

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Minaldi, Patricia H	2. Court or Organization District Court - Louisiana	3. Date of Report 4/24/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge	5. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address U. S. Courthouse 611 Broad Street Lake Charles, LA 70601	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Manager (is other Manager.)

Minertex, LLC (company)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2003

La. State Employee Retirement System (pension upon retirement)

2. 2003

La. Deferred Compensation Plan (upon retirement or separation)

RECEIVED
MAY 3 11 59 AM '04
FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Minaldi, Patricia H

Date of Report

4/24/2004

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1. 2003	Judicial Branch Of Louisiana	40,864

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☐ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2003	Jack Lawton, Inc.
2. 2003	Louisiana Health Insurance Indemnity Company
3. 2003	Southern National Life Insurance Company

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Louisiana Health Services Indemnity Company	[REDACTED] - Transportation, Meals and Room -Monthly Board of Directors Meetings
2. Louisiana Association of Defense Counsel	Expense reimbursement for presentation at Colorado CLE conference

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Minaldi, Patricia H

Date of Report

4/24/2004

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

FINANCIAL DISCLOSURE REPORT

Page 1 of 2

Name of Person Reporting

Minaldi, Patricia H

Date of Report

4/24/2004

VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Minetex, LLC		None	J	W					
2. Northwest Mutual Ins. Co. (account)	A	Interest	J	T					
3. Legg Mason Cash Reserve Trust	A	Dividend	J	T					
4. Hibernia National Bank (Accounts)	A	Interest	L	T					
5. Legg Mason Special Investment Trust	A	Dividend	J	T					
6. Legg Mason Cash Reserve Trust	A	Dividend	J	T					
7. Legg Mason Opportunity Trust	A	Dividend	J	T					
8. Legg Mason Cash Reserve Trust	A	Dividend	J	T					
9. Legg Mason Opportunity Trust	A	Dividend	J	T					
10. Other Property - St. Mary Parish (undivided interest)		None	J	W					
11. --Fidelity Aggressive Growth			K	T					Fidelity IRA.
12. --Fidelity Growth Companies			K	T					Fidelity IRA.
13. --Fidelity Value			L	T					Fidelity IRA.
14. --Spartan Total Market Index			K	T					Fidelity IRA.
15. Fidelity IRA	A	Dividend							Please see note in Part VIII.
16. Stifel, Nicolaus IRA	A	Dividend							Please see note in Part VIII.
17. --AIM Equity Funds Blue Chip Fund			K	T					Stifel, Nicolaus IRA.
18. --Hartford Mutual Funds Capital Appreciation Fund			K	T					Stifel, Nicolaus IRA.

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000

(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000

(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000

P3 = \$25,000,001-\$50,000,000 P4 = More than \$50,000,000

3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market

FINANCIAL DISCLOSURE REPORT

Page 2 of 2

Name of Person Reporting
Minaldi, Patricia H

Date of Report
4/24/2004

VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
19. --Hartford Mutual Funds Midcap Fund			K	T					Stifel, Nicolaus IRA
20. Hartford Mutual Funds Global Health Fund			J	T					Stifel, Nicolaus IRA
21. First Trust Target VIP Portfolio	A	Dividend	J	T	Buy	8-1	J		
22. New York Whole Life	A	Dividend	J	T					Please see note in Part VIII.
23. Northwest Mutual Whole Life #1	A	Dividend	J	T					Please see note in Part VIII.
24. Northwest Mutual Whole Life #2	A	Dividend	J	T					Please see note in Part VIII.
25. La. Deferred Compensation Fund									Please see note in Part VIII.
26. La. State Employee Retirement System			L	T					Please see note in Part VIII.

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Minaldi, Patricia H

Date of Report

4/24/2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

1. La. Deferred Compensation Plan rolled over to FERSTSP (From Part VII, record 25).
2. La. State Employee Retirement Plan is in litigation (From Part VII, record 26).
3. Fidelity IRA existed in 2002 & was left off inadvertently (From Part VII, record 15).
4. Stifel, Nicolaus IRA existed in 2002 & was left off inadvertently (From Part VII, record 16).
5. New York Whole Life existed in 2002 & was left off inadvertently (From Part VII, record 22).
6. Northwest Mutual Whole Life #1 existed in 2002 & was left off inadvertently (From Part VII, record 23).
7. Northwest Mutual Whole Life #2 existed in 2002 & was left off inadvertently (From Part VII, record 24).

