

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Patterson, Jr., Robert P.	2. Court or Organization U.S. District Court, S.D.N.Y.	3. Date of Report 05/14/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (senior)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address U.S. District Court Chambers 2550, 500 Pearl St. New York, NY 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Managers	The Havens Relief Fund Society
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ **NONE** *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ **NONE** *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. John Hancock	Insurance Policy Loan	J
2. National Life Insurance	Insurance Policy Loan	J
3. New England Life (now Met Life)	Insurance Policy Loan	J
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Group (Keogh) Windsor II Fund	E	Dividend	O	T		1/15	K		Partial mandatory red emption
2. Vanguard Group (Keogh) Int. Term Corp. Fund	E	Interest	N	T		1/15	K		Partial mandatory red emption
3. Metropolitan Life Ins.	A	Dividend	J	T					
4. Istar Finl Inc.	A	Dividend			Partial Sale	8/18	J		Loss
5. Byron Bergen NY Sched 2.5% 6/15/08	A	Interest			Sold	6/16	K		Redemption Loss
6. Clarkstown School Dist. 4.5% 4/15/10	B	Interest	L	T					
7. New Rochelle City 4% 9/1/03	B	Interest	K	T					
8. N.Y. State Env. Fac. 5.6% 9/15/13	A	Interest	J	T					
9. N.Y. State Env. Fac. 5.25% 6/15/14	C	Interest	L	T					
10. Otsego Cnty NY Indl. Dev. Agy 5.125% 11 /1/12	C	Interest	L	T					
11. Orleans County, NY 4.9% 12/15/14	B	Interest	K	T					
12. Syracuse NY Ref. 3% 10/1/08	A	Interest			Sold	10/1	K		Redemption Loss
13. New York Tax Free Income Fund	A	Interest	J	T					
14. Chase Bank IRA Cash Equivalent	A	Interest	J	T					
15. Chase Bank IRA Cash Equivalent	A	Interest	J	T					
16. Green Point Savings Cash Equivalent	A	Interest	J	T					
17. NY Money Fund Premier	A	Interest	O	T		1/10			Transfer from Bear St erns

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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18. Bear Stearns New York Money Fund	A	Interest			Sold	1/10	N		Transfer to NY Money Fund
19. Nucor	A	Dividend	J		Buy	7/17	J		
20. Life Cell Corp.		None			Sold	5/20	K	E	
21. Schlumberger Ltd.	A	Dividend			Sold	12/17	J		Loss
22. Copart, Inc.		None	K	T	Sold (part)	6/26	J	C	
23. Dril-Quip Corp. CL-B		None	K	T					
24. Cisco Systems, Inc.		None			Sold	12/9	K		Loss
25. Corning Inc.	A	Dividend			Sold	10/22	J		Loss
26. Exxon Mobil Corp.	B	Dividend	K	T	Sold (part)	9/10	K	E	
27. General Electric	A	Dividend			Buy	6/13	J		
28. General Electric	A	Dividend			Sold	12/19	K		Loss
29. IBM	A	Dividend	K	T					
30. Istar Financial	C	Dividend			Sold	8/8	J		Loss
31. Helmerich & Payne Inc.	A	Dividend	J	T	Sold (part)	6/26	K	D	
32. Presstek, Inc.		None			Buy	6/3	K		
33. Presstek, Inc.		None			Sold	12/23	J		Loss
34. Medco Health Solutions		None	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Microsoft Corp.	A	Dividend			Sold	12/17	J		Loss
36. Questar Corp.	A	Dividend	K	T	Sold (part)	5/8	K	E	
37. Proctor & Gamble	A	Dividend	K	T					
38. Teleflex Inc.	A	Dividend	K	T					
39. TESCO Corp.		None	J	T	Buy	1/05	J		
40. Time Warner	A	Dividend			Sold	12/17	J		Loss
41. Igene Biotechnology Inc. (WTS)		None	J	T					No value
42. N.Y.S. Power Authority 5.625% 1/1/10	A	Interest	J	T					
43. Progress Energy, Inc. Contingent Value Obligation		None	J	T					No value
44. Ultra Life Batteries		None	J	T	Buy	2/8	K		
45. International Coal Group, Inc.		None			Sold	6/30	J	B	
46. International Coal Group, Inc.		None			Sold	12/9	J		Loss
47. JP Morgan Chase	A	Dividend			Buy	3/25	J		
48. JP Morgan Chase	A	Dividend			Sold	4/2	J		Loss
49. JP Morgan Chase	A	Dividend			Buy	5/21	K		
50. JP Morgan Chase	A	Dividend			Sold	12/17	K		Loss
51. US Treasury Bill	B	Interest			Buy	8/04	N		

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52. US Treasury Bill	B	Interest			Sold	11/06	N	B	
53. US Treasury Bill	A	Interest			Buy	8/04	M		
54. US Treasury Bill	A	Interest			Sold	11/06	M	A	
55.									
56.									
57.									

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Through an oversight due to change of clearing broker in January 2007, I failed to list a purchase by [REDACTED] Symbol Technologies in my May 2006 Financial Disclosure Report. Purchase was on 5/8/06 for just over \$15,000. Sale was made on 1/10/07, as reflected in this Report for 2007.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

May 14, 2009

Signature

A large black rectangular redaction box covering the signature area.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544