

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2010**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

|                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                           |                                                                  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Weinstein, Jack B.                                                                                                                       | <b>2. Court or Organization</b><br><br>USDC-Eastern District of NY                                                                                                                                                                                                        | <b>3. Date of Report</b><br><br>4/4/2011                         |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>Senior U.S. District Judge                                                        | <b>5a. Report Type</b> (check appropriate type)<br><br><input type="checkbox"/> Nomination,      Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2010<br>to<br>12/31/2010 |
| <b>7. Chambers or Office Address</b><br><br>225 Cadman Plaza East<br>Brooklyn, N.Y. 11201                                                                                                                     | <b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b><br><br>Reviewing Officer _____ Date _____                                          |                                                                  |
| <b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i> |                                                                                                                                                                                                                                                                           |                                                                  |

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

| <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|-----------------|------------------------------------|
| 1. _____        | _____                              |
| 2. _____        | _____                              |
| 3. _____        | _____                              |
| 4. _____        | _____                              |
| 5. _____        | _____                              |

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u>                  |
|-------------|-------------------------------------------|
| 1. 1998     | TIAA Retirement Plans                     |
| 2. 1998     | New York State Retirement Plans           |
| 3. 2000     | New York State Savings Plan Schooling (2) |

**Weinstein , Jack B.**

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>             | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------------------|----------------------------------------|
| 1. 2010     | Royalties                          | \$4,000.00                             |
| 2. 2010     | Teachers Ins & Annuity Pensions    | \$11,189.00                            |
| 3. 2010     | NY State Retirement System Pension | \$8,691.84                             |
| 4. 2010     | Judicial Retiree & Survivors       | \$161,732.74                           |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1.          |                        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

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Name of Person Reporting

Weinstein, Jack B.

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-II)       | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Smith Barney cash                                                                                                        | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. Smith Barney ms active assets                                                                                            | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. Nassau Cty NY genl obligation 1/1/14                                                                                     | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 01/04/10                | J                               |                                |                                                                   |
| 4. NYS twy auth local hwy-4/1/21                                                                                            | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. Harborfields cent sch dis-ny-6/1/18                                                                                      | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. Buffalo NY mun wtr fin auth-7/1/22                                                                                       | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. NYS dorm auth lease-7/1/25                                                                                               | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. Dutchess Cnty NY resource-1/1/13                                                                                         | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 09/21/10                | J                               |                                |                                                                   |
| 9. Eastport south manor cent sch-6/15/21                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. NY NYC hlth & hosp-2/15/14                                                                                              | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 11/26/10                | J                               |                                |                                                                   |
| 11. NYS dorm auth revs-2/15/14                                                                                              | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 03/17/10                | J                               |                                |                                                                   |
| 12. NYS enviro facs corp-6/15/14                                                                                            | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. Smithtown ny cent sch dist-8/1/15                                                                                       | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 05/04/10                | J                               |                                |                                                                   |
| 14. NYS dorm auth rev-2/1/18                                                                                                | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. Triborough brdg & tunl auth-11/15/18                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. Dutchess Cnty NY indl dev agy-7/1/20                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 17. NY NY genl oblig ser-g 8/1/20                                                                                           | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000  
(See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000  
(See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market  
(See Column C2) U = Book Value V = Other W = Estimated

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. East Islip NY un free sch dist6/15/2                                                                                    | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 19. NY NYC genl oblig ser a - 8/1/21                                                                                        | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 20. NY NY genl oblig ser-l 3/1/22                                                                                           | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 21. NY NYC indl dev agy - 6/1/22                                                                                            | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 09/21/10                | J                               |                                |                                                                   |
| 22. NY NYC mun wtr fin auth-6/15/22                                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 23. Long Island Power Authority - 12/1/22                                                                                   | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 24. NYS enviro facs corp - 6/15/23                                                                                          | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 25. NY NY genl oblig ser j-8/1/23                                                                                           | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 01/12/10                | J                               |                                |                                                                   |
| 26. NYS mun bond bank agy - 12/1/23                                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 27. NY NY genl oblig ser-l 3/1/24                                                                                           | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 28. NYS enviro facs corp - 6/15/24                                                                                          | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 29. Port Auth NY & NJ one hundred-7/15/24                                                                                   | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 09/15/10                | J                               |                                |                                                                   |
| 30. NY NYC genl oblig ser-b - 8/1/24                                                                                        | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 31. NYS enviro facs corp - 10/15/24                                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 32. NY NY Genl Obl ser 10/1/25                                                                                              | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 09/21/10                | J                               |                                |                                                                   |
| 33. Metropolitan transn auth ny-ser oid 11/15/25                                                                            | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 34. Metropolitan transn auth ny-ser a 11/15/25                                                                              | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

- |                                                  |                                                                                                                                          |                                                                                                                |                                                                                                                                                       |                                                                                                                       |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$250,000.01 - \$500,000.00 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000<br>I11 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | D = \$5,001 - \$15,000<br>I12 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q = Appraisal<br>U = Book Value                                                                                                          | R = Cost (Real Estate Only)<br>V = Other                                                                       | S = Assessment<br>W = Estimated                                                                                                                       | T = Cash Market                                                                                                       |                         |
| 3. Value Method Codes<br>(See Column C2)         |                                                                                                                                          |                                                                                                                |                                                                                                                                                       |                                                                                                                       |                         |

