

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) HALL, Cynthia H	2. Court or Organization Ninth Circuit Court of Appeals	3. Date of Report 5/6/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. Circuit Judge	5. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 125 S. Grand Avenue, Suite 603 Pasadena, CA 91105	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.

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DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE1.

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION1.

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE1.

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VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. LBO INVESTMENT PARTNERSHIP	D	Interest	N	T					
2. FEDERATED MONEY MARKET (RBC)	A	Dividend	K	T					
3. BANK OF AMERICA PASADENA, CA CHECKING	A	Interest	J	T					
4. SAN JOSE CA ARPT REV MBIA DUE 2006	B	Interest			REDEMP TION	03/03	L		
5. BREITBURN ENERGY CO	A	Interest	J	W					
6. IRA - RBC (AGGREGATE)	C	Dividend	K	T					See Note #1 on Part VIII
7. PUBLIC STORAGE INC									See Note #1 on Part VIII
8. SOVRAN SELF STORAGE									See Note #1 on Part VIII
9. TREASURY CERTS. SER T 2012		None	-		REDEMP TION	05/15	J		
10. GREAT HALL INTT FDS									See Note #1 on Part VIII
11. EQCC HOME EQUITY	A	Interest			REDEMP TION	10/15	J		
12. NEW PERSPECTIVE FUND INC	B	Dividend	N	T					
13. FUNDAMENTAL INVESTORS INC	D	Dividend	O	T					
14. EURO PACIFIC GROWTH FUND	C	Dividend	N	T					
15. MURBANK GLENDALE PASADENA ARPT AUTH	B	Interest	K	T					
16. PLEASANT HILL CA MFMR RFDG HSG DUE 2020	C	Interest	L	T					
17. (Ameritrade) ADVANCED FIBRE COM		None			SELL	08/06	J	A	
18. VONTOBEL FDS		None	J	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D5)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIL INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-M)	(2) Type (e.g. div. rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. ADC TELECOM INC		None			SELL	07/17	J		
20. PAYDEN INV GROUP	E	Dividend	O	T					
21. VANGUARD INDEX	C	Dividend	N	T					
22. QUALCOMM INC	A	Dividend			SELL	07/21	J		
23. ARM HOLDINGS PLC-SPONS		None			SELL	04/04	J		
24. TICKETMASTER ONLINE - CITY		None			SELL	01/17	J		
25. (Ameritrade) WEBMD CORP		None	J	T					
26. TRIZETTO GRP		None			BUY	06/10	J		
27.					SELL	08/06	J		
28. EDISON SCHS INC		None			SELL	11/17	J		
29. MONEY MARKET (Ameritrade)	A	Interest	J	T					
30. SADDLEBACK COM COL DIST CA DUE 2004	B	Interest	L	T					
31. PASADENA CA ELECT REV BONDS DUE 2004	B	Interest	L	T					
32. LA CA MUNI IMP SERIES D DUE 2008	B	Interest	L	T					
33. LA CO CA MTA - PROP A DUE 2011	B	Interest	L	T					
34. PLEASANT HILL CA JT PWRS SER A DUE 2012	B	Interest	K	T					
35. SAN JOSE CA REDEV MERGED AREA DUE 2017	B	Interest	K	T					
36. GLENDALE CA USD GEN OBLIG SER B DUE 2018	B	Interest	K	T					

1. Income/Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001-\$2,500 G = \$100,001-\$1,000,000	C = \$2,501-\$5,000 H1 = \$1,000,001-\$5,000,000	D = \$5,001-\$15,000 H2 = More than \$5,000,000	E = \$15,001-\$50,000
2. Value Codes:	F = \$50,001-\$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,000-\$500,000 P5 = \$25,000,001-\$50,000,000	K = \$15,001-\$50,000 O = \$500,001-\$1,000,000	L = \$50,001-\$100,000 P1 = \$1,000,001-\$5,000,000 P4 = \$5,000,001-\$25,000,000	M = \$100,001-\$250,000 P2 = \$5,000,001-\$25,000,000
3. Value Method Codes:	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) U = Book Value	S = Assessment V = Other	T = Cash/Market W = Estimated	

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VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. SAN RAFAEL REDEV AGY CA DUE 2022	B	Interest	K	T					
38. UNIV OF CA (UC DAVIS MC) SER 1996 DUE 2024	C	Interest	L	T					
39. LAKE TAHOE CA USD SER A DUE 2024	B	Interest	K	T					
40. CAL ST UNIV FDN SACRAMENTO AUX DUE 2027	B	Interest	K	T					
41. CAL POLL CTL SO CA EDISON SER A DUE 2029	C	Interest	L	T					
42. GOLDMAN SACHS GRP	A	Dividend	J	T					
43. MORGAN ST COM	A	Dividend	J	T					
44. RF MICRO DEVICES INC		None			SELL	06/16	J		
45. LANOPTICS LTD		None	J	T					
46. JONES APPAREL GROUP INC	A	Dividend	J	T	BUY	06/10	J		
47. MIR GAMING GROUP INC		None	J	T					
48. OIL STATES INTL INC		None	J	T					
49. SIEBEL SYSTEMS INC		None			SELL	08/06	J		
50. METRO WIRELESS SO CA SERIES A 1998 DUE 2022	C	Interest			SELL	06/09	M	C	
51. SAN FRANCISCO CA CITY & CNTY ARPTS ISSUE 20 DUE 2026	B	Interest			SELL	06/09	L	C	
52. SAN JOSE CA USD SANTA CLARA SER D GEN OBLIG DUE 2025	D	Interest	M	T					
53. SAN FRANCISCO CA BAY AREA RTD DUE 2021	D	Interest	M	T					
54. POWAY REDEV AGY (PAGUAY REDEV PROJ) DUE 2022	D	Interest	M	T					

