

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                      |                                                                                                                                                                                                                                                                                           |                                                                 |
|------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Dorr, Richard E.                                                                | <b>2. Court or Organization</b><br><br>US District Court Western District of MO                                                                                                                                                                                                           | <b>3. Date of Report</b><br><br>5/11/2010                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>Active US District Court | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination, <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>1/01/2009<br>to<br>12/31/2009 |
| <b>7. Chambers or Office Address</b><br><br>222 John Q. Hammons Parkway<br>Suite 3100<br>Springfield, MO 65806                                       | <b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b><br><br>Reviewing Officer _____ Date _____                                                          |                                                                 |

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

| <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|-----------------|------------------------------------|
| 1. _____        | _____                              |
| 2. _____        | _____                              |
| 3. _____        | _____                              |
| 4. _____        | _____                              |
| 5. _____        | _____                              |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1. _____    | _____                    |
| 2. _____    | _____                    |
| 3. _____    | _____                    |

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 FINANCIAL  
 DISCLOSURE OFFICE

Dorr, Richard E.

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Name of Person Reporting

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Date of Report

5/11/2010

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

☒ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------|
| 1. _____    | _____                  | _____                                  |
| 2. _____    | _____                  | _____                                  |
| 3. _____    | _____                  | _____                                  |
| 4. _____    | _____                  | _____                                  |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.  
(Dollar amount not required except for honoraria.)*

☒ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1. _____    | _____                  |
| 2. _____    | _____                  |
| 3. _____    | _____                  |
| 4. _____    | _____                  |

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.  
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

☒ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1. _____      | _____        | _____           | _____          | _____                         |
| 2. _____      | _____        | _____           | _____          | _____                         |
| 3. _____      | _____        | _____           | _____          | _____                         |
| 4. _____      | _____        | _____           | _____          | _____                         |
| 5. _____      | _____        | _____           | _____          | _____                         |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Commerce Bank Account                                                                                                    | A                                       | Int./Div.                                     | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. Signature Bank CD (X)                                                                                                    | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. BROKERAGE ACCOUNT #1 Edward Jones                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 4. -O'Reilly Automotive (ORLY) Common Stock                                                                                 |                                         | None                                          | N                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. -SunAmerica Life Ins Polaris Variable Annuity                                                                            |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. -Hartford Life Director Variable Annuity                                                                                 |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. -Great Southern Bank (GSBC) Comm Stk                                                                                     | B                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 01/09/09                | J                               |                                |                                                                   |
| 8.                                                                                                                          |                                         |                                               |                                                 |                                           | Buy                                             | 07/14/09                | J                               |                                |                                                                   |
| 9.                                                                                                                          |                                         |                                               |                                                 |                                           | Buy                                             | 10/14/09                | J                               |                                |                                                                   |
| 10. -Guaranty Fed Bancshares Inc (GFED) Comm Stk                                                                            |                                         |                                               | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. USAA Investment Mgmt Co. IRA                                                                                            | D                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. -USAA GNMA Trust                                                                                                        | B                                       |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 13. -USAA High-Yield Opportunities Fund                                                                                     | B                                       |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 14. -USAA Income Fund                                                                                                       | A                                       |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 15. -USAA Income Stk Fund                                                                                                   | A                                       |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 16. -USAA S & P 500 Index Fund Member Shares                                                                                | A                                       |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 17. -USAA Short-Term Bond Fund                                                                                              | B                                       |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

### 1. Income Gain Codes:

(See Columns B1 and D4)

### 2. Value Codes

(See Columns C1 and D3)

