

UNITED STATES DISTRICT COURT
Western District of North Carolina
Charles R. Jonas Building
401 West Trade Street, Room 230
Charlotte, North Carolina 28202

**SELF INITIATED
AMENDMENT**

Chambers of
Graham C. Mullen
Chief District Judge

Phone# (704)350-7450

October 14, 2004

Peter Laugesen
2-301 Thurgood Marshall
Federal Judiciary Building
One Columbus Circle, N.E.
Washington, DC 20002-0544

Dear Mr. Laugesen:

Enclosed is a copy of my 2003 Financial Report. [REDACTED]

Sincerely,

Graham C. Mullen
Chief U.S. District Judge

AO-10

Rev. 1/2002

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
In Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) MULLEN, GRAHAM C	2. Court or Organization WESTERN DISTRICT OF N.C.	3. Date of Report 9/13/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) CHIEF U.S. DISTRICT JUDGE - AC	5. Report Type (check appropriate type) ☐ Nomination, Date _____ ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 230 CHARLES R. JONAS BUILDING 401 WEST TRADE STREET CHARLOTTE, NC 28202	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer: _____ Date: _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)☐ **NONE** - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. [REDACTED]	[REDACTED]
2. [REDACTED]	[REDACTED]
3. [REDACTED]	[REDACTED]

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)☐ **NONE** - (No reportable agreements.)

DATE	PARTIES AND TERMS
1. [REDACTED]	None

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)☐ **NONE** - (No reportable non-investment income.)

DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
1. [REDACTED]	[REDACTED]	[REDACTED]

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

MULLEN, GRAHAM C

Date of Report

9/13/2004

IV. REIMBURSEMENTS — transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	[REDACTED]	[REDACTED]

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☐ **NONE** - (No such reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		None	

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☐ **NONE** - (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	[REDACTED]	[REDACTED]	[REDACTED]
2.	[REDACTED]	[REDACTED]	[REDACTED]
3.	[REDACTED]	[REDACTED]	[REDACTED]

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

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MULLEN, GRAHAM C

9/13/2004

VII. INVESTMENTS and TRUSTS

-- Income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, transfer, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. [REDACTED]									
2. [REDACTED]									
3. [REDACTED]									
4. [REDACTED]									
5. [REDACTED]									
6. [REDACTED]									
7. [REDACTED]									
8. [REDACTED]									
9. [REDACTED]									
10. [REDACTED]									
11. [REDACTED]									
12. [REDACTED]									
13. [REDACTED]									
14. [REDACTED]									
15. [REDACTED]									
16. [REDACTED]									
17. [REDACTED]									
18. [REDACTED]									

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
 (See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
 (See Columns C1 and D3) N = \$250,001-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
 P3 = \$25,000,001-\$50,000,000 P4 = More than \$50,000,000

3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
 (See Column C2) U = Book Value V = Other W = Estimated

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting
MULLEN, GRAHAM CDate of Report
9/13/2004**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or lnt.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. [REDACTED]					[REDACTED]				
20. [REDACTED]					[REDACTED]				
21. [REDACTED]					[REDACTED]				
22. [REDACTED]					[REDACTED]				
23. [REDACTED]					[REDACTED]				
24. [REDACTED]					[REDACTED]				
25. [REDACTED]					[REDACTED]				
26. [REDACTED]					[REDACTED]				
27. [REDACTED]					[REDACTED]				
28. [REDACTED]					[REDACTED]				
29. [REDACTED]					[REDACTED]				
30. [REDACTED]					[REDACTED]				
31. [REDACTED]					[REDACTED]				
32. [REDACTED]					[REDACTED]				
33. [REDACTED]					[REDACTED]				
34. [REDACTED]					[REDACTED]				
35. [REDACTED]					[REDACTED]				
36. [REDACTED]					[REDACTED]				

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. [REDACTED]									
38. [REDACTED]									
39. [REDACTED]									
40. [REDACTED]									
41. [REDACTED]									
42. [REDACTED]									
43. [REDACTED]									
44. [REDACTED]									
45. [REDACTED]									
46. [REDACTED]									
47. [REDACTED]									
48. [REDACTED]									
49. [REDACTED]									
50. [REDACTED]									
51. [REDACTED]									
52. [REDACTED]									
53. [REDACTED]									
54. [REDACTED]									

