

UNITED STATES DISTRICT COURT
Northern District of New York
206 Federal Building
15 Henry Street
Binghamton, New York 13902

Thomas J. McAvoy
Senior District Judge

August 31, 2004

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FINANCIAL
DISCLOSURE OFFICE

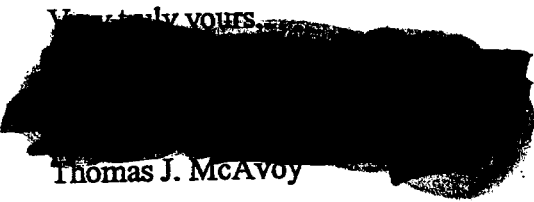
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

RE: Calendar Year 2003 Filing
Amended Report

Gentlemen:

Enclosed please find original and three copies of my Amended Financial Disclosure Report as requested in your letter dated July 20, 2004.

Very truly yours,


Thomas J. McAvoy

AMENDED
FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

Calendar Year 2003

A'O-10 Rev. 1/2004		3. Date of Report <i>AMENDED</i> 8/31/2004	
1. Person Reporting (Last name, First name, Middle initial) MCAVOY, THOMAS J		2. Court or Organization U.S. DISTRICT COURT - NDNY	
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR US DISTRICT COURT JUDGE		5. Report Type (check appropriate type) ☐ Nomination, Date _____ ☐ Initial ☒ Annual ☐ Final	
7. Chambers or Office Address FEDERAL BUILDING 15 HENRY STREET BINGHAMTON, NEW YORK 13902		6. Reporting Period 1/1/2003 to 12/31/2003	
8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____			

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

NAME OF ORGANIZATION/ENTITY

1. Trustee Albany Law School

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)

PARTIES AND TERMS

1. 03/06/86 County of Broome-vested retirement health insurance benefit

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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
MCAVOY, THOMAS J

Date of Report
ATTACHED
8/31/2004

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐ NONE - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	01/01/93	Transamerica Life - annuity policy	9889.32
2.	01/98	NYS & Local Employees Retirement System - Pension	1068.48

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☒ NONE - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ NONE - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
MCAVOY, THOMAS J

Date of Report
AMENDED
8/31/2004

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☐ NONE - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. HSBC	rental property - Conklin, NY (formerly held by M&T Bank - refinanced by HSBC)	M
2. Atlantic Mortgage & Investment Corporation formerly reported as Banc Servicing Center	rental property- Sanibel Island, FL	L
3. SunTrust Mortgage, Inc.	rental property - Ft. Myers, FL	L

FINANCIAL DISCLOSURE REPORT

Page 1 of 1

Name of Person Reporting
MCAVOY, THOMAS J

Date of Report
8/31/2004

VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Oppenheimer Equity Income Fund A	C	Div	M	T					
2. Oppenheimer Special Fund A	A	Div	J	T					
3. Edward Jones (see comment section)	D	Dividend	J	T	transfer	12/13	J		
4. Geo. Putnam FD of Boston SBI	B	Div	K	T					
5. Ft. Myers, FL - Condo	A	Rent	L	R					
6. Conklin, NY - apartment buildings -	F	Rent	M	W					
7. Binghamton, NY single family home	D	Rent	L	W					
8. Conklin, NY undeveloped lot		None	K	W					
9. Sanibel, Florida	E	Rent	M	W					
10. NBT BANCORP INC.	A	Dividend	J	T					
11. Imaging Diagnostic Systems Inc.	A	None	J		sold	12/13	J	A	
12. Albany Molecular Research	A	None	J	T					
13. Transamerica Life - annuity policy	D	Distribution	J	T					
14. Vision Money Market Fund A	C	Dividend	L	T	REDEMPT ION	4/03			
15. Endicott, NY - 2family Purchase price = 75,000.	D	Rent	L	R	buy	4/03	L		Bernardo Building & Supply Co. INC

