

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                               |                                                                                                                                                                                                                                            |                                                       |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| 1. Person Reporting (last name, first, middle initial)<br>Garwood, William L.                                                                                                                                 | 2. Court or Organization<br>United States Court of Appeals for the Fifth Circuit                                                                                                                                                           | 3. Date of Report<br>6/14/07                          |
| 4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br>Judge - Senior Status                                                                        | 5a. Report Type (check appropriate type)<br><input type="checkbox"/> Nomination, <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br>5b. <input type="checkbox"/> Amended Report | 6. Reporting Period<br>01/01/2006<br>to<br>12/31/2006 |
| 7. Chambers or Office Address<br>903 San Jacinto, Room 300<br>Austin, Texas 78701                                                                                                                             | 8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.<br><br>Reviewing Officer _____ Date _____                  |                                                       |
| <p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p> |                                                                                                                                                                                                                                            |                                                       |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

| <u>POSITION</u>             | <u>NAME OF ORGANIZATION/ENTITY</u>               |
|-----------------------------|--------------------------------------------------|
| 1. Partner (Joint Venturer) | U.S. 183 Venture (See Section VIII continuation) |
| 2. Member                   | Salvation Army, Austin, Texas, Advisory Board    |
| 3.                          |                                                  |
| 4.                          |                                                  |
| 5.                          |                                                  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |
| 3.          |                          |

RECEIVED  
2007 JUN 19 P 12:20  
FINANCIAL  
DISCLOSURE OFFICE

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 15

Name of Person Reporting

Garwood, William L

Date of Report

6/14/07

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------|
| 1.          |                        |                                        |
| 2.          |                        |                                        |
| 3.          |                        |                                        |
| 4.          |                        |                                        |
| 5.          |                        |                                        |

**B. Spouse's Non-Investment Income** - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1.          |                        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |
| 5.          |                        |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> |
|---------------|--------------------|
| 1.            |                    |
| 2.            |                    |
| 3.            |                    |
| 4.            |                    |
| 5.            |                    |

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 15

Name of Person Reporting

Garwood, William L

Date of Report

6/14/07

**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

# FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

Garwood, William L

Date of Report

6/14/07

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period     |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. 26.666% General Partnership interest in U.S. 183 Venture                                                                 | A                                       | Rent                                         | L                                               | U                                         |                                                |                               |                                 |                                |                                                                   |
| 2.                                                                                                                          |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 3. Assets held through Bank of America, Austin:                                                                             |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 4. Individual Retirement Account:                                                                                           |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 5. Columbia Cash Reserves Trust Class                                                                                       | D                                       | Dividend                                     | M                                               | T                                         | Partial Sale                                   | 11/30                         | J                               | A                              |                                                                   |
| 6.                                                                                                                          |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 7. Life insurance policy with Prudential Insurance Company                                                                  | A                                       | Dividend                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 8.                                                                                                                          |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 9. Bank of America (Ch) Austin                                                                                              | A                                       | Interest                                     | L                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 10. Bank of America (Ch) Austin                                                                                             | A                                       | Interest                                     | M                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 11. Bank of America (Ch) Austin                                                                                             | A                                       | Interest                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 12. Bank of America (Ch) Austin                                                                                             | A                                       | Interest                                     | L                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 13.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 14. T. Rowe Price Tax-Free Income Fund                                                                                      | C                                       | Dividend                                     | L                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 15. T. Rowe Price New Income Fund - IRA                                                                                     | B                                       | Dividend                                     | K                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 16.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 17. Dreyfus Municipal Bond Fund, Inc.                                                                                       | B                                       | Dividend                                     | K                                               | T                                         |                                                |                               |                                 |                                |                                                                   |

|                                                  |                                                                     |                                                        |                                                                |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------|----------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                     | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000        | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000                   | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000     | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | T = Cash Market         |
| 3. Value Method Codes<br>(See Column C2)         | P3 = \$25,000,001 - \$50,000,000<br>Q = Appraisal<br>U = Book Value | R = Cost (Real Estate Only)<br>V = Other               | P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated |                                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 5 of 15

