Corp.					buy	07/24/09	K		
55. -BlackRock Global Energy & Resources Trust									
56. -Yahoo Inc.									
57. -Boardwalk Pipeline Partners					Buy	03/06/09	K		
58. -Motors Liquidation Co. (Formerly General Motors Corp)									
59. -Proctor & Gamble Co.									
60. -New York Community Bancorp					Buy	08/28/09	J	B	
61. -Eaton Vance Limited Duration Income Fund									
62. -Hospitality PPTYS TR					buy	07/09/09	K		
63. -Johnson & Johnson					Buy	04/29/09	J	A	
64. -Johnson & Johnson					Buy	07/17/09	J		
65. -Pengrowth Energy Tr.					buy	06/26/09	J		
66. -Bristol Myers Squibb					Buy	08/11/09	J		
67. -Bristol Myers Squibb					Buy	10/24/09	J		
68. -Dow Chemical					Buy	06/10/09	J		

1. Income/Gain Codes: (See Columns H1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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69. -Conoco Phillips					Buy	04/03/09	J		
70. -KBR Inc.					Sold	02/18/09	J	B	
71. -Linn Energy					Buy	08/19/09	K		
72. -Manitowoc Co.					Sold	06/26/09	J		
73. -Cohen&Steers Select Utility Fund					Buy	02/19/09	J		
74. -Fiduciary/Claymore Opportunity Fund									
75. -Greenhaven Continuous Commodity Index Fund					Sold	11/19/09	J		
76. -Keycorp Conv. Pfd.					Buy	05/13/09	J		
77. -ishares FTSE NAREIT MTG REITS Index Fund									
78. -IRA # 2	B	Int./Div.		T					
79. -Dominican Resources					Buy	05/13/09	J		
80. -Johnson & Johnson					Buy	05/13/09	J		
81. -Saving Acct. at Citibank									
82. -Keycorp Preferred					Buy	07/09/09	J		
83. -Morgan Stanley Cap TR					Buy	05/13/09	J		
84. -Kaiser Group Int'l					Sold	02/19/09	J		
85. -Provident Energy Trust					Buy	05/13/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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86. -John Hancock Bank Fund					Buy	05/13/09	J		
87. -Annaly Capital Management Inc.					Buy	05/13/09	J		
88. -Annaly Capital Management Inc.					Sold	11/23/09	J	A	Partial Sale
89. Kayne Anderson Energy Total Return Fund					Buy	05/13/09	J		
90. -Cohen & Steers Select Utility Fund					Buy	05/13/09	J		
91. -Bristol Myers Squibb Co.					Buy	05/13/09	J		
92. -Tennessee Valley Authority Strips					Matured	05/01/09	K		
93. Trust # 2	A	Dividend	J	U					
94. -Dodge Cox Stock Fund									
95. Trust # 3	D	Dividend	M	U					See Part VIII
96. Citibank Accounts	B	Interest	M	T					
97. BNP Paribas DJ Stoxx 50 Structured Note		None	M	T					
98. Citibank NY Tax-Free Reserves Fund	A	Dividend	M	T					
99. Vanguard Limited-Term Tax-Exempt Fund	E	Dividend	P1	U	Buy	01/20/09	N		
100. Vanguard Limited-Term Tax-Exempt Fund					Buy	02/13/09	N		
101. Vanguard Limited-Term Tax-Exempt Fund					Buy	03/02/09	L		
102. Vanguard Limited-Term Tax-Exempt Fund					Buy	04/20/09	M		

1. Income Gain Codes: (See Columns B1 and D1)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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103. Vanguard Limited-Term Tax-Exempt Fund					Redeemed (part)	05/12/09	O		
104. Vanguard Limited-Term Tax-Exempt Fund					Buy	06/01/09	M		
105. Vanguard Limited-Term Tax-Exempt Fund					Buy	06/15/09	K		
106. Vanguard Limited-Term Tax-Exempt Fund					Buy	07/09/09	J		
107. Vanguard Limited-Term Tax-Exempt Fund					Buy	08/13/09	M		
108. Vanguard Limited-Term Tax-Exempt Fund					Buy	09/02/09	M		
109. Vanguard Limited-Term Tax-Exempt Fund					Redeemed (part)	09/28/09	N		
110. Vanguard Limited-Term Tax-Exempt Fund					Buy	11/02/09	L		
111. Vanguard Limited-Term Tax-Exempt Fund					Buy	11/27/09	M		
112. Vanguard High Yield Tax Fund	D	Dividend	N	U	Buy	09/28/09	N		
113. Vanguard NY Tax-Exempt Money Market Fund	A	Dividend	K	U	Redeemed (part)	02/13/09	N		
114. Vanguard NY Tax-Exempt Money Market Fund					Buy	09/17/09	K		
115. Vanguard NY Tax-Exempt Money Market Fund					Redeemed (part)	12/07/09	N		
116. Western Asset Municipal NY Money Market Fund	A	Dividend	J	U	Redeemed (part)	01/23/09	J		
117. Western Asset Municipal NY Money Market Fund					Redeemed (part)	04/17/09	J		
118. Western Asset Municipal NY Money Market Fund					Buy	04/24/09	J		
119. Western Asset Municipal NY Money Market Fund					Redeemed (part)	07/21/09	J		

1. Income Gain Codes
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

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Q = Appraisal

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B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Western Asset Municipal NY Money Market Fund					Redeemed (part)	10/16/09	J		
121. General American Investors	A	Dividend	J	T					See Part VIII
122. Trust # 3	A	Dividend	J	U					
123. WFG-WPX Partners LLC		None	N	W					
124. Goldman Sachs High Yield Fund	B	Dividend	L	U	buy	02/05/09	J		
125. Fidelity Freedom Fund	A	Dividend			Redeemed	02/05/09	J		
126. Bernstein Global Diversified Strategies L.P.	B	Dividend	N	T					
127. Bernstein Global Opportunities Hedge Fund LP	D	Dividend	M	T					See Part VIII
128. S&P 500 Variable Participation Notes		None	M	T					
129. Asta Five NY		None	J	T					
130. Bernstein New York Municipal Portfolio	E	Dividend	O	U	Redeemed (part)	03/03/09	K		
131. Bernstein New York Municipal Portfolio					Buy	05/12/09	O		
132. Bernstein New York Municipal Portfolio					Buy	09/28/09	J		
133. Bernstein New York Municipal Portfolio					Buy	11/04/09	J		
134. Bernstein New York Municipal Portfolio					Buy	11/05/09	J		
135. Bernstein New York Municipal Portfolio					Buy	11/25/09	J		
136. Bernstein New York Municipal Portfolio					Buy	12/08/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting
ROSS, ALLYNE R.

Date of Report
08/02/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Bernstein New York Municipal Portfolio					Buy	12/28/09	J		
138. BP PLC	A	Dividend			Sold (part)	01/28/09	J		
139. BP PLC					Sold (part)	02/02/09	J		
140. BP PLC					Sold	10/01/09	J		
141. Bernstein Tax-Managed International Portfolio	D	Dividend	N	U	Buy	03/03/09	J		
142. Bernstein Tax-Managed International Portfolio					Buy	04/16/09	J		
143. Bernstein Tax-Managed International Portfolio					Buy	11/25/09	M		
144. Bernstein Tax-Managed International Portfolio					Buy	12/18/09	J		
145. Supervalu	A	Dividend	J	T	Sold (part)	03/05/09	J		
146. Supervalu					Sold (part)	04/21/09	J		
147. Supervalu					Buy	10/16/09	J		
148. Supervalu					Buy	11/25/09	J		
149. Altria Group	A	Dividend	J	T	Buy	04/09/09	J		
150. Altria Group					Buy	04/15/09	J		
151. Altria Group					Sold (part)	05/18/09	J	A	
152. Altria Group					Buy	07/13/09	J		
153. Altria Group					Sold (part)	09/10/09	J	B	

1. Income/Gain Codes (See Columns B1 and D3)
 2. Value Codes (See Columns C1 and D3)
 3. Value Method Codes (See Column C2)

A = \$1,000 or less
 F = \$50,001 - \$100,000
 J = \$15,000 or less
 N = \$250,001 - \$500,000
 P3 = \$25,000,001 - \$50,000,000
 Q = Appraisal
 U = Book Value

B = \$1,001 - \$2,500
 G = \$100,001 - \$1,000,000
 K = \$15,001 - \$50,000
 O = \$500,001 - \$1,000,000
 R = Cost (Real Estate Only)
 V = Other

C = \$2,501 - \$5,000
 H1 = \$1,000,001 - \$5,000,000
 L = \$50,001 - \$100,000
 P1 = \$1,000,001 - \$5,000,000
 P4 = More than \$50,000,000
 S = Assessment
 W = Estimated

D = \$5,001 - \$15,000
 I12 = More than \$5,000,000
 M = \$100,001 - \$250,000
 P2 = \$5,000,001 - \$25,000,000
 T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting
ROSS, ALYNE R.

