

FINANCIAL DISCLOSURE REPORT

FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. app. §§101-111)

1. Person Reporting (Last name, first, middle initial) Bates, John D.		2. Court or Organization U.S. District Court for the District of Columbia		3. Date of Report March 11, 2011	
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Article III (active)		5a. Report Type (check appropriate type) ____ Nomination, Date _____ ____ Initial ☒ Annual _____ Final		6. Reporting Period 1/1/2010 to 12/31/2010	
7. Chambers or Office Address 333 Constitution Ave., NW Washington, DC 20001		5b. ☐ Amended Report		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.					

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. _____
2. _____
3. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____
2. _____

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME

1. _____ \$
2. _____ \$
3. _____ \$

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section (dollar amount not required except for honoraria).

☐ NONE (No reportable non-investment income.)

1. 2010 _____ Washington, DC
2. _____

Bates, John D.

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IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment. (Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

☐

NONE (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PROVIDED OR PAID</u>
1.	The Center for the Constitution	6/15/2011-6/16/2011	Montpelier Station, VA	Non-FJC Educational Seminar	Meals, lodging, and vehicle expense (mileage)
2.					
3.					
4.					
5.					
6.					
7.					

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)

☒

NONE (No such reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			\$
2.			\$
3.			\$
4.			\$

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)

☒

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

*Value Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000
N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000
P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

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VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions *(Includes those of and dependent children. See pp. 34-60 of Instructions.)*

spouse

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date Month Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 Wachovia / Wells Fargo Account	A	Interest	J	T					
2									
3 Morgan Stanley Smith Barney - IRA - C									
4 -- Conoco Phillips - Common	A	Dividend	K	T	buy	8/2	K		
5 -- Auto Data Proc - Common	B	Dividend	K	T					
6 -- Amer Cap Str (Ltd) - Common			J	T					
7 -- Adobe Sys - Common		None	J	T					
8 -- Apple Inc. - Common		None	K	T					
9 -- Bank of Amer - Common	A	Dividend	J	T					
10 -- Baxter Int'l - Common	A	Dividend			sell	9/8	K	D	
11 -- BT Group PLC	A	Dividend	J	T					
12 -- CISCO Sys. Common			K	T					
13 -- Chevron Corp. Common	A	Dividend	K	T					
14 -- CINN Financial Corp. Common	B	Dividend	K	T					
15 -- Illinois Tool Works Common	A	Dividend	K	T	buy	8/2	J		
16 -- Dow Chem Common	A	Dividend	K	T					
17 -- Dreyfus Money Market	C	Dividend	M	T	buy/sell	var.	K	A	

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H=\$5,001-\$100,000 I=\$100,001-\$5,000,000	D=\$5,001-\$15,000 J=\$15,001-\$50,000	E=\$15,001-\$50,000 F=\$50,001-\$100,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000 P2=\$250,001-\$500,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

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VII. Page 2 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure:			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
18 -- Enterprise Prod - Common	B	Dividend	K	T					
19 -- FISERV Inc.		None	J	T					
20 -- HP Common	A	Dividend	K	T					
21 -- Home Depot Common	A	Dividend	K	T					
22 -- HCC Ins. Hldgs - Common	A	Dividend	J	T					
23 -- Int'l Game Tech. - Common	A	Dividend	J	T					
24 -- JP Morgan Chase & Co. Common	A	Dividend	K	T					
25 -- Johnson & Johnson - Common	A	Dividend	K	T	buy	8/2	J		
26 -- L3 Commu - Common	A	Dividend	J	T					
27 -- Marriott Int'l - Common	A	Dividend	K	T					
28 -- McDonald's - Common	A	Dividend	K	T					
29 -- MetLife - Common	A	Dividend	J	T					
30 -- Morgan Stanley - Common	A	Dividend	J	T					
31 -- Vodaphone Group Common		None	K	T	buy	8/2	K		
32 -- Omnicom - Common	A	Dividend	J	T					
33 -- Pfizer - Common	A	Dividend	K	T					
34 -- Pepsico - Common	A	Dividend	J	T					

1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4)	B=\$1,001-\$2,500 F=\$50,001-\$100,000	C=\$2,501-\$5,000 G=\$100,001-\$1,000,000	D=\$5,001-\$15,000 H1=\$1,000,001-\$5,000,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: J=\$15,000 or less (See Col. C1, D3)	K=\$15,001-\$50,000 N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	Q=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P4=More than \$5,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: Q=Appraisal (See Col. C2)	R=Cost (real estate only) U=Book value V=Other	S=Assessment W=Estimated	T=Cash/Market	