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(See Columns C1 and D3)	O = \$500,001-\$1,000,000	P = \$1,000,001-\$5,000,000	Q = \$5,000,001-\$10,000,000	R = \$10,000,001-\$25,000,000	S = More than \$25,000,000
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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting
MULLEN, GRAHAM CDate of Report
9/13/2004

VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 3 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
55. [REDACTED]									
56. [REDACTED]									
57. [REDACTED]									
58. [REDACTED]									
59. [REDACTED]									
60. [REDACTED]									
61. [REDACTED]									
62. [REDACTED]									
63. [REDACTED]									
64. [REDACTED]									
65. [REDACTED]									
66. [REDACTED]									
67. [REDACTED]									
68. [REDACTED]									
69. [REDACTED]									
70. [REDACTED]									
71. [REDACTED]									
72. [REDACTED]									

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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
MULLEN, GRAHAM CDate of Report
9/13/2004

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
73. [REDACTED]									
74. [REDACTED]									
75. [REDACTED]									
76. [REDACTED]									
77. [REDACTED]									
78. [REDACTED]									
79. [REDACTED]									
80. [REDACTED]									
81. [REDACTED]									
82. [REDACTED]									
83. [REDACTED]									
84. [REDACTED]									
85. [REDACTED]									
86. [REDACTED]									
87. [REDACTED]									
88. [REDACTED]									
89. [REDACTED]									
90. [REDACTED]									

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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting
MULLEN, GRAHAM C

Date of Report

9/13/2004

VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

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	(1) Amount Code 1 (A-F)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date - Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-F)	(5) Identity of buyer/seller (if private transaction)
91. [REDACTED]		[REDACTED]				[REDACTED]			
92. [REDACTED]	[REDACTED]	[REDACTED]							[REDACTED]
93. [REDACTED]									[REDACTED]
94. [REDACTED]									
95. [REDACTED]		[REDACTED]							
96. [REDACTED]		[REDACTED]							
97. [REDACTED]		[REDACTED]							
98. [REDACTED]	[REDACTED]	[REDACTED]							
99. [REDACTED]		[REDACTED]							
100. [REDACTED]		[REDACTED]							
101. [REDACTED]		[REDACTED]				[REDACTED]			
102. [REDACTED]		[REDACTED]				[REDACTED]			
103. [REDACTED]		[REDACTED]				[REDACTED]			
104. [REDACTED]		[REDACTED]				[REDACTED]			
105. [REDACTED]									[REDACTED]
106. [REDACTED]	[REDACTED]	[REDACTED]							
107. [REDACTED]	[REDACTED]	[REDACTED]							
108. [REDACTED]	[REDACTED]	[REDACTED]							

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3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
MULLEN, GRAHAM C

Date of Report

9/13/2004

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VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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						(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-F)	(5) Identity of buyer/seller (if private transaction)
109. [REDACTED]									
110. [REDACTED]									
111. [REDACTED]									
112. [REDACTED]									
113. [REDACTED]									
114. [REDACTED]									
115. [REDACTED]									
116. [REDACTED]									
117. [REDACTED]									
118. [REDACTED]									
119. [REDACTED]									
120. [REDACTED]									
121. [REDACTED]									
122. [REDACTED]									
123. [REDACTED]									
124. [REDACTED]									
125. [REDACTED]									
126. [REDACTED]									

1. Income/Unit Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting
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						(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
127. [REDACTED]									
128. [REDACTED]									
129. [REDACTED]									
130. [REDACTED]									
131. [REDACTED]									
132. [REDACTED]									
133. [REDACTED]									
134. [REDACTED]									
135. [REDACTED]									
136. [REDACTED]									

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
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FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) MULLEN, GRAHAM C	2. Court or Organization WESTERN DISTRICT OF N.C.	3. Date of Report 9/13/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) CHIEF U.S. DISTRICT JUDGE - AC	5. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 230 CHARLES R. JONAS BUILDING 401 WEST TRADE STREET CHARLOTTE, NC 28202	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

POSITION	NAME OF ORGANIZATION/ENTITY
1. [REDACTED]	[REDACTED]
2. [REDACTED]	[REDACTED]
3. [REDACTED]	[REDACTED]

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1. None	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

☐ NONE - (No reportable non-investment income.)

DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
1. [REDACTED]	[REDACTED]	[REDACTED]

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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

MULLEN, GRAHAM C

Date of Report

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IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE - (No such reportable reimbursements.)

SOURCE

DESCRIPTION

1.

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☐ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

None

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☐ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

FINANCIAL DISCLOSURE REPORT

Page 1 of 8

Name of Person Reporting

MULLEN, GRAHAM C

Date of Report

9/13/2004

VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-F)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. [REDACTED]									
2. [REDACTED]									
3. [REDACTED]									
4. [REDACTED]									
5. [REDACTED]									
6. [REDACTED]									
7. [REDACTED]									
8. [REDACTED]									
9. [REDACTED]									
10. [REDACTED]									
11. [REDACTED]									
12. [REDACTED]									
13. [REDACTED]									
14. [REDACTED]									
15. [REDACTED]									
16. [REDACTED]									
17. [REDACTED]									
18. [REDACTED]									

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000

(See Columns B1 and D4)

F = \$50,001-\$100,000

G = \$100,001-\$1,000,000

H1 = \$1,000,001-\$5,000,000

H2 = More than \$5,000,000

2. Value Codes:

J = \$15,000 or less

K = \$15,001-\$50,000

L = \$50,001-\$100,000

M = \$100,001-\$250,000

(See Columns C1 and D3)

N = \$250,000-\$500,000

O = \$500,001-\$1,000,000

P1 = \$1,000,001-\$5,000,000

P2 = \$5,000,001-\$25,000,000

P3 = \$25,000,001-\$50,000,000

P4 = More than \$50,000,000

3. Value Method Codes

Q = Appraisal

R = Cost (Real Estate Only)

S = Assessment

T = Cash/Market

(See Column C2)

U = Book Value

V = Other

W = Estimated

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Name of Person Reporting
MULLEN, GRAHAM C

Date of Report
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VII. INVESTMENTS and TRUSTS —income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-F)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
19. [REDACTED]									
20. [REDACTED]									
21. [REDACTED]									
22. [REDACTED]									
23. [REDACTED]									
24. [REDACTED]									
25. [REDACTED]									
26. [REDACTED]									
27. [REDACTED]									
28. [REDACTED]									
29. [REDACTED]									
30. [REDACTED]									
31. [REDACTED]									
32. [REDACTED]									
33. [REDACTED]									
34. [REDACTED]									
35. [REDACTED]									
36. [REDACTED]									

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: I = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$50,000,001-\$100,000,000 P5 = More than \$100,000,000
3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

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Name of Person Reporting

MULLEN, GRAHAM C

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, exchange, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. [REDACTED]					[REDACTED]				
38. [REDACTED]					[REDACTED]				
39. [REDACTED]					[REDACTED]				
40. [REDACTED]					[REDACTED]				
41. [REDACTED]									
42. [REDACTED]									
43. [REDACTED]									
44. [REDACTED]					[REDACTED]				
45. [REDACTED]					[REDACTED]				
46. [REDACTED]					[REDACTED]				
47. [REDACTED]					[REDACTED]				
48. [REDACTED]									
49. [REDACTED]									
50. [REDACTED]					[REDACTED]				
51. [REDACTED]					[REDACTED]				
52. [REDACTED]									
53. [REDACTED]									
54. [REDACTED]									

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$50,000,001-\$100,000,000 P5 = More than \$100,000,000
3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

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Name of Person Reporting

MULLEN, GRAHAM C

Date of Report

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VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
55. [REDACTED]									
56. [REDACTED]									
57. [REDACTED]									
58. [REDACTED]									
59. [REDACTED]									
60. [REDACTED]									
61. [REDACTED]									
62. [REDACTED]									
63. [REDACTED]									
64. [REDACTED]									
65. [REDACTED]									
66. [REDACTED]									
67. [REDACTED]									
68. [REDACTED]									
69. [REDACTED]									
70. [REDACTED]									
71. [REDACTED]									
72. [REDACTED]									