Name of Person Reporting

Garwood, William L

Date of Report

6/14/07

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                              | C<br>Gross value at end of<br>reporting period |                                           | D<br>Transactions during reporting period      |                               |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------------|------------------------------------------------|-------------------------------------------|------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. Dreyfus Intermediate Municipal Bond Fund, Inc.                                                                         | B                                      | Dividend                                     | K                                              | T                                         |                                                |                               |                                 |                                |                                                                   |
| 19. Dreyfus Municipal Bond Fund, Inc.                                                                                      | B                                      | Dividend                                     | K                                              | T                                         |                                                |                               |                                 |                                |                                                                   |
| 20. Dreyfus Intermediate Municipal Bond Fund, Inc.                                                                         | B                                      | Dividend                                     | K                                              | T                                         |                                                |                               |                                 |                                |                                                                   |
| 21.                                                                                                                        |                                        |                                              |                                                |                                           |                                                |                               |                                 |                                |                                                                   |
| 22. Interest-Salt Creek Triangle<br>Syndicate-Cupertino, CA                                                                | D                                      | Royalty                                      | J                                              | W                                         |                                                |                               |                                 |                                |                                                                   |
| 23. (Salt Creek Field, Natrona County, WY)                                                                                 |                                        |                                              |                                                |                                           |                                                |                               |                                 |                                |                                                                   |
| 24.                                                                                                                        |                                        |                                              |                                                |                                           |                                                |                               |                                 |                                |                                                                   |
| 25. Royalty Interest #1, Jackson County, CO                                                                                | B                                      | Royalty                                      | J                                              | W                                         |                                                |                               |                                 |                                |                                                                   |
| 26.                                                                                                                        |                                        |                                              |                                                |                                           |                                                |                               |                                 |                                |                                                                   |
| 27. Royalty Interest #2, Natrona County, WY                                                                                | B                                      | Royalty                                      | J                                              | W                                         |                                                |                               |                                 |                                |                                                                   |
| 28.                                                                                                                        |                                        |                                              |                                                |                                           |                                                |                               |                                 |                                |                                                                   |
| 29. Royalty Interest #3, Fremont County, WY                                                                                | C                                      | Royalty                                      | J                                              | W                                         |                                                |                               |                                 |                                |                                                                   |
| 30.                                                                                                                        |                                        |                                              |                                                |                                           |                                                |                               |                                 |                                |                                                                   |
| 31. Royalty Interest #4, Sweetwater County,<br>WY                                                                          | C                                      | Royalty                                      | J                                              | W                                         |                                                |                               |                                 |                                |                                                                   |
| 32.                                                                                                                        |                                        |                                              |                                                |                                           |                                                |                               |                                 |                                |                                                                   |
| 33. Royalty Interest #5, Moffat County, CO                                                                                 | A                                      | Royalty                                      | J                                              | W                                         |                                                |                               |                                 |                                |                                                                   |
| 34.                                                                                                                        |                                        |                                              |                                                |                                           |                                                |                               |                                 |                                |                                                                   |

|                                                 |                                                                     |                                                        |                                                                |                                                              |                                                     |
|-------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------|----------------------------------------------------------------|--------------------------------------------------------------|-----------------------------------------------------|
| 1. Income Gain Codes<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                     | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H = \$5,001 - \$100,000               | D = \$5,001 - \$15,000<br>I = \$15,001 - \$50,000            | E = \$15,001 - \$50,000<br>J = \$50,001 - \$100,000 |
| 2. Value Codes<br>(See Columns C1 and D3)       | J = \$15,000 or less<br>N = \$250,001 - \$500,000                   | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000     | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | T = Cash Market                                     |
| 3. Value Method Codes<br>(See Column C2)        | P3 = \$25,000,001 - \$50,000,000<br>Q = Appraisal<br>U = Book Value | R = Cost (Real Estate Only)<br>V = Other               | P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated |                                                              |                                                     |