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Minaldi, Patricia H

Date of Report

4/24/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

4/28/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Minaldi, Patricia H	2. Court or Organization District Court - Louisiana	3. Date of Report 8/21/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge	5. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address U. S. Courthouse 611 Broad Street Lake Charles, LA 70601	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Manager

Minorex, LLC

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2003

La. State Employee Retirement System (pension upon retirement)

2. 2003

La. Deferred Compensation Plan (upon retirement or separation)

RECEIVED
SEP 1 1 21 PM '04
FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Minaldi, Patricia H

Date of Report

8/21/2004

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	2003	Judicial Branch Of Louisiana	40,864

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☐ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	2003	Jack Lawton, Inc.
2.	2003	Louisiana Health Insurance Indemnity Company
3.	2003	Southern National Life Insurance Company

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	Louisiana Association of Defense Counsel	Expense reimbursement for presentation at Beaver Creek, Colorado CLE conference Feb. 5-9, 2003

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Minaldi, Patricia H

Date of Report

8/21/2004

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

FINANCIAL DISCLOSURE REPORT

Page 1 of 2

Name of Person Reporting
Minaldi, Patricia H

Date of Report
3/21/2004

VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Minirex, LLC		None	J	W					
2. Northwest Mutual Ins. Co. (account)	A	Interest	J	T					
3. Legg Mason Cash Reserve Trust	A	Dividend	J	T					
4. Hibernia National Bank (Accounts)	A	Interest	L	T					
5. Legg Mason Special Investment Trust	A	Dividend	J	T					
6. Legg Mason Cash Reserve Trust	A	Dividend	J	T					
7. Legg Mason Opportunity Trust	A	Dividend	J	T					
8. Legg Mason Cash Reserve Trust	A	Dividend	J	T					
9. Legg Mason Opportunity Trust	A	Dividend	J	T					
10. Other Property - St. Mary Parish (undivided interest)		None	J	W					
11. --Fidelity Aggressive Growth	A	Dividend	K	T					Fidelity IRA
12. --Fidelity Growth Companies	A	Dividend	K	T					Fidelity IRA
13. --Fidelity Value	A	Dividend	L	T					Fidelity IRA
14. --Spartan Total Market Index	A	Dividend	K	T					Fidelity IRA
15. --AIM Equity Funds Blue Chip Fund	A	Dividend	K	T					Stifel, Nicolaus IRA
16. --Hartford Mutual Funds Capital Appreciation Fund	A	Dividend	K	T					Stifel, Nicolaus IRA
17. --Hartford Mutual Funds Midcap Fund	A	Dividend	K	T					Stifel, Nicolaus IRA
18. Hartford Mutual Funds Global Health Fund	A	Dividend	J	T					Stifel, Nicolaus IRA

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 2 of 2

Name of Person Reporting

Minaldi, Patricia H

Date of Report

8/21/2004

VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (I-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. First Trust Target VIP Portfolio	A	Dividend	J	T	Buy	8-1	J		
20. New York Whole Life	A	Dividend	J	T					Please see note in Part VIII.
21. Northwest Mutual Whole Life #1	A	Dividend	J	T					Please see note in Part VIII.
22. Northwest Mutual Whole Life #2	A	Dividend	J	T					Please see note in Part VIII.
23. La. Deferred Compensation Fund					Sell	8-18			Please see note in Part VIII.
24. La. State Employee Retirement System		None	L	T					Please see note in Part VIII.
25. Series EE Bonds		None	J	T					
26. Maxim Stock Index Portfolio					Sell	8-18	J	A	La. Deferred Comp. Plan
27. American Century Ultra Fund					Sell	8-18	J	A	La. Deferred Comp. Plan
28. Maxim INVESCO Small Cap Growth Portfolio					Sell	8-18	J	A	La. Deferred Comp. Plan
29. FERSTSP		None	K	T	Buy	10-31	K		Rollover from La. Def. Comp.

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$50,000,001-\$100,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Minaldi, Patricia H

Date of Report

8/21/2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

1. La. Deferred Compensation Plan rolled over to FERSTSP (From Part VII, record 23, 26, 27, 28, & 29). Plan included Maxim Stock Index Portfolio, American Century Ultra Fund and Maxim INVESCO Small Cap Growth Portfolio.
2. La. State Employee Retirement Plan is in litigation (From Part VII, record 24).
3. Fidelity IRA existed in 2002 & was left off inadvertently (From Part VII, begin at record 11).
4. Stifel, Nicolaus IRA existed in 2002 & was left off inadvertently (From Part VII, begin at record 15).
5. New York Whole Life existed in 2002 & was left off inadvertently (From Part VII, record 20).
6. Northwest Mutual Whole Life #1 existed in 2002 & was left off inadvertently (From Part VII, record 21).
7. Northwest Mutual Whole Life #2 existed in 2002 & was left off inadvertently (From Part VII, record 22).

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Minaldi, Patricia H

Date of Report

8/21/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

8/24/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544