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Name of Person Reporting

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☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. NYS Twy Auth Gen rev-g 1/1/26                                                                                           | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 36. NYS twy auth st pers income 3/15/26                                                                                     | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 37. NYS Dorm auth-7/1/26                                                                                                    | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 38. Triborough brdg & tunl auth - 1/1/27                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 39. NY NYC tfa rev futu re tax - 11/1/27                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 40. Metropolitan transn auth ny - 11/15/28                                                                                  | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 41. NY NY genl oblig ser H - 3/15/29                                                                                        | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 01/26/10                | J                               |                                |                                                                   |
| 42. Capital One Bank - CD matures 1/23/13                                                                                   | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 11/30/10                | J                               |                                |                                                                   |
| 43. Paragon coml Bk - CD matures 4/8/15                                                                                     | C                                       | Interest                                      | L                                               | T                                         | Buy                                             | 11/30/10                | L                               |                                |                                                                   |
| 44. NYS Dorm Auth - 7/1/26                                                                                                  | A                                       | Interest                                      |                                                 |                                           | Buy                                             | 03/08/10                | J                               |                                |                                                                   |
| 45. NYS Dorm Auth - 7/1/26                                                                                                  | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 05/04/10                | J                               |                                |                                                                   |
| 46. Morgan Stanley Bank N.A.                                                                                                | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 47. Nassau Cnty NY Genl Oblig 1/1/14                                                                                        | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 01/04/10                | J                               |                                |                                                                   |
| 48. NYS twy auth local hghwy 4/1/21                                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 49. Harborfields Cent Sch Dist NY 6/1/18                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 50. Buffalo NY Mun Wtr Fin Auth wtr 7/1/22                                                                                  | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 51. NYS dorm auth lease rev 7/1/25                                                                                          | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

- |                                                  |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000<br>R = Cost (Real Estate Only)<br>V = Other | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000<br>T = Cash Market | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q = Appraisal<br>U = Book Value                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
| 3. Value Method Codes<br>(See Column C2)         |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. Eastport South Manor Cent Sch Dist 6/15/21                                                                              | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 53. Dutchess Cnty NY resource recovery 1/1/13                                                                               | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 11/10/10                | J                               |                                |                                                                   |
| 54. NY NYC Hlth & Hosp Corp. 2/15/14                                                                                        | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 11/26/10                | J                               |                                |                                                                   |
| 55. NYS dorm auth revs 2/15/14                                                                                              | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 03/17/10                | J                               |                                |                                                                   |
| 56. Smithtown NY Cent Sch dist 8/1/15                                                                                       | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 57. NYS dorm auth rev aid long 11/1/15                                                                                      | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 58. Tarrytown NY Union Free Sch Dist 1/15/17                                                                                | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 59. NYS dorm auth rev 2/1/18                                                                                                | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 11/01/10                | J                               |                                |                                                                   |
| 60. Schenectady NYC sch dis 6/15/20                                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 61. Dutchess Cnty NY indi dev agy 7/1/20                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 62. NY NY genl oblig ser-g 8/1/20                                                                                           | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 63. NYS environmental facs 12/15/20                                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 64. East Islip NY un free sch dist ser-a 6/15/21                                                                            | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 65. NY NYC genl oblig ser a 8/1/21                                                                                          | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 66. Rockland Co NY various purposes 8/15/21                                                                                 | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 67. NY NY genl oblig ser-l 3/1/22                                                                                           | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 68. Suffolk Co NY pub impt serial ser-a 5/15/22                                                                             | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>I = More than \$5,000,000          | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Weinstein, Jack B.

Date of Report

4/4/2011

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. Buffalo NY fiscal stability 9/1/21                                                                                      | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 70. Brookhaven NY open space 11/1/21                                                                                        | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 71. Florida St Brd Ed Pub - 6/1/22                                                                                          | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 12/08/10                | J                               |                                |                                                                   |
| 72. NY NYC Mun wtr fin auth 6/15/22                                                                                         | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 73. NY NYC indl dev agy civic 6/1/22                                                                                        | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 09/23/10                | J                               |                                |                                                                   |
| 74. NY NYC mun wtr fin auth 6/15/22                                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 75. Metropolitan Trans auth ny ser-a 11/15/22                                                                               | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 76. Long Island poer auth ny 12/1/22                                                                                        | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 77. Freeport NY genl oblig ser-a 1/15/23                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 78. NYS envir facs corp st clean 6/15/23                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 79. NYS dorm auth Rochester ser-a 7/1/23                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 80. NY NYC genl oblig ser-n 8/1/23                                                                                          | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 01/12/10                | J                               |                                |                                                                   |
| 81. NY NY genl oblig ser-j 8/1/23                                                                                           | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 01/12/10                | J                               |                                |                                                                   |
| 82. NY NYC transitional 11/1/23                                                                                             | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 06/09/10                | J                               |                                |                                                                   |
| 83. NYS muni bond bank agy 12/1/23                                                                                          | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 84. Metropolitan trans au ny svc 1/1/24                                                                                     | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 85. NY NY genl oblig ser-l 3/1/24                                                                                           | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q = Appraisal<br>U = Book Value                                                                                                          | R = Cost (Real Estate Only)<br>V = Other                                                                       | S = Assessment<br>W = Estimated                                                                                                                      | T = Cash Market                                                                                                      |                         |
| 3. Value Method Codes<br>(See Column C2)         |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Weinstein, Jack B.

Date of Report

4/4/2011

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
|                                                                                                                             |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 86. NYS enviro facs corp st 6/15/24                                                                                         | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 87. Port Auth NY & NJ 7/15/24                                                                                               | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 09/15/10                | J                               |                                |                                                                   |
| 88. NYS dorm au memorial sloan 7/1/24                                                                                       | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 89. NY NYC genl oblig ser-b 8/1/24                                                                                          | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 90. NYS enviro facs corp 10/15/24                                                                                           | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 91. NYS enviro facs 6/15/25                                                                                                 | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 92. NY genl oblig 10/1/25                                                                                                   | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 09/21/10                | J                               |                                |                                                                   |
| 93. Metropolitan transn auth ny ser-a 11/15/25                                                                              | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 12/09/10                | K                               |                                |                                                                   |
| 94. Metropolitan transn auth ny ser-oid 11/15/25                                                                            | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 95. Metropolitan transn auth ny ser-a 11/15/25                                                                              | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 12/09/10                | J                               |                                |                                                                   |
| 96. NYS twy auth gen rev ser-g 1/1/26                                                                                       | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 97. NYS twy auth st pers income 3/15/26                                                                                     | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 98. NYS dorm auth pers income 12/15/26                                                                                      | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 99. Triborough brdg & tunl auth ny 1/1/27                                                                                   | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 100. NYC genl oblig fisca ser-g 8/1/27                                                                                      | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 101. NY NYC tfa rev futu re tax 11/1/27                                                                                     | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 102. Metropolitan trans auth ny trans 11/15/27                                                                              | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000  
(See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000  
2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000  
(See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000  
3. Value Method Codes P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000  
(See Column C2) Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market  
U = Book Value V = Other W = Estimated

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Weinstein, Jack B.

