

# FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                    |                                                                                                                                                                                                                                 |                                                 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|
| 1. Person Reporting (Last name, First name, Middle initial)<br>JACOBS, DENNIS                                                                                                                      | 2. Court or Organization<br>Court of Appeals, 2d Circuit                                                                                                                                                                        | 3. Date of Report<br>4/30/04                    |
| 4. Title (Article III Judges indicate active or senior status;<br>magistrate judges indicate full- or part-time)<br>Circuit Judge - Active                                                         | 5. Report Type (check appropriate type)<br><input type="checkbox"/> Nomination, Date 3/20/92<br><input checked="" type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final           | 6. Reporting Period<br>1/1/03<br>to<br>12/31/03 |
| 7. Chambers or Office Address<br>U. S. Courthouse<br>40 Foley Square<br>New York, N.Y. 10007                                                                                                       | 8. On the basis of the information contained in this Report and any<br>modifications pertaining thereto, it is, in my opinion, in compliance<br>with applicable laws and regulations.<br><br>Reviewing Officer _____ Date _____ |                                                 |
| IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part<br>where you have no reportable information. Sign on last page. |                                                                                                                                                                                                                                 |                                                 |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

RECEIVED  
MAY 10 A 10 45  
FEDERAL  
DISCLOSURE OFFICE

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

JACOBS, DENNIS

Date of Report

4/30/04

**III. NON-INVESTMENT INCOME** (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1.

**B. Spouse's Non-Investment Income** If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1.

**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

**NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. Federal Bar Council

October 17-19: The Saybrook Point Inn &amp; Spa, Old Saybrook CT. Panel participant in 2003 Fall Bench &amp; Bar Retreat. Travel, Food &amp; Hotel

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## V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

## VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                      | C.<br>Gross value at end of<br>reporting period |                                    | D.<br>Transactions during reporting period         |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|--------------------------------------|-------------------------------------------------|------------------------------------|----------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                  | (1)                                             | (2)                                | (1)                                                | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.<br>div, rent, or<br>int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller (if<br>private<br>transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions)                                               |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 1. Muni Bond: NYS Dorm Auth City U 7/1/94                                                                                   | C                                       | Interest                             |                                                 |                                    | Matured                                            | 07/01                          | K                               |                                |                                                                   |
| 2. Muni Bond: Nassau County G/O Gen Imp Ser S UTT 3/1/96                                                                    | B                                       | Interest                             |                                                 |                                    | Matured                                            | 03/01                          | L                               |                                |                                                                   |
| 3. Muni Bond: NYS Health Fcs Ser A 3/1/72                                                                                   | A                                       | Interest                             |                                                 |                                    | Matured                                            | 05/01                          | K                               |                                |                                                                   |
| 4. Muni Bond: NY GO Ser B Unltd Tax 8/15/13                                                                                 | C                                       | Interest                             | K                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 5. Muni Bond: NYC Ser F U/T Book Entry Dtd 3/1/95                                                                           | B                                       | Interest                             | K                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 6. Muni Bond: New York NY Ser E FGIC 11/21/96                                                                               | C                                       | Interest                             | L                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 7. Muni Bond: New York NY Ser B Book Entry Unltd Tax 8/15/96                                                                | C                                       | Interest                             | L                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 8. Muni Bond: New York, NY Rfdg - Ser A FSA-CR 7/23/98                                                                      | C                                       | Interest                             | L                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 9. Muni Bond: New York City Ser H G/O Book Entry 1/28/97                                                                    | B                                       | Interest                             | M                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 10. Muni Bond: New York State Twy Auth Svc. Hwy & Brdg 8/1/00                                                               | B                                       | Interest                             | L                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 11. Muni Bond: New York City Ser L G/O MBIA Insd 6/10/97                                                                    | C                                       | Interest                             | L                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 12. Muni Bond: New York NY Ser B FGIC 11/18/97                                                                              | C                                       | Interest                             | L                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 13. Muni Bond: New York NY Ser L-MBIA-IBC 6/10/97                                                                           | B                                       | Interest                             | L                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 14. Muni Bond: Long Island Pwr Au Elec Sys Gen Rv-A 5/1/98                                                                  | B                                       | Interest                             | K                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 15. Muni Bond: Long Island Pwr Au Elec sys Rev GenMBIA 10/15/98                                                             | C                                       | Interest                             | L                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 16. Muni Bond: New York NY Ser H-FGIC-TCRS UNLTD/TAX 3/29/01                                                                | B                                       | Interest                             | K                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 17. Muni Bond: New York NY Ser F-MBIA IBC U/T OID 11/21/96                                                                  | D                                       | Interest                             | M                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |

|                         |                                |                             |                                 |                               |                       |
|-------------------------|--------------------------------|-----------------------------|---------------------------------|-------------------------------|-----------------------|
| 1. Income/Gain Codes:   | A = \$1,000 or less            | B = \$1,001-\$2,500         | C = \$2,501-\$5,000             | D = \$5,001-\$15,000          | E = \$15,001-\$50,000 |
| (See Columns B1 and D4) | F = \$50,001-\$100,000         | G = \$100,001-\$1,000,000   | H1 = \$1,000,001-\$5,000,000    | H2 = More than \$5,000,000    |                       |
| 2. Value Codes:         | J = \$15,000 or less           | K = \$15,001-\$50,000       | L = \$50,001-\$100,000          | M = \$100,001-\$250,000       |                       |
| (See Columns C1 and D3) | N = \$250,001-\$500,000        | O = \$500,001-\$1,000,000   | P1 = \$1,000,001-\$5,000,000    | P2 = \$5,000,001-\$25,000,000 |                       |
|                         | P3 = \$25,000,001-\$50,000,000 |                             | P4 = \$50,000,001-\$100,000,000 |                               |                       |
| 3. Value Method Codes:  | Q = Appraisal                  | R = Cost (Real Estate Only) | S = Assessment                  | T = Cash/Market               |                       |
| (See Column C2)         | U = Book Value                 | V = Other                   | W = Estimated                   |                               |                       |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                      | C.<br>Gross value at end of<br>reporting period |                                    | D.<br>Transactions during reporting period         |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|--------------------------------------|-------------------------------------------------|------------------------------------|----------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                  | (1)                                             | (2)                                | (1)                                                | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.<br>div. rent. or<br>int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller (if<br>private<br>transaction) |
| 18. Muni Bond: New York ST DORM AUTH REVS<br>SER-B-E.T.M. 7/1/90                                                            | B                                       | Interest                             | K                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 19. Muni Bond: New York ST DORM AUTH REVS<br>RFDG-ST UNIV 8/1/93                                                            | C                                       | Interest                             | L                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 20. Muni Bond: New York ST TWY AUTH SVC CRCT<br>REV RFDG 8/15/98                                                            | C                                       | Interest                             | L                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 21. Muni Bond: New York ST TWY AUTH SVC CRCT<br>REV RFDG 8/15/97                                                            | B                                       | Interest                             | K                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 22. Muni Bond: New York ST URB DEVCRP RV-B-<br>CRCTL FACS 11/1/98                                                           | C                                       | Interest                             | L                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 23. Muni Bond: LI NY Pwr Au Elec Sys Gen Ry DTD<br>5/1/98                                                                   | D                                       | Interest                             | M                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 24. Muni Bond: Greenwich NY Cent Sch Dist Rfdg DTD<br>6/24/02                                                               | C                                       | Interest                             | L                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 25. Muni Bond: NY St Twy Auth Hwy & Brdg Rfdg Ser<br>C DTD 7/1/02                                                           | C                                       | Interest                             | L                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 26. Muni Bond: NY St TWY Auth Hwy & Brdg Rfdg Ser<br>C DTD 7/1/02                                                           | C                                       | Interest                             | L                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 27. Muni Bond: NY St Twy Auth Hwy & Brdg Rfdg Ser<br>B DTD 7/1/00                                                           | C                                       | Interest                             | M                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 28. Muni Bond: NYS Twy Auth Svc Cont Rev Rfdg DTD<br>8/7/02                                                                 | D                                       | Interest                             | L                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 29. Muni Bond: NYS TWY Auth Hwy & Brdg TR Fd Ser<br>A FSA dated 3/1                                                         | A                                       | Interest                             | K                                               | T                                  | Buy                                                | 05/22                          | K                               |                                |                                                                   |
| 30. Muni Bond: NY St UDC Corr & Youth Facs Svc<br>Contract 11/26/02                                                         |                                         | None                                 | L                                               | T                                  | Buy                                                | 08/14                          | K                               |                                |                                                                   |
| 31. Money Market: Fidelity NY Muni Money Market -I                                                                          | A                                       | Dividend                             |                                                 |                                    | Acct. closed                                       | 10/31                          | L                               |                                | See Part VIII                                                     |
| 32. Money Market: Smith Barney Muni NY Money Mkt<br>Portfolio                                                               | A                                       | Dividend                             | K                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 33. Checking: Chase Manhattan Bank, NYC                                                                                     | A                                       | Interest                             | K                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 34. Checking: Amalgamated Bank of New York                                                                                  | A                                       | Interest                             | J                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 35. Chase Manhattan Mortgage Corp: Escrow Account                                                                           | A                                       | Interest                             | J                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |

|                         |                                |                             |                               |                               |                       |
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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                             | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|---------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div. rent. or<br>int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             |                                         |                                             |                                                 |                                           |                                                           | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller (if<br>private<br>transaction) |
| 36. Fidelity Rollover IRA                                                                                                   | F                                       | Dividend                                    | P1                                              | T                                         |                                                           |                                |                                 |                                |                                                                   |
| 37. - Fidelity Spartan 500 Index                                                                                            |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 38. - Vanguard Index Trust S&P 500 Portfolio                                                                                |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 39. - Dreyfus Disciplined Stock                                                                                             |                                         |                                             |                                                 |                                           | Sold                                                      | 10/24                          | N                               |                                | See Part VIII                                                     |
| 40. - Fidelity Cash Reserves                                                                                                |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 41. - TCW Galileo Select Equities Class I                                                                                   |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 42. - Baron Growth Fund                                                                                                     |                                         |                                             |                                                 |                                           | Addl purch                                                | 10/24                          | K                               |                                |                                                                   |
| 43. - Dodge & Cox Stock Fund                                                                                                |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 44. - Royce Total Return Fund                                                                                               |                                         |                                             |                                                 |                                           | Addl. Purch                                               | 10/24                          | K                               |                                |                                                                   |
| 45. American Growth Fund of America                                                                                         |                                         |                                             |                                                 |                                           | Buy                                                       | 10/24                          | M                               |                                |                                                                   |
| 46. Dreyfus Mid-Cap Fund                                                                                                    |                                         |                                             |                                                 |                                           | Buy                                                       | 10/24                          | M                               |                                |                                                                   |
| 47. - Bond: Fedl Natl Mtg Assn Note. 3/18/2008                                                                              |                                         |                                             |                                                 |                                           | Redeemed                                                  | 08/18                          | M                               |                                |                                                                   |
| 48. - Bond: Fedl Natl Mtg Assn Note 1/23/2008                                                                               |                                         |                                             |                                                 |                                           | Redeemed                                                  | 01/23                          | M                               |                                |                                                                   |
| 49. - Bond: Fedl Home Loan Bank Cons Bd                                                                                     |                                         |                                             |                                                 |                                           | Redeemed                                                  | 03/21                          | K                               |                                |                                                                   |
| 50. - Bond: Fedl Home Loan Bank Bonds                                                                                       |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 51. - Bond: Fedl Farm Cr Bks Cons Bd                                                                                        |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 52. - Bond: Fedl Farm Credit Banks Funding Corp                                                                             |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 53. - Bond: Fedl Home Ln Bk 5/13/11                                                                                         |                                         |                                             |                                                 |                                           | Buy                                                       | 02/25                          | L                               |                                |                                                                   |