### 3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. Springfield Trust Co. CONDUIT IRA                                                                                       | E                                       | Dividend                                      | O                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 19. -CASH EQUIVALENTS                                                                                                       |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 20. --Federated Prime Obligations                                                                                           |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 21. -FIXED INCOME FUNDS                                                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 22. --Fed Total Return Bond (formerly Sers BD<br>FD Instl SV)                                                               |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/07/09                | K                               |                                |                                                                   |
| 23.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 09/16/09                | J                               |                                |                                                                   |
| 24. --Pimco Foreign Bond-Unhedged (formerly<br>FDS FGN BD UNH ADM)                                                          |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/07/09                | J                               |                                |                                                                   |
| 25.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 09/16/09                | J                               |                                |                                                                   |
| 26. --Vanguard Inflation Protected (formerly<br>Securities FD)                                                              |                                         |                                               |                                                 |                                           | Buy                                             | 04/07/09                | K                               |                                |                                                                   |
| 27.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 09/16/09                | J                               |                                |                                                                   |
| 28. --VGD GNMA (formerly Fund #536)                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/07/09                | K                               | A                              |                                                                   |
| 29.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 09/16/09                | J                               |                                |                                                                   |
| 30. -EQUITY MUTUAL FUNDS                                                                                                    |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 31. --VGD Dev Mkt Index (formerly Star Fd<br>Dev Mkt Stk Index #227)                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 04/07/09                | K                               |                                |                                                                   |
| 32.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 09/16/09                | J                               |                                |                                                                   |
| 33. --Vanguard 500 Index (formerly VGD IND<br>500 - INST #94)                                                               |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/07/09                | J                               |                                |                                                                   |
| 34.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 09/16/09                | J                               |                                |                                                                   |

### 1. Income Gain Codes:

(See Columns B1 and D4)

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(See Columns C1 and D3)

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. --DFA US Micro Cap                                                                                                      |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/07/09                | J                               |                                |                                                                   |
| 36.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/16/09                | J                               |                                |                                                                   |
| 37. -- DFA US Sml Cap                                                                                                       |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/07/09                | J                               |                                |                                                                   |
| 38.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/16/09                | J                               |                                |                                                                   |
| 39. -- DFA US Sml Cap Val                                                                                                   |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/07/09                | J                               |                                |                                                                   |
| 40.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/16/09                | J                               |                                |                                                                   |
| 41. -- DFA Large Cap Val                                                                                                    |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/07/09                | J                               |                                |                                                                   |
| 42.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/16/09                | J                               |                                |                                                                   |
| 43. --DFA Intl Val (formerly PTF)                                                                                           |                                         |                                               |                                                 |                                           | Buy                                             | 04/07/09                | K                               |                                |                                                                   |
| 44.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/16/09                | J                               | A                              |                                                                   |
| 45. -- DFA Intl Smlcap Val                                                                                                  |                                         |                                               |                                                 |                                           | Buy                                             | 04/07/09                | J                               |                                |                                                                   |
| 46.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/16/09                | J                               |                                |                                                                   |
| 47. -- DFA Intl Sml CO (formerly PtfI)                                                                                      |                                         |                                               |                                                 |                                           | Buy                                             | 04/07/09                | J                               |                                |                                                                   |
| 48.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/16/09                | J                               |                                |                                                                   |
| 49. -- DFA EMERG MKTS VAL                                                                                                   |                                         |                                               |                                                 |                                           | Buy                                             | 04/07/09                | J                               |                                |                                                                   |
| 50.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/16/09                | J                               |                                |                                                                   |
| 51.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 12/14/09                | J                               |                                |                                                                   |

### 1. Income Gain Codes:

(See Columns B1 and D4)

### 2. Value Codes

(See Columns C1 and D3)

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O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