Date of Report

4/4/2011

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. NYC muni wtr fin auth 6/15/28                                                                                          | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 09/23/10                | J                               |                                |                                                                   |
| 104. NYS dorm auth revs 7/1/28                                                                                              | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 12/08/10                | J                               |                                |                                                                   |
| 105. Metropolitan transn auth ny dedicated 11/15/27                                                                         | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 12/09/10                | J                               |                                |                                                                   |
| 106. Metropolitan trans auth ny dedicated 11/15/28                                                                          | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 107. NY NY genl oblig ser H 3/15/29                                                                                         | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 01/26/10                | J                               |                                |                                                                   |
| 108. Paragon coml bk raleigh nc-CD 4/8/15                                                                                   | B                                       | Interest                                      | K                                               | T                                         | Buy                                             | 04/08/10                | K                               |                                |                                                                   |
| 109. Morgan Stanley Bank N.A.                                                                                               | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 110. AT&T Inc                                                                                                               | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 08/31/10                | J                               |                                |                                                                   |
| 111. Buckeye partners lp                                                                                                    | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 112. Chevron Corp                                                                                                           | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 12/03/10                | J                               |                                |                                                                   |
| 113. HJ Heinz Co                                                                                                            | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 09/01/10                | J                               |                                |                                                                   |
| 114. NY Community bancorp                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 08/31/10                | J                               |                                |                                                                   |
| 115. Proctor & Gamble                                                                                                       | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 08/31/10                | J                               |                                |                                                                   |
| 116. Smucker JM co com new                                                                                                  | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 09/01/10                | K                               |                                |                                                                   |
| 117. Verizon Communication                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 08/31/10                | J                               |                                |                                                                   |
| 118. Caterpillar finl 2/17/14                                                                                               | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 119. BP Capital market plc 5/8/14                                                                                           | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 08/25/10                | K                               |                                |                                                                   |

1. Income Gain Codes:  
(See Columns B1 and D4)

A = \$1,000 or less  
F = \$50,001 - \$100,000

2. Value Codes  
(See Columns C1 and D3)

J = \$15,000 or less  
N = \$250,001 - \$500,000  
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes  
(See Column C2)

Q = Appraisal  
U = Book Value

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000  
K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000  
R = Cost (Real Estate Only)  
V = Other

C = \$2,501 - \$5,000  
H11 = \$1,000,001 - \$5,000,000  
L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000  
S = Assessment  
W = Estimated

D = \$5,001 - \$15,000  
H12 = More than \$5,000,000  
M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

Page 11 of 16

Name of Person Reporting

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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. Sunoco Inc 10/15/14                                                                                                    | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 07/01/10                | J                               |                                |                                                                   |
| 121. Morgan Stanley 1/26/15                                                                                                 | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 07/01/10                | J                               |                                |                                                                   |
| 122. JP Morgan Chase & Co 10/1/15                                                                                           | B                                       | Interest                                      | K                                               | T                                         | Buy                                             | 08/30/10                | K                               |                                |                                                                   |
| 123. Barclays bank plc 9/22/16                                                                                              | B                                       | Interest                                      | K                                               | T                                         | Buy                                             | 07/01/10                | K                               |                                |                                                                   |
| 124. Goldman Sachs group inc 1/15/17                                                                                        | B                                       | Interest                                      | K                                               | T                                         | Buy                                             | 08/24/10                | K                               |                                |                                                                   |
| 125. JP Morgan Chase & Co 6/27/17                                                                                           | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 07/06/10                | K                               |                                |                                                                   |
| 126. General Electric cap corp 9/15/17                                                                                      | B                                       | Interest                                      | K                                               | T                                         | Buy                                             | 06/29/10                | K                               |                                |                                                                   |
| 127. Walt Disney Co 12/15/17                                                                                                | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 128. Target corp 1/15/18                                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 129. Verizon Communications 2/15/18                                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 130. Archer Daniels 3/15/18                                                                                                 | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 131. Berkshire Hathaway fin 5/15/18                                                                                         | B                                       | Interest                                      | K                                               | T                                         | Buy                                             | 04/08/10                | K                               |                                |                                                                   |
| 132. Viacom Inc cbs 5/15/18                                                                                                 | B                                       | Interest                                      | K                                               | T                                         | Buy                                             | 08/24/10                | K                               |                                |                                                                   |
| 133. Metlife Inc 8/15/18                                                                                                    | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 06/29/10                | J                               |                                |                                                                   |
| 134. Vanderbilt University 4/1/19                                                                                           | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 135. Credit Suisse 1/14/20                                                                                                  | B                                       | Interest                                      | K                                               | T                                         | Buy                                             | 08/24/10                | K                               |                                |                                                                   |
| 136. 15nc1 step up callable notes 6/11/25                                                                                   | C                                       | Interest                                      | L                                               | T                                         | Buy                                             | 06/08/10                | L                               |                                |                                                                   |

- |                                                  |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-------------------------|
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| 3. Value Method Codes<br>(See Column C2)         |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |

