

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bloch, Alan N.	2. Court or Organization USDC/WDPA	3. Date of Report 04/25/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address Suite 8370 700 Grant Street Pittsburgh, PA 15219	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Ameritus Board of Directors	Make-A-Wish Foundation of Western Pennsylvania (non-profit corporation)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Bloch, Alan N.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Academy of Trial Lawyers of Allegheny County	10-6-2010 to 10-8-2010	Farmington, PA	Attend the Annual Retreat	Room and meals
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

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Name of Person Reporting

Bloch, Alan N.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Pa. Horsham Township Sewer Authority	A	Interest			Redeemed	01/04/10	J		
2. Philadelphia Pa. Gas Works Bond (12th Series)	A	Interest	J	T	Redeemed (part)	05/17/10	J		
3. Pa. State Higher Education Facility Bond	A	Interest	J	T					
4. Pa. State Higher Education Bond	A	Interest	J	T					
5. Montgomery County Bond	A	Interest	J	T					
6. Philadelphia IDA Airport Bond	A	Interest			Redeemed	11/15/10	J		
7. Philadelphia Authority Bond	A	Interest			Redeemed	11/15/10	J		
8. Pa. Turnpike Bond (Series B)	A	Interest	J	T					
9. Lewistown Borough Water Authority Bond	A	Interest			Redeemed	01/04/10	J		
10. Pine Richland School District Bond	A	Interest			Redeemed	07/15/10	J		
11. Sto-Rox School District Bond	A	Interest	J	T					
12. Stroudsburg Penn Area School District Bond	B	Interest	K	T					
13. Pa. State Higher Educ. Assistance Agency (B/E)	A	Interest	J	T					
14. Harrisburg PA Parking Authority Bond	A	Interest	J	T					
15. Pa. Turnpike B/E OY Bond	B	Interest	K	T					
16. Pa. State Finance Auth. Beaver Cty. Bond	B	Interest	K	T					
17. Fairview School District Bond	B	Interest	K	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Bloch, Alan N.

Date of Report

04/25/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Adams County Bond	A	Interest	J	T					
19. Dauphin County Bond	A	Interest	J	T					
20. Delaware Cty. IDA Sub. Water Authority Bond	A	Interest	J	T					
21. Mt. Lebanon School District Bond	A	Interest	J	T					
22. Lower Merion School District Bond	A	Interest	J	T					
23. Altoona PA NTS Series A Bond	B	Interest	K	T					
24. All. Cty. Hosp. Dev. Auth. Bond (Mercy Hospital)	B	Interest	K	T					
25. Philadelphia PA Auth. (Series B) Bond	B	Interest	K	T					
26. Scranton PA Gen. Obligation (Series B) Bond	A	Interest	J	T					
27. Norristown School District Bond	A	Interest	J	T					
28. Gateway School District Bond	A	Interest	J	T					
29. Meyersdale Area School District	A	Interest	J	T					
30. Scranton Penn School District Bond	A	Interest	J	T					
31. Economy Pa. Municipal Sewer Authority	A	Interest	J	T					
32. Abington School District Bond	A	Interest	J	T					
33. Pa. State Ref. Project (1st Series) Bond	A	Interest	K	T					
34. Fairview School District (Series B) Bond	A	Interest	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Bloch, Alan N.

Date of Report

04/25/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Philadelphia Gas Works (5th Series)	A	Interest	J	T					
36. Ambridge Penn Area School District	A	Interest	J	T					
37. Plum Borough School District	A	Interest	J	T					
38. Garnet Valley School District	A	Interest	J	T					
39. Chestnut Ridge School District	A	Interest	J	T					
40. Iroquois School District	A	Interest	J	T					
41. Philadelphia Pa. School District	A	Interest	J	T					
42. Southeast Delco School District	A	Interest	J	T					
43. State Public School Building Authority	A	Interest	J	T					
44. State Public School Building Authority	B	Interest	K	T					
45. State Public School Building Authority	A	Interest	J	T					
46. Philadelphia Pa. School District	B	Interest	K	T					
47. Spring-Ford Area School District	A	Interest	J	T					
48. State Public School Building Authority	A	Interest	J	T					
49. Pa. State Higher Education Drexel University	A	Interest	K	T					
50. Philadelphia PA Water & Wastewater Series B Bond	B	Interest	K	T					
51. Aliquippa PA School District Bond	B	Interest	K	T					

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
(See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
(See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
P3 = \$25,000,001 - \$50,000,000
3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
(See Column C2) U = Book Value V = Other W = Estimated

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Mahanoy PA Sewer Authority Bond	A	Interest	K	T					
53. Mars PA School District Bond	A	Interest	J	T					
54. Greater Johnstown PA Water Bond	A	Interest			Redeemed	07/01/10	J		
55. Erie PA Water Authority Bond	A	Interest	J	T					
56. Altoona PA Area School District Bond	A	Interest	K	T					
57. Pa. State Turnpike Commission Bond	A	Interest	J	T					
58. West Mifflin PA Area School District Bond	A	Interest	J	T					
59. Pa. State Higher Education Facility College & Univ. Bond	A	Interest	J	T					
60. Uniontown PA Area School District Bond	A	Interest	J	T					
61. Erie PA Water Authority Water Bond	A	Interest	J	T					
62. Altoona PA Area School District Bond	A	Interest	J	T	Buy	01/11/10	J		
63. Reading PA School District Bond	A	Interest	K	T	Buy	01/11/10	K		
64. New Kensington PA Sanitary Authority Bond	A	Interest	J	T	Buy	05/28/10	J		
65. Coatesville PA Area School District Bond	A	Interest	J	T	Buy	07/07/10	J		
66. Reading PA School District Bond	A	Interest	J	T	Buy	07/19/10	J		
67. North Versailles Township Sanitary Authority Bond	A	Interest	J	T	Buy	10/21/10	J		
68. Interest-PNC Bank- Checking; [REDACTED] [REDACTED] Pgh.	A	Interest	J	T					

1. Income Gain Codes:

(See Columns B 1 and D4)

2. Value Codes

(See Columns C 1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

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P3 = \$25,000,001 - \$50,000,000

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K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. Vanguard Pa. Insured Tax Free Fund	B	Dividend	K	T					
70. Pimco High Yield Fund Class A	A	Dividend	J	T					
71. Bell South 7% preferred stock	A	Dividend			Redeemed	10/01/10	J		
72. J.P. Morgan Chase 7% Shares	A	Dividend	J	T					
73. Bond Fund of America	A	Dividend	J	T					
74. American High Income Trust	A	Dividend	J	T	Sold (part)	10/06/10	J		
75. First Trust Preferred Income Series 38 Unit Trust	A	Dividend	J	T	Buy	09/09/10	J		
76. Capital Income Builder Class A of American Funds	A	Dividend	J	T	Buy	11/24/10	J		
77. Income Fund America, Inc. Class A of American Funds	A	Dividend	J	T	Buy	11/24/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alan N. Bloch

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544