



UNITED STATES DISTRICT COURT

FOR THE EASTERN DISTRICT OF MICHIGAN
200 EAST LIBERTY STREET, SUITE 400
ANN ARBOR, MICHIGAN 48104

August 5, 2008

(734) 741-2106

CHAMBERS OF
JOHN CORBETT O'MEARA
UNITED STATES DISTRICT JUDGE

The Honorable Ortrie D. Smith
Chair, Judicial Conference Committee on Financial Disclosure
Administrative Offices of the U.S. Courts
One Columbia Circle, NE
Washington, D.C. 20544

RE: Calendar Year 2007 Filing

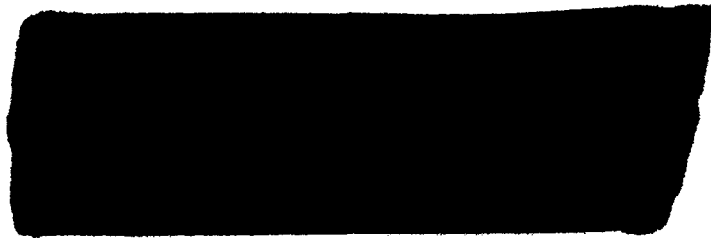
Dear Judge Smith:

This letter responds to your letter of July 18th. I am enclosing 3 copies of my revised calendar year 2007 filing with the following changes.

In Part VII, page 4, line 5, a parenthetical ("X") appears next to the asset which was exempt for disclosure in my Financial Report covering 2006.

In Part VII, page 6, line 35, "Mutual Funds/Closed Ends" has been replaced with a more informative "Zimmer Holdings Inc. Com".

I trust this is responsive to your July 18th letter. If there is anything further I should do, please let me know.



JCO:jef

Enclosure

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REVISED AUGUST 5, 2008
FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

AO 10
Rev. 1/2008

1. Person Reporting (last name, first, middle initial) O'Meara, John Corbett	2. Court or Organization Eastern District of MI	3. Date of Report 08/05/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Sr. Status U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address United States District Court 200 E. Liberty Street Ann Arbor, MI 48104	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐

NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	U.S. District Court, Eastern District of Michigan, Historical Society
2. Director	Michigan Opera Theatre
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒

NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

O'Meara, John Corbett

Date of Report

08/05/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007	Varnum Riddering LLPC
2. 2007	Private Practice
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

O'Meara, John Corbett

Date of Report

08/05/2008

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

O'Meara, John Corbett

Date of Report

08/05/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. HILLSDALE COUNTY NATIONAL BANK COMMON STOCK	A	Dividend	K	T					
2. BANK ONE (NOW CHASE) CHECKING ACCOUNT(CASH)	B	Interest	J	T					
3. MERRILL LYNCH CMA(CASH) (NOTE 1)	C	Dividend	L	T					
4. MERRILL LYNCH TRUST ACCOUNT (Lines 5 THROUGH 26)		None			None				
5. ALTRIA GROUP INC (X)	C	Dividend	P1	T					
6. AMBAC FINCL GRP NY	A	Dividend			S	11/28	J	A	Non-private
7. AMER EXPRESS COMPANY COM	A	Dividend	L	T					
8. AMERIPRISE FINL INC	A	Dividend	L	T					
9. BRISTOL MYERS SQUIBB CO COM	B	Dividend	M	T					
10. CMA TAX EXEMPT FUND (INCLUDES RETIREMENT RESERVES FUND)	E	Interest	O	T					
11. GENERAL DYNAMICS COPR	A	Dividend	J	T					
12. KRAFT FOODS INC VA CLA	A	Dividend	K	T	B	11/28	K		Non-private
13. LEHMAN BROTHERS HLDGS COM	A	Dividend	J	T					
14. LOWES COMPANIES INC.	A	Dividend	J	T					
15. MICH MUN BD AUTH REV	A	Dividend	K	T	B	12/14	K		Non-private
16. OMAHA NEB	A	Dividend	L	T	B	12/14	L		Non-private
17. OREGON ST	A	Dividend	K	T	B	12/14	K		Non-private