|                        |                                                   |                                                                                   |                                                     |                                                                                         |                                                           |
|------------------------|---------------------------------------------------|-----------------------------------------------------------------------------------|-----------------------------------------------------|-----------------------------------------------------------------------------------------|-----------------------------------------------------------|
| 1. Income/Gain Codes:  | A = \$1,000 or less<br>(See Columns B1 and D4)    | B = \$1,001-\$2,500<br>G = \$100,001-\$1,000,000                                  | C = \$2,501-\$5,000<br>H1 = \$1,000,001-\$5,000,000 | D = \$5,001-\$15,000<br>H2 = More than \$5,000,000                                      | E = \$15,001-\$50,000                                     |
| 2. Value Codes:        | F = \$50,001-\$100,000<br>(See Columns C1 and D3) | J = \$15,000 or less<br>N = \$250,000-\$500,000<br>P3 = \$25,000,001-\$50,000,000 | K = \$15,001-\$50,000<br>O = \$500,001-\$1,000,000  | L = \$50,001-\$100,000<br>P1 = \$1,000,001-\$5,000,000<br>P4 = \$5,000,001-\$25,000,000 | M = \$100,001-\$250,000<br>P2 = \$25,000,001-\$50,000,000 |
| 3. Value Method Codes: | Q = Appraisal<br>(See Column C2)                  | R = Cost (Real Estate Only)<br>V = Other                                          | S = Assessment<br>W = Estimated                     | T = Cash/Market                                                                         |                                                           |

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                      | C.<br>Gross value at end of<br>reporting period |                                    | D.<br>Transactions during reporting period         |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|--------------------------------------|-------------------------------------------------|------------------------------------|----------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                  | (1)                                             | (2)                                | (1)                                                | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.<br>div. rent, or<br>int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-E) | (5)<br>Identity of<br>buyer/seller (if<br>private<br>transaction) |
| 54. - Bond: Fedl Farm CR Bks FDG 12/20/10                                                                                   |                                         |                                      |                                                 |                                    | Buy                                                | 02/25                          | K                               |                                |                                                                   |
| 55. - Bond: Fedl Frn Cr BKS 4/11/12                                                                                         |                                         |                                      |                                                 |                                    | Buy                                                | 02/25                          | L                               |                                |                                                                   |
| 56. - Bond: Fedl Home Ln Bks Cons Bd 6/29/09                                                                                |                                         |                                      |                                                 |                                    | Buy                                                | 06/02                          | K                               |                                |                                                                   |
| 57. - Bond: Fedl Home Ln Bk 11/15/11                                                                                        |                                         |                                      |                                                 |                                    | Buy                                                | 12/05                          | L                               |                                |                                                                   |
| 58. - Bond: Fedl Home Ln Bk 11/15/11                                                                                        |                                         |                                      |                                                 |                                    | Buy                                                | 12/05                          | L                               |                                |                                                                   |
| 59. TIAA-CREF                                                                                                               |                                         | None                                 | L                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |
| 60. - CREF Stock Account                                                                                                    |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 61.                                                                                                                         |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |

|                         |                                |                             |                              |                               |                       |
|-------------------------|--------------------------------|-----------------------------|------------------------------|-------------------------------|-----------------------|
| 1. Income/Gain Codes:   | A = \$1,000 or less            | B = \$1,001-\$2,500         | C = \$2,501-\$5,000          | D = \$5,001-\$15,000          | E = \$15,001-\$50,000 |
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| 2. Value Codes:         | J = \$15,000 or less           | K = \$15,001-\$50,000       | L = \$50,001-\$100,000       | M = \$100,001-\$250,000       |                       |
| (See Columns C1 and D8) | N = \$250,000-\$500,000        | O = \$500,001-\$1,000,000   | P1 = \$1,000,001-\$5,000,000 | P2 = \$5,000,001-\$25,000,000 |                       |
|                         | P3 = \$25,000,001-\$50,000,000 |                             | P4 = More than \$50,000,000  |                               |                       |
| 3. Value Method Codes   | Q = Appraisal                  | R = Cost (Real Estate Only) | S = Assessment               | T = Cash/Market               |                       |
| (See Column C2)         | U = Book Value                 | V = Other:                  | W = Estimated                |                               |                       |

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

JACOBS, DENNIS

Date of Report

4/30/04

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

Part VII, Line 31: This checking account was closed and the funds transferred into the Smith Barney Municipal NY Money Market Portfolio (line 32).

Part VII, Line 39: Oreyfus Disciplined Stock was partially sold in 2002. The asset was entirely liquidated in 2003.

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

JACOBS, DENNIS

Date of Report

4/30/04

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature \_\_\_\_\_

Date

5-4-04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544