

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Shyte, Ronald M.	2. Court or Organization Northern District California	3. Date of Report 05/13/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address U.S. District Court 280 S. First Street San Jose, CA 95113	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member of Exec. Committee	Santa Clara Inn of Court
2. Member	Intellectual Property Board of Advisors - George Washington Law School
3. Member	Santa Clara Law School IP Advisory Board
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

Shyte, Ronald M.

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	Court Designated Child Advocates - wages
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Shyte, Ronald M.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Emeryville Redev Bond	A	Interest	J	T					
2. Sacramento Utility Bond	A	Interest	J	T					
3. California Water Bond due 2016	A	Interest	J	T					
4. California Water Bond due 2018	A	Interest	J	T					
5. Davis NY Venture Mut Fund	B	Dividend	M	T	Sold (part)	07/13/10	J	A	
6. Davis NY Venture Mut Fund					Sold (part)	10/12/10	J	A	
7. Templeton Foreign Fund	B	Dividend	L	T	Sold (part)	04/15/10	L	A	
8. Prudential (Dryden) Cal Muni Fund	B	Dividend	K	T					
9. Pax World Fund	C	Dividend	M	T					
10. First Eagle Global Fund	C	Dividend	M	T	Sold (part)	04/15/10	K	E	
11. Bank of the West Acct	A	Interest	J	T					
12. Bank of the West Acct	A	Interest	K	T					
13. Condo, Ketchum, Blaine County, ID	D	Rent	N	S					
14. Condo, Los Gatos, Santa Clara County, CA		None	N	S					
15. Calamos Investment Trust Growth Fund		None	L	T					
16. Eaton Vance Health Science Fund	D	Dividend	L	T					
17. von Weise Properties, LLC	E	Distribution	N	W					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Artio Inter Fund (Julius Baer)	B	Dividend	J	T	Sold (part)	12/31/10	L	A	
19. Oppenheimer Dev Mrks Fd	A	Dividend	K	T					
20. Chase (formerly Wash Mut Bank)	A	Interest	J	T					
21. Rosemead Ca 3.5 Redev Bond	B	Interest			Redeemed	10/01/10	L	A	
22. Ceres Ca Redev Bond	A	Interest	K	T					
23. Solano CA 3.5% Bond	B	Interest	K	T					
24. Pomona CA Bond	B	Interest	L	T					
25. CMA Money Fund	A	Dividend	J	T					
26. ML Bank Deposit	A	Interest	M	T					
27. Sacramento San Bd	B	Interest	L	T					
28. Reassure Annuity	D	Interest	M	T					
29. Fremont CA Un High Sch Bond	B	Interest	K	T					
30. Alameda Corridor Tran Bond		None	L	T					
31. San Jose Redev Agy Bond	C	Interest	L	T					
32. CD Captial One Bk	D	Interest			Redeemed	12/03/10	M	A	
33. CD American Express Bk	D	Interest	M	T					
34. Dreyfus Appreciation Fund	B	Dividend	L	T					

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
(See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H = \$1,000,001 - \$5,000,000 I = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P = \$1,000,001 - \$5,000,000 P1 = \$5,000,001 - \$25,000,000 P2 = \$25,000,001 - \$50,000,000 P3 = \$50,000,001 - \$100,000,000 P4 = More than \$100,000,000
3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market V = Other W = Estimated

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Name of Person Reporting

Shyte, Ronald M.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. FIA Card Services NA		None	M	T					
36. Pimco Short Term Fd.	C	Dividend	M	T	Sold (part)	04/15/10	L	B	
37. USAA Cornerstone Strat Fund	A	Dividend	J	T					
38. Blackrock Global Alloc. Fund	B	Dividend	M	T	Buy	04/15/10	M		
39. Currency Shares-Australian Dollars	A	Interest	J	T	Buy	01/13/10	J		
40. Currency Shares-Canadian ETF	A	Interest	J	T	Buy	01/13/10	J		
41. i Shares Barclays 1-3 year credit	B	Dividend	K	T	Buy	01/13/10	K		
42. i Shares Barclays 1-3 Treas.	A	Dividend	K	T	Buy	01/13/10	K		
43. Ivy Asset Strategy Fd	A	Dividend	L	T	Buy	04/15/10	L		
44. Merck Hard Currency Fd.	A	Dividend	K	T	Buy	04/27/10	K		
45. Pimco Commodity RR Strat.	C	Dividend	K	T	Buy	04/16/10	K		
46. Sector SPDR Utilities	A	Dividend	K	T	Buy	04/15/10	K		
47. SPDR Gold Trust		None	K	T	Buy	04/19/10	J		
48. SPDRS P Divd ETF	A	Dividend	K	T	Buy	04/15/10	K		
49. Vanguard MSCI Emerg	A	Dividend	K	T	Buy	04/16/10	K		
50. Wisdomtree Inv. Inc.	A	Dividend	J	T	Buy	01/13/10	J		
51. Currency Shares Swiss Fr.		None			Buy	01/13/10	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
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I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

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O = \$500,001 - \$1,000,000

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3. Value Method Codes
(See Column C2)

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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52. Currency Shares Swiss Fr.		None			Sold	04/15/10	J	A	
53. ML IRA Acct Cash	A	Interest	L	T					

1. Income Gain Codes:
(See Columns B1 and D4)

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Q = Appraisal
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(See Column C2)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, items 5 and 6: Item 5 shows the income received during the reporting period from the shares held in the NY Davis fund and the value of the asset held at the end of the reporting period. Item 6 just shows the value and gain realized from the second sales transaction of a portion of the NY Davis fund holding during the reporting period.