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

O'Meara, John Corbett

Date of Report

08/05/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. PAYCHEX INC.	A	Dividend	K	T	B	12/14	K		Non-private
19. PROCTOR GAMBLE COM	B	Dividend	K	T					
20. RICHARDSON TEX	A	Dividend	K	T	B	12/14	K		Non-private
21. UNION PACIFIC CORP COM	A	Dividend	J	T					
22. UNITED HEALTH GROUP INC. (United Healthcare Group)	A	Dividend	J	T					
23. WELLPOINT INC.	A	Dividend	K	T	B	12/14	K		Non-private
24. WELLS FARGO & CO. NEW DEL	D	Dividend	M	T					
25. WESTCHESTER CNTY	A	Dividend	K	T	B	12/14	K		Non-private
26. JCO IRA MERRILL LYNCH (LINES 27 THROUGH 42)		None			None				
27. BLACKROCK TL RTN II INST	B	Dividend	L	T					
28. BRANDY WINE BLUE FUND	A	Dividend	L	T					
29. CALVERT INCOME FUND COM	A	Dividend	M	T					
30. EATON VANCE FLOATING RATE FUND	A	Dividend	L	T					
31. FIDELITY ADV DIV INTL I	A	Dividend	K	T					
32. FPA NEW INCOME INC	A	Dividend	M	T					
33. JOHN HANCOCK SMALL CAP	A	Dividend	L	T	B	11/28	L		Non-private
34. LOOMIS SAYLES BOND FD	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

O'Meara, John Corbett

Date of Report

08/05/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. ZIMMER HOLDINGS INC. COM	A	Dividend	K	T	B	9/06	K		Non-private
36. NEUBERG & BERMIN GENESIS TRUST	A	Dividend			S	9/06	L	C	Non-private
37. OPPENHEIMER CAPITAL APRECIATION CL A	A	Dividend	K	T					
38. PIMCO LOW DURATION	A	Dividend	L	T	B	12/14	L		Non-private
39. PIMCO TOTAL RETURN FD	D	Dividend	M	T					
40. RETIREMENT RESERVES FUND	A	Interest	J	T					
41. THIRD AVENUE VALUE FD	C	Dividend	L	T	B	9/06	L		Non-private
42. THORNBURG INTERNATIONAL VALUE FD A	A	Dividend	L	T					
43. IRA - MERRILL LYNCH(S): (Lines 44 through 49)		None			None				
44. BLACKROCK FUNDAMENTAL	A	Dividend	J	T	B	7/12	J		Non-private
45. FIRST UNION NAT'L BANK	A	Dividend	L	T	B	12/6	L		Non-private
46. GENERAL ELE CAP CORP (Bought 12/06 & 12/10)	A	Dividend	M	T	B	12/6	M		Non-private
47. MILBANK USA RASP	E	Dividend	O	T					
48. ML FUNDAMENTAL GROWTH A	A	Dividend			S	12/6	N	A	Non-private
49. RETIREMENT RESERVES FUND	A	Interest	J	T					
50. THIS LINE 50 IS LAST LINE		None			None				

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

O'Meara, John Corbett

Date of Report

08/05/2008

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Note 1 (Line 5): [REDACTED] I have a [REDACTED]-held Merrill Lynch CMA (Cash Management Account) which we use as a checking account. The balance in the account on any given day draws interest at the money market rate.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

O'Meara, John Corbett

Date of Report

08/05/2008

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

S [REDACTED]

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'Meara, John Corbett	2. Court or Organization Eastern District of MI	3. Date of Report 06/18/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Sr. Status U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address United States District Court 200 E. Liberty Street Ann Arbor, MI 48104	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	U.S. District Court, Eastern District of Michigan, Historical Society
2. Director	Michigan Opera Theatre
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

O'Meara, John Corbett

Date of Report

06/18/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007	Intermet: Director's Fees
2. 2007	Varnum Riddering LLPC
3. 2007	Private Practice
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

