

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                         |                                                       |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| 1. Person Reporting (last name, first, middle initial)<br>Wake, Neil V                                                                                                                                            | 2. Court or Organization<br>U.S. District Court, Arizona                                                                                                                                                                                                | 3. Date of Report<br>08/1/2006                        |
| 4. Title (Article III judges indicate active or senior status;<br>magistrate judges indicate full- or part-time)<br>U.S. District Judge                                                                           | 5a. Report Type (check appropriate type)<br><input type="checkbox"/> Nomination,      Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br>5b. <input type="checkbox"/> Amended Report | 6. Reporting Period<br>01/01/2005<br>to<br>12/31/2005 |
| 7. Chambers or Office Address<br>401 W. Washington St., SPC 52<br>Phoenix, AZ 85003                                                                                                                               | 8. On the basis of the information contained in this Report and any<br>modifications pertaining thereto, it is, in my opinion, in compliance<br>with applicable laws and regulations.<br><br>Reviewing Officer _____ Date _____                         |                                                       |
| <p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts,<br/>checking the NONE box for each part where you have no reportable information. Sign on last page.</p> |                                                                                                                                                                                                                                                         |                                                       |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

| <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u>                                                |
|-----------------|-----------------------------------------------------------------------------------|
| 1. Trustee      | Trust # 1                                                                         |
| 2. Trustee      | Trust # 2                                                                         |
| 3. Trustee      | Trust # 3                                                                         |
| 4. Trustee      | Law Offices of Neil Vincent Wake 401K Profit Sharing Plan (I am sole beneficiary) |
| 5. Trustee      | Law Offices of Neil Vincent Wake Money Purchase Plan (I am sole beneficiary)      |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |
| 3.          |                          |

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**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------|
| 1.          |                        |                                        |
| 2.          |                        |                                        |
| 3.          |                        |                                        |
| 4.          |                        |                                        |
| 5.          |                        |                                        |

**B. Spouse's Non-Investment Income** - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>              |
|-------------|-------------------------------------|
| 1. 2005     | Self-employed attorney and mediator |
| 2.          |                                     |
| 3.          |                                     |
| 4.          |                                     |
| 5.          |                                     |

**IV. REIMBURSEMENTS** - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> |
|---------------|--------------------|
| 1.            |                    |
| 2.            |                    |
| 3.            |                    |
| 4.            |                    |
| 5.            |                    |

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**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*☒ NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure |                                 |                                |                                                                   |
|                                                                                                                             |                                         |                                              |                                                 |                                           |                                                           | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Wells Fargo accounts                                                                                                     | B                                       | Interest                                     | M                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 2. Apache Peak Inv., LLC                                                                                                    | D                                       | Distribution                                 | M                                               | U                                         |                                                           |                               |                                 |                                |                                                                   |
| 3. Whetstone Dev. Co. (common stock) (X)                                                                                    | C                                       | Distribution                                 | J                                               | U                                         |                                                           |                               |                                 |                                |                                                                   |
| 4. Cowley-BGWM LLC                                                                                                          | D                                       | Distribution                                 | K                                               | U                                         |                                                           |                               |                                 |                                |                                                                   |
| 5. Interstate Ventures V Ltd. Ptshp                                                                                         | B                                       | Distribution                                 | L                                               | U                                         |                                                           |                               |                                 |                                |                                                                   |
| 6. 401(K) Plan                                                                                                              |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 7. --Manulife Lifestyle Growth Fund                                                                                         | D                                       | Dividend                                     | M                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 8. Trust #1 (trustee)                                                                                                       | B                                       | Interest                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 9. --Merrill Lynch accts                                                                                                    |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 10. --Lucent Technologies Inc (LU) (X)                                                                                      |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 11. --Interstate Vent. V LP                                                                                                 |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 12. Trust # 2 (trustee)                                                                                                     | B                                       | Dividend                                     | L                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 13. --Merrill Lunch accts                                                                                                   |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 14. --Bank of NY Co. Inc. common stock (BK)                                                                                 |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 15. --Pfizer Inc. Del PV (PFE)                                                                                              |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 16. --Lucent Technologies Inc (LU) (X)                                                                                      |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 17. --Interstate Vent. V LP                                                                                                 |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |

|                                                  |                                                                     |                                                        |                                                                |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------|----------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                     | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000        | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000                   | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000     | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | P3 = \$25,000,001 - \$50,000,000<br>Q = Appraisal<br>U = Book Value | R = Cost (Real Estate Only)<br>V = Other               | P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | T = Cash Market                                              |                         |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐

NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure |                                 |                                |                                                                   |
|                                                                                                                             |                                         |                                              |                                                 |                                           |                                                           | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. Trust # 3 (trustee)                                                                                                     |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 19. --Gary & Eliz Loveness note                                                                                             |                                         | None                                         | L                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 20. --Manulife iNS. WHOLE LIFE INS                                                                                          |                                         | None                                         | L                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 21. Brokerage Acct # 1                                                                                                      |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 22. --Active Assets Money Trust (formerly<br>Liquid Asset Fund)                                                             | C                                       | Dividend                                     | M                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 23. IRA # 1                                                                                                                 |                                         |                                              | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 24. --MS Charter Campbell LP                                                                                                |                                         | None                                         | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 25. --MS CharterMSFCM LP                                                                                                    |                                         | None                                         | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 26. --MS Charter Grahah LP                                                                                                  |                                         | None                                         | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 27. IRA # 2 (closed in 2004)                                                                                                |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 28. IRA # 3                                                                                                                 | C                                       | Dividend                                     | K                                               |                                           |                                                           |                               |                                 |                                |                                                                   |
| 29. --Liquid Asset Fund (MM)                                                                                                | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 30. --MS Global Dividend Grwth Sec B<br>(Mut Fd)                                                                            | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 31. --MS Pacific Growth Fund B (Mut Fd)                                                                                     | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 32. --Templeton Global SM Co Gr Fd A<br>(Mut Fd)                                                                            | B                                       | Dividend                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 33. UTMA Acct # 2                                                                                                           | A                                       | Dividend                                     | J                                               | T                                         | Acct closed                                               | 8-1                           | J                               |                                |                                                                   |
| 34. --Liquid Asset Fund (MM)                                                                                                | A                                       | Dividend                                     | J                                               | T                                         | Sell                                                      | 8-1                           | J                               |                                |                                                                   |

|                                                  |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000<br>R = Cost (Real Estate Only)<br>V = Other | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000<br>T = Cash Market | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                               |                                 |                                |                                                                   |
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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure |                                 |                                |                                                                   |
|                                                                                                                             |                                         |                                              |                                                 |                                           |                                                           | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. --Putnam Voyager A (Mut Fd)                                                                                             | A                                       | Dividend                                     | J                                               | T                                         | Sell                                                      | 8-1                           | J                               |                                |                                                                   |
| 36. UTMA Acct # 3                                                                                                           |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 37. --Liquid Asset Fund (MM)                                                                                                | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 38. --MS Information Fund B (Mut Fd)                                                                                        | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 39. --MS European Growth Fund B (Mut Fd)                                                                                    | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 40. --Putnam Voyager A (Mut Fd)                                                                                             | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 41. Brokerage Acct # 3                                                                                                      |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 42. --SEI Tax-Free Fund (MM)                                                                                                | C                                       | Dividend                                     | M                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 43. --SEI Tax-Mgd Large Cap Fund (MM)                                                                                       | B                                       | Dividend                                     | M                                               | T                                         | Sold part                                                 | 1-10                          | J                               |                                |                                                                   |
| 44. --Intel Corp common stock                                                                                               | A                                       | Dividend                                     | L                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 45. --Cisco Systems Inc common stock                                                                                        | A                                       | Dividend                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 46. --Medtronic Inc common stock                                                                                            | A                                       | Dividend                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 47. --Altera Corp common stock                                                                                              |                                         | None                                         | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 48. --Automatic Data Processing Inc common stock                                                                            | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 49. --XILINC Inc common stock                                                                                               |                                         | None                                         | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 50. --Rf Micro Devices Inc                                                                                                  |                                         | None                                         | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 51. --Small Cap Value Fund (Mut Fd)                                                                                         | B                                       | Dividend                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |

|                                                  |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000<br>R = Cost (Real Estate Only)<br>V = Other | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000<br>T = Cash Market | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure |                                 |                                |                                                                   |
|                                                                                                                             |                                         |                                              |                                                 |                                           |                                                           | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. --SEI Small Cap Growth Fund (Mut Fd)                                                                                    | A                                       | Dividend                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 53. --General Electric Co common stock                                                                                      | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 54. --Verizon Communications common stock                                                                                   | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 55. --Sun Microsystems Inc common stock                                                                                     | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 56. --Microsoft Corp common stock                                                                                           | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 57. --SEI Intermediate-Term Municipal Fund (Mut Fd)                                                                         | C                                       | Dividend                                     | M                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 58. --SEI International Fixed Income Fund (Mut Fd)                                                                          | B                                       | Dividend                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 59. --SEI High Yield Bond Fund (Mut Fd)                                                                                     | B                                       | Dividend                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 60. --SEI Emerging Markets Debt Fund (Mut Fd)                                                                               | B                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 61. --SEI International Equity Fund (Mut Fd)                                                                                | C                                       | Dividend                                     | L                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 62. --SEI Emerging Markets Equity Fund (omitted by error before)                                                            | B                                       | Dividend                                     | K                                               | T                                         | Sold part                                                 | 10-10                         | J                               | B                              |                                                                   |
| 63. Brokerage Acct # 4                                                                                                      |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 64. --SEI Tax-Mgd Large Cap Fund (Mt Fd)                                                                                    | B                                       | Dividend                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 65. --SEI Small Cap Value Fund (Mut Fd)                                                                                     | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 66. --SEI Small Cap Growth Fund (Mut Fd)                                                                                    | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 67. --SEI Intermediate-Term Municipal Fund (Mut Fd)                                                                         | A                                       | Dividend                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 68. --SEI International Fixed Income Fund (Mut Fd)                                                                          | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |

|                                                  |                                                                                                                                                                             |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
|--------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000<br>Q = Appraisal<br>U = Book Value | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000<br>R = Cost (Real Estate Only)<br>V = Other | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000<br>T = Cash Market | E = \$15,001 - \$50,000 |
|--------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Wake, Neil V

Date of Report

08/1/2006

## VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐

NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure |                                 |                                |                                                                   |
|                                                                                                                             |                                         |                                              |                                                 |                                           |                                                           | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. --SEI Emerging Markets Debt Fund (Mut Fd)                                                                               | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 70. --SEI High Yield Bond Fund (Mut Fd)                                                                                     | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 71. --SEI International Equity Fund (Mut Fd)                                                                                | A                                       | Dividend                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 72. --SEI Emerging Markets Equity Fund (Mut Fd)                                                                             | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 73. Brokerage Acct # 5 (Money Purchase Plan)                                                                                |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 74. --SEI Large Cap Growth Fund (Mut Fd)                                                                                    | C                                       | Dividend                                     | M                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 75. --SEI Large Cap Value Fund (Mut Fd)                                                                                     | C                                       | Dividend                                     | N                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 76. --SEI Small Cap Value Fund (Mut Fd)                                                                                     | A                                       | Dividend                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 77. --SEI Small Cap Growth Fund (Mut Fd)                                                                                    | A                                       | Dividend                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 78. --Medtronic Inc common stock                                                                                            | A                                       | Dividend                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 79. --Microsoft Corp common stock                                                                                           |                                         | None                                         | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 80. --Verizon Communications common stock                                                                                   | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 81. --SEI International Equity Fund (Mut Fd)                                                                                | A                                       | Dividend                                     | M                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 82. --SEI Emerging Markets Equity Fund (Mut Fd)                                                                             | A                                       | Dividend                                     | M                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 83. --SEI Core Fixed Income Fund (Mut Fd)                                                                                   | C                                       | Dividend                                     | M                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 84. --SEI International Fixed Income Fund (Mut Fd)                                                                          | B                                       | Dividend                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 85. --SEI High Yield Bond Fund (Mut Fd)                                                                                     | B                                       | Dividend                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |

|                                                  |                                                                     |                                                        |                                                                |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------|----------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                     | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000        | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000                   | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000     | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | T = Cash Market         |
| 3. Value Method Codes<br>(See Column C2)         | P3 = \$25,000,001 - \$50,000,000<br>Q = Appraisal<br>U = Book Value | R = Cost (Real Estate Only)<br>V = Other               | P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated |                                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Wake, Neil V

