

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Schroeder, Thomas D.	2. Court or Organization Middle District of N C	3. Date of Report 07/08/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2010 to 12/31/2010
	5b. ☐ Amended Report	
7. Chambers or Office Address 251 North Main Street, Suite 223A Hiram Ward Federal Courthouse Winston-Salem, North Carolina 27101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board Member	Notre Dame Law Association
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	Piedmont Land Conservancy, salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Arizona State University Sandra Day O'Connor School of Law	April 23-27, 2010	Phoenix, AZ	Non-educational seminar: Forum on the Future of Legal Education (participant)	Meals, hotel, transportation
2. N.C. Association of Defense Attorneys	June 17-20, 2010	Hilton Head, SC	Annual CLE meeting	Meals, hotel, transportation
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. [REDACTED]	tuition and housing balance for 2010 enrollment	None
2. [REDACTED]	tuition and housing balance for 2010 enrollment	None
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wachovia Accounts	A	Interest	L	T					
2. BB&T Account	A	Interest	J	T					
3. US Treasury Series EE Bonds		None	J	T					
4. First Tennessee Accounts	E	Interest	N	T					
5. Arbor Joint Account (at Fidelity Investments)									
6. -iShares Russell 1000 Index	B	Dividend	L	T					
7. -PowerShares FTSE RAFI US 1000	A	Dividend	L	T	Buy	10/18/10	K		
8.					Buy (add'l)	12/13/10	K		
9.					Buy (add'l)	12/13/10	K		
10. -iShares Russell 2000 Index	A	Dividend			Sold	06/23/10	J	B	
11. -iShares MSCI EAFE Index	A	Dividend	L	T	Buy (add'l)	06/23/10	K		
12.					Buy (add'l)	10/18/10	J		
13.					Buy (add'l)	12/13/10	J		
14.					Buy (add'l)	12/13/10	K		
15. -iShares MSCI Emerging Markets Index	A	Dividend	L	T	Buy	06/23/10	K		
16.					Buy (add'l)	10/18/10	J		
17.					Buy (add'l)	12/13/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -PIMCO Low Duration Fund Instl	A	Dividend	M	T	Buy	10/18/10	L		
19.					Buy (add'l)	12/13/10	K		
20. -Vanguard Ltd-Term Tax Exempt Adm	C	Dividend	M	T					
21. -Vanguard Short-Term Tax Exempt	A	Dividend			Sold	06/23/10	K	A	
22. -Vanguard Short-Term Investment-Grd Adm	B	Dividend	M	T	Buy	06/23/10	M		
23. -Fidelity Tax Free Money Market	A	Dividend	J	T					
24. -Fidelity Instl: Tax Exempt Portfolio CI I	A	Dividend	M	T					
25. Arbor Joint Account (at Fidelity Investments)									
26. -iShares Russell 1000 Index	A	Dividend	K	T	Sold (part)	01/21/10	J	A	
27. -PowerShares TFTSE RAFI US 1000	A	Dividend	K	T					
28. -iShares Russell 2000 Index	A	Dividend			Sold	01/21/10	J	A	
29. -iShares MSCI EAFE Index	A	Dividend	K	T					
30. -iShares MSCI Emerging Markets Index	A	Dividend	K	T	Buy (add'l)	01/21/10	J		
31. -PIMCO All Asset Fund Instl	C	Dividend	K	T					
32. -PIMCO 1-5 Year U.S. TIPS Bond Index	A	Dividend	K	T	Buy	10/18/10	K		
33. -Vanguard Inflation-Protected Sec.	A	Dividend			Sold (part)	01/21/10	J	A	
34.					Sold	10/18/10	K	B	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -PIMCO Global Advantage Strategy Bond Instl	A	Dividend	K	T	Buy	01/25/10	K		
36. Vanguard Short-Term Invstmnt -Grd	A	Dividend	K	T	Sold (part)	01/21/10	J	A	
37. -Eaton Vance Floating Rate Adv	B	Dividend	L	T	Sold (part)	01/21/10	J	A	
38. -Fidelity Tax Free Money Market	A	Dividend	J	T					
39. IRA (at Fidelity Investments)									
40. -iShares Russell 1000 Index	A	Dividend	K	T					
41. -PowerShares FTSE RAFI US 1000	A	Dividend	J	T					
42. -iShares Russell 2000 Index	A	Dividend			Sold	06/23/10	J	B	
43. -iShares MSCI EAFE Index	A	Dividend	J	T					
44. -iShares MSCI Emerging Markets Index	A	Dividend	J	T					
45. -PIMCO All Asset Fund Instl	C	Dividend	K	T	Buy	06/28/10	K		
46. -Vanguard Inflation-Protected Sec.	A	Dividend			Sold	06/23/10	K	A	
47. -Vanguard Short-Term Invstmnt-Grd	A	Dividend			Sold	06/23/10	J	A	
48. -Eaton Vance Floating Rate Adv.	A	Dividend	J	T	Sold (part)	06/23/10	J	A	
49.					Buy (add'l)	10/18/10	J		
50. -Fidelity Cash Reserves (X)	A	Dividend	J	T					
51. IRA (at Fidelity Investments)									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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52. -iShares Russell 1000 Index	C	Dividend	K	T	Sold (part)	06/23/10	K	A	
53.					Sold (part)	10/18/10	L	A	
54.					Sold (part)	12/13/10	J	A	
55.					Sold (part)	12/13/10	J	A	
56.					Sold (part)	12/13/10	L	C	
57. -PowerShares FTSE RAFI US 1000	B	Dividend	M	T					
58. -iShares MSCI EAFE Index	B	Dividend	M	T					
59. -iShares MSCI Emerging Markets Index	A	Dividend	L	T					
60. -PIMCO All Asset Fund Instl	C	Dividend	L	T	Buy	10/20/10	K		
61.					Buy (add'l)	12/15/10	K		
62. -Eaton Vance Global Absolute Return Cl	A	Dividend	L	T	Buy	06/25/10	K		
63.					Buy (add'l)	10/20/10	K		
64.					Buy (add'l)	12/15/10	K		
65. -PIMCO 1-5 Year U.S. TIPS Bond Index	A	Dividend	M	T	Buy	10/18/10	M		
66.					Buy (add'l)	12/13/10	J		
67. -Vanguard Inflation-Protected Sec. Adm	B	Dividend			Sold	10/18/10	M	E	
68. -PIMCO Global Advantage Strategy Bond Instl	A	Dividend	L	T	Buy	06/23/10	L		