# FINANCIAL DISCLOSURE REPORT

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-I)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
|                                                                                                                             |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 137. Tenn Valley Auth 11/1/17                                                                                               | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 138. Tenn Valley Auth 12/15/17                                                                                              | A                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 139. Fed natl mtg assn 5/28/19                                                                                              | C                                       | Interest                                      |                                                 |                                           | Sold                                            | 08/30/10                | M                               |                                |                                                                   |
| 140. Fed natl mtg assn 3/11/22                                                                                              | B                                       | Interest                                      |                                                 |                                           | Sold                                            | 06/07/10                | K                               |                                |                                                                   |
| 141. Fed natl mtg assn step 9/29/25                                                                                         | C                                       | Interest                                      |                                                 |                                           | Sold                                            | 05/10/10                | L                               |                                |                                                                   |
| 142. Fed natl mtg assn 6/3/26                                                                                               | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 06/07/10                | K                               |                                |                                                                   |
| 143. Fed natl mtg assn 4/12/30                                                                                              | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 03/29/10                | M                               |                                |                                                                   |
| 144. Westernbank Puerto Rico 4/19/11                                                                                        | B                                       | Interest                                      |                                                 |                                           | Sold                                            | 05/10/10                | K                               |                                |                                                                   |
| 145. The National Republic Bank of Chicago 6/9/11                                                                           | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 146. Key Bank National assn Ohio cd 8/14/12                                                                                 | C                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 147. Discover Bank Greenwood cd 4/29/13                                                                                     | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 148. Goldman Sachs Bank 10/22/13                                                                                            | C                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 149. Paragon coml bank raleigh nc 4/8/15                                                                                    | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 04/08/10                | J                               |                                |                                                                   |
| 150. M&L marshall & lislely bank 8/27/18                                                                                    | C                                       | Interest                                      |                                                 |                                           | Sold                                            | 08/27/10                | L                               |                                |                                                                   |
| 151. Doral bk catano 5/21/18                                                                                                | C                                       | Interest                                      | M                                               | T                                         | Buy                                             | 05/12/10                | M                               |                                |                                                                   |
| 152. Goldman Sachs bank 1/14/19                                                                                             | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 153. American Express Bank 3/18/19                                                                                          | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

1. Income Gain Codes:  
(See Columns B1 and D4)

A = \$1,000 or less  
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000  
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000  
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes  
(See Columns C1 and D3)

J = \$15,000 or less  
N = \$250,001 - \$500,000  
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$5,000,000

M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes  
(See Column C2)

Q = Appraisal  
U = Book Value

R = Cost (Real Estate Only)  
V = Other

S = Assessment  
W = Estimated

T = Cash Market

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Name of Person Reporting

Weinstein, Jack B.

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154. cit bank salt lake city 4/15/19                                                                                        | C                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 155. National bk comm superior 4/30/19                                                                                      | C                                       | Interest                                      |                                                 |                                           | Sold                                            | 06/30/10                | L                               |                                |                                                                   |
| 156. GE capital financial inc 5/28/19                                                                                       | C                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 157. hsbc bank usa 7/31/19                                                                                                  | C                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 158. Toyota finl svgs bk hend 10/23/19                                                                                      | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 159. hometrust bank clyde nc 8/26/21                                                                                        | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 160. morgan stanley bank                                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 161. Sunoco inc 10/15/14                                                                                                    | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 07/06/10                | K                               |                                |                                                                   |
| 162. Keybank natl assn 3/3/16                                                                                               | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 07/19/10                | K                               |                                |                                                                   |
| 163. Fed Natl Mtg Assn                                                                                                      | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 06/28/10                | J                               |                                |                                                                   |
| 164. JP Morgan 1/30/12                                                                                                      | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 165. Capital One Bank 1/23/13                                                                                               | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 166. Paragon Coml Bk Raleigh NC 4/8/15                                                                                      | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 167. Citizens First Savings Bank 2/27/15                                                                                    | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 05/05/10                | K                               |                                |                                                                   |
| 168. Midland States Bank 6/17/16                                                                                            | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 06/17/10                | J                               |                                |                                                                   |
| 169. M&L Bank fsb Las Vegas 9/14/18                                                                                         | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 03/15/10                | J                               |                                |                                                                   |
| 170. Goldman Sachs Bank 3/25/19                                                                                             | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

1. Income Gain Codes:  
(See Columns B1 and D4)

A = \$1,000 or less  
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000  
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000  
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes  
(See Columns C1 and D3)

J = \$15,000 or less  
N = \$250,001 - \$500,000  
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000

M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes  
(See Column C2)

Q = Appraisal  
U = Book Value

R = Cost (Real Estate Only)  
V = Other

S = Assessment  
W = Estimated

T = Cash Market

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐

NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                        | C.<br>Gross value at end<br>of reporting period |                                    | D.<br>Transactions during reporting period |                  |                          |                         |                                                            |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------|-------------------------------------------------|------------------------------------|--------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                    | (1)                                             | (2)                                | (1)                                        | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.,<br>div., rent,<br>or int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.,<br>buy, sell,<br>redemption)   | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171. National Bk Comm Superior 4/30/19                                                                                      | A                                       | Interest                               |                                                 |                                    | Sold                                       | 06/30/10         | J                        |                         |                                                            |
| 172. Cit bank Salt Lake City 4/15/19                                                                                        | B                                       | Interest                               | L                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 173. Bank hapoalim bm fid 6/15/20                                                                                           | A                                       | Interest                               | K                                               | T                                  | Buy                                        | 06/02/10         | K                        |                         |                                                            |

1. Income Gain Codes:  
(See Columns B1 and D4)2. Value Codes  
(See Columns C1 and D3)3. Value Method Codes  
(See Column C2)A = \$1,000 or less  
F = \$50,001 - \$100,000J = \$15,000 or less  
N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal  
U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

"SEE ATTACHED certificate of accountant who prepared listing for item VII, dated February 9, 2011

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Date of Report

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

**Signature: s/ Jack B. Weinstein**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                  |                                                                  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Weinstein, Jack B.                                                                                                                | <b>2. Court or Organization</b><br><br>USDC-Eastern District of NY                                                                                                                                                                                                               | <b>3. Date of Report</b><br><br>08/19/2011                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>Senior U.S. District Judge                                                 | <b>5a. Report Type (check appropriate type)</b><br><input type="checkbox"/> Nomination,      Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input checked="" type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2010<br>to<br>12/31/2010 |
| <b>7. Chambers or Office Address</b><br><br>225 Cadman Plaza East<br>Brooklyn, N.Y. 11201                                                                                                              | <b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b><br><br>Reviewing Officer _____ Date _____                                                 |                                                                  |
| <b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page. |                                                                                                                                                                                                                                                                                  |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

| <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|-----------------|------------------------------------|
| 1. _____        | _____                              |
| 2. _____        | _____                              |
| 3. _____        | _____                              |
| 4. _____        | _____                              |
| 5. _____        | _____                              |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u>                  |
|-------------|-------------------------------------------|
| 1. 1998     | TIAA Retirement Plans                     |
| 2. 1998     | New York State Retirement Plans           |
| 3. 2000     | New York State Savings Plan Schooling (2) |

Weinstein , Jack B. A

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Weinstein, Jack B.