I12 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. --DFA EMERG MKTS                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/07/09                | J                               |                                |                                                                   |
| 53.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/16/09                | J                               |                                |                                                                   |
| 54.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 12/14/09                | J                               |                                |                                                                   |
| 55. --DFA Emerg Mkts Small Cap                                                                                              |                                         |                                               |                                                 |                                           | Buy                                             | 04/07/09                | J                               |                                |                                                                   |
| 56.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/16/09                | J                               |                                |                                                                   |
| 57. --Pimco Commodity Real Return (formerly<br>SDS PAC INVT MGT SR)                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/07/09                | J                               |                                |                                                                   |
| 58.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 09/16/09                | J                               |                                |                                                                   |
| 59.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 12/17/09                | J                               |                                |                                                                   |
| 60. -- Northern Global Real Estate (formerly<br>FDS Global R/E IX)                                                          |                                         |                                               |                                                 |                                           | Buy                                             | 04/07/09                | J                               |                                |                                                                   |
| 61.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 09/16/09                | J                               |                                |                                                                   |
| 62. -- Vanguard Growth Index (formerly FDS<br>GRW IDX Signal)                                                               |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/07/09                | J                               |                                |                                                                   |
| 63.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 09/16/09                | J                               |                                |                                                                   |
| 64. -- Federated High Yield Bond                                                                                            |                                         |                                               |                                                 |                                           | Buy                                             | 04/07/09                | J                               |                                |                                                                   |
| 65.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 09/16/09                | J                               |                                |                                                                   |
| 66. --Vanguard Short-Term Inv Grade                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 04/07/09                | K                               |                                |                                                                   |
| 67.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 09/16/09                | J                               |                                |                                                                   |
| 68. -- Vanguard Int-Term Inv Grade                                                                                          |                                         |                                               |                                                 |                                           | Buy                                             | 04/07/09                | K                               |                                |                                                                   |

### 1. Income Gain Codes:

(See Columns B1 and D4)

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(See Columns C1 and D3)

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V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Dorr, Richard E.

Date of Report

5/11/2010

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code I<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 09/16/09                | J                               |                                |                                                                   |
| 70. Ozark National Ordinary Life Ins. Policy                                                                                | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 71. Revocable Trust #1                                                                                                      | B                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 72. - Cash Equivalents                                                                                                      |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 73. -- Federated Prime Obligations                                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 74. - Fixed Income Funds                                                                                                    |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 75. -- Federated Total Return Bond (formerly<br>Sers BD FD INV SV)                                                          |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/09/09                | J                               |                                |                                                                   |
| 76.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/19/09                | J                               |                                |                                                                   |
| 77.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/09/09                | J                               |                                |                                                                   |
| 78.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/11/09                | J                               | A                              |                                                                   |
| 79.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 09/16/09                | J                               |                                |                                                                   |
| 80.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 10/02/09                | J                               |                                |                                                                   |
| 81. --Federated High Yield Bond                                                                                             |                                         |                                               |                                                 |                                           | Buy                                             | 04/08/09                | J                               |                                |                                                                   |
| 82.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/19/09                | J                               | A                              |                                                                   |
| 83.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/09/09                | J                               | A                              |                                                                   |
| 84.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 09/16/09                | J                               |                                |                                                                   |
| 85.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 10/02/09                | J                               |                                |                                                                   |

1. Income Gain Codes:  
(See Columns B1 and D4)

A = \$1,000 or less  
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000  
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000  
I12 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes  
(See Columns C1 and D3)

J = \$15,000 or less  
N = \$250,001 - \$500,000  
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$5,000,000

M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes  
(See Column C2)

Q = Appraisal  
U = Book Value

R = Cost (Real Estate Only)  
V = Other

S = Assessment  
W = Estimated

T = Cash Market

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Dorr, Richard E.

