

# FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                  |                                                                                                                                                                                                                             |                                                       |
|--------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| 1. Person Reporting (Last name, First name, Middle initial)<br>SCHALL, ALVINA                                                                    | 2. Court or Organization<br>U.S. Court of Appeals/Fed. Cir                                                                                                                                                                  | 3. Date of Report<br>05/13/2004                       |
| 4. Title (Article III Judges indicate active or senior status;<br>magistrate judges indicate full- or part-time)<br>U. S. Circuit Judge (Active) | 5. Report Type (check appropriate type)<br><input type="radio"/> Nomination,      Date<br><input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final                                 | 6. Reporting Period<br>01/01/2003<br>to<br>12/31/2003 |
| 7. Chambers or Office Address<br>Suite 907<br>717 Madison Place, N.W.<br>Washington, DC 20439                                                    | 8. On the basis of the information contained in this Report and any<br>modifications pertaining thereto, it is, in my opinion, in compliance<br>with applicable laws and regulations.<br>Reviewing Officer _____ Date _____ |                                                       |

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

| <u>POSITION</u>         | <u>NAME OF ORGANIZATION/ENTITY</u> |
|-------------------------|------------------------------------|
| 1. Trustee (one of two) | Trust #1                           |
| 2. Trustee (one of two) | Trust #2                           |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |

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**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Date of Report

SCHALL, ALVIN A

05/13/2004

**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

|    |      |                                                       |             |
|----|------|-------------------------------------------------------|-------------|
| 1. | 2003 | Income from rental of real property (from individual) | \$11,000.00 |
|----|------|-------------------------------------------------------|-------------|

**B. Spouse's Non-Investment Income** - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria))☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1.

**IV. REIMBURSEMENTS** - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

|    |                                                |                                                                                                                             |
|----|------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------|
| 1. | New York Intellectual Property Law Association | March 28-29--New York, NY--Annual Dinner in Honor of the Federal Judiciary<br>(Transportation, Meals, and Lodging)          |
| 2. | Federal Circuit Bar Association                | May 21-24--Amelia Island, FL--Annual Meeting of the Federal Circuit Bar Association<br>(Transportation, Meals, and Lodging) |

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**V. GIFTS.** (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE

1.

**VI. LIABILITIES.** (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☐ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE

1. Sun Trust Bank

Loan-Secured by mortgage on real property owned by reporting person and

M

(2) (3) (4) (5)

12.

14.

02/27  
08/28  
09/25

|                         |                                |                             |                              |                               |                       |
|-------------------------|--------------------------------|-----------------------------|------------------------------|-------------------------------|-----------------------|
| 1. Income/Gain Codes:   | A = \$1,000 or less            | B = \$1,001-\$2,500         | C = \$2,501-\$5,000          | D = \$5,001-\$15,000          | E = \$15,001-\$50,000 |
| (See Columns B1 and D4) | F = \$50,001-\$100,000         | G = \$100,001-\$1,000,000   | H1 = \$1,000,001-\$5,000,000 | H2 = More than \$5,000,000    |                       |
| 2. Value Codes:         | J = \$15,000 or less           | K = \$15,001-\$50,000       | L = \$50,001-\$100,000       | M = \$100,001-\$250,000       |                       |
| (See Columns C1 and D3) | N = \$250,000-\$500,000        | O = \$500,001-\$1,000,000   | P1 = \$1,000,001-\$5,000,000 | P2 = \$5,000,001-\$25,000,000 |                       |
|                         | P3 = \$25,000,001-\$50,000,000 |                             | P4 = More than \$50,000,000  |                               |                       |
| 3. Value Method Codes   | Q = Appraisal                  | R = Cost (Real Estate Only) | S = Assessment               | T = Cash/Market               |                       |
| (See Column C2)         | U = Book Value                 | V = Other                   | W = Estimated                |                               |                       |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SCHALL, ALVIN A