# FINANCIAL DISCLOSURE REPORT

Page 6 of 15

Name of Person Reporting

Garwood, William L

Date of Report

6/14/07

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>excmpt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period     |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. Royalty Interest #6, Moffat County, CO                                                                                  | A                                       | Royalty                                      | J                                               | W                                         |                                                |                               |                                 |                                |                                                                   |
| 36.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 37. Royalty Interest #7, Sweetwater County, WY                                                                              | D                                       | Royalty                                      | J                                               | W                                         |                                                |                               |                                 |                                |                                                                   |
| 38.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 39. Royalty Interest #8, Sweetwater County, WY                                                                              | A                                       | Royalty                                      | K                                               | W                                         |                                                |                               |                                 |                                |                                                                   |
| 40.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 41. Royalty Interest #9, Sweetwater County, WY                                                                              | A                                       | Royalty                                      | J                                               | W                                         |                                                |                               |                                 |                                |                                                                   |
| 42.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 43. Royalty Interest #10, Sweetwater County, WY                                                                             | A                                       | Royalty                                      | J                                               | W                                         |                                                |                               |                                 |                                |                                                                   |
| 44.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 45. Mineral Interest #11. Undivided interest in 2.95097% mineral                                                            |                                         | None                                         | J                                               | W                                         |                                                |                               |                                 |                                |                                                                   |
| 46. interest in various surveys in Brooks County, TX                                                                        |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 47.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 48. Royalty Interest #12, Natrona County, WY                                                                                | A                                       | Royalty                                      | J                                               | W                                         |                                                |                               |                                 |                                |                                                                   |
| 49.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 50. Mineral Interest #13, One-sixth undivided interest in tract                                                             | A                                       | Royalty                                      | J                                               | W                                         |                                                |                               |                                 |                                |                                                                   |
| 51. of land in Pike County, KY                                                                                              |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |

|                                                  |                                                                     |                                                        |                                                                |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------|----------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                     | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000        | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000                   | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000     | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | P3 = \$25,000,001 - \$50,000,000<br>Q = Appraisal<br>U = Book Value | R = Cost (Real Estate Only)<br>V = Other               | P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 7 of 15

Name of Person Reporting

Garwood, William L

Date of Report

6/14/07

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C<br>Gross value at end of<br>reporting period |                                           | D<br>Transactions during reporting period      |                               |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|------------------------------------------------|-------------------------------------------|------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52.                                                                                                                        |                                         |                                              |                                                |                                           |                                                |                               |                                 |                                |                                                                   |
| 53. Royalty interest #1-10 & 12 are overriding royalties                                                                   |                                         |                                              |                                                |                                           |                                                |                               |                                 |                                |                                                                   |
| 54.                                                                                                                        |                                         |                                              |                                                |                                           |                                                |                               |                                 |                                |                                                                   |
| 55. Proceeds from settlement from class action suit against                                                                | A                                       | Interest                                     |                                                |                                           |                                                |                               |                                 |                                |                                                                   |
| 56. BP America Production Company                                                                                          | A                                       | Royalty                                      |                                                |                                           |                                                |                               |                                 |                                |                                                                   |
| 57. See Sec.VIII note                                                                                                      |                                         |                                              |                                                |                                           |                                                |                               |                                 |                                |                                                                   |
| 58.                                                                                                                        |                                         |                                              |                                                |                                           |                                                |                               |                                 |                                |                                                                   |
| 59. Investments held through Merrill Lynch:                                                                                |                                         |                                              |                                                |                                           |                                                |                               |                                 |                                |                                                                   |
| 60. -Merrill Lynch Ready Assets Trust                                                                                      | F                                       | Dividend                                     | P1                                             | T                                         |                                                |                               |                                 |                                |                                                                   |
| 61. -Municipal Investment Trust Fund - 10th PUT Series                                                                     | A                                       | Interest                                     | J                                              | T                                         |                                                |                               |                                 |                                |                                                                   |
| 62. -Merrill Lynch Ready Assets Trust                                                                                      | A                                       | Dividend                                     | J                                              | T                                         |                                                |                               |                                 |                                |                                                                   |
| 63. -Merrill Lynch Ready Assets Trust                                                                                      | F                                       | Dividend                                     | P1                                             | T                                         |                                                |                               |                                 |                                |                                                                   |
| 64. -Municipal Investment Trust Fund - 10th PUT Series                                                                     | A                                       | Interest                                     | J                                              | T                                         |                                                |                               |                                 |                                |                                                                   |
| 65.                                                                                                                        |                                         |                                              |                                                |                                           |                                                |                               |                                 |                                |                                                                   |
| 66. Undivided 10/12ths of undivided acreage out of the east half                                                           |                                         | None                                         | J                                              | W                                         |                                                |                               |                                 |                                |                                                                   |
| 67. of the J. Devore League, possibly surface only,                                                                        |                                         |                                              |                                                |                                           |                                                |                               |                                 |                                |                                                                   |
| 68. Liberty County, Texas                                                                                                  |                                         |                                              |                                                |                                           |                                                |                               |                                 |                                |                                                                   |

|                                                 |                                                                     |                                                        |                                                                |                                                              |                         |
|-------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------|----------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                     | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000        | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)       | J = \$15,000 or less<br>N = \$250,001 - \$500,000                   | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000     | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | T = Cash Market         |
| 3. Value Method Codes<br>(See Column C2)        | P3 = \$25,000,001 - \$50,000,000<br>Q = Appraisal<br>U = Book Value | R = Cost (Real Estate Only)<br>V = Other               | P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated |                                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 8 of 15