Date of Report

08/19/2011

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>             | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------------------|----------------------------------------|
| 1. 2010     | Royalties                          | \$4,000.00                             |
| 2. 2010     | Teachers Ins & Annuity Pensions    | \$11,189.00                            |
| 3. 2010     | NY State Retirement System Pension | \$8,691.84                             |
| 4. 2010     | Judicial Retiree & Survivors       | \$161,732.74                           |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1.          |                        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

**IV. REIMBURSEMENTS** — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Weinstein, Jack B.

Date of Report

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

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Name of Person Reporting

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Date of Report

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Smith Barney cash                                                                                                        | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. Smith Barney ms active assets                                                                                            | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. Nassau Cty NY genl obligation 1/1/14                                                                                     | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 01/04/10                | J                               |                                |                                                                   |
| 4. NYS twy auth local hwy-4/1/21                                                                                            | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. Harborfields cent sch dis-ny-6/1/18                                                                                      | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. Buffalo NY mun wtr fin auth-7/1/22                                                                                       | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. NYS dorm auth lease-7/1/25                                                                                               | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. Dutchess Cnty NY resource-1/1/13                                                                                         | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 09/21/10                | J                               |                                |                                                                   |
| 9. Eastport south manor cent sch-6/15/21                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. NY NYC hlth & hosp-2/15/14                                                                                              | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 11/26/10                | J                               |                                |                                                                   |
| 11. NYS dorm auth revs-2/15/14                                                                                              | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 03/17/10                | J                               |                                |                                                                   |
| 12. NYS enviro facs corp-6/15/14                                                                                            | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. Smithtown ny cent sch dist-8/1/15                                                                                       | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 05/04/10                | J                               |                                |                                                                   |
| 14. NYS dorm auth rev-2/1/18                                                                                                | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. Triborough brdg & tunl auth-11/15/18                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. Dutchess Cnty NY indl dev agy-7/1/20                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 17. NY NY genl oblig ser-g 8/1/20                                                                                           | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

1. Income Gain Codes:  
(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

I11 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

I12 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

3. Value Method Codes  
(See Column C2)

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. East Islip NY un free sch dist6/15/2                                                                                    | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 19. NY NYC genl oblig ser a - 8/1/21                                                                                        | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 20. NY NY genl oblig ser- 1 3/1/22                                                                                          | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 21. NY NYC indl dev agy - 6/1/22                                                                                            | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 09/21/10                | J                               |                                |                                                                   |
| 22. NY NYC mun wtr fin auth-6/15/22                                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 23. Long Island Power Authority - 12/1/22                                                                                   | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 24. NYS enviro facs corp - 6/15/23                                                                                          | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 25. NY NY genl oblig ser j-8/1/23                                                                                           | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 01/12/10                | J                               |                                |                                                                   |
| 26. NYS mun bond bank agy - 12/1/23                                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 27. NY NY genl oblig ser-l 3/1/24                                                                                           | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 28. NYS enviro facs corp - 6/15/24                                                                                          | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 29. Port Auth NY & NJ one hundred-7/15/24                                                                                   | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 09/15/10                | J                               |                                |                                                                   |
| 30. NY NYC genl oblig ser-b - 8/1/24                                                                                        | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 31. NYS enviro facs corp - 10/15/24                                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 32. NY NY Genl Obl ser 10/1/25                                                                                              | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 09/21/10                | J                               |                                |                                                                   |
| 33. Metropolitan transn auth ny-ser oid 11/15/25                                                                            | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 34. Metropolitan transn auth ny-ser a 11/15/25                                                                              | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

1. Income Gain Codes:  
(See Columns B1 and D4)

A = \$1,000 or less  
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000  
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes  
(See Columns C1 and D3)

J = \$15,000 or less  
N = \$250,001 - \$500,000  
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000

I12 = More than \$5,000,000  
M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes  
(See Column C2)

Q = Appraisal  
U = Book Value

R = Cost (Real Estate Only)  
V = Other

S = Assessment  
W = Estimated

T = Cash Market

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Weinstein, Jack B.

Date of Report

08/19/2011

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. NYS Twy Auth Gen rev-g 1/1/26                                                                                           | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 36. NYS twy auth st pers income 3/15/26                                                                                     | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 37. NYS Dorm auth-7/1/26                                                                                                    | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 38. Triborough brdg & tunl auth - 1/1/27                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 39. NY NYC tfa rev futu re tax - 11/1/27                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 40. Metropolitan transn auth ny - 11/15/28                                                                                  | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 41. NY NY genl oblig ser H - 3/15/29                                                                                        | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 01/26/10                | J                               |                                |                                                                   |
| 42. Capital One Bank - CD matures 1/23/13                                                                                   | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 11/30/10                | J                               |                                |                                                                   |
| 43. Paragon coml Bk - CD matures 4/8/15                                                                                     | C                                       | Interest                                      | L                                               | T                                         | Buy                                             | 11/30/10                | L                               |                                |                                                                   |
| 44. NYS Dorm Auth - 7/1/26                                                                                                  | A                                       | Interest                                      |                                                 |                                           | Buy                                             | 03/08/10                | J                               |                                |                                                                   |
| 45. NYS Dorm Auth - 7/1/26                                                                                                  | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 05/04/10                | J                               |                                |                                                                   |
| 46. Morgan Stanley Bank N.A.                                                                                                | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 47. Nassau Cnty NY Genl Oblig 1/1/14                                                                                        | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 01/04/10                | J                               |                                |                                                                   |
| 48. NYS twy auth local hghwy 4/1/21                                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 49. Harborfields Cent Sch Dist NY 6/1/18                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 50. Buffalo NY Mun Wtr Fin Auth wtr 7/1/22                                                                                  | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 51. NYS dorm auth lease rev 7/1/25                                                                                          | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

1. Income Gain Codes:  
(See Columns B1 and D4)

A = \$1,000 or less  
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000  
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000  
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes  
(See Columns C1 and D3)

J = \$15,000 or less  
N = \$250,001 - \$500,000  
P1 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000

M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes  
(See Column C2)

Q = Appraisal  
U = Book Value

R = Cost (Real Estate Only)  
V = Other

S = Assessment  
W = Estimated

T = Cash Market

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Weinstein, Jack B.

