

AMENDED

AO-10 (WP)
Rev. 1/2006

FINANCIAL DISCLOSURE REPORT

FOR CALENDAR YEAR 2005

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. app. §§101-111)

1. Person Reporting (Last name, first, middle initial) Clemon, U.W.		2. Court or Organization Northern District of Alabama		3. Date of Report August 10, 2006	
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief District Judge (active)		5a. Report Type (check appropriate type) ____ Nomination, Date _____ ____ Initial ☒ Annual ____ Final		6. Reporting Period 01/01/05 through 12/31/05	
		5b. ____ Amended Report			
7. Chambers or Office Address 1729 Fifth Avenue North, Suite 882 Birmingham, AL 35203		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____			

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐

NONE (No reportable positions.)

1	Member, Boards of Directors	Miles Law School, Leadership Birmingham, Operation New Birmingham,
2		Glenwood, Inc.
3	Executor	Estate of Harry Wells, deceased

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE

PARTIES AND TERMS

☒

NONE (No reportable agreements.)

1		
2		

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE

SOURCE AND TYPE

A. Filer's Non-Investment Income

☒

NONE (No reportable non-investment income.)

1		\$
2		\$
3		\$

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☐

NONE (No reportable non-investment income.)

1	2005	Retirement Systems of Alabama, Deferred Retirement Option Plan
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RECEIVED
 2006 AUG 15 A 11:05
 FINANCIAL
 DISCLOSURE OFFICE
 INCOME

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Clemon, U.W.

Date of Report

August 10, 2006

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.*(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)*SOURCEDESCRIPTION☐**NONE** (No such reportable reimbursements.)

1

Russell County Voters League

December 31; Phenix City, AL; Emancipation Day Address (travel)

2

3

4

5

6

7

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)*SOURCEDESCRIPTIONVALUE☒**NONE** (No such reportable gifts.)

1

\$

2

\$

3

\$

4

\$

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)*CREDITORDESCRIPTIONVALUE CODE*☐**NONE** (No reportable liabilities.)

1

American Express Company

revolving account

K

2

3

4

5

*Value Codes:	J=\$15,000 or less N=\$250,001-\$500,000 P2=\$5,000,001-\$25,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000 P3=\$25,000,001-\$50,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=\$50,000,001 or more	M=\$100,001-\$250,000 P1=\$1,000,001-\$5,000,000 P4=\$50,000,001 or more
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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Clemon, U.W.

Date of Report

August 10, 2006

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A Description of Assets (including trust assets) <i>Place "X" after each asset exempt from prior disclosure</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Am't Code (A-H)	Type (e.g. div, rent, or int)	Value Code (J-P)	Value Method Code (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 Washington Square Limited Partnership	A	None	L	W					
2 U.S. Savings Bonds	B	interest	J	T	redemption	monthly*	J	A	
3 Jeff County Teachers Credit Union	A	interest	J	T					
4 Franklin Value Mark II Mutual	A	dividend	J	T					
5 Franklin U.S. Gov't Mutual Fund	A	dividend	J	T					
6 Franklin 0 Coupon Mutual Fund	A	dividend	J	T					
7 Franklin G&I Mutual Fund	A	dividend	J	T					
8 Franklin Inc. Sec. Mutual Fund	A	dividend	J	T					
9 Franklin Global Comm Securities Mutual Fund	A	dividend	J	T					
10 Harry Wells Estate	D	tort claims	K	T	distribution	5/2,5/17,7/22,12/10	K	D	Harriett W. Horne
11 G. Moore's Mortgage, LA, CAL	D	mortgage			redemption	5/17	J	A	Gertie Moore
12									
13									
14									
15									

1	Income/Gain Codes: A=\$1,000 or less (See Col. B1-D4)	B=\$1,001-\$2,500	C=\$2,501-\$5,000	D=\$5,001-\$15,000	E=\$15,001-\$50,000
2	Value Codes: J=\$15,000 or less (See Col. C1-D3)	K=\$50,001-\$100,000	L=\$100,001-\$1,000,000	M=\$1,000,001-\$5,000,000	N=\$5,000,001-\$25,000,000
3	Value Method Codes: Q=Appraisal (See Col. C2)	R=Cost (real estate only)	S=Assessment	T=Cash/Market	U=Book value

67									
68									

AMENDED

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Clemon, U.W.

Date of Report

August 10, 2006

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature  Date 8/10/06

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104.)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

INSERTED ABOVE IN VIII

* Each of the U.S. Government Savings Bonds transactions, listed in Part VII, p. 1, line 2, was less than \$1,000.