- | | | | | | |
|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
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H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	12/15/10	K		
70. -PIMCO Total Return Fund Instl	C	Dividend	L	T	Sold (part)	06/23/10	L	C	
71. -Eaton Vance Floating Rate Adv	C	Dividend	M	T	Buy (add'l)	08/18/10	J		
72. -Fidelity Cash Reserves	A	Dividend	J	T					
73. Mass Mutual Whole Life Insurance	B	Dividend	L	T					
74. Mass Mutual Whole Life Insurance	A	Dividend	K	T					
75. Loyal American Insurance	A	Dividend	J	T					
76. Winston-Salem Foundation Donor Advised Fund		None	J	T					
77. Vanguard 529									
78. -Vanguard Interest Accumulation Portfolio	B	Interest	L	T					
79. Vanguard 529									
80. -Vanguard Interest Accumulation Portfolio	B	Interest	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

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N = \$250,001 - \$500,000

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Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

I12 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII.

Line 77: The Winston-Salem Foundation Donor Advised Fund reflects money we donated irrevocably to, and is owned by, the Foundation, but I and my spouse can direct donations from that Fund to charities of our choice. We can receive no income from its investment; rather, the Fund receives the income.

In 2010, I received a small distribution from M&I Instl. Trust Svcs., which managed my retirement account at my law firm before taking the bench. The account was closed in 2008 upon taking the bench, but in 2010 M&I made a small distribution for what was reported as a calculation error. The small distribution was distributed to the IRA at line 71 and used for the purchase noted on 08/18/10.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Thomas D. Schroeder

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544