Date of Report

08/19/2011

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-I)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. Eastport South Manor Cent Sch Dist 6/15/21                                                                              | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 53. Dutchess Cnty NY resource recovery 1/1/13                                                                               | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 11/10/10                | J                               |                                |                                                                   |
| 54. NY NYC Hlth & Hosp Corp. 2/15/14                                                                                        | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 11/26/10                | J                               |                                |                                                                   |
| 55. NYS dorm auth revs 2/15/14                                                                                              | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 03/17/10                | J                               |                                |                                                                   |
| 56. Smithtown NY Cent Sch dist 8/1/15                                                                                       | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 57. NYS dorm auth rev aid long 11/1/15                                                                                      | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 58. Tarrytown NY Union Free Sch Dist 1/15/17                                                                                | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 59. NYS dorm auth rev 2/1/18                                                                                                | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 11/01/10                | J                               |                                |                                                                   |
| 60. Schenectady NYC sch dis 6/15/20                                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 61. Dutchess Cnty NY indi dev agy 7/1/20                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 62. NY NY genl oblig ser-g 8/1/20                                                                                           | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 63. NYS environmental facs 12/15/20                                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 64. East Islip NY un free sch dist ser-a 6/15/21                                                                            | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 65. NY NYC genl oblig ser a 8/1/21                                                                                          | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 66. Rockland Co NY various purposes 8/15/21                                                                                 | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 67. NY NY genl oblig ser-l 3/1/22                                                                                           | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 68. Suffolk Co NY pub impt serial ser-a 5/15/22                                                                             | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

1. Income Gain Codes:  
(See Columns B1 and D4)

A = \$1,000 or less  
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000  
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000  
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes  
(See Columns C1 and D3)

J = \$15,000 or less  
N = \$250,001 - \$500,000  
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$5,000,000

M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes  
(See Column C2)

Q = Appraisal  
U = Book Value

R = Cost (Real Estate Only)  
V = Other

S = Assessment  
W = Estimated

T = Cash Market

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Weinstein, Jack B.

Date of Report

08/19/2011

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. Buffalo NY fiscal stability 9/1/21                                                                                      | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 70. Brookhaven NY open space 11/1/21                                                                                        | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 71. Florida St Brd Ed Pub - 6/1/22                                                                                          | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 12/08/10                | J                               |                                |                                                                   |
| 72. NY NYC Mun wtr fin auth 6/15/22                                                                                         | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 73. NY NYC indl dev agy civic 6/1/22                                                                                        | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 09/23/10                | J                               |                                |                                                                   |
| 74. NY NYC mun wtr fin auth 6/15/22                                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 75. Metropolitan Trans auth ny ser-a 11/15/22                                                                               | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 76. Long Island poer auth ny 12/1/22                                                                                        | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 77. Freeport NY genl oblig ser-a 1/15/23                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 78. NYS envir facs corp st clean 6/15/23                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 79. NYS dorm auth Rochester ser-a 7/1/23                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 80. NY NYC genl oblig ser-n 8/1/23                                                                                          | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 01/12/10                | J                               |                                |                                                                   |
| 81. NY NY genl oblig ser-j 8/1/23                                                                                           | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 01/12/10                | J                               |                                |                                                                   |
| 82. NY NYC transitional 11/1/23                                                                                             | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 06/09/10                | J                               |                                |                                                                   |
| 83. NYS muni bond bank agy 12/1/23                                                                                          | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 84. Metropolitan trans au ny svc 1/1/24                                                                                     | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 85. NY NY genl oblig ser-l 3/1/24                                                                                           | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

1. Income Gain Codes:  
(See Columns B1 and D4)
2. Value Codes  
(See Columns C1 and D3)
3. Value Method Codes  
(See Column C2)
- A = \$1,000 or less  
F = \$50,001 - \$100,000  
J = \$15,000 or less  
N = \$250,001 - \$500,000  
P3 = \$250,000.001 - \$500,000.000  
Q = Appraisal  
U = Book Value
- B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000  
K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000  
R = Cost (Real Estate Only)  
V = Other
- C = \$2,501 - \$5,000  
H1 = \$1,000,001 - \$5,000,000  
L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000  
S = Assessment  
W = Estimated
- D = \$5,001 - \$15,000  
H2 = More than \$5,000,000  
M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000  
T = Cash Market
- E = \$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Weinstein, Jack B.

Date of Report

08/19/2011

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. NYS enviro facs corp st 6/15/24                                                                                         | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 87. Port Auth NY & NJ 7/15/24                                                                                               | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 09/15/10                | J                               |                                |                                                                   |
| 88. NYS dorm au memorial sloan 7/1/24                                                                                       | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 89. NY NYC genl oblig ser-b 8/1/24                                                                                          | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 90. NYS enviro facs corp 10/15/24                                                                                           | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 91. NYS enviro facs 6/15/25                                                                                                 | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 92. NY genl oblig 10/1/25                                                                                                   | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 09/21/10                | J                               |                                |                                                                   |
| 93. Metropolitan transn auth ny ser-a 11/15/25                                                                              | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 12/09/10                | K                               |                                |                                                                   |
| 94. Metropolitan transn auth ny ser-oid 11/15/25                                                                            | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 95. Metropolitan transn auth ny ser-a 11/15/25                                                                              | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 12/09/10                | J                               |                                |                                                                   |
| 96. NYS twy auth gen rev ser-g 1/1/26                                                                                       | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 97. NYS twy auth st pers income 3/15/26                                                                                     | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 98. NYS dorm auth pers income 12/15/26                                                                                      | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 99. Triborough brdg & tunl auth ny 1/1/27                                                                                   | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 100. NYC genl oblig fisca ser-g 8/1/27                                                                                      | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 101. NY NYC tfa rev futu re tax 11/1/27                                                                                     | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 102. Metropolitan trans auth ny trans 11/15/27                                                                              | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

- |                                                  |                                                                     |                                                        |                                                                |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------|----------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                     | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H = \$1,000,001 - \$5,000,000         | D = \$5,001 - \$15,000<br>I = More than \$5,000,000          | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000                   | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000     | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | P3 = \$25,000,001 - \$50,000,000<br>Q = Appraisal<br>U = Book Value | R = Cost (Real Estate Only)<br>V = Other               | P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Weinstein, Jack B.

