

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BUCHWALD, NAOMI R	2. Court or Organization SOUTHERN DISTRICT OF NEW YORK	3. Date of Report 05/13/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (Active)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address UNITED STATES COURTHOUSE 500 PEARL STREET - ROOM 2270 NEW YORK, NY 10007-1312	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

BUCHWALD, NAOMI R

Date of Report

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007	SELF-EMPLOYED LAWYER (Through June 30, 2007)
2. 2007	PARTNER AT LAW FIRM (From July 1, 2007 to present)
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Bar Council	10/12/07 - 10/14/07	Lenox, Massachusetts	Bench and Bar Conference	Meals and lodging
2.			program	chair
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. SEE ATTACHED RIDER									
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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

A large black rectangular redaction box covering the signature area.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

2007 Financial Disclosure Statement

Part VII: Investments and Trusts

	A. Description	B. Income		C. Value		(D) Transactions During Year			
		(1) Amt	(2) Type	(1) Code	(2) Method	(1)	(2)	(3)	(4)
1	Citibank Accounts	C	Interest	M	T				
2	Citibank (IRA) 1	A	Interest	K	T				
	– Citifund Cash Reserves Class N								
3	Citibank (IRA) 2	B	Interest	O	T				
3a		E	Dividends						
	– Citifund Cash Reserves Class N								
	– Analogue Devices (Common)								
	– Applied Materials (Common)								
	– Time Warner (Common)								
3b	– Dell Computer (Common)					Sold	1/23	L	–
3c	– Gateway (Common)					Sold (tendered)	10/16	J	–
3d	– Intel (Common)					Bought	10/19	M	–
	– Palm Inc (Common)								
	– International Rectifier (Common)								
	– Microsoft (Common)								
	– Sun Microsystems (Common)								
	– CMGI (Common)								
4	JPMorgan Chase Accounts	D	Interest	N	T				
5	Schwab Account								
	NY Muni Money Mkt	C	Dividends	M	T				
6	Bank of America	A	Dividends	J	T				
	Columbia Cash Reserves (formerly Prime Reserves)								
7	Treasury Direct Account	E	Interest	O	T				
8	Time Warner	B	Div.	L	T				
	Common Stock (also in IRA)								

9	Applied Materials Common Stock (IRA)	-	None	L	T				
60	Blount Common Stock	-	None	J	T				
11	Dell Computer Common Stock	-	None			Bought	1/25	N	-
12						Partial Sold	1/25	M	A
13						Sold	1/31	K	A
14						Bought	10/19	M	-
15						Sold	10/19	M	-
16	Intel Common Stock (also in IRA)	E	Div	N	T	Bought	1/3	O	-
17						Partial Sold	1/3	O	-
18						Bought	1/4	P1	-
19						Partial Sold	1/4	P1	B
20						Bought	1/10	O	-
21						Partial Sold	1/10	O	C
22						Bought	1/11	O	-
23						Partial Sold	1/11	P1	D
24						Partial Sold	1/12	M	C
25						Bought	1/16	N	-
26						Partial Sold	1/16	O	D
27						Sold	1/18	N	-
28						Bought	1/22	O	-
29						Sold	1/24	O	-
30						Bought	1/29	N	-
31						Partial Sold	1/29	M	-
32						Partial Sold	1/31	M	A
33						Sold	2/1	M	B
34						Bought	2/2	N	-
35						Sold	2/2	N	B
36						Bought	2/5	O	-
37						Sold	2/5	O	C
38						Bought	2/6	P1	-
39						Sold	2/6	P1	A
40						Bought	2/7	P1	-
41						Sold	2/7	P1	C
42						Bought	2/8	P1	-
43						Partial Sold	2/8	P1	C
44						Bought	2/9	O	-
45						Sold	2/9	P1	-

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Bought	2/12	J	-
Bought	2/13	K	-
Sold	2/14	K	A
Bought	2/14	M	-
Sold	2/15	M	B
Bought	2/21	N	-
Partial Sold	2/21	M	A
Sold	2/22	M	B
Bought	2/23	N	-
Sold	2/26	N	-
Bought	3/13	N	-
Bought	3/14	O	-
Partial Sold	3/14	O	B
Bought	3/15	N	-
Bought	3/19	M	-
Partial Sold	3/26	K	A
Partial Sold	4/2	N	-
Bought	4/3	P1	-
Sold	4/3	P1	C
Bought	4/5	O	-
Sold	4/5	O	B
Bought	4/12	N	-
Sold	4/12	N	A
Bought	4/13	O	-
Sold	4/13	O	B
Bought	4/16	O	-
Sold	4/17	O	D
Bought	4/18	N	-
Partial Sold	4/18	N	B
Bought	4/19	O	-
Bought	4/20	P1	-
Partial Sold	4/20	P1	D
Bought	4/23	N	-
Partial Sold	4/23	N	-
Bought	4/24	O	-
Partial Sold	4/24	O	-
Bought	4/25	O	-
Bought	4/27	P1	-
Partial Sold	4/27	O	A
Sold	4/30	P1	-
Bought	5/2	P1	-
Partial Sold	5/2	P1	A
Bought	5/3	P1	-

