

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. § 101-111)

1. Person Reporting (last name, first, middle initial) FERNANDEZ, FERDINAND F.	2. Court or Organization NINTH CIRCUIT COURT OF APPEALS	3. Date of Report 4/5/08
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE- SENIOR	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/07 to 12/31/07
7. Chambers or Office Address 125 SO. GRAND AVE PASADENA, CA 91105	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

FERNANDEZ, FERDINAND F

Date of Report

4/5/08

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)**A. Filer's Non-Investment Income**☒ NONE (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	2007	CHAFFEY COLLEGE
2.		
3.		
4.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE (No reportable reimbursements.)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.					
2.					
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

FERNANDEZ, FERDINAND F.

Date of Report

4/5/08

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)☐ NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. West Publishing Co	Books	\$ 685.00
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)☒ NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

FERNANDEZ, FERDINAND F.

Date of Report

4/5/08

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Accts at Pomona First Federal Bank	B	Int.	L	T					
2. Accts at Wells Fargo Bank	C	Int.	L	T					
3. Accts at Washington Mutual Bank	D	Int	M	T					
4. Accts. at Bank of America	D	Int	L	T					
5. Accts at Downey Savings	D	Int	M	T					
6. Accts at World Savings					Withdrawn	12/31	L	A	
7. Accts. at Community Bank	C	Int.	M	T					
8. Acct at Vineyard Bank	D	Int	M	T					
9. Acct at Wachovia Bank	D	Int	L	T					
10. Acct. at Pacific Western Bank	C	Int	M	T					
11. Accts at US Bank	C	Int	M	T					
12. Acct at Community Commerce Bank	A	Int	L	T					
13. Acct at Franklin Bank	None		L	T					
14. Acct at Capmark Bank	None		L	T					
15. Am Fund Small Cap World C/A	A	Div	J	T					
16. Am Fund Cap. Income Builder C/A	A	DIV	K	T	Partial sell	6/14	K	D	
17. Am Fund New Economy C/A	A	DIV	J	T	Partial sale	6/14	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

FERNANDEZ, FERDINAND F

Date of Report

4/15/08

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Income Fund of America Cl. A	A	Div	J	T	Partial sale	6/14	K	C	
19. Am Cap. Fund Cl. A	A	Div	J	T	Partial sale	10/18	K	B	
20. Am Balanced Fund Cl. A	A	Div	J	T	Partial sale	10/18	K	A	
21. Cap World Growth Fund Cl. A	A	Div	K	T					
22. Euro Pacific Growth Fund Cl. A	A	Div	K	T					
23. Growth Fund of America Cl. A	A	Div	K	T	Partial sale	6/14	K	D	
24. Inv. Co of America Cl. A	A	Div	J	T	Partial sale	10/18	K	A	
25. New World Fund Cl. A	A	Int	K	T					
26. US T Bills	B	Int	K	T					
27. US T Bills	C	Int	L	T					
28. UST Bills	A	Int			Redempt	9/20	J	A	
29. UST Bills	A	Int			Redempt	7/19	J	A	
30. UST Bills	A	Int			Redempt	8/30	J	A	
31. UST Bills	A	Int			Redempt	12/20	J	A	
32. UST Bills	A	Int	K	T					
33. UST Bills	B	Int	K	T					
34. UST Bills	A	Int	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

FERNANDEZ, FERDINAND F

Date of Report

4/5/08

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. UST Bills	B	Int	K	T					
36. UST Bills	A	Int	K	T					
37. UST Bills	B	Int	K	T					
38. UST Bills	D	Int	M	T					
39. UST Bills	C	Int	L	T					
40. UST Bills	C	Int	L	T					
41. Trust #1: Income (Items 42-54) Beneficiary									
42. (a) Wells Fargo Trust									
43. (i) Wells Fargo Comm (i) Trust Funds & Cash	C	Div/Int	M	T					
44. (ii) I Shares MSCI Emerging Mkts	A	DIV	J	T	Partial Sales	6/20 11/20	J J	A A	
45. (iii) Pimco Comm. Strategy Fund Cl. 1-45	A	DIV	J	T	Purchases	4/25 6/20	J J	— —	
46. (iii) ??					Partial Sales	9/19 11/20	J J	A A	
47. (iv) Vanguard REIT Viper	A	DIV	J	T	Partial Sale Purchase	4/24 6/20	J J	A —	
48. ??					??	9/19 11/20	J J	— —	
49. (v) I Shares Tr 1-3 Treasury	B	Div	K	T	Purchase	12/27	K	—	
50. (vi) Templeton Global Bond Fund 616	A	DIV	J	T	Purchase	11/20	J	—	
51. (vii) S&D Depository Receipts	A	DIV	J	T	Purchase	12/27	J	—	

1. Income Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes

(See Column C2)

Q = Appraisal

U = Book Value

R = Cost (Real Estate Only)

