

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Barksdale, Rhesa H.	2. Court or Organization U. S. Court of Appeals Fifth Circuit	3. Date of Report 04/28/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Circuit Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 245 E. Capitol Street Room 200 Jackson, MS 39201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	The Everyday Gourmet - part times sales
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. USAA	Credit Card	J
2. Citi	Credit Card	J
3. Capital One	Credit Card	None
4. Chase	Credit Card	J
5. UBS	Credit Card	M
6. First Commercial Bank	Loan	L
7.		
8.		
9.		

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VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.									
2.									
3.									
4. Pecan Lane Apts. Ltd (See section VIII)	A	Distribution	J	R					
5.									
6.									
7. UBS Fin. Srv. Resource Mgmt Acct		None	J	T					
8. ☐ IRA: UBS Fin. Srv. Rtmnt Mny FD		None	J	T					
9. ☒ IRA: Amer. Fds Growth Fd of Amer. Mut. Fund CI A	A	Dividend	K	T					
10.									
11.									
12.									
13. Amer. Fds. Growth Fd. of Amer. Mut. Fund CI A	A	Dividend	K	T					
14. ☐ IRA: Time Warner, Inc. New, Cmn. Stk. (See Section VIII)	A	Dividend	J	T					
15. Pickwick Group, L.P.		None	J	T					
16.									
17. ☐ IRA: UBS Fin. Srv. Rtmnt Mny Fund		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.									
19.									
20.									
21. Amer. Fds Inc. Fund of America CI A	A	Dividend	J	T					
22.									
23.									
24.									
25.									
26. IRA: Amer. Fds Growth Fund of Amer. Mut. Fd. CI A	A	Dividend	K	T					
27.									
28.									
29.									
30.									
31.									
32.									
33.									
34. IRA: Time Warner, Inc. New, Cmn Stk. (See Section VIII)	A	Dividend	J	T					

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2. Value Codes: (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.									
36.									
37.									
38. UBS Fin. Srv Invstmt Acct		None	J	T					
39.									
40.									
41.									
42.									
43.									
44.									
45. Amer. Fds Balanced Fund Cl A	B	Dividend	L	T					
46. Amer. Fds Capital Inc. Builder Fund Cl A	C	Dividend	L	T					
47. Amer. Fds Inc. Fund of America Cl A	D	Dividend	M	T					
48. Fist Commercial Bank Cmn Stk		None	L	T					
49. AIM Capital Dev. Fd Cl A		None	K	T	Sold (part)	02/12/09	K		
50.									
51. Amer. Fds Capital World Gwth & Inc. Fd Cl A	C	Dividend	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. MetLife Variable Flexible Life Ins.		None	L	T					
53. -Zenith Equity									
54. -MetLife Stock Index									
55. -MFS Total Return									
56. -Fidelity VIP Eq Inc.									
57. -BR Legacy LC Growth									
58. -L/S Small Cap									
59. MassMutual Flexible Variable Life Ins.		None	K	T					
60. -MML Equity									
61. -Oppenheimer Global Securities									
62. -Fidelity VIP Contrafund									
63. -T. Rowe Price Equity Income									
64. -American Funds Growth Income									
65.									
66. ☐ IRA: Aim Basic Value Fd. Cl A	A	Dividend	J	T					
67. ☐ IRA: Aim Capital Dv. Fd. Cl A		None	J	T					
68.									

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69. UBS Global Allocation Fd. CI A	A	Dividend	J	T					
70. IRA: UBS Global Allocation Fd CI A	A	Dividend	J	T					
71. IRA: AIM Capital Dv. Fd. CI C		None	J	T					
72. IRA: AIM Basic Value Fd CI A		None			Sold	02/12/09	J		
73. IRA: AIM Capital Dv. Fd. CI A		None			Sold	02/12/09	J		
74. AIM Small Cap Eqty FD CI A (See section VIII)		None			Sold (part)	02/12/09	J		
75.					Sold	02/25/09	J		
76. DWS RREEF RL Est FD Inc.		None	J	T					
77.									
78. IRA: Amer. Fds Capital World Gwth & Inc. Fd. CI A	A	Dividend	K	T					
79. IRA: Amer. Fds. Balanced Fund CI A	A	Dividend	K	T					
80. IRA: AIM Moderate Allocation Fd CI A	A	Dividend	J	T	Buy	02/12/09	J		
81. IRA: Time Warner Cable Inc. Cmn Stk (See Section VIII)		None	J	T					
82. IRA: AOL Inc. Cmn Stk (See Section VIII)		None	J	T					
83. IRA: Time Warner Cable Inc. Cmn Stk (See Section VIII)		None	J	T					
84. IRA: AOL Inc. Cmn Stk (See Section VIII)		None	J	T					
85. AIM Mid Cap Core Equity Fd CI A	A	Dividend	K	T	Buy	02/12/09	K		

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86. AIM Moderate Allocation Fd Cl A	A	Dividend	K	T	Buy	02/12/09	J		
87.					Buy	02/25/09	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Continuation of Section VII:

Line 4: Pecan Lane Apartments, Ltd. - Inverness, Mississippi; 1984; \$13,980

IRA with UBS Financial Services; Jackson, Mississippi

IRA with UBS Financial Services; Jackson, Mississippi

Lines 14, 34, 81, and 83: RHB IRA and CBB IRA; on 03/30/09, Time Warner Inc. common stock was exchanged for Time Warner Inc. New and Time Warner Cable Inc. was spun-off

Lines 14, 34, 82, and 84: RHB IRA and CBB IRA; on 12/10/09, AOL Inc. was spun-off from Time Warner Inc. New

Lines 74-75: Aim Small Cap Equity Fd Cl A was formerly at line 77

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544