Date of Report

5/11/2010

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. Federated Ultra-Short Bond                                                                                              |                                         |                                               |                                                 |                                           | Buy                                             | 04/08/09                | J                               |                                |                                                                   |
| 87.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/19/09                | J                               | A                              |                                                                   |
| 88.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/09/09                | J                               | A                              |                                                                   |
| 89.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 09/16/09                | J                               |                                |                                                                   |
| 90. -- Pimco Foreign Bond-Unhedged (formerly<br>FDS FGN BD UNH AD)                                                          |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/09/09                | J                               |                                |                                                                   |
| 91.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/19/09                | J                               |                                |                                                                   |
| 92.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/09/09                | J                               |                                |                                                                   |
| 93.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/16/09                | J                               | A                              |                                                                   |
| 94.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 10/02/09                | J                               |                                |                                                                   |
| 95. -- Vanguard GNMA (formerly Fund #536<br>ADM SH)                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/09/09                | J                               | A                              |                                                                   |
| 96.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/19/09                | J                               | A                              |                                                                   |
| 97.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/09/09                | J                               | A                              |                                                                   |
| 98.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 09/16/09                | J                               |                                |                                                                   |
| 99.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 10/02/09                | J                               |                                |                                                                   |
| 100. -- Vanguard Inflation Protected (formerly<br>Securities FD)                                                            |                                         |                                               |                                                 |                                           | Buy                                             | 04/08/09                | J                               |                                |                                                                   |
| 101.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/19/09                | J                               | A                              |                                                                   |
| 102.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/09/09                | J                               | A                              |                                                                   |

### 1. Income Gain Codes:

(See Columns B1 and D4)

### 2. Value Codes

(See Columns C1 and D3)

### 3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Dorr, Richard E.

Date of Report

5/11/2010

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/11/09                | J                               | A                              |                                                                   |
| 104.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 09/16/09                | J                               |                                |                                                                   |
| 105.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/02/09                | J                               |                                |                                                                   |
| 106. Vanguard Sht-Term Inv Grade                                                                                            |                                         |                                               |                                                 |                                           | Buy                                             | 04/08/09                | J                               |                                |                                                                   |
| 107.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/19/09                | J                               | A                              |                                                                   |
| 108.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/09/09                | J                               | A                              |                                                                   |
| 109.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 09/16/09                | J                               |                                |                                                                   |
| 110.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/02/09                | J                               |                                |                                                                   |
| 111. Vanguard Int-Term Inv Grade                                                                                            |                                         |                                               |                                                 |                                           | Buy                                             | 04/08/09                | J                               |                                |                                                                   |
| 112.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/19/09                | J                               | A                              |                                                                   |
| 113.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/09/09                | J                               | A                              |                                                                   |
| 114.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/16/09                | J                               | A                              |                                                                   |
| 115.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/02/09                | J                               |                                |                                                                   |
| 116. -Equity Mutual Funds                                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 117. --DFA US Micro Cap                                                                                                     |                                         |                                               |                                                 |                                           | Buy                                             | 02/13/09                | J                               |                                |                                                                   |
| 118.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/09/09                | J                               |                                |                                                                   |
| 119.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/19/09                | J                               |                                |                                                                   |

|                                                  |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q = Appraisal<br>U = Book Value                                                                                                          | R = Cost (Real Estate Only)<br>V = Other                                                                       | S = Assessment<br>W = Estimated                                                                                                                      | T = Cash Market                                                                                                      |                         |
| 3. Value Method Codes<br>(See Column C2)         |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting  
Dorr, Richard E.

Date of Report  
5/11/2010

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/09/09                | J                               |                                |                                                                   |
| 121.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/16/09                | J                               | A                              |                                                                   |
| 122.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/02/09                | J                               |                                |                                                                   |
| 123. --DFA Intl Sml CO (formerly PTFL)                                                                                      |                                         |                                               |                                                 |                                           | Buy                                             | 02/13/09                | J                               |                                |                                                                   |
| 124.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 04/08/09                | J                               |                                |                                                                   |
| 125.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/19/09                | J                               |                                |                                                                   |
| 126.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/09/09                | J                               |                                |                                                                   |
| 127.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/16/09                | J                               | A                              |                                                                   |
| 128.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/02/09                | J                               |                                |                                                                   |
| 129. --DFA Intl SM CAP Val                                                                                                  |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 01/02/09                | J                               |                                |                                                                   |
| 130.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 04/08/09                | J                               |                                |                                                                   |
| 131.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/19/09                | J                               |                                |                                                                   |
| 132.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/09/09                | J                               |                                |                                                                   |
| 133.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/16/09                | J                               | A                              |                                                                   |
| 134.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/02/09                | J                               |                                |                                                                   |
| 135. --DFA US SM CAP VAL                                                                                                    |                                         |                                               |                                                 |                                           | Buy                                             | 02/13/09                | J                               |                                |                                                                   |
| 136.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/09/09                | J                               |                                |                                                                   |