Name of Person Reporting

Garwood, William L

Date of Report

6/14/07

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period     |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 70. Investments held through Bank of America<br>(account 1):                                                                |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 71. -Columbia Intermediate Municipal Bond<br>Fund Class Z (formerly                                                         | E                                       | Interest                                     | O                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 72. -Nations Intermed Muni Inc Fund Trust A<br>Shares)                                                                      |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 73. -Federal Farm Credit Bank Med Term Note<br>7.07% Due 6/6/06                                                             | D                                       | Interest                                     |                                                 |                                           | Matured                                        | 6/06                          | M                               | A                              |                                                                   |
| 74. -Federal Home Loan Mortgage Corp Note<br>7.22% Due 6/14/06                                                              | D                                       | Interest                                     |                                                 |                                           | Matured                                        | 6/14                          | M                               | A                              |                                                                   |
| 75. -Altera Corp Common                                                                                                     |                                         | None                                         | N                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 76. -Motorola Inc. Common                                                                                                   | C                                       | Dividend                                     | N                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 77. -Federal National Mortgage Assn Med Term<br>Note Due 4/2/07                                                             | D                                       | Interest                                     | M                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 78. -Emerson Elec Co Common                                                                                                 | D                                       | Dividend                                     | O                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 79. -Hewlett Packard Co Common                                                                                              | B                                       | Dividend                                     | L                                               | T                                         | Partial Sale                                   | 3/08                          | K                               | D                              |                                                                   |
| 80.                                                                                                                         |                                         |                                              |                                                 |                                           | Partial Sale                                   | 3/10                          | K                               | D                              |                                                                   |
| 81.                                                                                                                         |                                         |                                              |                                                 |                                           | Partial Sale                                   | 9/01                          | K                               | E                              |                                                                   |
| 82.                                                                                                                         |                                         |                                              |                                                 |                                           | Partial Sale                                   | 9/13                          | K                               | E                              |                                                                   |
| 83.                                                                                                                         |                                         |                                              |                                                 |                                           | Partial Sale                                   | 9/26                          | K                               | E                              |                                                                   |
| 84. -Johnson & Johnson Common                                                                                               | D                                       | Dividend                                     | O                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 85. -Pepsico Inc Common                                                                                                     | D                                       | Dividend                                     | O                                               | T                                         |                                                |                               |                                 |                                |                                                                   |

|                                                  |                                                                     |                                                        |                                                                |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------|----------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                     | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000        | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000                   | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000     | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | P3 = \$25,000,001 - \$50,000,000<br>Q = Appraisal<br>U = Book Value | R = Cost (Real Estate Only)<br>V = Other               | P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 9 of 15

Name of Person Reporting

Garwood, William L.

