



FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Whaley, Robert H.	2. Court or Organization United States District Court	3. Date of Report 07/09/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address United States District Court P.O. Box 283 Spokane, WA 99210-0283	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

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OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

Whaley, Robert H.

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

Whaley, Robert H.

Date of Report

07/09/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Winston & Cashatt Law Firm, Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT
Page 3 of 15

Name of Person Reporting
Whaley, Robert H.

Date of Report
07/09/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ **NONE** *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ **NONE** *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

Whaley, Robert H.

Date of Report

07/09/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. FIDELITY INVESTMENTS ULTRA ACCOUNT:									
2. -Core Cash Account	A	Dividend	J	T					
3.									
4. FRANKLIN TEMPLETON:									
5. -Templeton Developing MktsTrust Mut Fd	A	Dividend	J	T					
6.									
7. BNY MELLON (SEE VIII):									
8. -Occidental Petroleum Corp. Common Stock	A	Dividend	J	T					
9.									
10. FIDELITY EDUCATION ACCOUNT									
11. -NH 100% Equity Contribution		None	J	T	Buy (add'l)	01/30/09	J		
12.					Buy (add'l)	02/27/09	J		
13.					Buy (add'l)	03/31/09	J		
14.					Buy (add'l)	04/30/09	J		
15.					Buy (add'l)	05/29/09	J		
16.					Buy (add'l)	06/30/09	J		
17.					Buy (add'l)	07/30/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT
Page 5 of 15

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Whaley, Robert H.

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18.					Buy (add'l)	08/31/09	J		
19.					Buy (add'l)	09/30/09	J		
20.					Buy (add'l)	10/30/09	J		
21.					Buy (add'l)	11/30/09	J		
22.					Buy (add'l)	12/31/09	J		
23.									
24. FIDELITY EDUCATION ACCOUNT									
25. -NH 100% Equity Contribution		None	J	T	Buy (add'l)	01/30/09	J		
26.					Buy (add'l)	02/27/09	J		
27.					Buy (add'l)	03/31/09	J		
28.					Buy (add'l)	04/30/09	J		
29.					Buy (add'l)	05/29/09	J		
30.					Buy (add'l)	06/30/09	J		
31.					Buy (add'l)	07/30/09	J		
32.					Buy (add'l)	08/31/09	J		
33.					Buy (add'l)	09/30/09	J		
34.					Buy (add'l)	10/30/09	J		

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3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT
Page 6 of 15

Name of Person Reporting
Whaley, Robert H.

Date of Report
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35.					Buy (add'l)	11/30/09	J		
36.					Buy (add'l)	12/31/09	J		
37.									
38. FIDELITY EDUCATION ACCOUNT									
39. -NH 100% Equity Contribution		None	J	T	Buy (add'l)	01/30/09	J		
40.					Buy (add'l)	02/27/09	J		
41.					Buy (add'l)	03/31/09	J		
42.					Buy (add'l)	04/30/09	J		
43.					Buy (add'l)	05/29/09	J		
44.					Buy (add'l)	06/30/09	J		
45.					Buy (add'l)	07/30/09	J		
46.					Buy (add'l)	08/31/09	J		
47.					Buy (add'l)	09/30/09	J		
48.					Buy (add'l)	10/30/09	J		
49.					Buy (add'l)	11/30/09	J		
50.					Buy (add'l)	12/31/09	J		
51.									

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 7 of 15

Name of Person Reporting

Whaley, Robert H.

Date of Report

07/09/2010

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52. FIDELITY INVESTMENTS IRA:									
53. -Fidelity Int'l Discovery Mutual Fund	A	Dividend	K	T					
54. -Fidelity Canada Mutual Fund	A	Dividend	K	T					
55. -Fidelity Int'l Small Cap Opp Fund Mutual Fund		None			Sold	09/21/09	J		
56. -Fidelity Diversified Int'l Mutual Fund		None			Sold	09/23/09	K		
57. -Fidelity New Markets Income Mutual Fund	B	Dividend	K	T	Buy (add'l)	07/20/09	J		
58. -Spartan Total Mkt Index Investor Class Mutual Fund	A	Dividend			Sold	10/13/09	K		
59. -Spartan Int'l Index Investor Class Mutual Fund	A	Dividend	K	T					
60. -Fidelity Small Cap Stock Mutual Fund		None			Sold	12/28/09	K	B	
61. -Fidelity Capital & Income Mutual Fund	C	Dividend	L	T					
62. -Fidelity Contrafund Mutual Fund	A	Dividend			Sold	12/28/09	K	D	
63. -Fidelity Real Estate Investment Mutual Fund	A	Dividend			Sold	07/20/09	J		
64. -Fidelity Balanced Mutual Fund	A	Dividend			Sold (part)	10/16/09	K		
65.					Sold (part)	10/16/09	K	A	
66.					Sold	10/20/09	J	B	
67. -Fidelity Low Priced Stock Mutual Fund	A	Dividend	K	T					
68. -Fidelity Strategic Income Mutual Fund	B	Dividend	L	T	Buy (add'l)	08/14/09	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 15

Name of Person Reporting

Whaley, Robert H.