O'Meara, John Corbett

Date of Report

06/18/2008

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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1. HILLSDALE COUNTY NATIONAL BANK COMMON STOCK	A	Dividend	K	T					
2. BANK ONE (NOW CHASE) CHECKING ACCOUNT(CASH)	B	Interest	J	T					
3. MERRILL LYNCH CMA(CASH) (NOTE 1)	C	Dividend	L	T					
4. MERRILL LYNCH TRUST ACCOUNT (Lines 5 THROUGH 26)		None			None				
5. ALTRIA GROUP INC	C	Dividend	P1	T					
6. AMBAC FINCL GRP NY	A	Dividend			S	11/28	J	A	Non-private
7. AMER EXPRESS COMPANY COM	A	Dividend	L	T					
8. AMERIPRISE FINL INC	A	Dividend	L	T					
9. BRISTOL MYERS SQUIBB CO COM	B	Dividend	M	T					
10. CMA TAX EXEMPT FUND (INCLUDES RETIREMENT RESERVES FUND)	E	Interest	O	T					
11. GENERAL DYNAMICS COPR	A	Dividend	J	T					
12. KRAFT FOODS INC VA CLA	A	Dividend	K	T	B	11/28	K		Non-private
13. LEHMAN BROTHERS HLDGS COM	A	Dividend	J	T					
14. LOWES COMPANIES INC.	A	Dividend	J	T					
15. MICH MUN BD AUTH REV	A	Dividend	K	T	B	12/14	K		Non-private
16. OMAHA NEB	A	Dividend	L	T	B	12/14	L		Non-private
17. OREGON ST	A	Dividend	K	T	B	12/14	K		Non-private

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

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18. PAYCHEX INC.	A	Dividend	K	T	B	12/14	K		Non-private
19. PROCTOR GAMBLE COM	B	Dividend	K	T					
20. RICHARDSON TEX	A	Dividend	K	T	B	12/14	K		Non-private
21. UNION PACIFIC CORP COM	A	Dividend	J	T					
22. UNITED HEALTH GROUP INC. (United Healthcare Group)	A	Dividend	J	T					
23. WELLPOINT INC.	A	Dividend	K	T	B	12/14	K		Non-private
24. WELLS FARGO & CO. NEW DEL	D	Dividend	M	T					
25. WESTCHESTER CNTY	A	Dividend	K	T	B	12/14	K		Non-private
26. JCO IRA MERRILL LYNCH (LINES 27 THROUGH 42)		None			None				
27. BLACKROCK TL RTN II INST	B	Dividend	L	T					
28. BRANDY WINE BLUE FUND	A	Dividend	L	T					
29. CALVERT INCOME FUND COM	A	Dividend	M	T					
30. EATON VANCE FLOATING RATE FUND	A	Dividend	L	T					
31. FIDELITY ADV DIV INTL I	A	Dividend	K	T					
32. FPA NEW INCOME INC	A	Dividend	M	T					
33. JOHN HANCOCK SMALL CAP	A	Dividend	L	T	B	11/28	L		Non-private
34. LOOMIS SAYLES BOND FD	A	Dividend	L	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

O'Meara, John Corbett

Date of Report

06/18/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. MUTUAL FUNDS/CLOSED ENDS	A	Dividend	K	T	B	9/06	K		Non-private
36. NEUBERG & BERMIN GENESIS TRUST	A	Dividend			S	9/06	L	C	Non-private
37. OPPENHEIMER CAPITAL APRECIATION CL A	A	Dividend	K	T					
38. PIMCO LOW DURATION	A	Dividend	L	T	B	12/14	L		Non-private
39. PIMCO TOTAL RETURN FD	D	Dividend	M	T					
40. RETIREMENT RESERVES FUND	A	Interest	J	T					
41. THIRD AVENUE VALUE FD	C	Dividend	L	T	B	9/06	L		Non-private
42. THORNBURG INTERNATIONAL VALUE FD A	A	Dividend	L	T					
43. IRA - MERRILL LYNCH(S): (Lines 44 through 49)		None			None				
44. BLACKROCK FUNDAMENTAL	A	Dividend	J	T	B	7/12	J		Non-private
45. FIRST UNION NAT'L BANK	A	Dividend	L	T	B	12/6	L		Non-private
46. GENERAL ELE CAP CORP (Bought 12/06 & 12/10)	A	Dividend	M	T	B	12/6	M		Non-private
47. MILBANK USA RASP	E	Dividend	O	T					
48. ML FUNDAMENTAL GROWTH A	A	Dividend			S	12/6	N	A	Non-private
49. RETIREMENT RESERVES FUND	A	Interest	J	T					
50. THIS LINE 50 IS LAST LINE		None			None				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

O'Meara, John Corbett

Date of Report

06/18/2008

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Note 1 (Line 5): [REDACTED] I have a [REDACTED]-held Merrill Lynch CMA (Cash Management Account) which we use as a checking account. The balance in the account on any given day draws interest at the money market rate.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

O'Meara, John Corbett

Date of Report

06/18/2008

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

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NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544