Date of Report
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Cash Balance at Sanford C. Bernstein & co	A	Interest	J	T					
155. Ace Ltd.	A	Dividend	J	T	Buy	03/03/09	J		
156. Ace Ltd.					Buy	04/16/09	J		
157. Hewlett Packard	A	Dividend	K	T	Sold (part)	05/01/09	J		
158. Hewlett-Packard					Sold (part)	06/04/09	J		
159. Hewlett-Packard					Sold (part)	06/24/09	J		
160. Hewlett-Packard					Sold (part)	07/16/09	J		
161. Hewlett-Packard					Sold (part)	08/28/09	J	A	
162. Hewlett Packard					Sold (part)	10/05/09	J	A	
163. Hewlett Packard					Buy	11/25/09	J		
164. Cameron International		None	J	T	Buy	03/31/09	J		
165. AOL		None	J	T		12/09/09	J		
166. Ashland Inc.		None			Sold	02/09/09	J		
167. Aetna		None	J	T	Buy	09/24/09	J		
168. Aetna					Buy	09/24/09	J		
169. AK Steel Holding		None	J	T	Buy	09/01/09	J		
170. E.I. Dupont	A	Dividend	K	T	Buy	04/15/09	J		

1. Income Gain Codes: (See Columns B1 and D3)
 2. Value Codes (See Columns C1 and D3)
 3. Value Method Codes (See Column C2)

A = \$1,000 or less
 F = \$50,001 - \$100,000
 J = \$15,000 or less
 N = \$250,001 - \$500,000
 P3 = \$25,000,001 - \$50,000,000
 Q = Appraisal
 U = Book Value

B = \$1,001 - \$2,500
 G = \$100,001 - \$1,000,000
 K = \$15,001 - \$50,000
 Q = \$500,001 - \$1,000,000
 R = Cost (Real Estate Only)
 V = Other

C = \$2,501 - \$5,000
 H1 = \$1,000,001 - \$5,000,000
 L = \$50,001 - \$100,000
 P1 = \$1,000,001 - \$5,000,000
 P4 = More than \$50,000,000
 S = Assessment
 W = Estimated

D = \$5,001 - \$15,000
 H2 = More than \$5,000,000
 M = \$100,001 - \$250,000
 P2 = \$5,000,001 - \$25,000,000
 T = Cash Market

E = \$15,001 - \$50,000
 I = \$100,001 - \$250,000

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

08/02/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. E.I. Dupont					Buy	05/26/09	J		
172. E.I. Dupont					Buy	05/27/09	J		
173. E.I. Dupont					Buy	06/19/09	J		
174. E.I. Dupont					Buy	06/26/09	J		
175. E.I. Dupont					Sold (part)	07/24/09	J		
176. Ensco		None	J	T	Buy	05/29/09	J		
177. American International Group		None			Sold	03/13/09	J	A	
178. Ford Motor Co.		None	J	T	Buy	11/09/09	J		
179. Ford Motor Co.					Buy	11/17/09	J		
180. Archer-Daniels Midland	A	Dividend	J	T	Buy	01/06/09	J		
181. Archer-Daniels Midland					Buy	01/20/09	J		
182. Archer-Daniels Midland					Sold (part)	07/30/09	J	A	
183. Archer-Daniels Midland					Buy	09/29/09	J		
184. Amgen		None			Buy	03/05/09	J		
185. Amgen					Buy	04/17/09	J		
186. Amgen					Sold (part)	06/25/09	J		
187. Amgen					Sold (part)	07/13/09	J	A	

1. Income Gain Codes
(See Columns H1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting
ROSS, ALLYNE R.

Date of Report
08/02/2010

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Amgen					Sold	11/24/09	J		
189. Tyson Foods	A	Dividend			Buy	01/15/09	J		
190. Tyson Foods					Sold (part)	06/24/09	J		
191. Tyson Foods					Sold	08/13/09	J	A	
192. Apache Corp.	A	Dividend			Buy	01/27/09	J		
193. Apache Corp.					Buy	03/31/09	J		
194. Apache Corp.					Sold (part)	07/24/09	J		
195. Apache Corp.					Sold (part)	08/07/09	J	A	
196. Apache Corp.					Sold (part)	09/16/09	J		
197. Apache Corp.					Sold (part)	09/30/09	J	A	
198. Apache Corp.					Sold (part)	10/13/09	J	A	
199. Apache Corp.					Sold (part)	10/22/09	J	A	
200. Apache Corp.					Sold (part)	11/03/09	J		
201. Cardinal Health	A	Dividend			Sold (part)	06/09/09	J		
202. Cardinal Health					Sold	08/05/09	J		
203. Citigroup	A	Dividend			Sold	01/15/09	K		
204. Occidental Pete	A	Dividend	K	T	Buy	02/04/09	J		

1. Income Gain Codes:
(See Columns B1 and D1)

A = \$1,000 or less
F = \$50,001 - \$100,000

H = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D1)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

I = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

F = Cash Market

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Occidental Pete					Buy	06/19/09	J		
206. Occidental Pete					Buy	06/26/09	J		
207. Occidental Pete					Buy	09/04/09	J		
208. Occidental Pete					Sold (part)	10/29/09	J	A	
209. GlaxoSmithKline	A	Dividend			Buy	03/03/09	J		
210. GlaxoSmithKline					Sold	11/30/09	J	B	
211. Goldman Sachs	A	Dividend	L	T	Buy	02/10/09	J		
212. Goldman Sachs					Buy	03/10/09	J		
213. Goldman Sachs					Buy	05/29/09	J		
214. Goldman Sachs					Buy	06/05/09	J		
215. Goldman Sachs					Buy	06/30/09	J		
216. Goldman Sachs					Buy	08/31/09	J		
217. Goldman Sachs					Sold (part)	09/11/09	J	A	
218. Goldman Sachs					Buy	10/15/09	J		
219. Goldman Sachs					Buy	10/16/09	J		
220. Goldman Sachs					Buy	11/05/09	J		
221. Goldman Sachs					Buy	11/25/09	J		

1. Income Gain Codes:
(See Columns H1 and I14)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and I13)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting
ROSS, ALLYNE R.