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VII. Page 3 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amt. Code1 (A-H)	(2) Type (e.g., div., rent or int.)	(1) Value Code2 (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, merger, redemption)	(2) Date Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-F)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
35 -- Principal Fin'l - Common	A	Dividend	J	T					
36 -- Procter & Gamble - Common	B	Dividend	K	T					
37 -- Sempra Energy - Common	A	Dividend	J	T					
38 -- Staples - Common	A	Dividend	J	T					
39 -- TJX Co. - Common	A	Dividend			sell	12/1	J	D	
40 -- Frontier Commu. Common					spinoff	7/2	J		
					sell	7/21	J		
41 -- United Health - Common	A	Dividend	J	T					
42 -- Verizon Common	B	Dividend	K	T					
-- Am. Elec. Pwr. - Preferred	B	Interest	K	T	buy	2/18	K		
-- Dominion Res. - Preferred	B	Interest	K	T	buy	2/18	K		
-- Prudential Fin'l - Preferred	B	Interest	K	T	buy	2/18	K		
-- XCEL Energy - Preferred	B	Interest	K	T	buy	2/18	K		
43 MorganStanley SmithBarney - Joint									
44 -- AXA Equitable Life Annuity		None	K	T					
45 -- AES Corp. Common		None	J	T					

1	Income/Gain Codes:	A=\$1,000 or less (Sec. Col. B1, D4)	B=\$1,001-\$2,500 F=\$50,001-\$100,000	C=\$2,501-\$5,000 G=\$100,001-\$1,000,000	D=\$5,001-\$15,000 H1=\$1,000,001-\$5,000,000	E=\$15,001-\$50,000 H2=More than \$5,000,000
2	Value Codes:	J=\$15,000 or less (Sec. Col. C1, D3)	K=\$15,001-\$50,000 N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	L=\$50,001-\$100,000 O=\$500,001-\$1,000,000	M=\$100,001-\$250,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	P2=\$5,000,001-\$25,000,000
3	Value Method Codes:	Q=Appraisal (Sec. Col. C2)	R=Cost (real estate only) U=Book value	S=Assessment V=Other	T=Cash/Market W=Estimated	

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VII. Page 4 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross Value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	If not exempt from disclosure				
	Amt. Code1 (A-H)	Type (e.g., div., rent, or int.)	Value Code2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date Month Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
46									
47 -- Amer. Tower Corp. - Class A		None	J	T					
48 -- Barclays Bank Pref.	B	Dividend	K	T					
49 -- Citibank Money Fund	A	Interest	K	T	buy/sell	var.	K		
50 -- Davita Inc.		None	J	T					
52 -- Electronics for Imaging		None	J	T					
53 --Energy Transfer Ptns LP	A	Dividend	J	T					
54									
55									
56 -- Fiserv Inc. Common		None	K	T	sell	8/30	J		
57 -- Duke Energy Corp.	A	Dividend	J	T					
58 -- Halliburton Co. Hldgs.	A	Dividend	J	T					
59 -- Howard Cty. MD Bond	B	Interest	L	T					
60 -- Intuit Inc.		None	J	T					
61 -- MD Dept HSG&Comm. Dev Bond	A	Interest	K	T					
62 -- NY Comm. Bancorp.	A	Dividend	J	T					

Continued on attached pages -- Section VII, pages 5 - 7

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 I2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

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John D. Bates

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VII. Page 5 INVESTMENTS and TRUSTS -- income, value, transactions *(Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)*

A Description of Assets (including trust assets)	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amr. Code1 (A-H)	Type (e.g. div. reinvt. int.)	Value Code2 (J-P)	Value Method Code (Q-W)	Type (e.g. buy, sell, merger, redemption)	Date Month Day	Value Code2 (J-P)	Gain Code1 (A-H)	Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
64. -- PG Cty. MD Bond	B	Interest	L	T					
65. --Powershares Ex-Traded Fund Dynamic Biotech & Genome		None	J	T					
66. -- Schwab Chs. Corp.	A	Dividend	J	T					
67. -- Time Warner	A	Dividend	K	T					
68. -- Unum Group	A	Dividend	J	T					
69. -- United Health Common	A	Dividend	K	T					
70. -- Univ. System MD Bond	A	Interest	K	T					
71. -- Western Union Common	A	Dividend	J	T					
72. -- Wash. Sub. San. Dt. MD Bond	B	Interest	L	T					
73. -- Bristol Myers Squibb	A	Dividend	J	T					
74. -- Vodafone Group PLC		None			sold	10/21	J	B	
75. -- Europacific Growth Fund		None			buy	1/25	K		
					sell	10/21	K	B	
MorganStanleySmith Barney - IRA - J									
76. -- AT&T Inc. Common	B	Dividend	K	T					
77. -- Cameron Int'l Common		None	J	T					