Date of Report

05/13/2004

## VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                     | C.<br>Gross value at end of<br>reporting period |                                    | D.<br>Transactions during reporting period         |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-------------------------------------|-------------------------------------------------|------------------------------------|----------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                 | (1)                                             | (2)                                | (1)                                                | If not exempt from disclosure |                                 |                                |                                                                   |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.<br>div. rent or<br>int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month-<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 19. Yum Brands, Inc.                                                                                                        | A                                       | Dividend                            | J                                               | T                                  |                                                    |                               |                                 |                                |                                                                   |
| 20. Maryland State Health Education Facilities Bond,<br>due 07/1/09                                                         | B                                       | Interest                            | K                                               | T                                  |                                                    |                               |                                 |                                |                                                                   |
| 21. 4.25% U.S. Treasury Notes due 11/15/03                                                                                  | B                                       | Interest                            |                                                 |                                    | Redempt                                            | 11/17                         | L                               | A                              |                                                                   |
| 22. 5.625% U.S. Treasury Notes due 05/15/08                                                                                 | B                                       | Interest                            | K                                               | T                                  |                                                    |                               |                                 |                                |                                                                   |
| 23. Scudder Cash Investment Trust                                                                                           | A                                       | Interest                            | L                                               | T                                  |                                                    |                               |                                 |                                |                                                                   |
| 24. Scudder Cash Investment Trust                                                                                           | A                                       | Interest                            | K                                               | T                                  |                                                    |                               |                                 |                                |                                                                   |
| 25. Great Horn Mining Syndicate                                                                                             |                                         | None                                | J                                               | U                                  |                                                    |                               |                                 |                                |                                                                   |
| 26. Eagartown Water Co.                                                                                                     |                                         | None                                | J                                               | U                                  |                                                    |                               |                                 |                                |                                                                   |
| 27. Mainbocher, Inc.                                                                                                        |                                         | None                                | J                                               | U                                  |                                                    |                               |                                 |                                |                                                                   |
| 28. TRUST #3:*                                                                                                              |                                         |                                     |                                                 |                                    |                                                    |                               |                                 |                                |                                                                   |
| 29. Deutsche Bank Trust Co. Capital Growth Fund                                                                             | B                                       | Dividend                            | M                                               | T                                  |                                                    |                               |                                 |                                |                                                                   |
| 30. Deutsche Bank Trust Co. Special Opportunity Fund                                                                        | A                                       | Dividend                            | L                                               | T                                  |                                                    |                               |                                 |                                |                                                                   |
| 31. Deutsche Bank Trust Co. Tax-Free Income Fund                                                                            | C                                       | Dividend                            | L                                               | T                                  | Partial Sale                                       | 03/14                         | J                               | A                              |                                                                   |
| 32. Deutsche Bank Trust Co. International Fund                                                                              | A                                       | Dividend                            | J                                               | T                                  |                                                    |                               |                                 |                                |                                                                   |
| 33. Deutsche Bank Trust Co. Money Market Deposit<br>Account                                                                 | A                                       | Interest                            | J                                               | T                                  |                                                    |                               |                                 |                                |                                                                   |
| 34. TRUST #1:                                                                                                               |                                         |                                     |                                                 |                                    |                                                    |                               |                                 |                                |                                                                   |
| 35. American Express Co.                                                                                                    | A                                       | Dividend                            | K                                               | T                                  |                                                    |                               |                                 |                                |                                                                   |
| 36. BP PLC                                                                                                                  | B                                       | Dividend                            | L                                               | T                                  |                                                    |                               |                                 |                                |                                                                   |

\*I share the income from this trust with my [REDACTED].

|                         |                                |                             |                              |                               |                       |
|-------------------------|--------------------------------|-----------------------------|------------------------------|-------------------------------|-----------------------|
| 1. Income/Gain Codes:   | A = \$1,000 or less            | B = \$1,001-\$2,500         | C = \$2,501-\$5,000          | D = \$5,001-\$15,000          | E = \$15,001-\$50,000 |
| (See Columns F and D4)  | F = \$50,001-\$100,000         | G = \$100,001-\$1,000,000   | H = \$1,000,001-\$5,000,000  | HE = More than \$5,000,000    |                       |
| 2. Value Codes:         | J = \$15,000 or less           | K = \$15,001-\$50,000       | L = \$50,001-\$100,000       | M = \$100,001-\$250,000       |                       |
| (See Columns C) and D3) | N = \$250,001-\$500,000        | O = \$500,001-\$1,000,000   | PH = \$1,000,001-\$5,000,000 | PI = \$5,000,001-\$25,000,000 |                       |
|                         | PS = \$25,000,001-\$50,000,000 |                             | PD = More than \$50,000,000  |                               |                       |
| 3. Value Method Codes   | Q = Appraisal                  | R = Cost (Real Estate Only) | S = Assessment               | T = Cash/Market               |                       |
| (See Column C2)         | U = Book Value                 | V = Other                   | W = Estimated                |                               |                       |

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Name of Person Reporting  
SCHALL, ALVIN A