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(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H = \$1,000,001-\$5,000,000	I = \$5,000,001-\$25,000,000	J = More than \$25,000,000
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(See Columns C1 and D3)	O = \$500,001-\$1,000,000	P = \$1,000,001-\$5,000,000	Q = \$5,000,001-\$25,000,000	R = \$25,000,001-\$50,000,000	S = More than \$50,000,000
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	(1)	(2)	(1)	(2)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)		(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
55. LOS ANGELES CA USD SER B DUE 2023	D	Interest	N	T					
56. CALIF COMMUNITY COLLEGE FIN AUTHORITY BONDS DUE 2015	C	Interest	M	T					
57. CALIF COMMUNITY COLLEGE FIN AUTHORITY BONDS DUE 2019	B	Interest	K	T					See Note #2 on Part V
58. SAN JOSE CA ARPT REV SER A DUE 2031	B	Interest			SELL	06/09	L	B	
59. IRA (RBC) (In Aggregate) NUVEEN QUAL PREF INC FUND									See Note #1 on Part VIII
60. IRA (RBC) (In Aggregate) CITIGROUP INC LITIGATION WTS									See Note #1 on Part VIII
61. (Ameritrade) NEW CENTURY FIN CORP COM	A	Dividend	K	T	BUY	04/10	J		
62. UST INC COM	B	Dividend	K	T	BUY	01/17	J		
63. SKECHERS		None			SELL	03/04	J		
64. OAKLEY INC COM		None			SELL	01/16	J		
65. PMC-SIERRA INC COM		None			SELL	08/06	J		
66. SKYWORKS SOLUTIONS INC COM		None			SELL	06/16	J	A	
67. SAFEWAY INC		None			SELL	04/28	J	C	See Note #3 on Part VIII
68. (Ameritrade) CANDIES		None	J	T	BUY	11/18	J		
69. COUNTRYWIDE FINANCIAL CORP COM	A	Distribution	K	T	BUY	01/15	J		
70.					BUY	01/29	J		
71.					BUY	07/25	J		
72. FEDERAL HOME LOAN MTG CORP COM	A	Dividend	J	T	BUY	06/13	J		

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73. GENERAL DYNAMICS CORP COM	A	Dividend	J	T	BUY	04/10	J		
74. OCCIDENTAL PETE CORP DEL COM		None	J	T	BUY	09/15	J		
75. PFIZER	A	Dividend	J	T	BUY	06/18	J		
76.					BUY	08/22	J		
77. QUICKSILVER INC COM		None	J	T	BUY	06/12	J		
78. RPM INTERNATIONAL INC COM	A	Dividend	J	T	BUY	06/05	J		
79. VICO MFG CO COM		None	J	T	BUY	10/06	J		
80. IRA (RBC) (In Aggregate) NUVEEN PREF & CONV INC FUND 2					BUY	06/25	J		See Note #1 on Part VIII
81. CONTRA COSTA CA WTR REV, 5.5%, DUE 10/01/13	C	Interest	M	T	BUY	07/31	M		
82. CULVER CITY CA REDEV AGY, 4.75%, DUE 11/01/13	B	Interest	L	T	BUY	06/10	L		
83. CA COMMUNITY COLLEGE UNREFBAL BONDS, 4.625%, DUE 10/01/19		None	K	T	EXCHAN GE	10/09	K		See Note #2 on Part VIII

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3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

#1) On Part VII, the dividends and market value of lines 7 (PUBLIC STORAGE INC), 8 (SOVRAN SELF STORAGE), 10 (GREAT HALL INTTFDS), 59 (IRA RBC In Aggregate NUVEEN QUAL PREF INC FUND), 60 (IRA RBC In Aggregate CITIGROUP INC LITIGATION WTS), and 80 (IRA RBC In Aggregate NUVEEN PREF & CONV INC FUND 2) are presented in aggregate under line 6 (IRA-RBC AGGREGATE).

#2) On Part VII, 70% of the CALIF COMMUNITY COLLEGE FIN AUTHORITY BONDS DUE 2019 (line 57) was exchanged for the security CA COMMUNITY COLLEGE UNREF BAL BONDS, 4.625%, DUE 10/01/19 (line 83).

#3) On Part VII, line 67, SAFEWAY INC was inadvertently removed from the 2002 report. However, this issue was addressed to the Committee on Financial Disclosure and resolved in a letter dated May 20, 2003.

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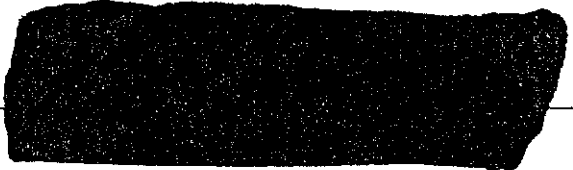
Date of Report

5/6/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature, 

Date, 5-11-04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544