Part VII, Item 13: Ketchum ID condo's assessed value is \$414,000.

Part VII, Item 14: Los Gatos CA condo's assessed value is \$264,754.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Ronald M. Shyte

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Shyte, Ronald M.	2. Court or Organization Northern District California	3. Date of Report 05/13/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address U.S. District Court 280 S. First Street San Jose, CA 95113	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member of Exec. Committee	Santa Clara Inn of Court
2. Member	Intellectual Property Board of Advisors - George Washington Law School
3. Member	Santa Clara Law School IP Advisory Board
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

5hyte , Ronald M. A

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	2010	Court Designated Child Advocates - wages
2.		
3.		
4.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.					
2.					
3.					
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5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
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13. Condo, Ketchum, Blaine County, ID	D	Rent	N	S					
14. Condo, Los Gatos, Santa Clara County, CA		None	N	S					
15. Calamos Investment Trust Growth Fund		None	L	T					
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23. Solano CA 3.5% Bond	B	Interest	K	T					
24. Pomona CA Bond	B	Interest	L	T					
25. CMA Money Fund	A	Dividend	J	T					
26. ML Bank Deposit	A	Interest	M	T					
27. Sacramento San Bd	B	Interest	L	T					
28. Reassure Annuity	D	Interest	M	T					
29. Fremont CA Un High Sch Bond	B	Interest	K	T					
30. Alameda Corridor Tran Bond		None	L	T					
31. San Jose Redev Agy Bond	C	Interest	L	T					
32. CD Captial One Bk	D	Interest			Redeemed	12/03/10	M	A	
33. CD American Express Bk	D	Interest	M	T					
34. Dreyfus Appreciation Fund	B	Dividend	L	T					

- | | | | | | |
|--|---|--|---|---|-----------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A=\$1,000 or less
F=\$50,001 - \$100,000
J=\$15,000 or less
N=\$250,001 - \$500,000
P3=\$250,000.001 - \$50,000,000 | B=\$1,001 - \$2,500
G=\$100,001 - \$1,000,000
K=\$15,001 - \$50,000
O=\$500,001 - \$1,000,000 | C=\$2,501 - \$5,000
I11=\$1,000,001 - \$5,000,000
L=\$50,001 - \$100,000
P1=\$1,000,001 - \$5,000,000
P4=More than \$50,000,000 | D=\$5,001 - \$15,000
I12=More than \$5,000,000
M=\$100,001 - \$250,000
P2=\$5,000,001 - \$25,000,000 | E=\$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | Q=Appraisal
U=Book Value | R=Cost (Real Estate Only)
V=Other | S=Assessment
W=Estimated | T=Cash Market | |
| 3. Value Method Codes
(See Column C2) | | | | | |

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Name of Person Reporting

Shyte, Ronald M.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. FIA Card Services NA		None	M	T					
36. Pimco Short Term Fd.	C	Dividend	M	T	Sold (part)	04/15/10	L	B	
37. USAA Cornerstone Strat Fund	A	Dividend	J	T					
38. Blackrock Global Alloc. Fund	B	Dividend	M	T	Buy	04/15/10	M		
39. Currency Shares-Australian Dollars	A	Interest	J	T	Buy	01/13/10	J		
40. Currency Shares-Canadian ETF	A	Interest	J	T	Buy	01/13/10	J		
41. i Shares Barclays 1-3 year credit	B	Dividend	K	T	Buy	01/13/10	K		
42. i Shares Barclays 1-3 Treas.	A	Dividend	K	T	Buy	01/13/10	K		
43. Ivy Asset Strategy Fd	A	Dividend	L	T	Buy	04/15/10	L		
44. Merck Hard Currency Fd.	A	Dividend	K	T	Buy	04/27/10	K		
45. Pimco Commodity RR Strat.	C	Dividend	K	T	Buy	04/16/10	K		
46. Sector SPDR Utilities	A	Dividend	K	T	Buy	04/15/10	K		
47. SPDR Gold Trust		None	K	T	Buy	04/19/10	J		
48. SPDRS P Divd ETF	A	Dividend	K	T	Buy	04/15/10	K		
49. Vanguard MSCI Emerg	A	Dividend	K	T	Buy	04/16/10	K		
50. Wisdomtree Inv. Inc.	A	Dividend	J	T	Buy	01/13/10	J		
51. Currency Shares Swiss Fr.		None			Buy	01/13/10	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

Shyte, Ronald M.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Currency Shares Swiss Fr.		None			Sold	04/15/10	J	A	
53. ML IRA Acct Cash	A	Interest	L	T					

1. Income Gain Codes:
(See Columns B1 and D4)A = \$1,000 or less
F = \$50,001 - \$100,000B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,0003. Value Method Codes
(See Column C2)Q = Appraisal
U = Book ValueR = Cost (Real Estate Only)
V = OtherS = Assessment
W = Estimated

T = Cash Market

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, items 5 and 6: Item 5 shows the income received during the reporting period from the shares held in the NY Davis fund and the vlaue of the asset held at the end of the reporting period. Item 6 just shows the value and gain realized from the second sales transaction of a portion of the NY Davis fund holding during the reporting period.

Part VII, Item 13: Ketchum ID condo's assessed value is \$414,000.

Part VII, Item 14: Los Gatos CA condo's assessed value is \$264,754.

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Ronald M. Shyte

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544