

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DYK, TIMOTHY B.	2. Court or Organization FEDERAL CIRCUIT	3. Date of Report 05/13/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address 717 MADISON PLACE, N.W. WASHINGTON, D.C. 20439	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. JUDICIAL COUNSELOR	GILES RICH INN OF COURT
2.	
3.	
4.	
5.	

RECEIVED
2009 MAY 18 A.D. 52
FEDERAL CIRCUIT

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2008	UNIVERSITY OF NEBRASKA - BOARD OF REGENTS (ROYALTY)	\$384.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	UNIVERSITY OF MICHIGAN
2. 2008	GERMAN MARSHALL FUND
3. 2008	CENTER FOR AMERICA PROGRESS ACTION FUND
4. 2008	THE BROOKINGS INSTITUTION
5. 2008	GEORGE MASON UNIVERSITY
6.	
7.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. MID ATLANTIC CHAPTER OF THE REUBEN CLARK L AW SOCIETY	03/05/2008	WASHINGTON DC	AWARD LUNCHEON	MEAL
2. JOINT PATENT PRACTICE CLE INC JPPCLE / CO-SPO NSOR NYIPLA	04/30/2008	NEW YORK , NY	KEYNOTE SPEAKER	TRANSPORTATION, MEAL
3. GEORGETOWN UNIVERS	10/07/2008	WASHINGTON, DC	MOOT COURT TOUR	MEAL

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ITY OF LAW			NAMENT	
4. NEW YORK INTELLECUAL PROPERTY LAW ASSOC IATION (NYIPLA)	03/28/2008	NEW YORK, NY	86TH ANNUAL DINNER	TRANSPORTATION, LODGING, ME ALS
5. FEDERAL CIRCUIT BAR A SSOCIATION (FCBA)	11/21/2008	WASHINGTON, DC	24TH ANNUAL DINNER	MEAL
6. DC CIRCUIT HISTORICAL SOCIETY	10/14/2008	WASHINGTON, DC	PANEL / DISCUSSION	MEAL
7. AMERICAN UNIVERSITY WASHINGTON COLLEGE OF LAW	10/25/2008	WASHINGTON, DC	MOOT COURT BANQ UET	MEAL
8. AUSTIN INTELLECTUAL PR OPERTY LAW ASSOCIATIO N (AUSTIN AIPLA)	11/07/2008 - 11/08/20 08	AUSTIN, TX	KEYNOTE SPEAKER	TRANSPORTATION, LODGING, ME ALS
9. GEORGE MASON UNIVE RSITY SCHOOL OF LAW A ND THE UNIVERSITY OF TEXAS SCHOOL OF LAW	11/14/2008	ALEXANDRIA, VA	USPTO PANEL / DISC USSION	MEAL
10AMERICAN INTELLECT UAL PROPERTY LAW A SSOCIATIONN (AIPLA)	10/24/2008	WASHINGTON, DC	ANNUAL MEETING	MEAL
11 ASSOCIATION OF FORMER LAW CLERKS OF CAFC	10/23/2008	WASHINGTON, DC	ANNUAL DINNER	MEAL
12.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. LOT - TRURO, MA (PARCEL 1) (\$331,600)		None	N	S					
2. CARLYLE FUND		None	M	T					
3. CARLYLE FUND		None			Buy (add'l)	01/28	K		
4. CARLYLE FUND		None			Buy (add'l)	03/19	K		
5. CARLYLE FUND		None			Buy (add'l)	07/11	K		
6. CARLYLE FUND		None			Buy (add'l)	10/07	K		
7. CITIBANK BK DPT	A	Interest	J	T					
8. CHICAGO. ILL G/O ST UNIV REV	B	Interest			Redeemed	12/01	K		
9. FAIRFAX CNTY VA G/O	A	Interest			Redeemed	06/02	K		
10. FARMINGTON MICH CMNTY LIB	A	Interest			Redeemed	05/01	K		
11. JEFFERSON CNTY ALA BRD ED SCH	A	Interest			Redeemed	02/15	K		
12. SAGIMAW CNTY MICH COMP	A	Interest			Redeemed	06/02	K		
13. HIGH POINT NC G/O	A	Interest	K	T					
14. UTAH HSG CORP SINGLE FAM	B	Interest	K	T					
15. ORLANDO FLA CAP	A	Interest	K	T					
16. PHOENIX AZ G/O	A	Interest	K	T					
17. WACHOVIA SEC MM BANK DEPOSIT SWEET	A	Interest			Transferred (to line 18)	02/11			