Date of Report

08/1/2006

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)



NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure |                                 |                                |                                                                   |
|                                                                                                                             |                                         |                                              |                                                 |                                           |                                                           | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. --SEI Emerging Markets Debt Fund (Mut Fd)                                                                               | B                                       | Dividend                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 87. --SEI Prime Obligation Fund (Money Market)                                                                              | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 88. Trust # 4 (beneficiaries)                                                                                               | B                                       | Dividend                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 89. --SEI Tax-Mgd Large Cap Fund (Mut Fd)                                                                                   |                                         |                                              |                                                 |                                           | Sold part                                                 | 1-25                          | J                               | A                              |                                                                   |
| 90. --SEI Tax-Mgd Large Cap Fund (Mut Fd)                                                                                   |                                         |                                              |                                                 |                                           | Sold part                                                 | 8-8                           | J                               | B                              |                                                                   |
| 91. --General Electric Co common stock                                                                                      |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 92. --Medtronic Inc common stock                                                                                            |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 93. --SEI Small Cap Growth Fund (Mut Fd)                                                                                    |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 94. --SEI Small Cap Value Fund (Mut Fd)                                                                                     |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 95. --Microsoft Corp common stock                                                                                           |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 96. --SEI Intermediate-Term Municipal Fund (Mut Fd)                                                                         |                                         |                                              |                                                 |                                           | Sold part                                                 | 2-171                         | J                               | A                              |                                                                   |
| 97. --SEI Intermediate-Term Municipal Fund (Mut Fd)                                                                         |                                         |                                              |                                                 |                                           | Sold part                                                 | 8-58-                         | J                               | A                              |                                                                   |
| 98. --SEI International Fixed Income Fund (Mut Fd)                                                                          |                                         |                                              |                                                 |                                           | Sold part                                                 | 1-25                          | J                               | A                              |                                                                   |
| 99. --SEI International Fixed Income Fund (Mut Fd)                                                                          |                                         |                                              |                                                 |                                           | Sold part                                                 | 8-8                           | J                               | A                              |                                                                   |
| 100. --SEI High Yield Bond Fund (Mut Fd)                                                                                    |                                         |                                              |                                                 |                                           | Sold part                                                 | 1-25                          | J                               | A                              |                                                                   |
| 101. --SEI High Yield Bond Fund (Mut Fd)                                                                                    |                                         |                                              |                                                 |                                           | Sold Part                                                 | 8-8                           | J                               | A                              |                                                                   |
| 102. --SEI Emerging Markets Debt Fund (Mut Fd)                                                                              | A                                       | Dividend                                     | J                                               | T                                         | Sold part                                                 | 8-5                           | J                               | A                              |                                                                   |

### 1. Income Gain Codes:

A = \$1,000 or less

B = \$1,001 - \$2,500

C = \$2,501 - \$5,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

(See Columns B1 and D4)

F = \$50,001 - \$100,000

G = \$100,001 - \$1,000,000

H1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000

### 2. Value Codes

J = \$15,000 or less

K = \$15,001 - \$50,000

L = \$50,001 - \$100,000

M = \$100,001 - \$250,000

(See Columns C1 and D3)

N = \$250,001 - \$500,000

O = \$500,001 - \$1,000,000

P1 = \$1,000,001 - \$5,000,000

P2 = \$5,000,001 - \$25,000,000

### 3. Value Method Codes

P3 = \$25,000,001 - \$50,000,000

R = Cost (Real Estate Only)

P4 = More than \$50,000,000

T = Cash Market

(See Column C2)