Date of Report

07/09/2010

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69. -Fidelity Export & Multinational Mutual Fund		None			Sold	08/14/09	K		
70. -Artisan Int'l Mutual Fund		None			Sold	01/13/09	K		
71. -First Eagle Global Class A Mutual Fund	B	Dividend	L	T					
72. Oakmark Equity & Income Fd I Mutual Fund	A	Dividend	M	T	Buy	10/19/09	K		
73.					Buy (add'l)	10/21/09	J		
74.					Buy (add'l)	12/29/09	L		
75. -Kinetics Small Cap Opportunities Mutual Fund		None	J	T					
76. -Northern Small Cap Value Mutual Fund	A	Dividend	J	T					
77. -Schwab Small Cap Equity-Invest Shs Mutual Fund		None			Merged (with line 78)	09/28/09	J		
78. Schwab Small Cap Eq Fund Select Mutual Fund		None			Sold	10/16/09	J		
79. -Third Avenue Real Estate Value Mutual Fund	A	Dividend	K	T					
80. -Van Kampen Equity & Income Class C Mutual Fund	A	Dividend	K	T					
81. Vanguard Wellington Fund Mutual Fund	A	Dividend	K	T	Buy	09/24/09	K		
82. -Fidelity Cash Reserves	A	Dividend	J	T					
83.									
84. FIDELITY INVESTMENTS:									
85. -Amgen Inc. Common Stock		None	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 15

Name of Person Reporting
Whaley, Robert H.

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86. -The Ben Franklin Fed S&L Ass'n Common Stock		None	J	T					
87. -Eaton Vance Tax Advantage Div Income Fd Mutual Fund	B	Dividend			Sold (part)	10/23/09	K	B	
88.					Sold	10/23/09	J		
89. -Zimmer Holdings Inc. Common Stock		None	J	T					
90. -Fidelity International Discovery Mutual Fund	A	Dividend	J	T					
91. -Fidelity Canada Mutual Fund	A	Dividend	J	T					
92. -Fidelity Diversified International Mutual Fund	A	Dividend	J	T					
93. -Fidelity New Markets Income Mutual Fund	A	Dividend	J	T					
94. -Fidelity Small Cap Independence Mutual Fund	A	Dividend			Sold	10/06/09	J		
95. -Fidelity Small Cap Stock Mutual Fund	A	Dividend	K	T					
96. -Fidelity Capital & Income Mutual Fund	A	Dividend	J	T					
97. -Fidelity Contrafund Mutual Fund	A	Dividend	K	T					
98. -Fidelity Mid Cap Growth Mutual Fund		None			Sold	10/06/09	J		
99. -Fidelity Real Estate Investments Mutual Fund	A	Dividend	J	T					
100. -Fidelity Balanced Mutual Fund	A	Dividend	K	T					
101. -Fidelity Low-Priced Stock Mutual Fund	A	Dividend	L	T					
102. -Fidelity Strategic Income Mutual Fund	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 15

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103. -Fidelity Dividend Growth Mutual Fund		None			Sold	10/21/09	K	E	
104. -Fidelity Leveraged Company Stock Mutual Fund		None			Sold	10/13/09	K	C	
105. -Fidelity Export & Multinational Mutual Fund		None			Sold	10/13/09	K	B	
106. -Fidelity Value Mutual Fund		None			Sold	10/06/09	J		
107. -American Balanced Class C Mutual Fund	A	Dividend	K	T	Sold (part)	10/21/09	K	D	
108. -Calamos Growth Fund Class C Mutual Fund		None	K	T					
109. -American Growth Fund of America Class C Mutual Fund	A	Dividend	K	T					
110. Oakmark Equity & Income Fd I Mutual Fund	B	Dividend	M	T	Buy	10/09/09	J		
111.					Buy (add'l)	10/14/09	L		
112.					Buy (add'l)	10/22/09	K		
113. -Vanguard Index Trust S&P Port Mutual Fund		None			Sold	10/13/09	K	A	
114. Vanguard Wellington Fund Mutual Fund	A	Dividend	M	T	Buy	10/14/09	K		
115.					Buy (add'l)	10/22/09	K		
116. -Fidelity Cash Reserves	A	Dividend	K	T					
117.									
118. FIDELITY INVESTMENTS IRA:									
119. -Fidelity Cash Reserves	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 11 of 15

