

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DUFFY, KEVIN T	2. Court or Organization USDC, SDNY	3. Date of Report 05/11/2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE, Senior	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2005 to 12/31/2005
7. Chambers or Office Address UNITED STATES DISTRICT COURT 500 PEARL STREET, ROOM 2540 NEW YORK, NY 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Vice President	Fordham Law Alumni Association
2.	
3.	
4.	
5.	

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FINANCIAL
DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	** NOTHING TO REPORT **
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2005	West Group (formerly McGraw-Hill Inc.) -- Royalty Payments	\$ 5,354.61
2. 2005	Real Estate License -- U.S. Marshals Service - 9 Months	\$ 18,900.00
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. William Mitchell College of Law -- Distinguished Speakers from 04/09/05 to 04/13/05	\$948 (Total) Public Square Lecture Series in Minneapolis, Minnesota: \$300 (air fare); \$648 (lodging).
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)



NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CHASE BANK	A	Int	K	T	Closed	1/7	J		
2. BP	A	Div	K	T					
3. ML BANK	A	Dividend	J	T					
4. UTS NISOURCE	A	Dividend	J	T					
5. ERICSON LM TEL	A	Dividend	J	T					
6. ML BANK	A	Dividend	K	T					
7. HEWLITT PACKARD	A	Dividend	J	T					
8. INTEL CORP.	A	Dividend	L	T					
9. PFIZER INC.	A	Dividend	L	T					
10. HALLIBURTON COMPANY	A	Dividend	K	T					
11. ML BASIC VALUE	D	Distribution	L	T					
12. COCA-COLA	A	Dividend	K	T					
13. AT&T	A	Dividend	K	T					
14. HONEYWELL INT.	A	Dividend	L	T					
15. BRISTOL MYERS	B	Dividend	K	T					
16. GENERAL ELECTRIC	A	Dividend	K	T					
17. J P MORGAN	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100, 01 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. TXU CORP.	A	Dividend	L	T					
19. ZIMMER HOLDINGS		None	J	T					
20. ML BALANCED CAPITAL FUND	A	Dividend	K	T					
21. YAHOO			L	T					
22. St. Paul Travelers	A	None	J	T					
23. Citi Group Inc.	A	Dividend	J	T					
24. Merck & Co. Inc.	A	Dividend	J	T					
25. ML & Co. STRIDES (Jet Blue)	A	None	J	T					
26. VERIZON COMMUNICATIONS	E	Dividend	K	T					
27. BATTERY PARK CITY	E	Bond	K	T					
28. DORMITORY AUTHORITY OF NEW YORK	F	None	L	T	Buy	4/21	L		
29. KEYSpan GENERATION	E	None	K	T	Buy	4/21	K		
30. CORNING INC.	A	None	K	T	Buy	7/12	K		
31. MISSOURI HIGHER EDUCATION	F	None	L	T	Buy	5/10	L		
32. Beach Home - Rebadam St. Southampton, NY	D	Rent			Rental	Sum.			Savannah Restaurant, NY
33. Beach Home - Rebadam St. Southampton, NY	C	Rent			Rental	2 mos			Avni Celik

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

None

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5/11/06

NOTE: A
AND CRIMINAL SANCTIONS (5 U.S.C. app. § 101)

IFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544