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	O = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. VANGUARD MM	A	Interest	L	T	Transferred (from line 17)	02/11			
19. WACHOVIA - 6000 (MONEY MARKET) BANK DEPOSIT SERVICES	A	Interest			Transferred (to line 18)	03/19			
20. U.S. TREASURY BILL 1/15/09	A	Interest	O	T	Buy	10/15	O		
21. BANK OF AMERICA (COMMON STOCK)	C	Dividend	K	T					
22. NUVEEN MUNICIPAL VALUE FUND	D	Int./Div.	M	T	Sold (part)	10/22	M		
23. VANGUARD INTER-TERM TAX EX FUND INV	E	Dividend			Sold	10/15	O		
24. VANGUARD MONEY MARKET FUND T/E	D	Dividend	O	T					
25. VANGUARD LTD - TERM TAX EX INV	E	Dividend			Sold	10/09	O		
26. ATLAS AIR WORLDWIDE (COMMON STOCK)		None	J	T	Buy	01/18	J		
27. BRIGHT PT INC (COMMON STOCK)		None	J	T	Buy	01/18	J		
28. HARRIS INTERACTIVE INC (COMMON STOCK)		None	J	T	Buy	01/18	J		
29. OSI SYSTEMS INC (COMMON STOCK)		None	J	T	Buy	01/18	J		
30. POWERWAVE TECH INC (COMMON STOCK)		None	J	T	Buy	01/18	J		
31. SIRONA DENTAL SYSTEMS INC (COM MON STOCK)		None	J	T	Buy	01/18	J		
32. TETRATECH INC (COMMON STOCK)		None	J	T	Buy	01/18	J		
33. UNITED NATURAL FOODS (COMMON STOCK)		None	J	T	Buy	01/18	J		
34. VALERO ENERGY CORP (COMMON STOCK)	A	Dividend	K	T	Buy	05/09	K		

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35. VALERO ENERGY CORP (COMMON STOCK)		None			Buy (add'l)	10/09	K		
36. VALERO ENERGY CORP (COMMON STOCK)		None			Sold (part)	12/15	K		
37. WATTS WATER TECH (COMMON STOCK)	A	Dividend	J	T	Buy	01/18	J		
38. NUVEEN SELECT MATURITY (MUNICIPAL FUND)	A	Interest	J	T	Buy	02/19	J		
39. WACHOVIA SECURITIES - IRA	B	Dividend			Transferred (to line 42)	02/11	P1		
40. VANGUARD IRA #4	E	Dividend	O	T	Transferred (from line 41)	02/11	P1		
41. - JAPAN FUND									
42. - KAISER ALUMINUM CORP (COMMON STOCK)									
43. - WILLIAMS COM GR INC. NOTES									
44. - CHESAPEAKE ENERGY					Sold	02/20	M	E	
45. - US TREAS NOTES 05/15/08					Redeemed	05/15	O		
46. - RYDEX INVERSE GOVT BD FUND					Sold (part)	04/07	L		
47. - RYDEX INVERSE GOVT BD FUND					Sold (part)	04/23	N		
48. - I SHARES MSCI JAPAN									
49. - I SHARES MSCI S. KOREA					Buy (add'l)	08/19	K		
50. - FIDELITY JAPAN SMALL CO. FUND									
51. - U.S. TREAS BILL 03/06/08					Redeemed	03/06	O		

