

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. App., §§101-111)

FOR CALENDAR YEAR 2006

1. Person Reporting (Last name, first, middle initial) Boggs, Danny J.		2. Court or Organization Sixth Circuit Court of Appeals	3. Date of Report 5/15/06
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Judge (Active)	5. Report Type (check appropriate type) ____ Nomination, Date _____ ____ Initial ☒ Annual ____ Final		6. Reporting Period 01/01/06 - 12/31/06
7. Chambers or Office Address 220 U.S. Courthouse Bldg. Louisville, KY 40202		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.			

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)POSITIONNAME OF ORGANIZATION/ENTITY☐

NONE (No reportable positions.)

1	Trustee	Foundation for Research on Economics & the Environment
2	Counselor	Louis D. Brandeis American Inn of Court
3		
4		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)DATEPARTIES AND TERMS☒

NONE (No reportable agreements.)

1		
2		
3		

RECEIVED
2007 MAY 21 A 11:20
FINANCIAL
DISCLOSURE OFFICE**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of Instructions.)DATESOURCE AND TYPEGROSS INCOME☒

NONE (No reportable non-investment income.)

1		\$
2		\$
3		\$

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☐

NONE (No reportable non-investment income.)

1	Benefits Review Board, Department of Labor	\$
---	--	----

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Boggs, Danny J.

Date of Report

5/15/06

2

\$

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

SOURCE

DESCRIPTION

☐ NONE (No such reportable reimbursements.)

1	University of Houston Law School Houston, TX	3/2-4 - Transportation and 2 days' meals and lodging for speech at Conference on LNG.
2	University of Texas-Austin Austin, TX	3/26-28 - Transportation and 2 days' meals and lodging for talk at law school.
3	American Inns of Court Lansing, MI	5/10-11 -Transportation and 1 day's meals and lodging for talk to joint Michigan Inns meeting.
4	Federal Circuit Bar Association Albuquerque, NM	6/30-7/2 - Transportation and 3 days' meals and lodging for Conference attendance and panel on court administration.
5	Foundation for Research on Economics & the Environment - Bozeman, MT	8/13-16 - Transportation and 3 days' meals and lodging for Conference on Strategic Thinking with Professor Schelling.
5	American Judicial Education Institute Dallas, TX	11/9-12 - Transportation and 3 days' meals and lodging for talks at Appellate Judges Education Summit..
7	The Federalist Society Washington, DC	11/16-18 - Transportation and meals for Conference appearance on judicial independence panel.
3		
1		
0		
1		
2		

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)

SOURCE

DESCRIPTION

VALUE

☒ NONE (No such reportable gifts.)

1			\$
2			\$
3			\$
4			\$

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)

CREDITOR

DESCRIPTION

VALUE CODE*

NONE (No reportable liabilities.)

Sallie Mae Loan Corp. - Wilkes Barre, PA

Student Loans

M

MBNA America Bank - Wilmington, DE

Credit Card Loan for Education

K

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

Boggs, Danny J.

5/15/06

3	US Bank Home Mortgage - Owensboro, KY	Mortgage on Bowling Green Rental House	K
4	American Express Optima - Chicago, IL	Credit Card Loan for Education	J
5	Chase - Wilmington, DE	Credit Card Loan	M
6	Discover - Wilmington, DE	Credit Card Loan	K
7	AT&T - Universal Card - Sioux Falls, SD	Credit Card Loan	J
8	Capital One - Richmond, VA	Credit Card Loan	K
9			
10			

*Value Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000
P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month-Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)									
1 1/2 interest in rental house, in Bowling Green, KY	B	Rent	K	Q					
2 Chase Bank, Louisville, KY (checking account)	A	Int	K	T					
3 Carriage house on [REDACTED] residence property, Louisville, KY	D	Rent	M	S					
4 IRA Account - Chase Bank, Louisville, KY	B	Int/Div	L	T					
5 Israel Bonds	A	Int	J	T	Redemption	9/5/06	J	A	

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Boggs, Danny J.	5/15/06

VII. Page 2 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt Code 1 (A-H)	Type (e.g., div., rent or int.)	Value Code 2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									

6	Wachovia Sec. IRA Account	B	Int/Div	M	T				
7	Wachovia Sec. Account Standard & Poor Deposit Receipts, & Cash Funds	C	Div/Int	M	T	Sell	1/5/06	L	D
8	Metropolitan Life Stock from Mutualization of Company	A	Div	zero		Sell	6/2/06	J	A
9									
10									
11									
12									
13									
14									
15									
16									
17									

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

Boggs, Danny J.

5/15/06

VII. Page 3 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A. Description of assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt Code 1 (A-H)	Type (e.g., div., rent or int.)	Value Code 2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
18									
19									
20									
21									
22									
23									
24									
25									
26									
27									
29									
30									

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Boggs, Danny J.

Date of Report

5/15/06

VII. Page 4 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt Code 1 (A-H)	Type (e.g., div., rent or int.)	Value Code 2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

☐ NONE (No reportable income, assets, or transactions.)

31									
32									
33									
34									
35									
36									
37									
38									
39									
40									
41									
42									

1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4)	B=\$1,001-\$2,500 F=\$50,001-\$100,000	C=\$2,501-\$5,000 G=\$100,001-\$1,000,000	D=\$5,001-\$15,000 H1=\$1,000,001-\$5,000,000	E=\$15,001-\$50,000 H2=More than \$5,000,000
2	Value Codes: J=\$15,000 or less (See Col. C1, D3)	K=\$15,001-\$50,000 N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	L=\$50,001-\$100,000 O=\$500,001-\$1,000,000	M=\$100,001-\$250,000 P1=\$1,000,001-\$5,000,000 P4=More than \$5,000,000	
3	Value Method Codes: Q=Appraisal (See Col. C2)	U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

Boggs, Danny J.

5/15/06

VII. Page 5 INVESTMENTS and TRUSTS -- income, value, transactions *(Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)*

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt Code 1 (A-H)	Type (e.g., div., rent or int.)	Value Code 2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									

43									
44									
45									
46									
47									
48									
49									
50									
51									
52									
53									
54									

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Boggs, Danny J.

Date of Report

5/15/06

55

56

57

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

tem VII, 1. The appraisal on this house in Bowling Green, KY was done in 2002.

tem VII, 4. Carriage house is part of a common residential property that is our home and is otherwise exempt from disclosure under page 34 of the instructions. I am reporting this asset value as a proportionate share of the value of the whole property, as we received income from renting the carriage house. The assessment was as of early 2007.

tem VII, 5. Chase Bank IRA consists of shares of Standard & Poor Deposit Receipts and money market funds.

tem VII, 7. Wachovia IRA consists of shares of Standard & Poor Deposit Receipts, Israel Bonds, and money market funds.

X. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date

5/15/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544