1. Income Gain Codes:  
(See Columns B1 and D4)

A = \$1,000 or less  
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000  
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000  
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes  
(See Columns C1 and D3)

J = \$15,000 or less  
N = \$250,001 - \$500,000  
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000

M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes  
(See Column C2)

Q = Appraisal  
U = Book Value

R = Cost (Real Estate Only)  
V = Other

S = Assessment  
W = Estimated

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# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Dorr, Richard E.

Date of Report

5/11/2010

## VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Val u<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/19/09                | J                               |                                |                                                                   |
| 138.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/09/09                | J                               |                                |                                                                   |
| 139.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/16/09                | J                               | A                              |                                                                   |
| 140.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/02/09                | J                               |                                |                                                                   |
| 141. --DFA LARGE CAP VAL                                                                                                    |                                         |                                               |                                                 |                                           | Buy                                             | 02/13/09                | J                               |                                |                                                                   |
| 142.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/09/09                | J                               |                                |                                                                   |
| 143.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/19/09                | J                               | A                              |                                                                   |
| 144.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/09/09                | J                               | A                              |                                                                   |
| 145.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/11/09                | J                               | A                              |                                                                   |
| 146.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/16/09                | J                               | A                              |                                                                   |
| 147.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/02/09                | J                               |                                |                                                                   |
| 148. --DFA US SML CAP                                                                                                       |                                         |                                               |                                                 |                                           | Buy                                             | 02/13/09                | J                               |                                |                                                                   |
| 149.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/09/09                | J                               |                                |                                                                   |
| 150.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/19/09                | J                               | A                              |                                                                   |
| 151.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/09/09                | J                               | A                              |                                                                   |
| 152.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/16/09                | J                               | A                              |                                                                   |
| 153.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/02/09                | J                               |                                |                                                                   |

### 1. Income Gain Codes:

(See Columns B1 and D4)

### 2. Value Codes

(See Columns C1 and D3)

### 3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

O = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Dorr, Richard E.

Date of Report

5/11/2010

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154. --DFA INTL VALUE (formerly PTF)                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 02/13/09                | J                               |                                |                                                                   |
| 155.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 04/08/09                | J                               |                                |                                                                   |
| 156.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/19/09                | J                               |                                |                                                                   |
| 157.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/09/09                | J                               |                                |                                                                   |
| 158.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/11/09                | J                               | A                              |                                                                   |
| 159.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 09/16/09                | J                               |                                |                                                                   |
| 160.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/02/09                | J                               |                                |                                                                   |
| 161. DFA Emerging Mkt Value                                                                                                 |                                         |                                               |                                                 |                                           | Buy                                             | 04/08/09                | J                               |                                |                                                                   |
| 162.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/19/09                | J                               | A                              |                                                                   |
| 163.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/20/09                | J                               | A                              |                                                                   |
| 164.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/16/09                | J                               | A                              |                                                                   |
| 165.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/02/09                | J                               |                                |                                                                   |
| 166.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 12/14/09                | J                               |                                |                                                                   |
| 167. DFA Emerging Mkts                                                                                                      |                                         |                                               |                                                 |                                           | Buy                                             | 04/08/09                | J                               |                                |                                                                   |
| 168.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/19/09                | J                               | A                              |                                                                   |
| 169.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/09/09                | J                               | A                              |                                                                   |
| 170.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/16/09                | J                               | A                              |                                                                   |

1. Income Gain Codes:  
(See Columns B1 and D4)

A = \$1,000 or less  
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000  
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes  
(See Columns C1 and D3)

J = \$15,000 or less  
N = \$250,001 - \$500,000  
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000

H2 = More than \$5,000,000  
M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes  
(See Column C2)

Q = Appraisal  
U = Book Value

R = Cost (Real Estate Only)  
V = Other

S = Assessment  
W = Estimated

T = Cash Market

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Dorr, Richard E.