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52. -US TREAS BILL 03/20/08					Redeemed	03/20	N		
53. - EVERGREEN US GOV& SEC (MONEY MARKET)					Transferred (to line 56)	02/11			
54. -VANGUARD MM					Transferred (from line 55)	02/11	M		
55. - DOW CHEMICAL (COMMON STOCK)					Buy	10/20	K		
56. -GANNETT CO (COMMON STOCK)					Buy	04/21	L		
57. -GANNETT CO (COMMON STOCK)					Buy (add'l)	07/14	K		
58. - GENERAL ELECTRIC CO (COMMON STOCK)					Buy	03/05	K		
59. - GENERAL ELECTRIC CO (COMMON STOCK)					Buy (add'l)	04/11	L		
60. - GENERAL ELECTRIC CO (COMMON STOCK)					Buy (add'l)	07/11	K		
61. - INTEL CORP (COMMON STOCK)					Buy	12/08	K		
62. - NY TIMES CO (COMMON STOCK)					Buy	07/21	L		
63. - PACKAGING CORP OF AMERICA (C OMMON STOCK)					Buy	04/14	L		
64. - WORTHINGTON INDUSTRIES (COMM ON STOCK)					Buy	09/29	K		
65. - CAPITAL TRUST INC (COMMON ST OCK)					Buy	09/16	K		
66. - SEQUOIA FUND					Buy	05/01	L		
67. - EATON VANCE FLOATING RATE IN COME TRUST					Buy	03/31	K		
68. - EATON VANCE FLOATING RATE IN COME TRUST					Buy (add'l)	08/14	K		

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2. Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
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69. - LEGGETT & PLATT (COMMON STOCK)					Buy	03/17	K		
70. - LEGGETT & PLATT (COMMON STOCK)					Sold	03/18	K	A	
71. VANGUARD IRA - #1	E	Dividend	N	T					
72. -JULIUS BAER INTL EQUITY FUND II									
73. - DODGE & COX INT FUND									
74. - FIDELITY JAPAN SM CO FUND									
75. - CHESAPEAKE ENERGY (COMMON STOCK)					Buy	10/10	J		
76. - CHEVERON (COMMON STOCK)					Buy	10/10	L		
77. - CHEVERON (COMMON STOCK)					Sold	12/11	L	E	
78. - I SHARES JAPAN INDEX FUND									
79. - JAPAN FUND									
80. -AMERICAN HOME MORTGAGE (COMMON STOCK)									
81. - RYDEX INVERSE S&P FUND					Sold	01/02	M	D	
82. - HUSSMAN STRATEGIC GROWTH FUND					Sold	09/25	M		
83. - N Y TIMES (COMMON STOCK)									
84. - WELLS FARGO (COMMON STOCK)					Buy	05/21	K		
85. - WELLS FARGO (COMMON STOCK)					Buy (add'l)	06/11	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86. - WELLS FARGO(COMMON STOCK)					Sold	10/03	L	E	
87. - VANGUARD ADMIRAL TREAS MM									
88. - CAPITAL TRUST (COMMON STOCK)					Sold	03/26	L		
89. -LUMINENT (COMMON STOCK)									
90. - VANGUARD MKT NEUTRAL FUND					Buy	01/08	M		
91. - VANGUARD MKT NEUTRAL FUND					Sold	09/25	M		
92. VANGUARD IRA #2	E	Dividend	O	T					
93. -VANGUARD MONEY MARKET									
94. - FIDELITY INV TRUST JAPAN SMALL CO FUND									
95. - DODGE & COX INT FUND									
96. -WACHOVIA (COMMON STOCK)					Sold	10/03	J		
97. -VALERO ENERGY (COMMON STOCK)					Buy (add'l)	05/02	K		
98. -VALERO ENERGY (COMMON STOCK)					Buy (add'l)	07/21	K		
99. - AMERICAN HOME MORTGAGE (C OMMON STOCK)									
100. -WHOLE FOODS (COMMON STOCK)					Buy (add'l)	12/11	K		
101. - CITIGROUP (COMMON STOCK)									
102. -LUMINENT (COMMON STOCK)									