Date of Report
08/02/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Intel Corp.	A	Dividend	K	T	Buy	04/24/09	J		
223. Intel Corp.					Buy	06/09/09	J		
224. Intel Corp.					Buy	06/25/09	J		
225. Intel Corp.					Buy	06/30/09	J		
226. Intel Corp.					Buy	07/08/09	J		
227. Intel Corp.					Buy	07/17/09	J		
228. Intel Corp.					Buy	09/17/09	J		
229. Intel Corp.					Buy	11/23/09	J		
230. Intel Corp.					Buy	11/25/09	J		
231. Bunge Ltd.	A	Dividend	J	T	Buy	03/03/09	J		
232. Bunge Ltd.					Sold (part)	04/02/09	J	A	
233. Bunge Ltd.					Sold (part)	04/09/09	J	B	
234. Bunge Ltd.					Buy	11/25/09	J		
235. Microsoft	A	Dividend	K	T	Buy	02/19/09	J		
236. Microsoft					Buy	03/19/09	J		
237. Microsoft					Buy	03/31/09	J		
238. Microsoft					Buy	10/26/09	J		

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

08/02/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. Microsoft					Buy	10/27/09	J		
240. Microsoft					Sold (part)	11/24/09	J	A	
241. Microsoft					Sold (part)	12/29/09	J	A	
242. Procter & Gamble	A	Dividend			Sold (part)	01/07/09	J		
243. Procter & Gamble					Sold (part)	02/11/09	J		
244. Procter & Gamble					Buy	04/17/09	J		
245. Procter & Gamble					Buy	04/23/09	J		
246. Procter & Gamble					Buy	05/26/09	J		
247. Procter & Gamble					Sold (part)	07/10/09	J	A	
248. Procter & Gamble					Sold (part)	08/07/09	J		
249. Procter & Gamble					Sold (part)	09/10/09	J	A	
250. Procter & Gamble					Sold (part)	11/16/09	J	B	
251. Procter & Gamble					Sold	12/15/09	J	A	
252. Costco	A	Dividend	J	T	Buy	02/10/09	J		
253. Corning	A	Dividend	J	T	Buy	01/21/09	J		
254. Corning					Sold (part)	12/10/09	J	C	
255. Rio Tinto		None			Buy	09/15/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes: (See Column C2)					

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

08/02/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. Rio Tinto					Buy	09/21/09	J		
257. Rio Tinto					Sold	12/01/09	J	A	
258. Metlife	A	Dividend	J	T	Buy	01/20/09	J		
259. Metlife					Buy	03/03/09	J		
260. Metlife					Buy	03/05/09	J		
261. Metlife					Sold (part)	04/09/09	J		
262. Metlife					Sold (part)	04/14/09	J	A	
263. Metlife					Sold (part)	05/26/09	J	A	
264. Metlife					Sold (part)	06/26/09	J	B	
265. Metlife					Sold (part)	08/05/09	J	A	
266. Metlife					Sold (part)	12/22/09	J	C	
267. Sprint Nextel		None	J	T	Sold (part)	02/19/09	J		
268. Sprint Nextel					Sold	02/24/09	J		
269. Sprint Nextel					Buy	08/10/09	J		
270. Sprint Nextel					Buy	11/02/09	J		
271. Sprint Nextel					Buy	11/03/09	J		
272. Quanta Services		None	J	T	Buy	08/28/09	J		

1. Income Gain Codes: (See Columns H1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D1)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting
ROSS, ALLYNE R.

Date of Report
08/02/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. Suncor Energy	A	Dividend	J	T	Buy	10/14/09	J		
274. Suncor Energy					Buy	11/25/09	J		
275. Suncor Energy					Buy	11/27/09	J		
276. United Parcel Service	A	Dividend	J	T	Buy	09/15/09	J		
277. United Parcel Service					Sold (part)	11/24/09	J		
278. Vale SA		None	J	T	Buy	11/24/09	J		
279. Vertex Pharmaceuticals		None	J	T	Buy	11/19/09	J		
280. Visa Inc.	A	Dividend	J	T	Buy	04/30/09	J		
281. Visa Inc.					Buy	05/01/09	J		
282. Visa Inc.					Buy	05/05/09	J		
283. Visa Inc.					Sold (part)	10/06/09	J	A	
284. Taiwan Semiconductor	A	Dividend			Buy	05/05/09	J		
285. Taiwan Semiconductor					Buy	05/07/09	J		
286. Taiwan Semiconductor					Sold	10/01/09	J	A	
287. Altera Corp.	A	Dividend			Buy	07/27/09	J		
288. Altera Corp.					Sold	11/09/09	J	A	
289. Alliance Bernstein Holding Corp.		None			Buy	05/07/09	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I12 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Marker

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Name of Person Reporting
ROSS, ALLYNE R.

Date of Report
08/02/2010

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
290. Alliance Bernstein Holding Corp.					Sold (part)	11/24/09	J	B	
291. Alliance Bernstein Holding Corp.					Sold	12/04/09	J		
292. American Tower Corp.		None			Buy	07/23/09	J		
293. American Tower Corp.					Sold	10/08/09	J	A	
294. Capital One Financial Corp.	A	Dividend			Buy	01/20/09	J		
295. Capital One Financial Corp.					Sold (part)	09/17/09	J	C	
296. Capital One Financial Corp.					Sold	10/23/09	J	B	
297. Ebay		None			Sold	11/24/09	J	B	
298. Eli Lilly & Co.	A	Dividend			Buy	03/24/09	J		
299. Eli Lilly & Co.					Buy	04/02/09	J		
300. Eli Lilly & Co.					Sold (part)	07/10/09	J		
301. Eli Lilly & Co.					Sold	09/18/09	J		
302. Gannett	A	Dividend			Sold (part)	04/13/09	J		
303. Flextronics		None			Sold (part)	01/02/09	J		
304. Ericsson	A	Dividend			Buy	01/05/09	J		
305. Ericsson					Sold (part)	02/19/09	J	A	
306. Ericsson					Sold (part)	06/18/09	J	A	

1. Income Gain Codes: A = \$1,000 or less
(See Columns B1 and D4)
F = \$50,001 - \$100,000
J = \$15,000 or less
(See Columns C1 and D3)
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
(See Column C2)
U = Book Value
- B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other
- C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated
- D = \$5,001 - \$15,000
I12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market
- E = \$15,001 - \$50,000

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Name of Person Reporting
ROSS, ALLYNE R.

Date of Report
08/02/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. Ericsson					Sold	08/17/09	J	A	
308. Dell		None	K	T	Buy	01/26/09	J		
309. Dell					Sold (part)	02/12/09	J		
310. Dell					Buy	07/15/09	J		
311. Dell					Buy	08/13/09	J		
312. Dell					Buy	11/23/09	J		
313. Home Depot	A	Dividend	J	T	Buy	02/18/09	J		
314. Home Depot					Buy	02/25/09	J		
315. Home Depot					Sold (part)	06/18/09	J	A	
316. Home Depot					Sold (part)	11/13/09	J	A	
317. Home Depot					Buy	12/02/09	J		
318. Lowe's Cos.	A	Dividend	J	T	Buy	02/25/09	J		
319. Lowe's Cos.					Buy	04/23/09	J		
320. Lowe's Cos.					Buy	07/09/09	J		
321. Lowe's Cos.					Buy	07/13/09	J		
322. Lowe's Cos.					Buy	08/11/09	J		
323. Lowe's Cos.					Sold (part)	10/08/09	J		

1. Income Gain Codes:
(See Columns H1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

H = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

I11 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

I12 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting
ROSS, ALLYNE R.

Date of Report
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. Lowe's Cos.					Sold (part)	12/18/09	J	A	
325. Pepsico	A	Dividend	J	T	Buy	01/09/09	J		
326. Pepsico					Buy	02/18/09	J		
327. Pepsico					Buy	03/05/09	J		
328. Pepsico					Sold (part)	05/08/09	J		
329. Pepsico					Buy	08/06/09	J		
330. Pepsico					Sold (part)	10/08/09	J		
331. Pepsico					Sold (part)	11/24/09	K		
332. Principal Financial Group	A	Dividend	J	T	Buy	10/15/09	J		
333. Chevron Corp.	A	Dividend	J	T	Buy	03/23/09	J		
334. Chevron Corp.					Sold (part)	06/10/09	J	A	
335. Chevron Corp.					Sold (part)	06/18/09	J	A	
336. Chevron Corp.					Sold (part)	07/10/09	J		
337. Chevron Corp.					Sold (part)	08/12/09	J	B	
338. J.P. Morgan Chase	A	Dividend	L	T	Buy	02/13/09	J		
339. J.P. Morgan Chase					Sold (part)	03/23/09	J		
340. J.P. Morgan Chase					Sold (part)	04/13/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less B = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting
ROSS, ALLYNE R.