Date of Report

08/19/2011

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children: see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                 |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|---------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-II) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. NYC muni wtr fin auth 6/15/28                                                                                          | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 09/23/10                | J                               |                                 |                                                                   |
| 104. NYS dorm auth revs 7/1/28                                                                                              | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 12/08/10                | J                               |                                 |                                                                   |
| 105. Metropolitan transn auth ny dedicated<br>11/15/27                                                                      | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 12/09/10                | J                               |                                 |                                                                   |
| 106. Metropolitan trans auth ny dedicated<br>11/15/28                                                                       | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                 |                                                                   |
| 107. NY NY genl oblig ser H 3/15/29                                                                                         | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 01/26/10                | J                               |                                 |                                                                   |
| 108. Paragon coml bk raleigh nc-CD 4/8/15                                                                                   | B                                       | Interest                                      | K                                               | T                                         | Buy                                             | 04/08/10                | K                               |                                 |                                                                   |
| 109. Morgan Stanley Bank N.A.                                                                                               | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                 |                                                                   |
| 110. AT&T Inc                                                                                                               | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 08/31/10                | J                               |                                 |                                                                   |
| 111. Buckeye partners lp                                                                                                    | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                 |                                                                   |
| 112. Chevron Corp                                                                                                           | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 12/03/10                | J                               |                                 |                                                                   |
| 113. HJ Heinz Co                                                                                                            | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 09/01/10                | J                               |                                 |                                                                   |
| 114. NY Community bancorp                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 08/31/10                | J                               |                                 |                                                                   |
| 115. Proctor & Gamble                                                                                                       | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 08/31/10                | J                               |                                 |                                                                   |
| 116. Smucker JM co com new                                                                                                  | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 09/01/10                | K                               |                                 |                                                                   |
| 117. Verizon Communication                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 08/31/10                | J                               |                                 |                                                                   |
| 118. Caterpillar finl 2/17/14                                                                                               | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                 |                                                                   |
| 119. BP Capital market plc 5/8/14                                                                                           | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 08/25/10                | K                               |                                 |                                                                   |

- |                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>I = More than \$5,000,000          | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Weinstein, Jack B.

Date of Report

08/19/2011

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. Sunoco Inc 10/15/14                                                                                                    | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 07/01/10                | J                               |                                |                                                                   |
| 121. Morgan Stanley 1/26/15                                                                                                 | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 07/01/10                | J                               |                                |                                                                   |
| 122. JP Morgan Chase & Co 10/1/15                                                                                           | B                                       | Interest                                      | K                                               | T                                         | Buy                                             | 08/30/10                | K                               |                                |                                                                   |
| 123. Barclays bank plc 9/22/16                                                                                              | B                                       | Interest                                      | K                                               | T                                         | Buy                                             | 07/01/10                | K                               |                                |                                                                   |
| 124. Goldman Sachs group inc 1/15/17                                                                                        | B                                       | Interest                                      | K                                               | T                                         | Buy                                             | 08/24/10                | K                               |                                |                                                                   |
| 125. JP Morgan Chase & Co 6/27/17                                                                                           | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 07/06/10                | K                               |                                |                                                                   |
| 126. General Electric cap corp 9/15/17                                                                                      | B                                       | Interest                                      | K                                               | T                                         | Buy                                             | 06/29/10                | K                               |                                |                                                                   |
| 127. Walt Disney Co 12/15/17                                                                                                | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 128. Target corp 1/15/18                                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 129. Verizon Communications 2/15/18                                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 130. Archer Daniels 3/15/18                                                                                                 | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 131. Berkshire Hathaway fin 5/15/18                                                                                         | B                                       | Interest                                      | K                                               | T                                         | Buy                                             | 04/08/10                | K                               |                                |                                                                   |
| 132. Viacom Inc cbs 5/15/18                                                                                                 | B                                       | Interest                                      | K                                               | T                                         | Buy                                             | 08/24/10                | K                               |                                |                                                                   |
| 133. Metlife Inc 8/15/18                                                                                                    | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 06/29/10                | J                               |                                |                                                                   |
| 134. Vanderbilt University 4/1/19                                                                                           | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 135. Credit Suisse 1/14/20                                                                                                  | B                                       | Interest                                      | K                                               | T                                         | Buy                                             | 08/24/10                | K                               |                                |                                                                   |
| 136. 15nc1 step up callable notes 6/11/25                                                                                   | C                                       | Interest                                      | L                                               | T                                         | Buy                                             | 06/08/10                | L                               |                                |                                                                   |

1. Income Gain Codes:  
(See Columns B1 and D4)

A = \$1,000 or less  
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000  
H = \$5,001 - \$100,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes  
(See Columns C1 and D3)

J = \$15,000 or less  
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000

I11 = \$1,000,001 - \$5,000,000  
I12 = More than \$5,000,000  
M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes  
(See Column C2)

P3 = \$25,000,001 - \$50,000,000  
Q = Appraisal  
U = Book Value

R = Cost (Real Estate Only)  
V = Other

P4 = More than \$50,000,000  
S = Assessment  
W = Estimated

T = Cash Market

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Weinstein, Jack B.