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2005Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. app. §§101-111)

1. Person Reporting (Last name, first, middle initial) Clemon, U.W.		2. Court or Organization Northern District of Alabama	3. Date of Report May 15, 2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief District Judge (active)	5a. Report Type (check appropriate type) ____ Nomination, Date _____ ____ Initial <u>X</u> Annual ____ Final		6. Reporting Period 01/01/05 through 12/31/05
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7. Chambers or Office Address 1729 Fifth Avenue North, Suite 882 Birmingham, AL 35203		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.			

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)POSITIONNAME OF ORGANIZATION/ENTITY☐

NONE (No reportable positions.)

1	Member, Boards of Directors	Miles Law School, Leadership Birmingham, Operation New Birmingham,
2		Glenwood, Inc.
3	Executor	Estate of [REDACTED]

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)DATEPARTIES AND TERMS☒

NONE (No reportable agreements.)

1		
2		

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)DATESOURCE AND TYPEINCOME**A. Filer's Non-Investment Income**☒

NONE (No reportable non-investment income.)

1		\$
2		\$
3		\$

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☐

NONE (No reportable non-investment income.)

1	2005	Retirement Systems of Alabama, Deferred Retirement Option Plan
2		

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Clemon, U.W.

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NONE (No such reportable reimbursements.)

1

Russell County Voters League

December 31; Phenix City, AL; Emancipation Day Address (travel)

2

3

4

5

6

7

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)*SOURCEDESCRIPTIONVALUE☒

NONE (No such reportable gifts.)

1

\$

2

\$

3

\$

4

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VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)*CREDITORDESCRIPTIONVALUE CODE*☐

NONE (No reportable liabilities.)

1

American Express Company

revolving account

K

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3

4

5

*Value Codes

J-\$15,000 or less

K=\$15,001-\$50,000

L=\$50,001-\$100,000

M=\$100,001-\$250,000

N=\$250,001-\$500,000

O=\$500,001-\$1,000,000

P1=\$1,000,001-\$5,000,000

P2=\$5,000,001-\$25,000,000

P3=\$25,000,001-\$50,000,000

P4=\$50,000,001 or more

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A Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g. div, rent, or int)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g. buy-sell, merger, redemption)	(2) Date Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 Washington Square Limited Partnership	A	None	L	W					
2 U.S. Savings Bonds	B	interest	J	T	redemption	mnthly*	J	A	
3 Jeff County Teachers Credit Union	A	interest	J	T					
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5 Franklin U.S. Gov't Mutual Fund	A	dividend	J	T					
6 Franklin 0 Coupon Mutual Fund	A	dividend	J	T					
7 Franklin G&I Mutual Fund	A	dividend	J	T					
8 Franklin Inc. Sec. Mutual Fund	A	dividend	J	T					
9 [REDACTED] Estate	D	t claims	K	T	distribution	5/2**	K	D	Harriett W. Home
10 G. Moore Mortgage, LA, CAL	D	mortgage			redemption	5/17	J	A	
11									
12									
13									
14									
15									
16									
17									

1. Income/Gain Codes (See Col. B1, D4)	A= \$1,000 or less F= \$50,001-\$100,000	B= \$1,001-\$2,500 G= \$100,001-\$1,000,000	C= \$2,501-\$5,000 H= \$1,000,001-\$5,000,000	D= \$5,001-\$15,000 I1= More than \$5,000,000	E= \$15,001-\$50,000 I2= More than \$5,000,000
2. Value Codes (See Col. C1, D3)	J= \$15,000 or less N= \$250,001-\$500,000 P3= \$25,000,001-\$50,000,000	K= \$15,001-\$50,000 O= \$500,001-\$1,000,000 P2= \$5,000,001-\$25,000,000	L= \$50,001-\$100,000 P1= \$1,000,001-\$5,000,000 P4= More than \$50,000,000	M= \$100,001-\$250,000 P2= \$5,000,001-\$25,000,000	
3. Value Method Codes (See Col. C2)	Q= Appraisal U= Book value	R= Cost (real estate only) V= Other	S= Assessment W= Estimated	T= Cash/Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

* Each of the U.S. Government Savings Bonds transactions, listed in Part VII, p. 1, line 2, was less than \$1,000.

* * In addition to the May 2nd distribution to Harriett W. Horne, reflected in Part VII, p. 1, line 9, distributions were also made on May 17th, July 22nd, and December 10th.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date

5/15/06

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United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544