Date of Report
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. J.P. Morgan Chase					Buy	05/14/09	J		
342. J.P. Morgan Chase					Buy	05/15/09	J		
343. J.P. Morgan Chase					Buy	05/27/09	J		
344. J.P. Morgan Chase					Buy	06/05/09	J		
345. J.P. Morgan Chase					Buy	06/22/09	J		
346. J.P. Morgan Chase					Buy	06/23/09	J		
347. J.P. Morgan Chase					Sold (part)	09/11/09	J	A	
348. J.P. Morgan Chase					Sold (part)	10/08/09	J	B	
349. J.P. Morgan Chase					Sold (part)	10/15/09	J	A	
350. J.P. Morgan Chase					Buy	12/11/09	J		
351. J.P. Morgan Chase					Buy	12/22/09	J		
352. McDonalds	A	Dividend			Buy	02/12/09	J		
353. McDonalds					Sold (part)	05/28/09	J		
354. McDonalds					Sold (part)	07/16/09	J		
355. McDonalds					Sold (part)	08/04/09	J		
356. McDonalds					Sold (part)	09/16/09	J	A	
357. McDonalds					Sold	10/12/09	J	A	

1. Income/Gain Codes: (See Columns H1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting
ROSS, ALLYNE R.

Date of Report
08/02/2010

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. Medco Health Solutions		None	J	T	Sold (part)	02/10/09	J	A	
359. Medco Health Solutions					Sold (part)	05/11/09	J	A	
360. Medco Health Solutions					Sold (part)	09/16/09	J	A	
361. Medco Health Solutions					Sold (part)	10/21/09	J	A	
362. Medco Health Solutions					Sold (part)	12/18/09	J	B	
363. Merck	B	Dividend	K	T	Sold (part)	11/19/09	J		
364. Merck					Sold (part)	11/23/09	J		
365. Illinois Tool Works	A	Dividend	K	T	Buy	05/22/09	J		
366. Illinois Tool Works					Buy	06/26/09	J		
367. Illinois Tool Works					Buy	08/10/09	J		
368. Illinois Tool Works					Buy	09/18/09	J		
369. Illinois Tool Works					Buy	10/27/09	J		
370. Illinois Tool Works					Buy	11/24/09	J		
371. Illinois Tool Works					Buy	11/25/09	J		
372. Johnson Controls	A	Dividend	J	T	Buy	08/06/09	J		
373. Johnson Controls					Buy	08/07/09	J		
374. Johnson Controls					Buy	11/19/09	J		

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting
ROSS, ALLYNE R.

Date of Report
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. Johnson Controls					Buy	11/25/09	J		
376. Target	A	Dividend	K	T	Buy	04/17/09	J		
377. Target					Buy	05/28/09	J		
378. Target					Buy	09/18/09	J		
379. Target					Buy	09/22/09	J		
380. Target					Buy	10/09/09	J		
381. Target					Buy	10/16/09	J		
382. Target					Buy	11/25/09	J		
383. XL Capital	A	Dividend	J	T	Buy	06/30/09	J		
384. XL Capital					Sold (part)	08/17/09	J		
385. Alcon	A	Dividend	K	T	Sold (part)	01/16/09	J		
386. Alcon					Sold (part)	05/11/09	J	A	
387. Alcon					Buy	07/16/09	J		
388. Alcon					Buy	07/17/09	J		
389. Alcon					Buy	08/04/09	J		
390. Alcon					Buy	08/06/09	J		
391. Alcon					Buy	09/23/09	J		

1. Income Claim Codes (See Columns IH and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 IH = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting
ROSS, ALLYNE R.

Date of Report
08/02/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. Alcon					Buy	10/02/09	J		
393. Alcon					Buy	10/08/09	J		
394. Alcon					Buy	10/29/09	J		
395. Alcon					Buy	11/25/09	J		
396. Broadcom		None	J	T	Buy	11/10/09	J		
397. KLA-Tencor	A	Dividend	J	T	Buy	09/11/09	J		
398. KLA-Tencor					Buy	10/01/09	J		
399. Liberty Entertainment		None			Buy	04/24/09	J		
400. Liberty Entertainment					Sold (part)	07/22/09	J	A	
401. Liberty Entertainment					Sold	08/05/09	J	A	
402. Lincoln National Corp.	A	Dividend			Buy	02/17/09	J		
403. Lincoln National Corp.					Buy	03/05/09	J		
404. Lincoln National Corp.					Sold	12/10/09	J	C	
405. Google		None	L	T	Sold (part)	01/26/09	J		
406. Google					Sold (part)	01/30/09	J		
407. Google					Sold (part)	04/20/09	J		
408. Google					Sold (part)	10/19/09	J	B	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes:
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

I = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes:
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting
ROSS, ALLYNE R.

Date of Report
08/02/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption) *	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-I)	(5) Identity of buyer/seller (if private transaction)
409. Google					Buy	11/25/09	J		
410. Qualcomm	A	Dividend	K	T	Buy	01/09/09	J		
411. Qualcomm					Buy	03/31/09	J		
412. Qualcomm					Sold (part)	05/07/09	J		
413. Qualcomm					Buy	11/25/09	J		
414. Qualcomm					Buy	12/03/09	J		
415. Teva Pharmaceutical	A	Dividend	K	T	Sold (part)	05/04/09	J		
416. Teva Pharmaceutical					Sold (part)	05/07/09	J		
417. Teva Pharmaceutical					Sold (part)	10/22/09	J	A	
418. Teva Pharmaceutical					Buy	12/02/09	J		
419. Comcast	A	Dividend	J	T	Buy	04/01/09	J		
420. Comcast					Sold (part)	06/23/09	J	A	
421. Comcast					Sold (part)	11/24/09	J		
422. Comcast					Buy	12/22/09	J		
423. Bank of America	A	Dividend	J	T	Buy	01/07/09	J		
424. Bank of America					Sold (part)	03/12/09	J		
425. Bank of America					Sold (part)	03/23/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	I = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting
ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. Bank of America					Buy	09/01/09	J		
427. Bank of America					Buy	09/21/09	J		
428. Bank of America					Sold (part)	11/24/09	J		
429. Bank of America					Buy	12/09/09	J		
430. Bank of America					Buy	12/11/09	J		
431. Conoco Phillips	B	Dividend	K	T	Sold (part)	02/02/09	J		
432. Conoco Phillips					Buy	11/03/09	J		
433. Conoco Phillips					Buy	11/17/09	J		
434. Conoco Phillips					Buy	11/25/09	J		
435. National-Oilwell		None	J	T	Buy	05/28/09	J		
436. National-Oilwell					Buy	06/23/09	J		
437. Cooper Industries	A	Dividend	J	T	Buy	03/19/09	J		
438. Cooper Industries					Buy	10/07/09	J		
439. Cooper Industries					Buy	11/25/09	J		
440. Cooper Industries					Sold (part)	12/22/09	J	C	
441. Credit Suisse		None	J	T	Buy	05/11/09	J		
442. Credit Suisse					Buy	09/17/09	J		

1. Income/Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting
ROSS, ALLYNE R.

Date of Report
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code * (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption),	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443. Exxon Mobil	A	Dividend			Sold (part)	07/10/09	J	A	
444. Exxon Mobil					Sold (part)	08/19/09	J	A	
445. Exxon Mobil					Sold	10/01/09	J	A	
446. Bernstein Emerging Markets Portfolio	B	Dividend	M	U	buy	12/08/09	J		
447. Hartford Financial Services	A	Dividend			Sold (part)	02/04/09	J		
448. Hartford Financial Services					Sold	08/07/09	J	A	
449. Genworth Financial		None			Sold	07/30/09	J		
450. Ameriprise Financial	A	Dividend	J	T	Buy	10/26/09	J		
451. Ameriprise Financial					Buy	10/30/09	J		
452. Aflac		None			Buy	01/09/09	J		
453. Aflac					Sold (part)	02/02/09	J		
454. Aflac					Sold	11/24/09	J		
455. Apple Computer		None	L	T	Sold (part)	01/06/09	J		
456. Apple Computer					Sold (part)	01/15/09	J		
457. Apple Computer					Sold (part)	09/21/09	J	B	
458. Apple Computer					Buy	11/27/09	J		
459. Danaher Corp.	A	Dividend	K	T	Buy	03/03/09	J		

1. Income Gain Codes (See Columns H1 and H4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and C3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$250,001,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

Name of Person Reporting
ROSS, ALLYNE R.