Date of Report

6/14/07

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period     |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. -Diageo Plc-Spr Adr (UK-USD) Common                                                                                     | E                                       | Dividend                                     | O                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 87. -Abbott Labs Common                                                                                                     | D                                       | Dividend                                     | N                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 88. -Bank of America Corp Common                                                                                            | E                                       | Dividend                                     | O                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 89. -Coca Cola Co Common                                                                                                    | C                                       | Dividend                                     | M                                               | T                                         | Partial Sale                                   | 3/08                          | K                               | A                              |                                                                   |
| 90. -General Mills, Inc. Common                                                                                             | D                                       | Dividend                                     | N                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 91. -Texas Tech Univ Revs Ref & Impt 4.125%<br>Due 2/15/06                                                                  | B                                       | Interest                                     |                                                 |                                           | Matured                                        | 2/15                          | L                               | A                              |                                                                   |
| 92. -Texas St G.O. Rev Bds 4.0% Due 10/1/06                                                                                 | C                                       | Interest                                     |                                                 |                                           | Matured                                        | 10/01                         | L                               | A                              |                                                                   |
| 93. -Texas Tech Univ Revs Ref & Impt 4.25%<br>Due 2/15/07                                                                   | D                                       | Interest                                     | M                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 94. -Houston Tex GO Pub Impt Ref Bds 5.0%<br>Due 3/1/06                                                                     | B                                       | Interest                                     |                                                 |                                           | Matured                                        | 3/01                          | L                               | A                              |                                                                   |
| 95. -Katy Tex ISD Ref Bds 5.0% Due 2/15/06                                                                                  | B                                       | Interest                                     |                                                 |                                           | Matured                                        | 2/15                          | L                               | A                              |                                                                   |
| 96. -Texas St Pub Fin Auth Rev Bds 5.1% Due<br>2/1/06                                                                       | C                                       | Interest                                     |                                                 |                                           | Matured                                        | 2/01                          | M                               | A                              |                                                                   |
| 97. -Texas St Pub Fin Auth Rev Bds 5.5% Due<br>2/1/11                                                                       | D                                       | Interest                                     | M                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 98. -Applied Materials Inc. Common                                                                                          | C                                       | Dividend                                     | N                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 99. -Agilent Technologies Inc. Common                                                                                       |                                         | None                                         | N                                               | T                                         | Partial Sale                                   | 3/10                          | K                               | C                              |                                                                   |
| 100.                                                                                                                        |                                         |                                              |                                                 |                                           | Partial Sale                                   | 3/10                          | K                               | B                              |                                                                   |
| 101. -Pfizer Inc. Common                                                                                                    | D                                       | Dividend                                     | M                                               | T                                         | Partial Sale                                   | 12/11                         | K                               | A                              |                                                                   |
| 102. -Bank New York Inc. Common                                                                                             | D                                       | Dividend                                     | N                                               | T                                         |                                                |                               |                                 |                                |                                                                   |

|                                                  |                                                                     |                                                        |                                                                |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------|----------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                     | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000        | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000                   | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000     | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | T = Cash Market         |
| 3. Value Method Codes<br>(See Column C2)         | P3 = \$25,000,001 - \$50,000,000<br>● = Appraisal<br>U = Book Value | R = Cost (Real Estate Only)<br>V = Other               | P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated |                                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 10 of 15

Name of Person Reporting

Garwood, William L

Date of Report

6/14/07

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                       | C.<br>Gross value at end of<br>reporting period |                                    | D.<br>Transactions during reporting period |                        |                          |                         |                                                            |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|---------------------------------------|-------------------------------------------------|------------------------------------|--------------------------------------------|------------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                   | (1)                                             | (2)                                | (1)                                        | (2)                    | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.<br>div., rent,<br>or int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.<br>buy, sell,<br>redemption)    | Date<br>Month -<br>Day | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. -Qualcomm Inc. Common                                                                                                  | D                                       | Dividend                              | O                                               | T                                  |                                            |                        |                          |                         |                                                            |
| 104. -Federal Home Ln Bks Cons Bd Due<br>1/20/09                                                                            | D                                       | Interest                              | M                                               | T                                  |                                            |                        |                          |                         |                                                            |
| 105. -Galveston Cnty Tex CTFS Oblig-OLD Due<br>2/1/11                                                                       | D                                       | Interest                              | M                                               | T                                  |                                            |                        |                          |                         |                                                            |
| 106. -Galveston Cnty Tex CTFS Oblig-OLD Due<br>2/1/09                                                                       | C                                       | Interest                              | M                                               | T                                  |                                            |                        |                          |                         |                                                            |
| 107. -College Station Tex G.O. Impt Bds-OLD<br>Due 2/15/10                                                                  | D                                       | Interest                              | M                                               | T                                  |                                            |                        |                          |                         |                                                            |
| 108. -College Station Tex G.O. Impt Bds-OLD<br>Due 2/15/11                                                                  | D                                       | Interest                              | N                                               | T                                  |                                            |                        |                          |                         |                                                            |
| 109. -Sheldon Tex ISD G.O. Sch Bldg & Ref<br>Bds-OLD Due 2/15/11                                                            | D                                       | Interest                              | M                                               | T                                  |                                            |                        |                          |                         |                                                            |
| 110. -Baytown Tex Wtr & Swr Rev 3.0% Due<br>2/1/09                                                                          | C                                       | Interest                              | M                                               | T                                  |                                            |                        |                          |                         |                                                            |
| 111. -Plum Creek Timber Co Inc Common                                                                                       | E                                       | Dividend                              |                                                 |                                    | Sell                                       | 8/29                   | M                        | E                       |                                                            |
| 112.                                                                                                                        |                                         |                                       |                                                 |                                    | Sell                                       | 9/01                   | L                        | E                       |                                                            |
| 113.                                                                                                                        |                                         |                                       |                                                 |                                    | Sell                                       | 9/08                   | K                        | C                       |                                                            |
| 114.                                                                                                                        |                                         |                                       |                                                 |                                    | Sell                                       | 9/13                   | M                        | E                       |                                                            |
| 115.                                                                                                                        |                                         |                                       |                                                 |                                    | Sell                                       | 9/26                   | L                        | D                       |                                                            |
| 116.                                                                                                                        |                                         |                                       |                                                 |                                    | Sell                                       | 9/27                   | L                        | E                       |                                                            |
| 117. -Exxon Mobil Corp Common                                                                                               | D                                       | Dividend                              | O                                               | T                                  | Partial Sale                               | 10/03                  | K                        | D                       |                                                            |
| 118. -ISHARES Inc, MSCI Australia Index Rd.                                                                                 | E                                       | Dividend                              | N                                               | T                                  | Buy Units                                  | 1/30                   | J                        |                         |                                                            |
| 119. -SLM Corp Mtn Ser                                                                                                      | D                                       | Interest                              | M                                               | T                                  |                                            |                        |                          |                         |                                                            |