Date of Report  
05/13/2004

## VII. INVESTMENTS and TRUSTS

-- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                     | C.<br>Gross value at end of<br>reporting period |                                    | D.<br>Transactions during reporting period         |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-------------------------------------|-------------------------------------------------|------------------------------------|----------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                 | (1)                                             | (2)                                | (1)                                                | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.<br>div. rent or<br>int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 37. Kimberly Clark Corp.                                                                                                    | B                                       | Dividend                            | K                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 38. Raytheon Co.                                                                                                            | A                                       | Dividend                            | K                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 39. R.R. Donnelly & Co.                                                                                                     | A                                       | Dividend                            | K                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 40. Wyeth Co.                                                                                                               | A                                       | Dividend                            | K                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 41. Deutsche Bank Trust Co. Capital Growth Fund                                                                             | C                                       | Dividend                            | M                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 42. Deutsche Bank Trust Co. Special Opportunity Fund                                                                        | A                                       | Dividend                            | K                                               | T                                  | Partial Sale                                       | 05/15                          | J                               | Loss                           |                                                                   |
| 43. Deutsche Bank Trust Co. Tax-Free Income Fund                                                                            | D                                       | Dividend                            | M                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 44. Deutsche Bank Trust Co. International Fund                                                                              | A                                       | Dividend                            | J                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 45. Deutsche Bank Trust Co. Money Market Deposit Account                                                                    | A                                       | Interest                            | J                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 46. TRUST #4:                                                                                                               |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 47. Deutsche Bank Trust Co. Capital Growth Fund                                                                             | B                                       | Dividend                            | M                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 48. Deutsche Bank Trust Co. Special Opportunity Fund                                                                        | A                                       | Dividend                            | K                                               | T                                  | Partial Sale                                       | 07/31                          | K                               | Loss                           |                                                                   |
| 49. Deutsche Bank Trust Co. Tax-Free Income Fund                                                                            | C                                       | Dividend                            | L                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 50. Deutsche Bank Trust Co. International Fund                                                                              | A                                       | Dividend                            | J                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 51. Deutsche Bank Trust Co. Capital Income Fund                                                                             | A                                       | Dividend                            | K                                               | T                                  | Buy                                                | 07/31                          | K                               |                                |                                                                   |
| 52. Deutsche Bank Trust Co. Money Market Deposit Account                                                                    | A                                       | Interest                            | J                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 53. TRUST #2:                                                                                                               |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 54. American Express Co.                                                                                                    | A                                       | Dividend                            | L                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |

|                         |                                |                             |                                 |                               |                       |
|-------------------------|--------------------------------|-----------------------------|---------------------------------|-------------------------------|-----------------------|
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# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SCHALL, ALVIN A

Date of Report

05/13/2004

## VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                     | C.<br>Gross value at end of<br>reporting period |                                    | D.<br>Transactions during reporting period                |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-------------------------------------|-------------------------------------------------|------------------------------------|-----------------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                 | (1)                                             | (2)                                | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.<br>div. rent or<br>int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) |                                                           | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 55. BP PLC                                                                                                                  | B                                       | Dividend                            | L                                               | T                                  |                                                           |                                |                                 |                                |                                                                   |
| 56. General Electric Co.                                                                                                    | B                                       | Dividend                            | L                                               | T                                  |                                                           |                                |                                 |                                |                                                                   |
| 57. Merck & Co., Inc.                                                                                                       | B                                       | Dividend                            | L                                               | T                                  |                                                           |                                |                                 |                                |                                                                   |
| 58. Medco Health Solutions, Inc.                                                                                            | A                                       | Dividend                            | J                                               | T                                  | Spincit<br>From<br>Merck                                  | 08/25                          | J                               |                                |                                                                   |
| 59. Procter & Gamble Co.                                                                                                    | A                                       | Dividend                            | K                                               | T                                  |                                                           |                                |                                 |                                |                                                                   |
| 60. J.M. Smuckers Co.                                                                                                       | A                                       | Dividend                            | J                                               | T                                  |                                                           |                                |                                 |                                |                                                                   |
| 61. Deutsche Bank Trust Co. International Fund                                                                              | B                                       | Dividend                            | L                                               | T                                  |                                                           |                                |                                 |                                |                                                                   |
| 62. Deutsche Bank Trust Co. Maximum Income Fund                                                                             | E                                       | Interest                            | O                                               | T                                  |                                                           |                                |                                 |                                |                                                                   |
| 63. Deutsche Bank Trust Co. Money Market Deposit Account                                                                    | A                                       | Interest                            | J                                               | T                                  |                                                           |                                |                                 |                                |                                                                   |

|                         |                                |                             |                               |                               |                       |
|-------------------------|--------------------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------|
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# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

SCHALL, ALVIN A

Date of Report

05/13/2004

## VII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

### ADDITIONAL INFORMATION WITH RESPECT TO PART VII OF THIS REPORT:

1. Items 1-15, 18, 19, 25-27, 35-40, and 54-60 are common stock holding.
2. Items 16 and 17 are mutual funds.
3. Items 33, 45, 52, and 63 are in the nature of money market accounts.
4. Item 20 is a state bond.
5. Items 21 and 22 are United States Treasury Notes.
6. Items 23 and 24 are individual retirement accounts in the form of money market accounts.
7. Items 29, 30, 32, 41, 42, 44, 47, 48, 50, 51, and 61 are commingled common stock trust funds managed by Deutsche Bank Trust Co.
8. Items 31, 43, and 49 are commingled tax-free securities trust funds managed by Deutsche Bank Trust Co.
9. Item 62 is a commingled securities trust fund managed by Deutsche Bank Trust Co.

**FINANCIAL DISCLOSURE REPORT**

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SCHALL, ALVINA

Date of Report

05/13/2004

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

May 13, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544