Date of Report
08/02/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
460. Danaher Corp.					Buy	05/06/09	J		
461. Danaher Corp.					Buy	08/17/09	J		
462. Danaher Corp.					Buy	09/04/09	J		
463. Danaher Corp.					Buy	09/16/09	J		
464. Danaher Corp.					Buy	11/25/09	J		
465. EMC Corp.		None	J	T	Buy	07/27/09	J		
466. EMC Corp.					Buy	09/04/09	J		
467. EMC Corp.					Buy	09/23/09	J		
468. EMC Corp.					Buy	10/09/09	J		
469. EMC Corp.					Buy	11/25/09	J		
470. FEDEX Corp.	A	Dividend	J	T	Buy	08/06/09	J		
471. Franklin Resources	A	Dividend	J	T	Sold (part)	06/03/09	J		
472. Franklin Resources					Buy	11/05/09	J		
473. Franklin Resources					Buy	11/10/09	J		
474. Franklin Resources					Buy	12/02/09	J		
475. Philip Morris	A	Dividend			Sold (part)	01/07/09	J		
476. Philip Morris					Sold (part)	04/24/09	J		

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
(See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
(See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
(See Column C2) U = Book Value V = Other W = Estimated

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Name of Person Reporting
ROSS, ALLYNE R.

Date of Report
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
477. Philip Morris					Sold (part)	05/18/09	J	A	
478. Philip Morris					Sold (part)	06/17/09	J	B	
479. Philip Morris					Sold	07/27/09	J	C	
480. Genentech		None			Buy	01/20/09	J		
481. Genentech					Buy	02/05/09	J		
482. Genentech					Sold	03/26/09	J		
483. Gilead Sciences		None	K	T	Sold (part)	02/06/09	J	B	
484. Gilead Sciences					Buy	03/31/09	J		
485. Gilead Sciences					Sold (part)	04/30/09	J	A	
486. Gilead Sciences					Sold (part)	10/15/09	J	A	
487. Gilead Sciences					Buy	11/25/09	J		
488. Sanofi Aventis	A	Dividend			Sold	05/29/09	J		
489. TJX Companies	A	Dividend			Sold	05/18/09	J	B	
490. Limited Brands	A	Dividend	J	T	Buy	12/18/09	J		
491. Viacom		None	J	T	Buy	12/18/09	J		
492. Schlumberger	A	Dividend	K	T	Buy	03/31/09	J		
493. Schlumberger					Sold (part)	11/09/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	K = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting
ROSS, ALLYNE R.

Date of Report
08/02/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
494. Time Warner	A	Dividend	K	T	Buy	03/03/09	J		
495. Time Warner Cable					Buy	04/17/09	J		
496. Time Warner Cable		None	K	T	Buy	04/09/09	J		
497. Time Warner Cable					Buy	04/17/09	J		
498. Time Warner Cable					Buy	05/01/09	J		
499. Time Warner Cable					Sold (part)	09/09/09	J	A	
500. Time Warner Cable					Buy	11/25/09	J		
501. Emerson Electric	A	Dividend			Buy	02/11/09	J		
502. Emerson Electric					Buy	04/01/09	J		
503. Emerson Electric					Sold (part)	06/01/09	J		
504. Emerson Electric					Sold (part)	08/05/09	J		
505. Emerson Electric					Sold (part)	08/19/09	J	A	
506. Emerson Electric					Sold (part)	09/16/09	J	A	
507. Emerson Electric					Sold	10/22/09	J	A	
508. Cisco Systems		None	J	T	Sold (part)	05/26/09	J		
509. Cisco Systems					Sold (part)	07/01/09	J		
510. Cisco Systems					Buy	08/04/09	J		

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting
ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during rep. ring period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
511. Cisco Systems					Buy	09/22/09	J		
512. Cisco Systems					Buy	11/05/09	J		
513. Cisco Systems					Sold (part)	11/23/09	J		
514. General Electric	A	Dividend	K	T	Sold (part)	01/07/09	J		
515. General Electric					Buy	10/16/09	J		
516. General Electric					Buy	11/17/09	J		
517. General Electric					Buy	11/25/09	J		
518. The Travelers Cos.	A	Dividend	J	T	Sold (part)	03/23/09	J		
519. The Travelers Cos.					Sold (part)	06/23/09	J		
520. AT&T	B	Dividend	K	T	Buy	06/22/09	J		
521. AT&T					Buy	06/24/09	J		
522. AT&T					Buy	08/06/09	J		
523. AT&T					Buy	08/13/09	J		
524. AT&T					Sold (part)	11/05/09	J		
525. AT&T					Buy	12/18/09	J		
526. BB&T Corp.		None	J	T	Buy	09/17/09	J		
527. BB&T Corp.					Buy	09/22/09	J		

1. Income Gain Codes: (See Columns B1 and D4)
 2. Value Codes: (See Columns C1 and D3)
 3. Value Method Codes: (See Column C2)

A = \$1,000 or less
 F = \$50,001 - \$100,000
 J = \$15,000 or less
 N = \$250,001 - \$500,000
 P3 = \$25,000,001 - \$50,000,000
 Q = Appraisal
 U = Book Value

B = \$1,001 - \$2,500
 G = \$100,001 - \$1,000,000
 K = \$15,001 - \$50,000
 O = \$500,001 - \$1,000,000
 R = Cost (Real Estate Only)
 V = Other

C = \$2,501 - \$5,000
 H1 = \$1,000,001 - \$5,000,000
 L = \$50,001 - \$100,000
 P1 = \$1,000,001 - \$5,000,000
 P4 = More than \$50,000,000
 S = Assessment
 W = Estimated

D = \$5,001 - \$15,000
 H2 = More than \$5,000,000
 M = \$100,001 - \$250,000
 P2 = \$5,000,001 - \$25,000,000
 T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting
ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-II)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-II)	(5) Identity of buyer/seller (if private transaction)
528. Lockheed Martin	A	Dividend			Sold (part)	04/20/09	J		
529. Lockheed Martin					Sold	07/01/09	J	A	
530. Cimarex Energy	A	Dividend	J	T	Buy	07/27/09	J		
531. Cimarex Energy					Buy	11/30/09	J		
532. Activision Blizzard Inc.		None			Sold	02/18/09	J		
533. Constellation Brands		None	J	T	Buy	08/17/09	J		
534. Constellation Brands					Buy	11/25/09	J		
535. Black & Decker	A	Dividend	J	T	Sold (part)	01/16/09	J		
536. Black & Decker					Buy	09/04/09	J		
537. Black & Decker					Sold (part)	12/29/09	J		
538. CBS	A	Dividend	J	T	Buy	03/03/09	J		
539. CBS					Sold (part)	08/12/09	J		
540. CBS					Sold (part)	10/16/09	J		
541. CBS					Sold (part)	11/10/09	J		
542. Coca-Cola	A	Dividend			Buy	01/23/09	J		
543. Coca-Cola					Sold (part)	05/01/09	J		
544. Coca-Cola					Sold (part)	05/11/09	J		

