

UNITED STATES DISTRICT COURT

DISTRICT OF COLORADO
UNITED STATES COURTHOUSE
901 19th STREET
DENVER, COLORADO 80294
(303) 844-6118


SELF INITIATED
AMENDMENT

JOHN L. KANE
SENIOR JUDGE

May 17, 2007

Committee On Financial Disclosure
Administrative Office of the U.S. Courts
One Columbus Circle, NE
Washington, D.C. 20544

Re: 2006 Report mailed May 14, 2007

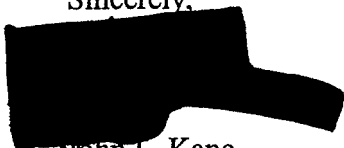
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FINANCIAL
DISCLOSURE OFFICE

To whom it may concern,

I find I must amend my 2006 disclosure report because I omitted having attended a conference in Squaw Valley, California in May, 2006. I paid my own transportation expenses and forgot that the expenses for hotel and meals were paid by the Gruter Institute which hosts the conference. I have attached a statement from the Gruter Institute that provides the necessary details. My stay was for five nights and four days. No honorarium was paid and no registration fee was charged. According to the attached statement the amount for food and lodging was \$1,656.65.

If you need more information, kindly advise.

Sincerely,


John L. Kane



Jeanne Giaccia

05/17/2007 06:58 AM

To Kane John <John_L_Kane@...>

cc

bcc

Subject Gruter meeting info for judicial disclosure requirements

RE:

<http://www.uscourts.gov/SeminarDisclosureSystem/index.cfm>

Dear Judge Kane,

Following please find information related to the funding sources for the Gruter Institute, along with costs related to the upcoming conference in Squaw Valley. Please let me know if you require any further information.

The Gruter Institute is a 501(c)(3) organization based in Portola Valley, California. The expenses for the Gruter Institute's annual Squaw Valley conference, including food and accommodations, are funded by a grant from the Ann & Gordon Getty Foundation.

The cost breakdown for the conference per person, per day, is as follows -

\$169.00	room charge
16.90	tax
113.00	food
22.60	gratuity
9.83	tax
\$331.33	Total per day

With respect to the Gruter Institute's activities more generally, the Institute is supported primarily by funds from its founder, Margaret Gruter, as well as funds from the Ann & Gordon Getty Foundation. In addition, the Gruter Institute has received funding from various other sources including private individuals, and specific programatic funds from the John Templeton Foundation and UCLA-Sloan Foundation Research Program on Business Organizations, and certain governmental organizations. The Gruter Institute also has receives in-kind and other support from numerous universities in the U.S. and abroad.

I look forward to seeing you at the meeting.

With regards,

Jeanne

Jeanne H. Giaccia

Program Coordinator

Gruter Institute for Law and Behavioral Research

158 Goya Road

Portola Valley, CA 94028

[Redacted signature block]

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. app. §§101-111)

1. Person Reporting (Last name, first, middle initial) KANE, JOHN L. JR.		2. Court or Organization U.S. DISTRICT COURT DISTRICT of Colorado	3. Date of Report 5/14/07
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. SENIOR DISTRICT JUDGE		5a. Report Type (check appropriate type) ___ Nomination, Date ___ ___ Initial X Annual ___ Final 5b. ___ Amended Report	6. Reporting Period 1/1/06 - 12/31/06
7. Chambers or Office Address 901 19th ST. DENVER, CO 80294		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION NAME OF ORGANIZATION/ENTITY



NONE (No reportable positions.)

1

2

3

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE

PARTIES AND TERMS



NONE (No reportable agreements.)

1

2

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE

SOURCE AND TYPE

INCOME

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

1

\$

2

\$

3

\$

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)



NONE (No reportable non-investment income.)

1

2

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

KANG, John L. Jr.

Date of Report

5/14/07

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.*(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)*SOURCEDESCRIPTION

NONE (No such reportable reimbursements.)

1

2

3

4

5

6

7

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)*SOURCEDESCRIPTIONVALUE

NONE (No such reportable gifts.)

1

\$

2

\$

3

\$

4

\$

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)*CREDITORDESCRIPTIONVALUE CODE*

NONE (No reportable liabilities.)

1

2

3

4

5

*Value Codes:

J=\$15,000 or less

K=\$15,001-\$50,000

L=\$50,001-\$100,000

M=\$100,001-\$250,000

N=\$250,001-\$500,000

O=\$500,001-\$1,000,000

P1=\$1,000,001-\$5,000,000

P2=\$5,000,001-\$25,000,000

P3=\$25,000,001-\$50,000,000

P4=\$50,000,001 or more

Date of Report

6/14/07

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions *(Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)*

A. Description of Assets (including trust assets) <i>Place "X" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code 1 (A-H)	Type (E-F, div, rent or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (C-G; buy, sell, merger, redemption)	(2) Date Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 CASH + Schwab Sweep									
2 Money MARKET	D	INT	G	T					
3 PUTNAM Tax Exempt			D	T					
4 WESTCOAST ^{GO} TAX EXEMPT	A	DIV	E	T					
5 NOVEN Moni Advtg Fund			D	T					
6 PIPELIFE Energy Cos NEW			C	T					
7 GUEST Comm Intl INC			B	T					
8 ST PAUL TRAVELERS Cos			D	T					
9 PUTNAM Conv. Lncns			D	T					
10 WALLS FARGO BANK	A	INT	J	T					
11 SMITH BARNEY MKT Acct.	B	INT	L	T					
12 ALLIANCE Fed. Cr. U.	A	INT	J	T					
13									
14									
15									
16									
17									

1	Income/Gain Codes (See Col. B1, D4)	A—\$1,000 or less F—\$50,001–\$100,000	B—\$1,001–\$2,500 G—\$100,001–\$1,000,000	C—\$2,501–\$5,000 H1—\$1,000,001–\$5,000,000	D—\$5,001–\$15,000 H2—More than \$5,000,000	E—\$15,001–\$50,000
2	Value Codes (See Col. C1, D3)	J—\$15,000 or less N—\$250,001–\$500,000 P3—\$25,000,001–\$50,000,000	K—\$15,001–\$50,000 O—\$500,001–\$1,000,000	L—\$50,001–\$100,000 P1—\$1,000,001–\$5,000,000 P4—More than \$50,000,000	M—\$100,001–\$250,000 P2—\$5,000,001–\$25,000,000	
3	Value Method Codes (See Col. C2)	Q—Appraisal U—Book value	R—Cost (real estate only) V—Other	S—Assessment W—Estimated	T—Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

John L. KANE, Sr

Date of Report

5-14-07

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

Sorry for the handwritten report, but I have vision problems and the font on the electronic disclosure form is too small for me to read.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date

May 14, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544