Date of Report

08/19/2011

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
|                                                                                                                             |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 137. Tenn Valley Auth 11/1/17                                                                                               | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 138. Tenn Valley Auth 12/15/17                                                                                              | A                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 139. Fed natl mtg assn 5/28/19                                                                                              | C                                       | Interest                                      |                                                 |                                           | Sold                                            | 08/30/10                | M                               |                                |                                                                   |
| 140. Fed natl mtg assn 3/11/22                                                                                              | B                                       | Interest                                      |                                                 |                                           | Sold                                            | 06/07/10                | K                               |                                |                                                                   |
| 141. Fed natl mtg assn step 9/29/25                                                                                         | C                                       | Interest                                      |                                                 |                                           | Sold                                            | 05/10/10                | L                               |                                |                                                                   |
| 142. Fed natl mtg assn 6/3/26                                                                                               | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 06/07/10                | K                               |                                |                                                                   |
| 143. Fed natl mtg assn 4/12/30                                                                                              | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 03/29/10                | M                               |                                |                                                                   |
| 144. Westernbank Puerto Rico 4/19/11                                                                                        | B                                       | Interest                                      |                                                 |                                           | Sold                                            | 05/10/10                | K                               |                                |                                                                   |
| 145. The National Republic Bank of Chicago 6/9/11                                                                           | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 146. Key Bank National assn Ohio cd 8/14/12                                                                                 | C                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 147. Discover Bank Greenwood cd 4/29/13                                                                                     | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 148. Goldman Sachs Bank 10/22/13                                                                                            | C                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 149. Paragon coml bank raleigh nc 4/8/15                                                                                    | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 04/08/10                | J                               |                                |                                                                   |
| 150. M&L marshall & lisley bank 8/27/18                                                                                     | C                                       | Interest                                      |                                                 |                                           | Sold                                            | 08/27/10                | L                               |                                |                                                                   |
| 151. Doral bk catano 5/21/18                                                                                                | C                                       | Interest                                      | M                                               | T                                         | Buy                                             | 05/12/10                | M                               |                                |                                                                   |
| 152. Goldman Sachs bank 1/14/19                                                                                             | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 153. American Express Bank 3/18/19                                                                                          | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

- |                                                  |                                                                                                                                          |                                                                                                                |                                                                                                                                                       |                                                                                                                       |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000<br>I11 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | D = \$5,001 - \$15,000<br>I12 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q = Appraisal<br>U = Book Value                                                                                                          | R = Cost (Real Estate Only)<br>V = Other                                                                       | S = Assessment<br>W = Estimated                                                                                                                       |                                                                                                                       |                         |
| 3. Value Method Codes<br>(See Column C2)         | T = Cash Market                                                                                                                          |                                                                                                                |                                                                                                                                                       |                                                                                                                       |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Weinstein, Jack B.

Date of Report

08/19/2011

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154. cit bank salt lake city 4/15/19                                                                                        | C                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 155. National bk comm superior 4/30/19                                                                                      | C                                       | Interest                                      |                                                 |                                           | Sold                                            | 06/30/10                | L                               |                                |                                                                   |
| 156. GE capital financial inc 5/28/19                                                                                       | C                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 157. hsbc bank usa 7/31/19                                                                                                  | C                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 158. Toyota finl svgs bk hend 10/23/19                                                                                      | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 159. hometrust bank clyde nc 8/26/21                                                                                        | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 160. morgan stanley bank                                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 161. Sunoco inc 10/15/14                                                                                                    | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 07/06/10                | K                               |                                |                                                                   |
| 162. Keybank natl assn 3/3/16                                                                                               | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 07/19/10                | K                               |                                |                                                                   |
| 163. Fed Natl Mtg Assn                                                                                                      | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 06/28/10                | J                               |                                |                                                                   |
| 164. JP Morgan 1/30/12                                                                                                      | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 165. Capital One Bank 1/23/13                                                                                               | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 166. Paragon Coml Bk Raleigh NC 4/8/15                                                                                      | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 167. Citizens First Savings Bank 2/27/15                                                                                    | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 05/05/10                | K                               |                                |                                                                   |
| 168. Midland States Bank 6/17/16                                                                                            | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 06/17/10                | J                               |                                |                                                                   |
| 169. M&L Bank fsb Las Vegas 9/14/18                                                                                         | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 03/15/10                | J                               |                                |                                                                   |
| 170. Goldman Sachs Bank 3/25/19                                                                                             | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

1. Income Gain Codes:  
(See Columns B1 and D4)

A = \$1,000 or less  
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000  
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes  
(See Columns C1 and D3)

J = \$15,000 or less  
N = \$250,001 - \$500,000  
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000

I12 = More than \$5,000,000  
M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes  
(See Column C2)

Q = Appraisal  
U = Book Value

R = Cost (Real Estate Only)  
V = Other

S = Assessment  
W = Estimated

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**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Weinstein, Jack B.

Date of Report

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                        | C.<br>Gross value at end<br>of reporting period |                                    | D.<br>Transactions during reporting period |                  |                          |                         |                                                            |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------|-------------------------------------------------|------------------------------------|--------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                    | (1)                                             | (2)                                | (1)                                        | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.,<br>div., rent,<br>or int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.,<br>buy, sell,<br>redemption)   | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171. National Bk Comm Superior 4/30/19                                                                                      | A                                       | Interest                               |                                                 |                                    | Sold                                       | 06/30/10         | J                        |                         |                                                            |
| 172. Cit bank Salt Lake City 4/15/19                                                                                        | B                                       | Interest                               | L                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 173. Bank hapoalim bm fid 6/15/20                                                                                           | A                                       | Interest                               | K                                               | T                                  | Buy                                        | 06/02/10         | K                        |                         |                                                            |

1. Income Gain Codes:  
(See Columns B1 and D4)2. Value Codes  
(See Columns C1 and D3)3. Value Method Codes  
(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

## FINANCIAL DISCLOSURE REPORT

Page 15 of 16

Name of Person Reporting

Weinstein, Jack B.

Date of Report

08/19/2011

### VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

"SEE ATTACHED certificate of accountant who prepared listing for item VII, dated February 9, 2011

Items 203 and 204 in Section VII were erroneously added as they were the property of the [REDACTED]

The Federal College Savings Program is age-based.

## FINANCIAL DISCLOSURE REPORT

Page 16 of 16

Name of Person Reporting

Weinstein, Jack B.

Date of Report

08/19/2011

### IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Jack B. Weinstein

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544