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000

(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$50,000,001-\$100,000,000

3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

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Name of Person Reporting
MULLEN, GRAHAM C

Date of Report
9/13/2004

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
73. [REDACTED]									
74. [REDACTED]									
75. [REDACTED]									
76. [REDACTED]									
77. [REDACTED]									
78. [REDACTED]									
79. [REDACTED]									
80. [REDACTED]									
81. [REDACTED]									
82. [REDACTED]									
83. [REDACTED]									
84. [REDACTED]									
85. [REDACTED]									
86. [REDACTED]									
87. [REDACTED]									
88. [REDACTED]									
89. [REDACTED]									
90. [REDACTED]									

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$More: than \$50,000,000
3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

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Name of Person Reporting

MULLEN, GRAHAM C

Date of Report

9/13/2004

VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
91. [REDACTED]		[REDACTED]				[REDACTED]			
92. [REDACTED]		[REDACTED]							[REDACTED]
93. [REDACTED]		[REDACTED]							[REDACTED]
94. [REDACTED]									
95. [REDACTED]		[REDACTED]							
96. [REDACTED]		[REDACTED]							
97. [REDACTED]		[REDACTED]							
98. [REDACTED]		[REDACTED]							
99. [REDACTED]		[REDACTED]							
100. [REDACTED]		[REDACTED]							
101. [REDACTED]		[REDACTED]				[REDACTED]			
102. [REDACTED]		[REDACTED]				[REDACTED]			
103. [REDACTED]		[REDACTED]				[REDACTED]			
104. [REDACTED]		[REDACTED]				[REDACTED]			
105. [REDACTED]									[REDACTED]
106. [REDACTED]		[REDACTED]							
107. [REDACTED]		[REDACTED]							
108. [REDACTED]		[REDACTED]							

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$50,000,001 or more
3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

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Name of Person Reporting
MULLEN, GRAHAM C

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VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
109. [REDACTED]									
110. [REDACTED]									
111. [REDACTED]									
112. [REDACTED]									
113. [REDACTED]									
114. [REDACTED]									
115. [REDACTED]									
116. [REDACTED]									
117. [REDACTED]									
118. [REDACTED]									
119. [REDACTED]									
120. [REDACTED]									
121. [REDACTED]									
122. [REDACTED]									
123. [REDACTED]									
124. [REDACTED]									
125. [REDACTED]									
126. [REDACTED]									

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

MULLEN, GRAHAM C

Date of Report

9/13/2004

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
127. [REDACTED]									
128. [REDACTED]									
129. [REDACTED]									
130. [REDACTED]									
131. [REDACTED]									
132. [REDACTED]									
133. [REDACTED]									
134. [REDACTED]									
135. [REDACTED]									
136. [REDACTED]									

1. Income/Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001-\$100,000

B = \$1,001-\$2,500

G = \$100,001-\$1,000,000

C = \$2,501-\$5,000

HI = \$1,000,001-\$5,000,000

D = \$5,001-\$15,000

HE = More than \$5,000,000

E = \$15,001-\$50,000

2. Value Codes:

(See Columns C1 and D5)

J = \$15,000 or less

N = \$250,000-\$500,000

P3 = \$25,000,001-\$50,000,000

K = \$15,001-\$50,000

O = \$500,001-\$1,000,000

L = \$50,001-\$100,000

P1 = \$1,000,001-\$5,000,000

P4 = \$More than \$50,000,000

M = \$100,001-\$250,000

P2 = \$5,000,001-\$25,000,000

3. Value Method Codes

(See Column C2)

Q = Appraisal

U = Book Value

R = Cost (Real Estate Only)

V = Other

S = Assessment

W = Estimated

T = Cash/Market

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

MULLEN, GRAHAM C

Date of Report

9/13/2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

[illegible]

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

MULLEN, GRAHAM C

Date of Report

9/13/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Sig 

Date

13 Sept 04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544