1. Income/Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting
ROSS, ALLYNE R.

Date of Report
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
545. Coca-Cola					Sold	09/16/09	J	A	
546. Dean Foods		None	J	T	Buy	10/02/09	J		
547. Arcelormittal	A	Dividend	J	T	Buy	05/29/09	J		
548. Arcelormittal					Buy	08/05/09	J		
549. Amazon.com		None	J	T	Buy	05/19/09	J		
550. Amazon.com					Sold (part)		J	A	
551. Bank of New York Mellon	A	Dividend	J	T	Buy	04/17/09	J		
552. Nokia	A	Dividend	J	T	buy	01/22/09	J		
553. Nokia					Buy	01/27/09	J		
554. Nokia					Buy	02/06/09	J		
555. Nokia					Buy	02/10/09	J		
556. Nokia					Buy	02/11/09	J		
557. Nokia					Buy	02/24/09	J		
558. Nokia					Sold (part)	04/09/09	J		
559. Nokia					Sold (part)	04/22/09	J		
560. Nokia					Sold (part)	06/26/09	J		
561. Nokia					Buy	11/25/09	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Ancient Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. Northrop Grumman	A	Dividend	J	T	Buy	03/25/09	J		
563. Northrop Grumman					Buy	06/30/09	J		
564. Northrop Grumman					Buy	08/18/09	J		
565. Northrop Grumman					Buy	08/24/09	J		
566. Pfizer	B	Dividend	K	T	Buy	06/30/09	J		
567. Pfizer					Sold (part)	10/15/09	J		
568. Pfizer					Buy	11/25/09	J		
569. Verizon	A	Dividend			Sold	04/29/09	J		
570. Charles Schwab	A	Dividend			Sold	07/15/09	J	A	
571. Fluor	A	Dividend			Buy	01/28/09	J		
572. Fluor					Sold	03/20/09	J		
573. Kohls		None	K	T	Buy	01/21/09	J		
574. Kohls					Buy	06/08/09	J		
575. Kohls					Buy	06/25/09	J		
576. Kohls					Sold (part)	10/15/09	J		
577. Monsanto	A	Dividend			Sold (part)	01/07/09	J		
578. Monsanto					Sold (part)	02/06/09	J	A	

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting
ROSS, ALLYNE R.

Date of Report
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579. Monsanto					Sold (part)	04/24/09	J	B	
580. Monsanto					Sold (part)	05/28/09	J	B	
581. Monsanto					Sold (part)	06/04/09	J	B	
582. Monsanto					Sold (part)	06/26/09	J	B	
583. Monsanto					Sold (part)	07/23/09	J	B	
584. Monsanto					Sold (part)	08/07/09	J	A	
585. Monsanto					Sold	08/24/09	J	A	
586. Nvidia		None			Sold (part)	03/25/09	J		
587. Nvidia					Sold	05/01/09	J	A	
588. Garmin Ltd.	A	Dividend	J	T	Buy	11/13/09	J		
589. Garmin Ltd.					Buy	12/02/09	J		
590. Huntsman Corp.	A	Dividend	J	T	Buy	12/07/09	J		
591. Valero Energy Corp.	A	Dividend	K	T	Buy	07/28/09	J		
592. Valero Energy Corp.					Buy	08/06/09	J		
593. Valero Energy Corp.					Buy	08/25/09	J		
594. Valero Energy Corp.					Buy	11/25/09	J		
595. United Technologies	A	Dividend			Buy	09/14/09	J		

1. Income Tax Codes (See Columns B1 and D3)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	H = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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Name of Person Reporting
ROSS, ALLYNE R.

Date of Report
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
596. United Technologies					Buy	10/02/09	J		
597. United Technologies					Sold	11/18/09	J	A	
598. Allstate Corp.	A	Dividend			Buy	02/10/09	J		
599. Allstate Corp.					Sold (part)	05/04/09	J		
600. Allstate Corp.					Sold (part)	05/22/09	J		
601. Allstate Corp.					Sold (part)	11/12/09	J		
602. Allstate Corp.					Sold	12/11/09	J	A	
603. Autoliv Inc.	A	Dividend			Buy	03/03/09	J		
604. Autoliv Inc.					Sold (part)	05/01/09	J		
605. Autoliv Inc.					Sold	07/20/09	J	B	
606. Caterpillar Inc.	A	Dividend	J	T	Sold (part)	03/03/09	J		
607. Caterpillar Inc.					Buy	05/27/09	J		
608. Caterpillar Inc.					Buy	07/16/09	J		
609. Caterpillar Inc.					Sold (part)	10/23/09	J	B	
610. Caterpillar Inc.					Sold (part)	11/13/09	J	A	
611. Covidien Ltd.		None	J	T	Buy	12/03/09	J		
612. Deutsche Bank	A	Dividend	J	T	Buy	03/05/09	J		

1. Income/Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting
ROSS, ALLYNE R.

Date of Report
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. Deutsche Bank					Sold (part)	04/08/09	J		
614. Deutsche Bank					Buy	05/15/09	J		
615. Netap Inc.		None			Buy	04/23/09	J		
616. Netap Inc.					Sold	05/28/09	J	A	
617. Nucor Corp.	A	Dividend			Buy	05/01/09	J		
618. Nucor Corp.					Sold	09/11/09	J	A	
619. Ingersoll-Rand	A	Dividend	K	T	Buy	06/24/09	J		
620. Ingersoll-Rand					Buy	06/10/09	J		
621. Ingersoll Rand					Buy	07/21/09	J		
622. Ingersoll-Rand					Buy	10/23/09	J		
623. Ingersoll-Rand					Buy	11/25/09	J		
624. Nexen Inc.	A	Dividend	J	T	Buy	06/02/09	J		
625. Nexen Inc.					Buy	08/27/09	J		
626. Macy's Inc.	A	Dividend	J	T	Sold (part)	05/14/09	J		
627. Macy's Inc.					Buy	06/23/09	J		
628. Masco Corp.	A	Dividend	J	T	Buy	06/03/09	J		
629. NVR Inc.		None	J	T	Buy	07/06/09	J		

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
630. NVR Inc.					Buy	08/24/09	J		
631. NVR Inc.					Buy	08/25/09	J		
632. Office Depot		None	J	T	Buy	10/02/09	J		
633. Office Depot					Buy	11/25/09	J		
634. Pepco Inc.	A	Dividend	J	T	Buy	11/25/09	J		
635. Pulte Homes		None	J	T	Buy	09/18/09	J		
636. Morgan Stanley	A	Dividend	J	T	Sold (part)	02/09/09	J		
637. Morgan Stanley					Sold (part)	03/24/09	J		
638. Morgan Stanley					Sold (part)	04/13/09	J		
639. Morgan Stanley					Sold (part)	06/23/09	J		
640. Morgan Stanley					Buy	10/20/09	J		
641. Morgan Stanley					Buy	11/25/09	J		
642. RRI Energy		None	J	T	Buy	06/24/09	J		
643. Smithfield Foods		None	J	T	Buy	06/29/09	J		
644. SPX Corp.	A	Dividend	J	T	Buy	06/24/09	J		
645. SPX Corp.					Buy	11/25/09	J		
646. Steel Dynamics		None	J	T	Buy	11/25/09	J		

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
647. Royal Dutch Shell	A	Dividend			Sold (part)	04/29/09	J		
648. Royal Dutch Shell					Sold	07/13/09	J		
649. Symantec		None	K	T	Buy	02/24/09	J		
650. Symantec					Buy	03/13/09	J		
651. Symantec					Buy	03/19/09	J		
652. Symantec					Buy	11/27/09	J		
653. Symantec					Buy	12/01/09	J		
654. Unum Group	A	Dividend	J	T	Buy	04/30/09	J		
655. Paccar Inc.		None			Buy	04/22/09	J		
656. Paccar Inc.					Sold	05/08/09	J	A	
657. Petroleo Brasileiro	A	Dividend			Buy	05/29/09	J		
658. Petroleo Brasileiro					Sold	11/25/09	J	A	
659. Bristol Myers Squibb	A	Dividend			Sold	07/22/09	J	A	
660. Tyco Electronics	A	Dividend	K	T	Buy	04/30/09	J		
661. Tyco Electronics					Buy	06/25/09	J		
662. Tyco Electronics					Buy	08/25/09	J		
663. Tyco Electronics					Buy	11/17/09	J		