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Fidelity Intl Small Cap Opp Fund		None			Sold	09/23/09	J		
121. -Fidelity Diversified International Fund Mutual Fund	A	Dividend	J	T					
122. -Fidelity New Markets Income Mutual Fund	A	Dividend	J	T	Buy (add'l)	09/22/09	J		
123. -Fidelity Small Cap Stock Mutual Fund		None	J	T					
124. -Fidelity Capital & Income Mutual Fund	A	Dividend	J	T					
125. -Fidelity Capital Appreciation Mutual Fund		None			Sold	09/23/09	J		
126. -Fidelity Large Cap Value Mutual Fund	A	Dividend			Sold	09/22/09	J		
127. -Fidelity Real Estate Investment Mutual Fund	A	Dividend	J	T					
128. -Fidelity Total Bond Mutual Fund	A	Dividend			Sold	10/05/09	J	B	
129. -Fidelity Balanced Mutual Fund	A	Dividend	J	T					
130. -Fidelity Strategic Income Mutual Fund	A	Dividend	K	T	Buy	09/22/09	J		
131.					Buy (add'l)	10/05/09	J		
132. -Fidelity Leveraged Company Stock Mutual Fund	A	Dividend			Sold	09/22/09	J	C	
133. -Fidelity Select Technology Mutual Fund		None	J	T					
134. -Fidelity Select Health Care Mutual Fund	A	Dividend			Sold	10/06/09	J	B	
135. -Oakmark Select Mutual Fund		None			Sold	10/09/09	J	C	
136. -Vanguard Growth Index Mutual Fund	A	Dividend			Sold	10/06/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

Whaley, Robert H.

Date of Report

07/09/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Dreyfus Int'l Bond Fund Mutual Fund	A	Dividend	K	T	Buy	09/28/09	J		
138.					Buy (add'l)	10/14/09	J		
139. -Oakmark Equity & Income Mutual Fund	A	Dividend	J	T	Buy	10/14/09	J		
140.									
141. SMITH BARNEY:									
142. -Aim Equity - Constellation Mutual Fund		None			Sold	09/22/09	J		
143. -Calamos Growth Fund		None			Sold	09/22/09	J		
144.									
145. Bank of America Money Market Fund (X)	A	Interest	J	T	Buy	10/01/09	J		
146.									
147. Ltd. Partner, Deming & Ass., Roswell, NM (HUD Project)	A	Interest	J	W					
148. Ltd. Partner, Deming Apartments, LLC	A	Distribution	J	W					
149.									
150. MassMutual: GP Life at 69	A	Dividend	K	T					
151.									
152. MassMutual: Whole Life		None	K	T					
153.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 13 of 15

Name of Person Reporting

Whaley, Robert H.

Date of Report

07/09/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. CBSS, LLC		None	K	U	Buy (add'l)	03/15/09	J		
155.									
156. TRUST #1(Fidelity)									
157. - Fidelity Municipal Money Market Fund	A	Interest	J	T					
158. - Fidelity Balanced Mutual Fund	A	Dividend	J	T					
159. - Fidelity Contrafund Mutual Fund	A	Dividend	J	T					
160. - Fidelity Dividend Growth Mutual Fund	A	Dividend	J	T					
161. - Fidelity Export & Multint'l Mutual Fund	A	Dividend	J	T					
162. - Fidelity Canada Mutual Fund	A	Dividend	J	T					
163. - Fidelity Int'l Discovery Mutual Fund	A	Dividend	J	T					
164. - Fidelity Strategic Income Mutual Fund	A	Dividend	J	T					
165. - Fidelity Small Cap Stock Mutual Fund		None	J	T					
166. - Fidelity Total Bond Mutual Fund	A	Dividend	J	T					
167. - Oakmark Select Mutual Fund	A	Dividend	J	T					
168.									
169. Trust #1, Sutter County, CA	B	Distribution	M	W					
170.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT
Page 14 of 15

Name of Person Reporting

Whaley, Robert H.

Date of Report

07/09/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Page 4, Line 7: The name ChaseMellon has been corrected to BNY Mellon.

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

Whaley, Robert H.

Date of Report

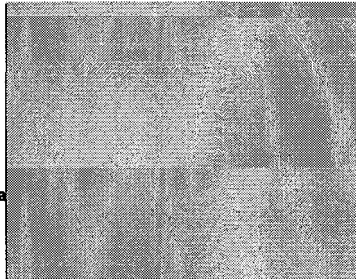
7-09-10

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544