

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) JACOBS, DENNIS	2. Court or Organization Court of Appeals, 2d Circuit	3. Date of Report 04/27/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address U. S. Courthouse 500 Pearl Street New York, N.Y. 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

RECEIVED
2009 MAY -4 A 10:32
CLERK OF COURT
U.S. COURT OF APPEALS
SECOND CIRCUIT

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children: see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. School of Law, The University of Texas at Austin	4/10 - 4/11/08	Austin, TX	Judicial Clerkship Wkshp	Travel, meals, lodging
2. Villanova University School of Law	3/28 - 3/30/08	Wayne, PA	Moot Court	Travel, meals, lodging
3. Federalist Society for Law & Public Policy Studies	10/06 - 10/07/08	Rochester, NY	Lawyers Chapter speech	Travel, meals, lodging
4. Federalist Society for Law & Public Policy Studies	11/20 - 11/22/08	Washington, DC	National Lawyers Conv.	Travel, meals, lodging
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Muni Bond: New York, NY Rfdg - Ser A FS A-CR 7/23/98	C	Interest			Matured	08/01	L		
2. Muni Bond: New York NY Ser D FGIC 11 /18/97	C	Interest	L	T					
3. Muni Bond: Long Island Pwr Au Elec Sys Gen Rv-A 5/1/98	B	Interest	K	T					
4. Muni Bond: Long Island Pwr Au Elec sys Rev GenMBIA 10/15/98	B	Interest			Redeemed	04/01	L		
5. Muni Bond: New York NY Ser H-FGIC-TC RS UNLTD/TAX 3/29/01	B	Interest	K	T					
6. Muni Bond: New York ST DORM AUTH REVS SER-B-E.T.M. 7/1/90	B	Interest	K	T					
7. Muni Bond: New York ST DORM AUTH REVS RFDG-ST UNIV 8/1/93	B	Interest			Matured	05/15	L		
8. Muni Bond: New York ST TWY AUTH S VC CRCT REV RFDG 8/15/98	B	Interest			Redeemed	04/01	L		
9. Muni Bond: New York ST TWY AUTH S VC CRCT REV RFDG F9/1/97	A	Interest			Redeemed	04/01	K		
10. Muni Bond: New York ST URB DEV CRP RV-B-CRCTL FACS 11/1/98	C	Interest	L	T					
11. Muni Bond: LI NY Pwr Au Elec Sys Gen Rv DTD 5/1/98	D	Interest	M	T					
12. Muni Bond: Greenwich NY Cent Sch Dist Rfdg DTD 6/24/02	C	Interest	L	T					
13. Muni Bond: NY St Twy Auth Hwy & Brdg Rfdg Ser C 7/1/02	C	Interest	L	T					
14. Muni Bond: NY St TWY Auth Hwy & Brdg Rfdg Ser C 7DTD7/1/02	C	Interest	L	T					
15. Muni Bond: NY St Twy Auth Hwy & Brdg Rfdg Ser B DTD 7/1/00	D	Interest	L	T					
16. Muni Bond: NYS Twy Auth Svc Cont Rev Rfdg DTD 8/7/02	C	Interest	L	T					
17. Muni Bond: NY St UDC Corr & Youth Facs Svc Contract 11/26/02	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$5,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Muni Bond: NYS Dorm Au Rev Upstate C NMTY 3/3/05	B	Interest	K	T					
19. Muni Bond: NYC G/O Ser E Ref FSA 11/ 10/04	B	Interest	K	T					
20. Muni Bond: NYNY Ser L MBIA IBC 6/10/ 97	A	Interest	J	T	Redeemed (part)	05/15	K		
21. Muni Bond: NYNY Ser H-FGIC 3/29/01	D	Interest	L	T					
22. Muni Bond: NYNY Rfdg-SerG-6/3/02	C	Interest	L	T					
23. Muni Bond: NYSt.Thruway Auth. 2nd Hi ghway & Bridge 9/8/05	B	Interest	K	T					
24. Muni Bond: NYSt. Thruway Auth. 2nd High way & Bridge 9/8/05	B	Interest	K	T					
25. Muni Bond: NYC G/O Ser.O 6/2/05	B	Interest	K	T					
