

AO 10
Rev. 1/2010

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) TEILBORG, JAMES A.	2. Court or Organization U. S. DISTRICT COURT	3. Date of Report 05/12/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. DISTRICT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 401 WEST WASHINGTON STREET SUITE 523-SPC 51 PHOENIX, AZ 85003-2154	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ **NONE** (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	1997	METROPOLITAN LIFE # 1 (STRUCTURED SETTLEMENT - COMPENSATION FOR PRIOR SERVICES)
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	METROPOLITAN LIFE (STRUCTURED SETTLEMENT - COMPENSATION FOR PRIOR SERVICES)	\$13,000
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. UBS FINANCIAL SERVICES INC.	PRIME CREDIT LINE	None
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. RAW LAND (2000, \$79,000.)		None	L	R					
2. PRUDENTIAL ANNUITIES LIFE (FORMERLY AMERICAN SCANDIA)									
3. --- AST MARSICO CAPITAL GROWTH		None			Sold	01/21/09	L		
4. --- AST ALLIANCE BERNSTEIN MANAGED INDEX 500		None			Sold	01/21/09	L		
5. ---AST SMALL CAP VALUE		None			Sold	01/21/09	K		
6. --- AST NEUBERGER BERMAN MID CAP GROWTH		None			Sold	01/21/09	K		
7. --- AST NEUBERGER BERMAN/ LSV MID CAP VALUE		None			Sold	01/21/09	K		
8. --- AST T ROWE PRICE ASSET ALLOCATION		None			Sold	01/21/09	K		
9. --- AST PIMCO TOTAL RETURN BOND		None			Sold	01/21/09	K		
10. GENWORTH VARIABLE ANNUITY (FORMERLY G.E. ANNUITY)									
11. --- FIDELITY VIP ASSET MANAGER PORTFOLIO		None	J	T					
12. --- FIDELITY VIP CONTRAFUND PORTFOLIO		None	J	T					
13. --- FIDELITY VIP EQUITY-INCOME PORTFOLIO		None	J	T					
14. --- GOLDMAN SACHS MID CAP VALUE FUND		None	J	T					
15. --- FIDELITY VIP OVERSEAS PORTFOLIO		None	J	T					
16. HARTFORD LIFE VARIABLE ANNUITY									
17. --- TEMPLETON GROWTH SECTOR		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. --- AIM VI MIDCAP EQUITY		None	K	T					
19. --- AMR ASSET ALLOCATION		None	K	T					
20. --- AMR GROWTH		None	L	T					
21. --- MFS NEW DISCOVERY		None	J	T					
22. --- MFS TOTAL RETURN		None	K	T					
23. --- MUTUAL SHARES SEC		None	K	T					
24. PACIFIC LIFE VARIABLE ANNUITY # 1									
25. --- PIMCO INFLATION MANAGED		None	J	T					
26. --- PIMCO MANAGED BOND		None	J	T					
27. --- GOLDMAN SACHS SHRT DURATION BOND		None	K	T					
28. --- COMSTOCK-VAN KAMPEN		None	K	T					
29. --- CAPITAL GUARDIAN DIV RESEARCH		None	K	T					
30. --- JANUS FOCUSED 30		None	J	T					
31. --- LAZARD MID-CAP EQUITY		None	J	T					
32. --- BLACK ROCK SMALL CAP INDEX		None	K	T					
33. --- ALLIANCE BERNSTEIN INT'L VALUE		None	K	T					
34. UBS PACE MM INVESTORS (FORMERLY PACE MM)	A	Dividend	J	T					

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35. UBS BANK USE DEP ACCT	A	Interest	K	T					
36. RMA MONEY MARKET PORTFOLIO	A	Dividend	K	T					
37. THERMOSURGERY, INC.		None	J	T					
38. NATIONWIDE FLEXIBLE VARIABLE UNIVERSAL LIFE POLICY									
39. --- NVIT MID CAP INDEX I		None	K	T					
40. --- DREY VIF APPRECIATION PT		None	J	T					
41. --- NEU BER AMT MDCP GRTH PT I		None			Sold	08/14/09	J		
42. --- OPP MDCAP/VA NSS		None	J	T					
43. --- OPP MS ST/VA NSS		None	J	T					
44. --- JnsAspOverseas Svc(Formerly JAS INT'L GRTH PORT SV SHRS)		None	K	T					
45. --- NVIT Multi-Mgr MdCap Gr I		None	J	T	Buy	08/14/09	J		
46. PACIFIC LIFE VARIABLE ANNUITY POLICY # 2 (SELECT EXEC II)									
47. --- FIXED RATE OPTIONS	A	Interest	J	T					
48. --- VARIABLE EQUITY OPTIONS		None	J	T					
49. EQUITABLE LIFE POLICY									
50. --- EQ/INTRMDTE GOVT BOND INDX(FORMERLY ALLBRNSTN INT GOVT)		None	K	T					
51. --- EQ/BLACK ROCK BASIC VALUE EQUITY		None	K	T					