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 I = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

08/02/2010

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
664. Tyco Electronics					Buy	11/25/09	J		
665. Vodafone	A	Dividend	J	T	Buy	05/04/09	J		
666. Abbott Laboratories	A	Dividend			Sold (part)	04/08/09	J		
667. Abbott Laboratories					Sold (part)	04/16/09	J		
668. Abbott Laboratories					Sold (part)	04/28/09	J		
669. Abbott Laboratories					Sold	05/20/09	J	A	
670. Air Products & Chemicals	A	Dividend	K	T	Buy	10/19/09	J		
671. Air Products & Chemicals					Buy	10/20/09	J		
672. Air Products & Chemicals					Buy	11/10/09	J		
673. Air Products & Chemicals					Buy	11/25/09	J		
674. Cameron International		None	J	T	Buy	03/31/09	J		
675. Celgene		None	J	T	Sold (part)	01/07/09	J		
676. Celgene					Sold (part)	08/05/09	J		
677. Celgene					Sold (part)	10/28/09	J		
678. Colgate-Palmolive	A	Dividend			Buy	01/09/09	J		
679. Colgate-Palmolive					Sold (part)	04/08/09	J		
680. Colgate-Palmolive					Sold (part)	04/16/09	J		

1. Income Gain Codes: (See Columns D1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting
ROSS, ALLYNE R.

Date of Report
08/02/2010

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
681. Colgate-Palmolive					Sold (part)	05/05/09	J		
682. Colgate-Palmolive					Sold	11/09/09	J	A	
683. Deere	A	Dividend			Sold	03/04/09	J		
684. EOG Res.	A	Dividend			Buy	01/09/09	J		
685. EOG Res.					Buy	02/04/09	J		
686. EOG Res.					Buy	03/31/09	J		
687. EOG Res.					Sold (part)	05/01/09	J		
688. EOG Res.					Sold (part)	06/25/09	J		
689. EOG Res.					Sold (part)	07/09/09	J	A	
690. EOG Res.					Sold (part)	07/13/09	J		
691. EOG Res.					Sold (part)	08/04/09	J	A	
692. EOG Res.					Sold (part)	08/20/09	J	A	
693. EOG Res.					Sold (part)	10/29/09	J	A	
694. EOG Res.					Sold	11/16/09	J	A	
695. US Bancorp	A	Dividend	J	T	Buy	05/19/09	J		
696. US Bancorp					Buy	05/21/09	J		
697. US Bancorp					Buy	09/18/09	J		

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

08/02/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698. US Bancorp					Sold (part)	11/13/09	J	A	
699. Wells Fargo	A	Dividend	K	T	Buy	05/08/09	J		
700. Wells Fargo					Buy	12/14/09	J		
701. Wells Fargo					Buy	12/17/09	J		
702. Honeywell International	A	Dividend			Buy	07/28/09	J		
703. Honeywell International					Sold	05/01/09	J	A	
704. Jacobs Engineering		None			Buy	01/09/09	J		
705. Jacobs Engineering					Buy	03/03/09	J		
706. Jacobs Engineering					Sold (part)	05/08/09	J		
707. Jacobs Engineering					Sold	07/01/09	J	A	
708. Western Digital		None	J	T	Sold (part)	02/28/09	J		
709. Western Digital					Sold (part)	11/20/09	J	B	
710. Baxter International	A	Dividend	J	T	Sold (part)	01/15/09	J		
711. Baxter International					Buy	03/31/09	J		
712. Baxter International					Sold (part)	06/17/09	J		
713. Baxter International					Sold (part)	09/09/09	J		
714. Baxter International					Buy	12/22/09	J		

1. Income/Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less B = \$50,001 - \$100,000 C = \$100,001 - \$150,000 D = \$150,001 - \$250,000 E = \$250,001 - \$500,000 F = \$500,001 - \$1,000,000 G = \$1,000,001 - \$5,000,000 H = \$5,000,001 - \$10,000,000 I = \$10,000,001 - \$25,000,000 J = \$25,000,001 - \$50,000,000 K = \$50,000,001 - \$100,000,000 L = \$100,000,001 - \$250,000,000 M = \$250,000,001 - \$500,000,000 N = \$500,000,001 - \$1,000,000,000 O = \$1,000,000,001 - \$5,000,000,000 P = \$5,000,000,001 - \$10,000,000,000 Q = More than \$10,000,000,000	B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000 F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H = \$1,000,001 - \$5,000,000 I = \$5,000,001 - \$10,000,000 J = \$10,000,001 - \$25,000,000 K = \$25,000,001 - \$50,000,000 L = \$50,000,001 - \$100,000,000 M = \$100,000,001 - \$250,000,000 N = \$250,000,001 - \$500,000,000 O = \$500,000,001 - \$1,000,000,000 P = \$1,000,000,001 - \$5,000,000,000 Q = \$5,000,000,001 - \$10,000,000,000 R = More than \$10,000,000,000	C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000 F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H = \$1,000,001 - \$5,000,000 I = \$5,000,001 - \$10,000,000 J = \$10,000,001 - \$25,000,000 K = \$25,000,001 - \$50,000,000 L = \$50,000,001 - \$100,000,000 M = \$100,000,001 - \$250,000,000 N = \$250,000,001 - \$500,000,000 O = \$500,000,001 - \$1,000,000,000 P = \$1,000,000,001 - \$5,000,000,000 Q = \$5,000,000,001 - \$10,000,000,000 R = More than \$10,000,000,000	D = \$5,001 - \$15,000 E = \$15,001 - \$50,000 F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H = \$1,000,001 - \$5,000,000 I = \$5,000,001 - \$10,000,000 J = \$10,000,001 - \$25,000,000 K = \$25,000,001 - \$50,000,000 L = \$50,000,001 - \$100,000,000 M = \$100,000,001 - \$250,000,000 N = \$250,000,001 - \$500,000,000 O = \$500,000,001 - \$1,000,000,000 P = \$1,000,000,001 - \$5,000,000,000 Q = \$5,000,000,001 - \$10,000,000,000 R = More than \$10,000,000,000	E = \$15,001 - \$50,000 F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H = \$1,000,001 - \$5,000,000 I = \$5,000,001 - \$10,000,000 J = \$10,000,001 - \$25,000,000 K = \$25,000,001 - \$50,000,000 L = \$50,000,001 - \$100,000,000 M = \$100,000,001 - \$250,000,000 N = \$250,000,001 - \$500,000,000 O = \$500,000,001 - \$1,000,000,000 P = \$1,000,000,001 - \$5,000,000,000 Q = \$5,000,000,001 - \$10,000,000,000 R = More than \$10,000,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P = \$1,000,001 - \$5,000,000 Q = \$5,000,001 - \$10,000,000 R = More than \$10,000,000	K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P = \$1,000,001 - \$5,000,000 Q = \$5,000,001 - \$10,000,000 R = More than \$10,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P = \$1,000,001 - \$5,000,000 Q = \$5,000,001 - \$10,000,000 R = More than \$10,000,000	M = \$100,001 - \$250,000 N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P = \$1,000,001 - \$5,000,000 Q = \$5,000,001 - \$10,000,000 R = More than \$10,000,000	N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P = \$1,000,001 - \$5,000,000 Q = \$5,000,001 - \$10,000,000 R = More than \$10,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting
ROSS, ALLYNE R.