|                                                  |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000<br>R = Cost (Real Estate Only)<br>V = Other | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000<br>T = Cash Market | E = \$15,001 - \$50,000 |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|

# FINANCIAL DISCLOSURE REPORT

Page 11 of 15

Name of Person Reporting

Garwood, William L.

Date of Report

6/14/07

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period     |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. -Laredo Tex ISD Pub FAC Corp Due 8/1/10                                                                                | C                                       | Interest                                     | M                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 121. -Dominion Res Inc VA New Common                                                                                        | D                                       | Dividend                                     | N                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 122. -Ft Worth Tex Wtr & Swr Rev GO Ref<br>Bds-OLD 3.0% Due 2/15/10                                                         | C                                       | Interest                                     | L                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 123. -McKinney Tex Indpt Sch Dist Ref Bds<br>3.5% Due 2/15/11                                                               | D                                       | Interest                                     | M                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 124. -Columbia Tax-Exempt Reserves Trust<br>Class                                                                           | E                                       | Dividend                                     | P1                                              | T                                         |                                                |                               |                                 |                                |                                                                   |
| 125. -Merrill Lynch & Co., Inc. Preferred                                                                                   | D                                       | Dividend                                     | M                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 126. -Duke Energy Corp Common                                                                                               | D                                       | Dividend                                     | N                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 127. -JP Morgan Chase & Co Common                                                                                           | D                                       | Dividend                                     | N                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 128. -Morgan Stanley Common                                                                                                 | D                                       | Dividend                                     | N                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 129. -Comal TX Indpt Sch Dist Due 2/1/15                                                                                    | B                                       | Interest                                     | L                                               | T                                         | Buy                                            | 3/01                          | L                               |                                |                                                                   |
| 130. -Arch Coal Inc. Common                                                                                                 | B                                       | Dividend                                     | N                                               | T                                         | Buy Shs                                        | 3/07                          | M                               |                                |                                                                   |
| 131.                                                                                                                        |                                         |                                              |                                                 |                                           | Buy Shs                                        | 3/08                          | M                               |                                |                                                                   |
| 132.                                                                                                                        |                                         |                                              |                                                 |                                           | Buy Shs                                        | 9/13                          | K                               |                                |                                                                   |
| 133. -Huntsville Tex Indpt Sch Dist GO Due<br>2/15/17                                                                       | B                                       | Interest                                     | M                                               | T                                         | Buy                                            | 3/02                          | L                               |                                |                                                                   |
| 134.                                                                                                                        |                                         |                                              |                                                 |                                           | Buy                                            | 3/01                          | M                               |                                |                                                                   |
| 135. -Peabody Energy Corp Common                                                                                            | A                                       | Dividend                                     | M                                               | T                                         | Buy Shs                                        | 6/08                          | M                               |                                |                                                                   |
| 136.                                                                                                                        |                                         |                                              |                                                 |                                           | Buy Shs                                        | 6/09                          | M                               |                                |                                                                   |

|                                                  |                                                                     |                                                        |                                                                |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------|----------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                     | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000        | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000                   | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000     | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | P3 = \$25,000,001 - \$50,000,000<br>Q = Appraisal<br>U = Book Value | R = Cost (Real Estate Only)<br>V = Other               | P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