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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52. --- EQ/ MID CAP		None	J	T					
53. --- EQ/ LARGE CAP CORE PLUS		None	J	T					
54. --- EQ/ FOCUS PLUS (FORMERLY EQ/MARISCO FOCUS)		None	K	T					
55. --- EQ/ INTERNATIONAL CORE PLUS		None	J	T					
56. --- MULTIMANAGER SMALL CAP VALUE		None	K	T					
57. AMERICAN FUNDS AMCAP FUND, CLASS F	B	Dividend	M	T	Sold (part)	01/06/09	J		
58. HARTFORD LIFE INSURANCE LEADERS ANNUITY	A	Dividend	K	T					
59. HANGER		None	L	U					
60. FIRST CREDIT UNION ACCOUNTS	A	Interest	J	T					
61. DELAWARE DIVERSIFIED INCOME FUND	D	Dividend	M	T	Sold (part)	01/06/09	J		
62.					Buy (add'l)	01/06/09	J		
63. LORD ABBETT FUNDMNTL EQ FD(FORMERLY LRD ABBT ALL VALU FD)	A	Dividend	M	T	Sold (part)	01/06/09	J	A	
64.					Buy (add'l)	01/06/09	J		
65. JOHN HANCOCK FREEDOM 529 ACCOUNT 1 CL A	A	Int./Div.	J	T					
66. JOHN HANCOCK FREEDOM 529 ACCOUNT 2 CL A	A	Int./Div.	J	T					
67. JOHN HANCOCK FREEDOM 529 ACCOUNT 3 CL A	B	Int./Div.	J	T					
68. JOHN HANCOCK FREEDOM 529 ACCOUNT 4 CL A	A	Int./Div.	J	T					

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69. JOHN HANCOCK FREEDOM 529 ACCOUNT 5 CL A	A	Int./Div.	J	T					
70. NUVEEN MUNICIPAL VALUE FUND	A	Dividend	J	T					
71. OPPENHEIMER INTL BOND FUND CL A	C	Dividend	L	T	Sold (part)	01/06/09	J		
72.					Buy (add'l)	01/06/09	J		
73. TOUCHSTONE MID CAP GROWTH FUND		None	L	T	Sold (part)	01/06/09	J		
74.					Buy (add'l)	01/06/09	J		
75. KEELEY SMALL CAP VALUE FUND	A	Dividend	L	T	Sold (part)	01/06/09	J	A	
76.					Buy (add'l)	01/06/09	J		
77. UTAH ST BRD OF REGT S/L 28D TXBL	A	Interest			Sold	01/02/09	M		
78. FIRST EAGLE OVERSEAS FUND CL A	D	Dividend	M	T	Sold (part)	01/06/09	J		
79.					Buy (add'l)	01/06/09	J		
80. FT-FRANKLIN GOLD & PREC MET A		None	J	T					
81. HARTFORD GLOBAL HEALTH FUND CL A		None	J	T					
82. HARTFORD INFLATION PLUS FUND CLASS A	A	Dividend	K	T	Sold (part)	01/06/09	J		
83.					Buy (add'l)	01/06/09	J		
84. JPMORGAN MID CAP VALUE FUND CL A	A	Dividend	K	T	Sold (part)	01/06/09	J	A	
85.					Buy (add'l)	01/06/09	J		

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86. LINCOLN NATIONAL AMERICAN LEGACY III VIEW 14L									
87. --- BL CHP INCOME & GROWTH		None	L	T					
88. ---GROWTH		None	M	T					
89. --- GROWTH & INCOME		None	L	T					
90. --- GLOBAL SMALL CAP		None	K	T					
91. --- INTERNATIONAL		None	L	T					
92. --- NEW WORLD		None	K	T					
93. --- GLOBAL GROWTH-INCOME		None	K	T					
94. --- GLOBAL GROWTH		None	L	T					
95. --- BOND		None	K	T					
96. --- GLOBAL BOND		None	K	T					
97. --- GOV'T/AAA SECURITIES		None	K	T					
98. --- HIGH INCOME BOND		None	J	T					
99. NATIXIS CGM ADVISOR TARGETED EQUITY FD A	A	Dividend	L	T					
100. PRINCIPAL HIGH YIELD FD A	C	Dividend	K	T	Sold (part)	01/06/09	J	A	
101.					Buy (add'l)	01/06/09	J		
102. VIRTUS ALTERNATIVES DIVERSIFIED FUND CLASS A	A	Dividend	M	T	Sold (part)	01/06/09	J	A	

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103.					Buy (add'l)	01/06/09	J		
104. AXA EQUITABLE LIFE INSURANCE	A	Int./Div.	J	T					
105. GENERAL AMERICAN WHOLE LIFE INSURANCE POLICY	A	Int./Div.	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

TEILBORG, JAMES A.

Date of Report

05/12/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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Name of Person Reporting

TEILBORG, JAMES A.

Date of Report

05/12/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544