Q = Appraisal

V = Other

S = Assessment

U = Book Value

W = Estimated

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Name of Person Reporting

Wake, Neil V

Date of Report

08/1/2006

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure |                                 |                                |                                                                   |
|                                                                                                                             |                                         |                                              |                                                 |                                           |                                                           | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. --SEI International Equity Fund (Mut Fd)                                                                               |                                         |                                              |                                                 |                                           | Sold part                                                 | 8-51-                         | J                               | A                              |                                                                   |
| 104. --SEI International Equity Fund (Mut Fd)                                                                               |                                         |                                              |                                                 |                                           | Sold part                                                 | 8-8                           | J                               | A                              |                                                                   |
| 105. --SEI International Equity Fund (Mut Fd)                                                                               |                                         |                                              |                                                 |                                           | Sold part                                                 | 10-10                         | J                               | A                              |                                                                   |
| 106. --SEI Emerging Markets Equity Fund (Mut Fd)                                                                            |                                         |                                              |                                                 |                                           | Sold part                                                 | 1-25                          | J                               | A                              |                                                                   |
| 107. --SEI International Fixed Income Fund (Mut Fd)                                                                         |                                         |                                              |                                                 |                                           | Sold part                                                 | 4-4                           | J                               | A                              |                                                                   |
| 108. --SEI International Fixed Income Fund (Mut Fd)                                                                         |                                         |                                              |                                                 |                                           | Sold part                                                 | 8-8                           | J                               | A                              |                                                                   |
| 109. --Nortel Networks Corp New common stock                                                                                |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 110. --SEI Tax-Free Fund (Mut Fd)                                                                                           |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 111. Pinal County land                                                                                                      |                                         | None                                         | L                                               | W                                         |                                                           |                               |                                 |                                |                                                                   |
| 112. Mohave County land                                                                                                     |                                         | None                                         | J                                               | W                                         |                                                           |                               |                                 |                                |                                                                   |
| 113. Roth IRA # 4                                                                                                           |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 114. --Liquid Asset Fund (Mut Fd)                                                                                           | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 115. Manulife Financial Life Ins                                                                                            | A                                       | Dividend                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 116. Section 529 Account                                                                                                    |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 117. --SM & R Alger Aggressive GF CL-B (Mut Fd)                                                                             |                                         | None                                         | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 118. IRA # 5--                                                                                                              |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 119. --Engemann Cap Growth (Mut Fd)                                                                                         |                                         | None                                         | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |

|                                                  |                                                                     |                                                        |                                                                |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------|----------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                     | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000        | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000                   | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000     | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | P3 = \$25,000,001 - \$50,000,000<br>Q = Appraisal<br>U = Book Value | R = Cost (Real Estate Only)<br>V = Other               | P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | T = Cash Market                                              |                         |

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Name of Person Reporting

Wake, Neil V

Date of Report

08/1/2006

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure |                                 |                                |                                                                   |
|                                                                                                                             |                                         |                                              |                                                 |                                           |                                                           | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. -- Phx Strg Theme (Mut Fd) (formerly Seneca)                                                                           |                                         | None                                         | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 121. --AIM Groth (formerly MFS InveGrowthStock) (Mut Fd)                                                                    |                                         | None                                         | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 122. --AlgerAmerLvgAllCap (Mut Fd)                                                                                          |                                         | None                                         | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 123. --Bernstein Mid-Cap (Mut Fd)                                                                                           |                                         | None                                         | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 124. --Phx MidCap Growth (Mut Fd) (formerly Seneca)                                                                         |                                         | None                                         | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 125. --Tech Portfolio (Mut Fd)                                                                                              |                                         | None                                         | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 126. --WangerUS Smaller Cos (Mut Fd)                                                                                        |                                         | None                                         | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 127. --Aberdeen Int'l (Mut Fd)                                                                                              |                                         | None                                         | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 128. --Wanger Intl SmallCap (Mut Fd)                                                                                        |                                         | None                                         | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 129. --Phoenix Wealth Mngmt                                                                                                 | B                                       | Interest                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 130. Phoenix Universal Varialbe Life Ins (held by trust)                                                                    |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 131. --Oakhurst Allocation (Mut Fd)                                                                                         | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 132. --WangerUS Smaller Cos (Mut Fd)                                                                                        | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 133. --Wanger Intl SmallCap (Mut Fd)                                                                                        | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 134. --AllBernEnhancedIndex (Mut Fd)                                                                                        | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 135. --Engeman Growth&Inc (Mut Fd) (formerly Oakhurst)                                                                      | A                                       | Dividend                                     |                                                 | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 136. --AIM Growth (Mut Fd)                                                                                                  | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |

|                                                  |                                                                                                                                                                             |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
|--------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000<br>Q = Appraisal<br>U = Book Value | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000<br>R = Cost (Real Estate Only)<br>V = Other | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000<br>T = Cash Market | E = \$15,001 - \$50,000 |
|--------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|

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Name of Person Reporting

Wake, Neil V

Date of Report

08/1/2006

## VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure |                                 |                                |                                                                   |
|                                                                                                                             |                                         |                                              |                                                 |                                           |                                                           | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137. --Tech Portfolio                                                                                                       | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 138. IRA # 6                                                                                                                |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 139. --Liquid Assets Fund                                                                                                   | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 140. --Credit Suisse SM Cap Gth (Com)                                                                                       |                                         | None                                         | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 141. --Salomon Investors Value A                                                                                            | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 142. --Amer Cent Growth Adv                                                                                                 | A                                       | None                                         | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 143. --Blackrock Lo Duration Bd Svc                                                                                         | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 144. --Fidelity Adv Intermed Bd T                                                                                           | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 145. --Davis Real Estate A                                                                                                  | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 146. --Fidelity Adv Mid Cap A                                                                                               | A                                       | Distribution                                 | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 147. Brokerage Acct # 6                                                                                                     |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 148. --Special Value Fund D                                                                                                 | A                                       | Dividend                                     |                                                 |                                           | Sell                                                      | 11-18                         | J                               | A                              |                                                                   |
| 149. --Mid-Cap Value Fund D                                                                                                 | B                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 150. --Van Kampen Grw & Inc A                                                                                               | C                                       | Dividend                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 151. --Van Kampen Grw & Inc A                                                                                               | A                                       | Dividend                                     | K                                               | T                                         | Buy                                                       | 11-18                         | K                               |                                |                                                                   |
| 152. --MSIF TR Equity Port Inst                                                                                             | B                                       | Dividend                                     | K                                               | T                                         | Sell                                                      | 11-18                         | K                               | D                              |                                                                   |
| 153. --MSIF TR Small Co Growth A                                                                                            | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |

|                                                  |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000<br>R = Cost (Real Estate Only)<br>V = Other | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000<br>T = Cash Market | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Wake, Neil V

Date of Report

08/1/2006

## VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure |                                 |                                |                                                                   |
|                                                                                                                             |                                         |                                              |                                                 |                                           |                                                           | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154. --MSIF TR Mid Cap Growth Inst                                                                                          | B                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 155. --MSIF TR Real Estate Port A                                                                                           | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 156. --MSIF TR Large Cap Growth Port A<br>(formerly Equity Growth)                                                          | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 157. --MSIF TR US Small Cap Val Int                                                                                         | A                                       | Dividend                                     | J                                               | T                                         | Buy                                                       | 11-18                         | J                               |                                |                                                                   |
| 158. Brokerage Acct # 7                                                                                                     |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 159. --Harris Insight MM                                                                                                    | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 160. --Cisco Systems Inc (common)                                                                                           |                                         | None                                         | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 161. Callon Petroleum Co (common) (X)                                                                                       |                                         | None                                         | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |

|                                                  |                                                                     |                                                        |                                                                |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------|----------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                     | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000        | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000                   | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000     | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | P3 = \$25,000,001 - \$50,000,000<br>Q = Appraisal<br>U = Book Value | R = Cost (Real Estate Only)<br>V = Other               | P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | T = Cash Market                                              |                         |

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Wake, Neil V

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

II.

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Wake, Neil V

Date of Report

08/1/2006

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

8-2-06

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544