V = Other

S = Assessment

W = Estimated

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Name of Person Reporting

Fernandez, FERDINAND F

Date of Report

4/5/08

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. (viii) SPDR D.J. Wilshire Int'l	None	None	None	None	Purchase	4/25	J	—	
53. " "					Sold	9/19	J	A	
54. (b) Real Property in Clifton, AZ (Trustee Und)	None		J	W					
55. IRA (Items 56-65)									
56. Select Note Trust Sec. LT#5	A	Int.	J	T					
57. GAMA Popl Cent.	A	Int	J	T					
58. CQP Income Builder Cl.A	B	Div.	K	T					
59. Growth Fund of America Cl.A	A	Div.	K	T					
60. Income Fund of America Cl.A	A	Div.	K	T					
61. Van Kampen Constalk Fund Cl.A	A	Div	J	T	Partial Sale	10/18	K	D	
62. Van Kampen Enterprise Fund Cl.A	A	Div	J	T	Partial Sale	10/18	J	C	
63. Van Kampen Pace Fund Cl.A	A	Div	K	T					
64. Van Kampen Real Estate Sec Fund Cl.A	A	Div	K	T					
65. Washington Mutual Invest. Fund Cl.A	A	Div	K	T					
66.									
67.									
68.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FERNANDEZ, FERDINAND F

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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FERNANDEZ, FERDINAND F

Date of Report

4/5/08

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

[REDACTED]

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

UNITED STATES COURT OF APPEALS
FOR THE NINTH CIRCUIT
125 SOUTH GRAND AVENUE
PASADENA, CALIFORNIA 91105

CHAMBERS OF
FERDINAND F. FERNANDEZ
UNITED STATES CIRCUIT JUDGE

5/28/08

*Financial Disclosure Report for
Calendar Year 2007*

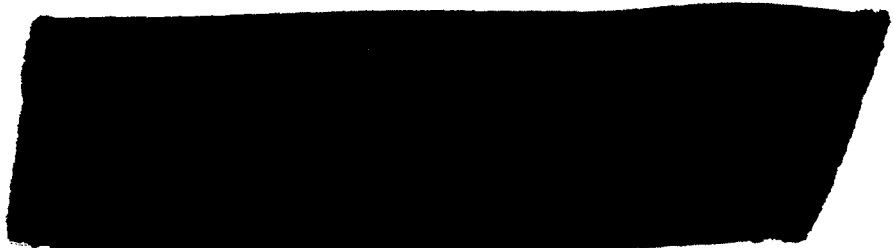
*The following are additions to my
report dated 4/5/08 for the period
1/1/07 - 12/31/07:*

- (a) Part VII, page 4, line 6: Item B(1) = C;
Item B(2) = Int.*
- (b) Part VII, page 4, line 12: Item D(1) = Open;
Item D(2) = 12/8/07; Item D(3) = L.*
- (c) Part VII, page 4, line 13: Item D(1) = Open;
Item D(2) = 10/19/07; Item D(3) = L.*
- (d) Part VII, page 4, line 14: Item D(1) = Open;
Item D(2) = 6/15/07; Item D(3) = L.*
- (e) Part VII, pages 5, lines 21, 22, 23, 24 and 25:
Each of these funds is part of the
American Funds family.*

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FINANCIAL
DISCLOSURE OFFICE



UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA

312 NORTH SPRING STREET
LOS ANGELES, CALIFORNIA 90012



CHAMBERS OF
VALERIE BAKER FAIRBANK
UNITED STATES DISTRICT JUDGE

TEL (213) 894-0006

June 4, 2008

Judge Ortrie D. Smith, Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

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2008 JUN 13 A 10:45
FINANCIAL
DISCLOSURE OFFICE

Re: **Calendar Year 2007 Filing**

Dear Judge Smith:

Thank you for your May 23, 2008 letter. I am amending my 2007 Financial Disclosure Report as follows:

1. Part VII, page 6, line 38: The TIAA-Cref asset, which was received as an inheritance by [REDACTED] was inadvertently omitted from my 2006 Financial Disclosure Report. (The 2006 Financial Disclosure Report is hereby amended to reflect this.)
2. Part VII, page 6, lines 43-45: Schwab S&P 500 Index Fund and Schwab 1000 Index Fund) were purchased from funds in [REDACTED] Schwab Money Market account during 2007. Thus, my 2007 Financial Disclosure Report should be amended as follows:

	Amount Code	Type	Value Code 2	Value Method	Type	Date	Value Code 2	Gain Code 1	Identity of buyer/seller
43. Schwab Money Market	D	Dividend	M	T	Redemption	12/20 /07	M		
44. Schwab S&P Index Fund	A	Dividend	L	T	Buy	12/20 /07	K		
45. Schwab 1000 Index Fund	A	Dividend	L	T	Buy	12/20 /07	K		

June 4, 2008
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If you have any further questions or comments, please don't hesitate to contact me.

Very truly yours,

A large, irregular black rectangular redaction box covers the signature area, obscuring the name and any handwritten notes.