

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Tallman, Richard C	2. Court or Organization U.S. Court of Appeals 9th Cir.	3. Date of Report 04/06/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active U.S. Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address 1200 Sixth Avenue Park Place Bldg. 21st Fl. Seattle, WA 98101-3123	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Executive Board Member	Chief Seattle Council, Boy Scouts of America
2. Member	Northwestern University School of Law Advisory Board
3. Board of Directors	Federal Judges Association (ended June 2006)
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	City of Seattle Police Department
2. 2006	Washington State Department of Retirement Systems
3. 2006	Prudential Insurance Company
4.	
5.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Northwestern University School of Law	Jan. 26-29, 2006, Chicago, IL Law Review Centennial (Transportation, Lodging, Meals)
2. Catholic University	April 1, 2006 Washington, D.C. Sutherland Cup Final Moot Court (Transportation, Lodging, Meals)
3. Federal Judges Association	May 7-9, 2006 Washington, DC Annual Board of Dir. Mtg. (Transportation, Lodging, Meals)
4. University of Idaho College of Law	Nov. 3-5, 2006 Moscow, ID Moot Court Competition (Transportation, Lodging, Meals)

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Prudential Retirement Funds:		None	N	T					
2. - PIMCO Total Return Instl. Fund			M	T					
3. - Dodge & Cox Stock Fund			M	T					
4. - Hotchkis & Wiley Small- Cap Value Fund			L	T					
5. - Euro Pacific Growth Fund			K	T					
6. Union Bank of California. checking & savings accts	A	Interest	J	T					
7. Seattle City Credit Union, checking and savings accounts	A	Interest	J	T					
8. Washington Mutual Bank checking & savings accts	A	Interest	K	T					
9. Vanguard Group Funds:		None	O	T					
10. - Vanguard 500 Index			M	T					
11. - Vanguard Explorer			M	T					
12. - Vanguard Social Index			K	T					
13. - Vanguard GNMA			L	T					
14. - Vanguard High-Yield Corporate			K	T					
15. - Vanguard International Growth			L	T					
16. - Vanguard Total Bond Market Index			M	T					
17. Aetna Universal Life Ins (See Note, Part		None	J	V					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated		

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VIII)									
18. MetLife Flexible Premium Life Ins. (See Note, Part VIII)		None	J	V					
19. - Equity Inc.			J	V					
20. - Growth			J	V					
21. - Voyager			J	V					
22. Bank of America, checking & savings accounts (X)	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

- 1) Part VII, p. 5, line 17: Inadvertently omitted from prior reports. Value as reported by insurance company.
- 2) Part VII, p. 6, line 18: Inadvertently omitted from prior reports. Value as reported by insurance company.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

4/6/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544