Garwood, William L

Date of Report

6/14/07

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period     |                             |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|------------------------------------------------|-----------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
|                                                                                                                             |                                         |                                              |                                                 |                                           |                                                |                             |                                 |                                |                                                                   |
| 137.                                                                                                                        |                                         |                                              |                                                 |                                           | Buy Shs                                        | 9/13                        | L                               |                                |                                                                   |
| 138.                                                                                                                        |                                         |                                              |                                                 |                                           | Partial Sale                                   | 12/11                       | L                               | A                              |                                                                   |
| 139. -San Antonio Tex 2006 Obligs-OID Due 2/01/19                                                                           |                                         | None                                         | L                                               | T                                         | Buy                                            | 11/14                       | M                               |                                |                                                                   |
| 140. -Plano Tex Indpt Sch Dist for GO Ref BDS-OID Due 2/15/18                                                               |                                         | None                                         | L                                               | T                                         | Buy                                            | 11/14                       | L                               |                                |                                                                   |
| 141. -Gregory Portland Tex Indpt Sch GO Ref BDS Due 2/15/13                                                                 |                                         | None                                         | L                                               | T                                         | Buy                                            | 12/15                       | L                               |                                |                                                                   |
| 142. -Verigy Ltd Common (Spinoff from Agilent Technologies Inc)                                                             |                                         | None                                         | K                                               | T                                         | Cash in Lieu                                   | 11/15                       | J                               | A                              |                                                                   |
| 143. -Bank of America cash account                                                                                          |                                         |                                              |                                                 |                                           | Closed to Line 71                              | 12/31                       | N                               |                                |                                                                   |
| 144.                                                                                                                        |                                         |                                              |                                                 |                                           |                                                |                             |                                 |                                |                                                                   |
| 145. Investments held through Bank of America (account 2):                                                                  |                                         |                                              |                                                 |                                           |                                                |                             |                                 |                                |                                                                   |
| 146. -Federal Farm Cr Bks Cons Bd 5.64% Due 4/4/11                                                                          | D                                       | Interest                                     | M                                               | T                                         |                                                |                             |                                 |                                |                                                                   |
| 147. -Greenville Tex ISD GO Sch Bldg & Ref Bds Due 8/15/09                                                                  | C                                       | Interest                                     | M                                               | T                                         |                                                |                             |                                 |                                |                                                                   |
| 148. -Brazoria Cnty Tex Mun Util Dis GO Bds 3.8% Due 9/1/09                                                                 | C                                       | Interest                                     | M                                               | T                                         |                                                |                             |                                 |                                |                                                                   |
| 149. -Cedar Park Tex G.O. Bds - OID 4.3% Due 2/15/10                                                                        | D                                       | Interest                                     | M                                               | T                                         |                                                |                             |                                 |                                |                                                                   |
| 150. -Denison Tex Wtrwrks & Swr Rev Ref Bds 4.15% Due 9/1/10                                                                | C                                       | Interest                                     | M                                               | T                                         |                                                |                             |                                 |                                |                                                                   |
| 151. -Denton Tex Util Sys Rev Bds OID 4.25% Due 12/1/10                                                                     | D                                       | Interest                                     | M                                               | T                                         |                                                |                             |                                 |                                |                                                                   |
| 152. -Laredo Tex ISD Ref Sch Bldg Bds-OID 4.2% Due 8/1/11                                                                   | C                                       | Interest                                     | L                                               | T                                         |                                                |                             |                                 |                                |                                                                   |
| 153. -Texas St Pub Fin Auth Rev Bds-OID                                                                                     | C                                       | Interest                                     | M                                               | T                                         |                                                |                             |                                 |                                |                                                                   |

|                                                  |                                                                     |                                                        |                                                                |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------|----------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A - \$1,000 or less<br>F - \$50,001 - \$100,000                     | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000        | D = \$5,001 - \$15,000<br>H2 - More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J - \$15,000 or less<br>N - \$250,001 - \$500,000                   | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000     | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | P3 = \$25,000,001 - \$50,000,000<br>Q - Appraisal<br>V - Book Value | R - Cost (Real Estate Only)<br>W - Other               | P4 - More than \$50,000,000<br>S - Assessment<br>W - Estimated | T - Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 13 of 15

Name of Person Reporting

Garwood, William L.