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. - N Y TIMES (COMMON STOCK)									
104. - ARKANSAS BEST (COMMON STOCK)					Sold (part)	03/24	L	E	
105. - ARKANSAS BEST (COMMON STOCK)					Sold (part)	07/21	K	E	
106. - DODGE & COX STOCK FUND					Buy	02/26	K		
107. - SEQUOIA FUND					Buy	05/01	L		
108. - FAIRHOLME FUND					Buy	01/02	M		
109. - EATON VANCE FLOATING RATE INC TRUST FUND					Buy	02/25	K		
110. - EMERSON ELECTRIC (COMMON STOCK)					Buy	09/15	K		
111. - GOOGLE (COMMON STOCK)					Buy	02/07	L		
112. - GOOGLE (COMMON STOCK)					Buy (add'l)	07/18	K		
113. - THIRD AVENUE INTERNATIONAL V ALUE FUND					Buy	02/26	K		
114. - CHEVERON (COMMON STOCK)					Buy	09/15	L		
115. - CHEVERON (COMMON STOCK)					Sold	12/16	L		
116. - WELLS FARGO (COMMON STOCK)					Buy	05/21	K		
117. - WELLS FARGO (COMMON STOCK)					Buy (add'l)	06/16	K		
118. - WELLS FARGO (COMMON STOCK)					Sold	10/08	L	E	
119. - DUPONT (COMMON STOCK)					Buy	01/23	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

DYK, TIMOTHY B.

Date of Report

05/13/2009

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - DUPONT (COMMON STOCK)					Sold	06/02	K	C	
121. - CAPITAL TRUST (COMMON STOCK) SEE SECTION VIII FOR DETAILS					Sold	03/26	L		
122. VANGUARD IRA # 3	E	Dividend	M	T					
123. - VANGUARD MM FUND									
124. -YRC WORLDWIDE (COMMON STOCK)					Sold (part)	05/19	L	D	
125. - RYDEX INVERSE S&P FUND					Sold	01/02	M	A	
126. -EATON VANCE FLOATING RATE FD									
127. - ARKANSAS BEST CORP (COMMON S TOCK)					Buy	01/11	K		
128. - WRTHINGTON IND (COMMON ST OCK)					Buy	01/23	K		
129. - WRTHINGTON IND (COMMON ST OCK)					Sold	06/16	K	E	
130. - McCLATCHY CO (COMMON STOCK)					Buy	01/28	L		
131. - SPIDER REGIONAL BANK FUND					Buy	06/02	L		
132. - SPIDER REGIONAL BANK FUND					Sold (part)	09/22	K	D	
133. - FAIR POINT COMMUNICATIONS (C OMMON STOCK)					Buy	06/16	K		
134. - CBS, INC (COMMON STOCK)					Buy	11/03	K		
135. - INTEL CORP (COMMON STOCK)					Buy	11/11	K		
136. TD WATERHOUSE IRA #1	A	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

DYK, TIMOTHY B.

Date of Report

05/13/2009

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - SPEC SIT EQUITY FUND									
138. TD WATERHOUSE IRA #2	A	Dividend	M	T					
139. -SPEC SIT LIFE SCIENCES FUND									
140. CHARLES SCHWAB IRA	D	Dividend	N	T					
141. -FIRST EAGLE OVERSEAS FUND					Sold (part)	08/19	K		
142. -FIRST EAGLE OVERSEAS FUND					Sold (part)	09/04	K		
143. -FIRST EAGLE US VALUE FUND CL I					Buy	12/17	K		
144. - MATTHEWS ASIAN GROWTH & INCOME FUND					Sold (part)	07/08	J		
145. - MATTHEWS ASIAN GROWTH & INCOME FUND					Sold (part)	09/18	J		
146. -TROWE PRICE CAPITAL APP FUND					Sold (part)	01/18	K		
147. -TROWE PRICE CAPITAL APP FUND					Buy	08/19	K		
148. -AMERICAS FD INCOME FUND					Sold	01/18	K		
149. -JANUS CONTRARIAN FUND					Buy (add'l)	01/22	K		
150. -JANUS CONTRARIAN FUND					Sold	07/11	K		
151. -JP MORGAN M/C NEUTRAL INS FUND					Buy (add'l)	01/22	K		
152. -JP MORGAN M/C NEUTRAL INS FUND					Sold	07/07	L		
153. - SCHWAB GOVT MONEY FUND									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

DYK, TIMOTHY B.

