

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Caldwell, William W	2. Court or Organization U.S.D.C. Middle Dist. of PA	3. Date of Report 4/30/04
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Judge	5. Report Type (check appropriate type) ☐ Nomination, Date 2/19/82 ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/03 to 12/31/03
7. Chambers or Office Address P.O.Box 11877 Harrisburg, PA 17108-1877	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director

Economics Pennsylvania (formerly Pa. Partnership for Economic Education (a non-profit corporation))

2.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

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FINANCIAL
DISCLOSURE OFFICE

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Caldwell, William W

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1.

Commonwealth of PA - Retirement benefits

\$19,368.60

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1.

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1.

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE

1. _____

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE

1. _____

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VII. INVESTMENTS and TRUSTS

— income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month -- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Fulton Financial Corp.(common)	E	Dividend	P1	T					
2. Alliance Gov't Reserves (Inv.Acct.)		None			Redemption	1/21	K		
3. Allied Irish Bank (common)	D	Dividend	M	T					
4. Alliance Gov't Reserves (Inv.Acct.)		None			Redemption	1/21	J		
5. Intel Corp. (common)	A	Dividend	K	T					
6. Smith-Barney Bond Fund	D	Interest	M	T					
7. J L G Inc. (common)		None			Sold	1/21	J		
8. Tyco International (common)	A	Dividend	J	T					
9. Biomet Inc. (common)		None			Sold	1/21	J	C	
10. Colgate Palmolive (common)	A	Dividend	J	T					
11. Harsco Corp. (common)	A	Dividend	J	T					
12. Lucent Technologies (common)		None	J	T					
13. Merck & Co. (common)	A	Dividend			Sold	4/28	J	C	
14. Actuant Corp. (common)		None	J	T					
15. JMS Broker balance	A	Interest	J	T					
16. Dentsply Intern. (common)	A	Dividend	J	T					
17. Maxim Integrated (common)	A	Dividend	J	T					
18. Carlisle Corp. (common)	A	Dividend	J	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$More than \$50,000,000
3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

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19. Pfizer	A	Dividend	J	T	Buy	4/17	J		
20. Safeguard Scientific (common)		None	J	T					
21. Wal Mart Stores (common)	A	Dividend			Sold	12/11	J	A	
22. Dell Computer (common)		None			Sold	12/11	J	A	
23. Kulicke & Soffa (common)		None	J	T					
24. Hewlett-Packard (common)	A	Dividend	J	T					
25. Johnson & Johnson (common)	A	Dividend	J	T					
26. Global Sante Fe Corp. (common)	A	Dividend			Sold	12/11	J	A	
27. Time Warner (common)		None			Sold	12/11	J		Was AOL
28. Adobe Systems, Inc. (common)	A	Dividend			Sold	12/11	J	A	
29. Agilent Tech. Inc. (common)		None			Sold	12/11	J	A	
30. Alcoa, Inc. (common)		None			Sold	12/11	J	A	
31. Alkermes, Inc. (common)		None			Sold	12/11	J		
32. Allstate Corp. (common)	A	Dividend			Sold	12/11	J	A	
33. Ambac Financial Group (common)	A	Dividend			Sold	12/11	J	A	
34. American Express (common)	A	Dividend			Sold	12/11	J	A	
35. Amgen Inc. (common)		None			Sold	12/11	J	A	
36. Anadarko Petroleum Corp. (common)	A	Dividend			Sold	12/11	J		

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37. B J Services (common)		None			Sold	1/29	J	A	
38. Bank New York (common)	A	Dividend			Sold	12/11	J	A	
39. Bank One Corp. (common)		None			Sold	12/11	J	A	
40. Cablevision Systems Corp. (common)		None			Sold	12/11	J		
41. Cabot Microelectronics Corp. (common)		None			Sold	12/11	J		
42. Carnival Corp. (common)	A	Dividend			Sold	12/11	J	A	
43. Chevron Texaco Corp. (common)		None			Sold	1/21	J		
44. Chiron Corp. (common)		None			Sold	12/11	J	A	
45. Chubb Corp. (common)	A	Dividend			Sold	12/11	J	A	
46. Cisco Systems, Inc. (common)		None			Sold	12/11	J	A	
47. Clayton Homes Inc. (common)	A	Dividend			Sold	8/6	J		
48. Coca-Cola Inc. (common)	A	Dividend			Sold	12/11	J		
49. Comcast Corp. (common)		None			Sold	12/11	J		
50. Conoco Inc. (common)	A	Dividend			Sold	12/11	J	A	
51. Countrywide Financial Corp. (common)	A	Dividend			Sold	6/18	J	A	
52. Walt Disney Co. (common)	A	Dividend			Sold	12/11	J	A	
53. Emerson Electric Co. (common)	A	Dividend			Sold	12/11	J	A	
54. Engelhart Corp. (common)	A	Dividend			Sold	12/11	J	A	

