

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Moore, Kimberly A.	2. Court or Organization Federal Circuit	3. Date of Report 05/05/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address U.S.C.A. Federal Circuit 717 Madison Place NW Washington, DC 20439	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	West-Thomson, Book Royalties	\$5,495.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	attorney, self-employed, partner
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Association of Corporate Patent Counsel	01/24/2009 - 01/28/2009	Fajardo, Puerto Rico	Conference	Transportation, Meals, & Lodging
2.	Federal Circuit Bar Association	6/18/2009 - 6/21/2009	White Sulphur Springs, West Virginia	Conference	Meals & Lodging
3.	American Bar Association	11/12/2009 - 11/15/2009	Orlando, Florida	Conference	Transportation, Meals, & Lodging
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. National City Mortgage Co.	Mortgage on Rental Property	O
2. Citibank	Loan	L
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income dur ng reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property (2008 \$2,211,554.00)	F	Rent	P1	S					
2. Chevy Chase Bank Checking Account	A	Interest	M	T					
3. Citibank	D	Interest	J	T					
4. Northwestern Mutual Life Insurance	B	Dividend	J	T					
5. Northwestern Mutual Life Insurance	B	Dividend	J	T					
6. Berkshire Life Insurance	A	Dividend	J	T					
7.									
8. Fidelity Retirement Account									
9. -Fidelity Freedom 2035		None			Buy (add'l)	01/06/09	J		
10.					Sold	02/04/09	M		
11. -Fidelity Capital & Income	D	Dividend	L	T	Buy	02/04/09	L		
12.					Buy (add'l)	02/06/09	J		
13.					Buy (add'l)	03/05/09	J		
14.					Buy (add'l)	04/03/09	J		
15.					Buy (add'l)	04/13/09	J		
16.					Buy (add'l)	05/05/09	J		
17.					Buy (add'l)	06/04/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	08/06/09	J		
19. -Fidelity Contra	A	Dividend	K	T	Buy	02/04/09	K		
20.					Buy (add'l)	02/06/09	J		
21.					Buy (add'l)	03/05/09	J		
22.					Buy (add'l)	04/03/09	J		
23.					Buy (add'l)	04/13/09	J		
24.					Buy (add'l)	05/05/09	J		
25.					Buy (add'l)	06/04/09	J		
26.					Buy (add'l)	08/06/09	J		
27. -Fidelity Low PR STK	A	Dividend	K	T	Buy	02/04/09	K		
28.					Buy (add'l)	02/06/09	J		
29.					Buy (add'l)	03/05/09	J		
30.					Buy (add'l)	04/03/09	J		
31.					Buy (add'l)	04/13/09	J		
32.					Buy (add'l)	05/05/09	J		
33.					Buy (add'l)	06/04/09	J		
34. -Fidelity US BD Index	C	Dividend	L	T	Buy	02/04/09	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000	E = \$15,001 - \$50,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P4 = More than \$50,000,000	S = Assessment T = Cash Market	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	02/06/09	J		
36.					Buy (add'l)	03/05/09	J		
37.					Buy (add'l)	04/03/09	J		
38.					Buy (add'l)	04/13/09	J		
39.					Buy (add'l)	05/05/09	J		
40.					Buy (add'l)	06/04/09	J		
41.									
42. Smith Barney Retirement Account * (See Part VIII)		None	M	T					
43.									
44. Morgan Stanley Accounts * (See Part VIII)									
45. -American CAP Strategies	A	Dividend			Sold	3/6/09	J		
46. -Wells Fargo CAP Trust Preferred	A	Interest			Sold	2/4/2009	J		
47. -First Eagle FDS Inc Overseas FD		None			Redeemed	2/4/2009	L		
48. -Thornburg Invt TR Invt Income Builder Fund	A	Dividend			Redeemed	2/4/2009	L		
49. -Goldman Sachs Trust Growth Strategy Portfund		None			Redeemed	2/2/2009	L		
50. -RS Large Cap Alpha C		None			Redeemed	2/4/2009	L		
51. -MS Liquid Asset	A	Interest			Closed	5/4/2009	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$30,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. -Grant Park Futures Fund		None			Sold	03/18/09	K		
53.									
54. Charles Schwab Accounts									
55. -Proshares Ultra Financial (previously held w/ MS)	D	Dividend	K	T	Buy	2/4/2009	K		
56.					Sold (part)	08/11/09	J	B	
57.					Sold (part)	09/16/09	J	C	
58. -Proshares Ultra DJ AIG	D	Dividend			Buy	02/19/09	J		
59.					Buy (add'l)	04/20/09	J		
60.					Sold	05/29/09	K	D	
61. -Proshares Ultra Short		None	L	T	Buy	09/14/09	K		
62.					Buy (add'l)	11/16/09	K		
63.					Buy (add'l)	12/15/09	J		
64. -Alltel Corp Notes	B	Interest	K	T	Buy	02/11/09	K		
65. -United Health Bonds	A	Interest	K	T	Buy	02/11/09	K		
66. -Anheuser Busch Bonds	A	Interest	K	T	Buy	02/11/09	K		
67. -Ingersoll-RND	B	Interest	L	T	Buy	02/11/09	K		
68. -XTO Energy Inc	A	Interest	L	T	Buy	02/11/09	K		

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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69. -EBay	D	Dividend			Buy	02/18/09	J		
70.					Sold (part)	08/11/09	J	C	
71.					Sold	09/02/09	J	D	
72. -Profunds Ultra Small Cap	E	Dividend			Buy	02/25/09	J		
73.					Buy (add'l)	04/24/09	J		
74.					Sold (part)	08/11/09	J	C	
75.					Sold (part)	09/16/09	J	D	
76.					Sold	10/19/09	J	D	
77. -Profunds Basic Materials	E	Dividend			Buy	02/25/09	J		
78.					Buy (add'l)	04/27/09	J		
79.					Sold (part)	08/11/09	J	C	
80.					Sold (part)	09/16/09	J	D	
81.					Sold	10/19/09	J	D	
82. -Profunds Ultra Latin America	B	Dividend	J	T	Buy	07/24/09	J		
83.					Sold (part)	09/16/09	J	B	
84. -Vanguard GNMA	B	Dividend	L	T	Buy	02/26/09	K		
85.					Buy (add'l)	08/21/09	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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86.					Buy (add'l)	10/19/09	K		
87. -Procter & Gamble		None			Buy	04/20/09	K		
88.					Sold	04/21/09	K		
89. -Vanguard High Yield	B	Dividend	K	T	Buy	04/27/09	K		
90.					Buy (add'l)	11/16/09	K		
91. -Hovnanian	C	Dividend			Buy	07/23/09	J		
92.					Sold (part)	08/11/09	J	B	
93.					Sold (part)	09/16/09	J	A	
94.					Sold	10/19/09	J	B	
95.									
96.									
97.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII.

Smith Bamey Retirement Account:

This is a retirement account that doesn't allow individual control of investment selections.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544