Date of Report

05/13/2009

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - DREYFUS PREMIER HEALTH CARE FUND CL A					Buy	08/11	K		
155. - DREYFUS PREMIER HEALTH CARE FUND CL A					Sold	10/09	K		
156. - FAIRHOLME FUND					Buy	02/19	K		
157. - FAIRHOLME FUND					Buy (add'l)	03/11	K		
158. - FAIRHOLME FUND					Sold	11/20	K		
159. - ISHARES RUSSELL 1000 RUSSELL 1000 VALUE					Buy	10/03	L		
160. - ISHARES RUSSELL 1000 RUSSELL 1000 VALUE					Sold	10/09	L		
161. - ISHARES RUSSELL 2000 RUSSELL 2000 GROWTH					Buy	07/21	K		
162. - ISHARES RUSSELL 2000 RUSSELL 2000 GROWTH					Sold	09/16	K		
163. - ISHARES TRUST INDEX FTSE XIN HUA HK CHINA					Buy	08/27	K		
164. - ISHARES TRUST INDEX FTSE XIN HUA HK CHINA					Sold	09/04	K		
165. - JP MORGAN HIGHBRIDGE STATISTICAL MKT NEUTRAL SELE					Buy	07/07	L		
166. - JP MORGAN HIGHBRIDGE STATISTICAL MKT NEUTRAL SELE					Sold	09/18	L		
167. - JP MORGAN HIGHBRIDGE STATISTICAL MKT NEUTRAL SELE					Buy	12/19	K		
168. - MID CAP SPPDR TRUST UNIT SER 1					Buy	07/21	K		
169. - MID CAP SPPDR TRUST UNIT SER 1					Sold	09/16	K		
170. - POWERSHS QQQ TRUST SER 1					Buy	10/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)					

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Name of Person Reporting

DYK, TIMOTHY B.

Date of Report

05/13/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - POWERSHS QQQ TRUST SER 1					Sold	10/23	K		
172. - RYDEX STRENGTHENING DOLLAR 2 X STRATEGY FUND					Buy	09/29	K		
173. - RYDEX STRENGTHENING DOLLAR 2 X STRATEGY FUND					Sold (part)	10/30	K	C	
174. - RYDEX STRENGTHENING DOLLAR 2 X STRATEGY FUND					Sold (part)	12/11	K		
175. - SPDR TRUST UNIT SR1 EXPIRING ON 01/22/2118					Buy	10/13	K		
176. - SPDR TRUST UNIT SR1 EXPIRING ON 01/22/2118					Buy (add'l)	11/13	K		
177. - SPDR TRUST UNIT SR1 EXPIRING ON 01/22/2118					Sold (part)	11/20	K		
178. - TOUCHSTONE HEALTHCARE & BIOT ECHNOLOGY FUND					Buy	01/22	K		
179. - TOUCHSTONE HEALTHCARE & BIOT ECHNOLOGY FUND					Sold	03/07	K		
180. MASS MUTUAL LIFE INS	A	Dividend	K	W					
181. ING LIFE INS	C	Dividend	M	T					
182. PNC BANK WASH DC	A	Interest	K	T					
183. US BONDS	C	Interest	M	T					
184.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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Name of Person Reporting

DYK, TIMOTHY B.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

- 1) TOTAL INCOME FOR IRA ACCOUNTS IS TOTAL INCOME AS REPORTED BY CUSTODIAN OF ACCOUNT.
- 2) LINE ITEM 121 SOLD ON 03/26(L). THIS WAS INADVERTENTLY OMMITED FROM THE PRIOR YEARS REPORT AS A BUY ON 11/07(L).
- 3) TRANSFERS FROM IRA ACCOUNTS TO THRIFT SAVINGS PLAN ACCOUNTS NOT SHOWN.

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Name of Person Reporting

DYK, TIMOTHY B.

Date of Report

05/13/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature 

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544