1. Income/Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001-\$100,000

B = \$1,001-\$2,500
G = \$100,001-\$1,000,000
K = \$15,001-\$50,000

C = \$2,501-\$5,000
H1 = \$1,000,001-\$5,000,000
L = \$50,001-\$100,000

D = \$5,001-\$15,000
H2 = More than \$5,000,000
M = \$100,001-\$250,000
P2 = \$5,000,001-\$25,000,000

E = \$15,001-\$50,000

2. Value Codes:
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,000-\$500,000
P3 = \$25,000,001-\$50,000,000

O = \$500,001-\$1,000,000

P1 = \$1,000,001-\$5,000,000
P4 = \$More than \$50,000,000
S = Assessment

T = Cash/Market

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

MCAVOY, THOMAS J

Date of Report

8/31/2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

Part VII, page 1, line 3: Smith Barney Shearson Daily was transferred to new broker: Edward Jones and will appear on all further disclosure statements as Edward Jones. All individual assets that are part of the Edward Jones brokerage account which are subject to disclosure have been listed.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

MCAVOY, THOMAS J

Date of Report

AMENDED

8/31/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date 8-31-04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

AO-10
Rev. 1/2004

1. Person Reporting (Last name, First name, Middle initial) MCAVOY, THOMAS J	2. Court or Organization U.S. DISTRICT COURT - NDNY	3. Date of Report 5/12/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR US DISTRICT COURT JUDGE	5. ReportType (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2002 to 12/31/2003
7. Chambers or Office Address FEDERAL BUILDING 15 HENRY STREET BINGHAMTON, NEW YORK 13902	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

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I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Trustee	Albany Law School

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 03/06/86	County of Broome-vested retirement health insurance benefit

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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

MCAVOY, THOMAS J

Date of Report

5/12/2004

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
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2.	01/98	NYS & Local Employees Retirement System - Pension	1068.48

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☒ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

MCAVOY, THOMAS J

Date of Report

5/12/2004

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☐ **NONE** - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. HSBC	rental property - Conklin, NY (formerly held by M&T Bank - refinanced by HSBC)	M
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FINANCIAL DISCLOSURE REPORT

Page 1 of 1

Name of Person Reporting
MCAVOY, THOMAS J

Date of Report
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Oppenheimer Equity Income Fund A	C	Div	M	T					
2. Oppenheimer Special Fund A	A	Div	J	T					
3. Edward Jones (see comment section)	D	Dividend	J	T					
4. Geo. Putnam FD of Boston SBI	B	Div	K	T					
5. Ft. Myers, FL - Condo	A	Rent	L	R					
6. Conklin, NY - apartment buildings -	F	Rent	M	W					
7. Binghamton, NY single family home	D	Rent	L	W					
8. Conklin, NY undeveloped lot		None	K	W					
9. Sanibel, Florida	E	Rent	M	W					
10. NBT BANCORP INC.	A	Dividend	J	T					
11. Imaging Diagnostic Systems Inc.	A	None	J	T	sold	12/13	J	A	
12. Albany Molecular Research	A	None	J	T					
13. Transamerica Life - annuity policy	D	Distribution	J	T					
14. Vision Money Market Fund A	C	Dividend	L	T	REDEMP TION	4/03			
15. Endicott, NY - 2family	D	Rent	L	R	buy	4/03	L		Bernardo

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$More than \$50,000,000
3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

MCAVOY, THOMAS J

Date of Report

5/12/2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

Smith Barney Shearson Daily was transferred to new broker: Edward Jones and will appear on all further disclosure statements as Edward Jones.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

MCAVOY, THOMAS J

Date of Report

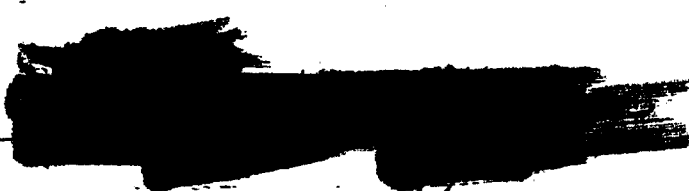
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

5-12-04

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