Date of Report
08/02/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
715. Baxter International					Buy	12/28/09	J		
716. Textron	A	Dividend	J	T	Sold (part)	02/05/09	J		
717. Textron					Buy	07/27/09	J		
718. Motorola		None	J	T	Sold (part)	08/04/09	J		
719. Toyota		None			Buy	05/01/09	J		
720. Toyota					Sold	09/22/09	J	A	
721. Devon Energy	A	Dividend	K	T	Buy	02/03/09	J		
722. Devon Energy					Buy	02/17/09	J		
723. Devon Energy					Buy	03/13/09	J		
724. Devon Energy					Buy	03/27/09	J		
725. Devon Energy					Sold (part)	05/29/09	J		
726. Devon Energy					Buy	07/16/09	J		
727. Devon Energy					Buy	11/18/09	J		
728. Devon Energy					Buy	11/23/09	J		
729. Devon Energy					Buy	11/25/09	J		
730. Schering-Plough	A	Dividend			Buy	03/23/09	J		
731. Schering-Plough					Sold	11/14/09	J	A	

1. Income Gain Codes (See Columns H1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$30,000 Q = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT
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Name of Person Reporting
ROSS, ALLYNE R.

Date of Report
08/02/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
732. Freeport McMoran Copper	A	Dividend	J	T	Buy	05/01/09	J		
733. Freeport McMoran Copper					Buy	05/05/09	J		
734. Freeport McMoran Copper					Buy	07/10/09	J		
735. Freeport McMoran Copper					Buy	08/05/09	J		
736. Freeport McMoran Copper					Sold (part)	10/26/09	J	B	
737. Fidelity National		None			Sold	11/24/09	J		
738. Prudential Financial		None			Buy	03/03/09	J		
739. Prudential Financial					Sold (part)	05/26/09	J	C	
740. Prudential Financial					Sold	06/19/09	J	B	
741. Regions Financial Corp.	A	Dividend			Buy	05/20/09	J		
742. Regions Financial Corp.					Sold	12/18/09	J	A	
743. Becton Dickinson	A	Dividend			Sold (part)	01/15/09	J		
744. Becton Dickinson					Buy	03/31/09	J		
745. Becton Dickinson					Sold (part)	05/01/09	J		
746. Becton Dickinson					Sold	05/05/09	J		
747. Union Pacific	A	Dividend			Buy	05/01/09	J		
748. Union Pacific					Buy	08/12/09	J		

1. Income Gain Codes
(See Columns D1 and D4)
2. Value Codes
(See Columns C1 and C3)
3. Value Method Codes
(See Column C2)
- A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value
- B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other
- C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated
- D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market
- E = \$15,001 - \$50,000

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

08/02/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children: see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749. Union Pacific					Sold (part)	11/04/09	J	A	
750. Union Pacific					Sold	12/03/09	J	A	
751. Wyeth	A	Dividend			Sold	04/09/09	J	A	
752. Dominion Resources		None			Sold	01/07/09	J	A	
753. Eastman Chemical	A	Dividend			Sold	11/02/09	J	B	See Part VIII
754. Nike	A	Dividend			Sold	08/27/09	J		
755. General Mills	A	Dividend	J	T	Buy	04/11/09	J		
756. General Mills					Sold (part)	05/06/09	J		
757. Walt Disney	A	Dividend	J	T	Buy	01/21/09	J		
758. Walt Disney					Buy	02/23/09	J		
759. Walt Disney					Sold (part)	05/19/09	J	A	
760. Walt Disney					Sold (part)	07/17/09	J	A	
761. Walt Disney					Sold (part)	08/03/09	J	A	
762. Molson Coors	A	Dividend			Sold	05/05/09	J		
763. News Corp.	A	Dividend	K	T	Buy	02/05/09	J		
764. News Corp.					Buy	01/12/09	J		
765. News Corp.					Buy	04/20/09	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

K = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Page 49 of 53

Name of Person Reporting
ROSS, ALLYNE R.

Date of Report
08/02/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption) *	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
766. News Corp.					Buy	06/30/09	J		
767. News Corp.					Sold (part)	04/21/09	J	A	
768. Charles Schwab Bank	A	Interest			Closed	11/25/09	J		
769. Anheuser Busch	A	Dividend	J	T	Buy	11/11/09	J		
770. Auto Data Processing	A	Dividend			Sold	11/24/09	J	A	
771. Avon	A	Dividend			Sold	11/24/09	J	A	
772. Broadridge Financial Solutions	A	Dividend	J	T	Sold	11/24/09	J	A	
773. Cintas	A	Dividend			Sold	11/24/09	J		
774. Federated Investors	A	Dividend			Sold	11/24/09	J		
775. CME Group	A	Dividend	J	T	Sold (part)	01/07/09	J		
776. CME Group					Sold (part)	02/06/09	J		
777. CME Group					Sold (part)	02/06/09	J		
778. D R Horton Inc.	A	Dividend	J	T	Sold	01/07/09	J		
779. D R Horton Inc.					Buy	06/15/09	J		
780. Fifth Third Bancorp	A	Dividend			Sold	01/22/09	J		
781. Intl. Game Technology	A	Dividend			Sold	11/24/09	J		
782. Johnson & Johnson	A	Dividend			Sold	11/24/09	K		

1. Income Gain Codes (See Columns H1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 I = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting
ROSS, ALLYNE R.

Date of Report
08/02/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
783. Medtronic	A	Dividend			Sold	11/24/09	K		
784. Strayer Education	A	Dividend			Sold	11/24/09	K	D	
785. Sysco	A	Dividend			Sold	11/24/09	J		
786. United Parcel Service	A	Dividend			Sold	11/24/09	J		
787. JC Penny	A	Dividend	J	T	Sold (part)	03/19/09	J		
788. JC Penny					Sold (part)	04/13/09	J	A	
789. JC Penny					Buy	11/25/09	J		
790. Wal-Mart Stores	A	Dividend	J	T	Buy	01/20/09	J		
791. Wal-Mart Stores					Sold (part)	04/24/09	J		
792. Wal-Mart Stores					Sold (part)	05/04/09	J		
793. Wal-Mart Stores					Sold (part)	11/24/09	K	C	
794. Whirlpool	A	Dividend			Buy	07/08/09	J		
795. Whirlpool					Sold	12/10/09	J	C	
796. Crosstex Energy LP		None			Sold	11/24/09	J		
797. iShares Canada Index Fund	A	Dividend			Sold	11/24/09	J	A	
798. iShares EAFE Index Fund	A	Dividend			Sold	11/24/09	K		
799. Vanguard Emerging Markets Fund	A	Dividend			Sold	11/24/09	J	B	

1. Income Gain Codes
(See Columns B1 and D4)
A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
2. Value Codes
(See Columns C1 and D3)
B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other
3. Value Method Codes
(See Column C2)
Q = Appraisal
U = Book Value
S = Assessment
W = Estimated
T = Cash Market
- D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

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ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-II)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-II)	(5) Identity of buyer/seller (if private transaction)
800. Vanguard Small Cap Value Fund	A	Dividend			Sold	11/24/09	J		
801. Vanguard Utilities Fund	A	Dividend			Sold	11/24/09	J		
802. Alliance Bernstein Global Diversified Strategies LP		None	N	U					
803. Bernstein Global Opportunities Hedge Fund		None	M	U					

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	D = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII, line 38: This holding was acquired May 12, 2008, value code K. It was inadvertently omitted from last year's report.

Part VII, line 39: This holding was acquired July 24, 2008, value code J. It was inadvertently omitted from last year's report.

Part VII, line 48: This holding was acquired on September 19 and September 22, 2008, in each case value code J. It was inadvertently omitted from last year's report.

Part VII, line 94: This trust was terminated, and the assets were distributed to the beneficiary, on October 8, 2009.

Part VII, line 120: This holding was acquired November 10, 2008, value code J. It was inadvertently omitted from last year's report.

Part VII, line 126: This holding was acquired September 29, 2006, value code N. It was not previously reported due to inadvertence.

Part VII, line 753: Reported last year as Eastern Chemical due to a typographical error.

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Name of Person Reporting

ROSS, ALLYNE R.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544