Date of Report

6/14/07

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period     |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 4.375% Due 11/1/11                                                                                                          |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 154. -Abilene Tex GO Bds-OID 4.4% Due 2/15/12                                                                               | D                                       | Interest                                     | M                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 155. -Tomball Tex ISD GO Sch Hse & Ref Bds 4.4% Due 2/15/12                                                                 | D                                       | Interest                                     | M                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 156. -Ennis Tex GO Ref & Impt Bds-OID 4.4% Due 8/1/12                                                                       | D                                       | Interest                                     | M                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 157. -Galveston Cnty Tex CTFS Oblig-OID 4.6% Due 2/1/13                                                                     | D                                       | Interest                                     | M                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 158. -Cedar Park Tex Util Sys Rev Bds-OID 4.625% Due 8/15/13                                                                | C                                       | Interest                                     | M                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 159. -Allen Tex GO Bds-OID 4.6% Due 9/1/14                                                                                  | D                                       | Interest                                     | M                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 160. -Travis Cnty Tex GO Rd Bds-OID 5.0% Due 3/1/19                                                                         | D                                       | Interest                                     | M                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 161. -Arlington Tex Wtr & Wastewater Rev 4.0% Due 6/1/15                                                                    | D                                       | Interest                                     | M                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 162. -Fort Bend Cnty Tex Perm Impt Bds 3.25% Due 9/1/10                                                                     | C                                       | Interest                                     | M                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 163. -LaPorte Tex Indpt Sch Dist GO Due 2/15/14                                                                             | C                                       | Interest                                     | N                                               | T                                         | Buy                                            | 3/10                          | M                               |                                |                                                                   |
| 164. -Columbia Cash Reserves Trust Class                                                                                    | C                                       | Dividend                                     | L                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 165.                                                                                                                        |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |

|                                                  |                                                                                                                                                                             |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
|--------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000<br>Q = Appraisal<br>U = Book Value | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000<br>R = Cost (Real Estate Only)<br>V = Other | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000<br>T = Cash Market | E = \$15,001 - \$50,000 |
|--------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|

# FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting

Garwood, William L

Date of Report

6/14/07

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Section I. The U.S. 183 Venture (the "Venture") is a joint venture in which I am one of several joint venturers; the Venture does not and did not engage in active business, and its sole function is and was ownership, related maintenance, leasing (and possible disposition) of a single tract of land (which was acquired in August 1982, is located in Travis County, Texas, and is and was essentially unimproved, except for tenant house, associated outbuildings, fences and the like) held as a passive investment; it had and has a "managing venturer," at all times a person other than myself; my relationship with it is and has been only as a passive investor.

Section VII, Line 53: Respecting all fractional overriding royalty interests (royalty interest #'s 1-10 & 12) in mineral leases as listed on pages 5, 6 and 7 (lines 25-53 of Section VII), my [REDACTED] owns these interests and acquired them by inheritance many years ago and neither [REDACTED] is or was a party to any of the leases (or assignments) or instruments creating the interests, and her interest is entirely passive; I do not consider that my [REDACTED] (or I) has or had, by virtue of her ownership of these royalty interests, any financial interest in any entity making the royalty payments or being the mineral lessee or operator of the lease in question.

Section VII, Lines 54 - 57: The class action referred to was filed and settled in Wyoming (Madsen vs. BP America Company), by class counsel (Murdock Law Firm, PC, Casper, Wyoming) on behalf of a class of royalty owners. My [REDACTED] was apparently included in the class by virtue of her ownership of royalty under one or more oil and/or gas leases held by defendant(s). She was notified of the suit but was not named as a party, and she took no action in it. Some undetermined portion of the amount listed as "Royalty" in Col. B may be attributed to claims for failure to properly report to royalty owners. Payment was by Hilltop National Bank (Casper, Wyoming) check payable to my [REDACTED] mailed to her by class counsel.

# FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

Garwood, William L.

Date of Report

6/14/07

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

6/14/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

### FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544