26. Muni Bond: NYC G/O Ser C 8/3/05	B	Interest	K	T					
27. Muni Bond: NYSt, Thruway Gen Rev Ser H 10/11/07	B	Interest	K	T					
28. Muni Bond: NYS UDC ST Pers Income Tax 10/9/03	B	Interest	L	T	Buy	06/11	L		
29. Money Market: Western Asset NY Muni Mo ney Market	C	Int./Div.	M	T					
30. Checking: Chase Manhattan Bank, NYC	A	Interest	K	T					
31. Chase Manhattan Mortgage Corp: Escrow A ccount	A	Interest			Closed	12/20	J		
32. Fidelity Rollover IRA	F	Int./Div.	P1	T					
33. - Fidelity Spartan 500 Index					Sold (part)	04/01	L	E	
34. - Fidelity Spartan 500 Index					Sold (part)	10/09	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 F2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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35. - Fidelity Cash Reserves									
36. - Baron Growth Fund					Sold (part)	04/01	L		
37. - Baron Growth Fund					Sold (part)	10/09	K	D	
38. - Dodge & Cox Stock Fund					Sold (part)	04/01	K		
39. - Dodge & Cox Stock Fund					Sold (part)	10/09	K		
40. - Royce Total Return Fund					Sold (part)	04/01	L		
41. - Royce Total Return Fund					Sold (part)	10/09	K	D	
42. - American Growth Fund of America					Sold (part)	03/31	L		
43. - American Growth Fund of America					Sold (part)	10/09	K	A	
44. - Dreyfus Mid-Cap Fund					Sold (part)	04/01	L	D	
45. - Dreyfus Mid-Cap Fund					Sold (part)	10/09	K		
46. - Bond: Fedl Home Loan Bank Bonds									
47. - Bond: Fedl Farm Cr Bks Cons Bd									
48. - Bond: Fedl Farm Credit Banks Funding Corp									
49. - Bond: Fedl Home Ln Bk 5/13/11									
50. - Bond: Fedl Farm CR Bks FDG 12/20/10									
51. - Bond: Fedl Home Ln Bk 11/15/11									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. - Bond: Fedl Home Ln Bk 11/15/11									
53. - Bond: Fedl Home Ln Bks Gbl 9/16/13									
54. - Bond: Fedl Home Ln Bks 02/13/05									
55. - Fidelity Contrafund					Sold (part)	04/01	L		
56. - Fidelity Contrafund					Sold (part)	10/08	K		
57. - Selected American Shares CL D					Sold	04/01	M		
58. - Tweedy Browne Global Value Fund					Sold (part)	04/01	K		
59. - Tweedy Browne Global Value Fund					Sold (part)	10/09	K		
60. - Fidelity US Treasury Money Mkt					Buy	01/22	K		
61. - Fidelity US Treasury Money Mkt					Buy (add'l)	04/08	O		
62. - Fidelity US Treasury Money Mkt					Sold (part)	08/13	L		
63. - Fidelity US Treasury Money Mkt					Sold (part)	09/04	L		
64. - Fidelity US Treasury Money Mkt					Buy (add'l)	10/21	N		
65. - Fidelity US Treasury Money Mkt					Sold	10/23	O		
66. - ISharesBox Corp.Bond Fund					Buy	10/29	L		
67. - Loomis Sayles Invst Grade Bond CL Y					Buy	10/30	L		
68. - Eaton Vance Large Cap Value Fund CL I					Buy	04/01	M		

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69. - Eaton Vance Large Cap Value Fund CL 1					Sold (part)	10/09	K		
70. - Bond: Fedl Farm Credit Bk 2/19/16					Buy	08/13	L		
71. - Bond: Fedl Farm Credit Bk 2/19/16					Redeemed	12/26	L	A	
72. - Bond: Fedl Farm Credit Bks Const 6/4/18					Buy	09/04	L		
73. TIAA-CREF		None	L	T					
74. - CREF Stock Account									
75.									

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544