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55. Exxon Mobil Corp. (common)	A	Dividend			Sold	12/11	J		
56. Forest Laboratories Inc. (common)		None			Sold	12/11	J	A	
57. General Electric (common)	A	Dividend			Sold	12/11	J		
58. Genzyme Corp. (common)		None			Sold	12/11	J	A	
59. Gillette Co. (common)	A	Dividend			Sold	12/11	J	A	
60. Grant Prideco Inc. (common)		None			Sold	12/11	J	A	
61. Hewlett Packard (common)	A	Dividend			Sold	12/11	J	A	
62. Home Depot (common)	A	Dividend			Sold	12/11	J		
63. Honeywell International (common)	A	Dividend			Sold	12/11	J	A	
64. ICN Pharmaceuticals Inc. (common)	A	Dividend			Sold	3/27	J	A	
65. IDEC Pharmaceuticals (common)		None			Sold	11/14	J		
66. Intel Corp. (common)	A	Dividend			Sold	12/11	J	A	
67. LSI Logic Corp. (common)		None			Sold	1/14	J		
68. Lattice Semiconductor Corp. (common)		None			Sold	12/11	J		
69. Liberty Media Corp. (common)		None			Sold	12/11	J		
70. MBIA Inc. (common)	A	Dividend			Sold	12/11	J	A	
71. MGIC Inv. Corp. (common)	A	Dividend			Sold	12/11	J		
72. Maxtor Corp. (common)		None			Sold	12/11	J	A	

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73. McDonalds Corp. (common)	A	Dividend			Sold	12/11	J		
74. Merck & Co. Inc. (common)	A	Dividend			Sold	12/11	J		
75. Microsoft Corp. (common)		None			Sold	12/11	J		
76. Pharmacia Corp. (common)	A	Dividend			Sold	4/16	J		
77. Ratheon Co. (common)	A	Dividend			Sold	12/11	J		
78. Realnetworks Inc. (common)		None			Sold	12/11	J		
79. Sandisk Corp. (common)		None			Sold	12/11	J	A	
80. Schering Plough Corp. (common)	A	Dividend			Sold	6/5	J		
81. Scientific Atlanta (common)		None			Sold	3/24	J		
82. Texas Instruments Corp. (common)	A	Dividend			Sold	12/11	J	A	
83. Tyco Int'l Ltd. (common)	A	Dividend			Sold	12/11	J		
84. United Health Group (common)	A	Dividend			Sold	12/11	J	A	
85. Weatherford International Inc. (common)		None			Sold	12/11	J		
86. Wells-Fargo & Co. (common)	A	Dividend			Sold	12/11	J	A	
87. Amerada Hess (common)	A	Dividend			Sold	12/11	J		
88. America Intl Gap(common)	A	Dividend			Sold	12/11	J		
89. Eastman Kodak (common)	A	Dividend			Sold	12/11	J		
90. Electronics for Imaging (common)	A	Dividend			Sold	12/11	J	A	

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91. Genentech Inc. (common)		None			Sold	12/11	J	A	
92. Hasbro, Inc. (common)	A	Dividend			Sold	12/11	J	A	
93. IBM (common)	A	Dividend			Sold	12/11	J		
94. Johnson & Johnson (common)	A	Dividend			Sold	12/11	J		
95. L3 Comm. Hldgs. (common)		None			Sold	12/11	J		
96. Lehman Bros. Hldgs., Inc. (common)	A	Dividend			Sold	12/11	J	A	
97. Merrill Lynch & Co. (common)	A	Dividend			Sold	12/11	J	A	
98. Micromuse Inc. (common)		None			Sold	12/11	J		
99. Micron Tech, Inc. (common)		None			Sold	12/11	J		
100. Monsanto (common)	A	Dividend			Sold	12/11	J	A	
101. Motorola, Inc. (common)	A	Dividend			Sold	12/11	J		
102. Murphy Oil Corp.	A	Dividend			Sold	12/11	J		
103. Neuberger Berman, Inc. (common)	A	Dividend			Sold	11/3	J		
104. News Ltd. (ADR)		None			Sold	12/11	J	A	
105. Nippon Tel.&Tel.(ADR)	A	Dividend			Sold	12/11	J	A	
106. Nokia Corp. (ADR)	A	Dividend			Sold	12/11	J		
107. Paccas, Inc. (common)	A	Dividend			Sold	12/11	J	A	
108. Pepsico Inc. (common)	A	Dividend			Sold	12/11	J	A	

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109. Pfizer Inc. (common)	A	Dividend			Sold	12/11	J	A	
110. RSA Security Inc. (common)		None			Sold	12/11	J	A	
111. St. Paul Comps (common)	A	Dividend			Sold	7/22	J		
112. Soletron Corp. (common)		None			Sold	12/11	J		
113. Unisys Corp. (common)		None			Sold	12/11	J	A	
114. Verizon Commun. (common)	A	Dividend			Sold	12/11	J		
115. Vodafone Group (common)	A	Dividend			Sold	12/11	J		
116. Vulcan Materials (common)	A	Dividend			Sold	12/11	J	A	
117. Weyerhaeuser (common)	A	Dividend			Sold	12/11	J		
118. Wyeth (common)	A	Dividend			Sold	12/11	J		
119. PA State Tpk. Rev. Bond	C	Interest	L	T					
120. Smith Barney - Money market Acct.		None	L	T	Buy	12/11	L		

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Caldwell, William W

Date of Report

4/30/04

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Caldwell, William W

Date of Report

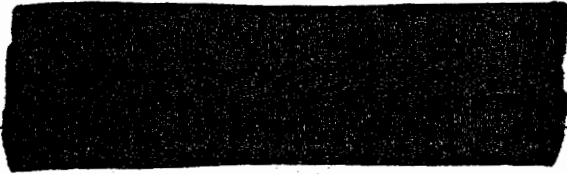
4/30/04

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

4/30/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544