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$5,000 G=\$100,001-\$1,000,000	C=\$5,001-\$5,000 H=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 I=More than \$5,000,000	E=\$15,001-\$50,000 J=More than \$5,000,000
2	Value Codes: (See Col. C1, D3)	F=\$15,000 or less N=\$25,001-\$55,000	K=\$15,001-\$50,000 O=\$50,001-\$1,000,000	L=\$50,001-\$100,000 P=\$1,000,001-\$5,000,000	M=\$100,001-\$250,000 Q=\$5,000,001-\$8,000,000	R=\$250,001-\$50,000,000 P=More than \$50,000,000
3	Value Method Codes: (See Col. C2)	U=Appraisal O=Broker Value	V=Cost (real estate only) W=Other	S=Assessment X=Estimated	T=Cash/Market	

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VII. Page 6 INVESTMENTS and TRUSTS -- income, value, transactions *(Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g. div., rent or int.)	Value Code2 (I-P)	Value Method Code (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date Month Day	(3) Value Code2 (I-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
78. -- Centerpoint Energy Common	A	Dividend	J	T					
79. -- Colgate Palmolive Common	B	Dividend	K	T					
80. -- Conagra Foods Common	A	Dividend	J	T					
81. -- Dreyfus Money Market	A	Dividend	K	T	buy/sell	var.	J		
82. -- Forest Oil Corp. Common		None			sell	1/21	J		
83.									
84. -- HCC Ins. Hldgs. Common	A	Dividend	J	T					
85. -- Hain Celestial Group Common		None	J	T					
86. -- iShares TR-DJ Tranps. Index Fund	A	Dividend	J	T					
87. -- iShares TR Global Ind Index Fund	A	Dividend	J	T					
88.-- iShares Dow Jones US Health Care Providers Index Fund	A	Dividend	J	T					
89. -- IBM Common	A	Dividend	K	T					
90. -- JC Penney Common	A	Dividend	J	T					
91. -- Medtronic Common	A	Dividend	K	T					
92. -- Pactiv Corp. Common		None			merger	11/17	J	B	
93.-- Powershares Dynamic Semiconductors	A	Dividend	J	T					
94.-- Powershares Dynamic Media Portfolio	A	Dividend	J	T					

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H=\$1,000,001-\$3,500,000	D=\$5,001-\$15,000 I=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$5,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

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	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure				
	AML Code1 (A-H)	Type (e.g. div. rent or int.)	Value Code2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(6) Identity of buyer/seller (if private transaction)	
		Dividend	J	T						
96.--S&P NoAm Tech Software Index Fund		None	J	T						
97.--SPDR Index SHS FDS DJ Wilshire Int'l Real Estate	A	Dividend	J	T						
98.--SPDR TR S&P Retail ETF	A	Dividend			sell	J	B			
99.--SPDR SER TR KBW CAP MKTS ETF	A	Dividend	J	T						
100.--SPDR SER TR KBW BK ETF	A	Dividend	J	T						
101.--SPDR SER TR KBW INS ETF	A	Dividend	J	T						
102.--United Tech Common	B	Dividend	K	T						
103.--Vanguard Consumer Staples ETF	A	Dividend	J	T						
104.--Vanguard Health Care ETF	A	Dividend	J	T						
105.--Vanguard Info Tech ETF	A	Dividend	J	T						
106.--Vanguard Int'l Equity (European) ETF	A	Dividend	J	T						
107.--Wisconsin Energy Holding Common										
108.--TIAA CREF Ret. Acct. - C		None	K	T	buy	var.	J			

1	Income/Gain Codes: (See Col. B1, D4)	A-\$1,000 or less; F-\$50,001-\$100,000	B-\$1,001-\$2,500; G-\$100,001-\$1,000,000	C-\$2,501-\$5,000; H-\$5,001-\$10,000; I-\$10,001-\$50,000,000	D-\$5,001-\$15,000; J-More than \$100,000	E-\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J-\$15,000 or less; N-\$250,001-\$500,000; P3-\$25,000,001-\$50,000,000	K-\$15,001-\$50,000; O-\$50,001-\$1,000,000; P1-\$1,000,001-\$5,000,000	L-\$50,001-\$100,000; M-\$100,001-\$500,000; P2-\$500,001-\$25,000,000	P4-More than \$50,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

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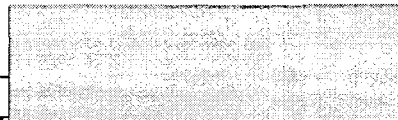
VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature _____



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544