Date of Report

5/11/2010

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 12/14/09                | J                               |                                |                                                                   |
| 172. --VGD Developed Mkt Index (formerly Star<br>FD DEV MKT #227)                                                           |                                         |                                               |                                                 |                                           | Buy                                             | 02/13/09                | J                               |                                |                                                                   |
| 173.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 04/08/09                | J                               |                                |                                                                   |
| 174.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/19/09                | J                               |                                |                                                                   |
| 175.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/09/09                | J                               | A                              |                                                                   |
| 176.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/11/09                | J                               | A                              |                                                                   |
| 177.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 09/16/09                | J                               |                                |                                                                   |
| 178.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/02/09                | J                               |                                |                                                                   |
| 179. -- VGD 500 Index (formerly IND 500-INST<br>#94)                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 02/13/09                | J                               |                                |                                                                   |
| 180.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/09/09                | J                               |                                |                                                                   |
| 181.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/19/09                | J                               | A                              |                                                                   |
| 182.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/16/09                | J                               | A                              |                                                                   |
| 183.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/02/09                | J                               |                                |                                                                   |
| 184. -- Pimco Commodity Real Return (formerly<br>FD PC INV MGT COM)                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/09/09                | J                               |                                |                                                                   |
| 185.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/19/09                | J                               |                                |                                                                   |
| 186.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/09/09                | J                               |                                |                                                                   |
| 187.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/16/09                | J                               |                                |                                                                   |

1. Income Gain Codes:  
(See Columns B1 and D4)

2. Value Codes  
(See Columns C1 and D3)

3. Value Method Codes  
(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

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# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Dorr, Richard E.

Date of Report

5/11/2010

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 188.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/02/09                | J                               |                                |                                                                   |
| 189.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 12/14/09                | J                               |                                |                                                                   |
| 190. -- Northern Global Real Estate (formerly<br>FDS Global Re/IDX)                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 02/13/09                | J                               |                                |                                                                   |
| 191.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 04/08/09                | J                               |                                |                                                                   |
| 192.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/19/09                | J                               |                                |                                                                   |
| 193.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/09/09                | J                               | A                              |                                                                   |
| 194.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/11/09                | J                               | A                              |                                                                   |
| 195.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/02/09                | J                               |                                |                                                                   |
| 196. -- Vanguard Growth Index (formerly FDS<br>GRW IDX Signal)                                                              |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/09/09                | J                               |                                |                                                                   |
| 197.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/19/09                | J                               | A                              |                                                                   |
| 198.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/09/09                | J                               | A                              |                                                                   |
| 199.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/16/09                | J                               | A                              |                                                                   |
| 200.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/02/09                | J                               |                                |                                                                   |

### 1. Income Gain Codes:

(See Columns B1 and D4)

### 2. Value Codes

(See Columns C1 and D3)

### 3. Value Method Codes

(See Column C2)

A = \$1,000 or less

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S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

**FINANCIAL DISCLOSURE REPORT**  
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Name of Person Reporting

Dorr, Richard E.

Date of Report

5/11/2010

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

None.

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Dorr, Richard E.

Date of Report

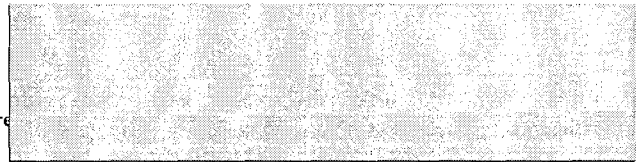
5/11/2010

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544