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Partial Sold	5/3	P1	-
Bought	5/4	P1	-
Partial Sold	5/4	P1	B
Bought	5/7	N	-
Partial Sold	5/8	N	B
Bought	5/9	P1	-
Partial Sold	5/9	P1	E
Bought	5/10	P1	-
Bought	5/11	O	-
Partial Sold	5/11	O	B
Bought	5/14	O	-
Partial Sold	5/14	O	B
Bought	5/15	O	-
Sold	5/15	P1	-
Bought	5/16	P1	-
Partial Sold	5/16	P1	D
Bought	5/17	O	-
Partial Sold	5/17	M	-
Partial Sold	5/18	P1	E
Bought	5/21	P1	-
Partial Sold	5/23	P1	E
Bought	5/24	P1	-
Partial Sold	5/25	P1	-
Bought	5/29	O	-
Partial Sold	5/29	K	A
Bought	5/30	N	-
Partial Sold	5/30	N	B
Bought	5/31	O	-
Partial Sold	5/31	O	B
Bought	6/4	P1	-
Partial Sold	6/4	P1	-
Bought	6/5	P1	-
Partial Sold	6/5	P1	-
Bought	6/11	O	-
Partial Sold	6/11	O	A
Bought	6/13	P1	-
Partial Sold	6/13	P1	D
Bought	6/18	O	-
Partial Sold	6/18	O	B
Bought	6/21	O	-
Partial Sold	6/21	P1	E
Bought	6/22	O	-
Partial Sold	6/22	O	A
Partial Sold	6/27	N	E

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Bought	7/19	O	-
Partial Sold	7/19	O	B
Bought	8/3	P1	-
Partial Sold	8/3	P1	-
Bought	8/8	P2	-
Partial Sold	8/8	P2	E
Bought	8/14	P2	-
Sold	8/14	P2	-
Bought	8/22	P1	-
Sold	8/22	P1	D
Bought	8/27	P1	-
Sold	8/28	P1	-
Bought	8/30	O	-
Sold	8/30	O	C
Bought	8/31	P1	-
Sold	8/31	P1	B
Bought	9/5	O	-
Partial Sold	9/6	O	D
Bought	9/13	P1	-
Partial Sold	9/13	P1	C
Sold	9/18	N	-
Bought	9/24	L	-
Bought	9/25	P2	-
Sold	9/25	P2	D
Bought	9/26	L	-
Bought	10/1	O	-
Partial Sold	10/1	O	D
Bought	10/2	N	-
Partial Sold	10/2	N	A
Bought	10/3	N	-
Sold	10/3	O	-
Bought	10/4	O	-
Bought	10/8	P1	-
Partial Sold	10/8	P1	-
Bought	10/12	O	-
Partial Sold	10/12	M	A
Partial Sold	10/16	O	E
Bought	10/18	M	-
Bought	10/19	P1	-
Partial Sold	10/19	P1	-
Partial Sold	11/2	O	E
Bought	11/5	O	-
Partial Sold	11/5	O	B
Bought	11/9	P1	-

176						Partial Sold	11/9	P1	-
177						Partial Sold	11/12	O	-
178						Bought	11/14	O	-
179						Partial Sold	11/14	O	C
180						Bought	11/15	O	-
181						Partial Sold	11/15	O	A
182						Bought	11/16	O	-
183						Bought	11/27	O	-
184						Partial Sold	11/27	O	D
185						Partial Sold	12/4	P1	E
186						Bought	12/4	N	-
187						Bought	12/7	O	-
188						Partial Sold	12/18	O	-
189	Analogue Devices	B	Div.	L	T	Partial Sold	12/21	O	-
	Common Stock (IRA)								
190	CMGI	-	None	J	T				
	Common Stock (IRA)								
191	International Rectifier	-	None	L	T				
	Common Stock (IRA)								
192	Microsoft	B	Div.	N	T	Bought	1/8	M	-
193	Common Stock (IRA)					Bought	1/9	O	-
194						Sold	1/9	O	C
195						Bought	1/10	M	-
196						Partial Sold	1/10	M	-
197						Sold	1/11	M	C
198						Bought	1/16	N	-
199						Sold	1/16	N	-
200						Bought	1/29	N	-
201						Sold	1/29	N	B
202						Bought	1/30	O	-
203						Sold	1/30	O	B
204						Bought	2/1	N	-
205						Sold	2/1	N	A
206						Bought	2/2	P1	-
207						Sold	2/2	P1	-
208						Bought	2/5	P1	-
209						Sold	2/5	P1	-

210						Bought	2/6	P1	-
211						Sold	2/6	P1	-
212						Bought	2/7	P1	-
213						Sold	2/7	P1	C
214						Bought	2/8	N	-
215						Sold	2/8	N	-
216						Bought	2/9	P1	-
217						Sold	2/9	P1	-
218						Bought	2/21	M	-
219						Sold	2/21	M	B
220						Bought	4/26	M	-
221						Sold	4/27	O	-
222						Bought	8/3	O	-
223						Sold	8/3	O	-
224						Bought	8/8	O	-
225						Sold	8/8	O	B
226						Bought	8/14	O	-
227						Sold	8/14	O	-
228						Bought	9/21	O	-
229						Sold	9/24	O	E
230						Bought	9/25	P1	-
231						Sold	9/25	P1	B
232						Bought	9/26	J	-
233						Bought	9/28	O	-
234						Sold	9/28	O	B
235						Bought	10/3	O	-
236						Sold	10/3	O	-
237						Bought	10/8	P1	-
238						Sold	10/8	P1	D
239									

240	Gateway Common Stock (IRA)	-	None						
241	Palm Inc. Common Stock (IRA)	-	None	J	T				
242	Sun Microsystems Common Stock (IRA)	-	None	K	T				
243	Whirlpool Common Stock	A	Div			Sold	4/24	L	-
244	HPQ	A	Div			Sold	2/26	M	B

Common Stock

245	Yahoo	-	None	J	T	Bought	1/3	P1	-
246	Common Stock					Partial Sold	1/3	P1	-
247						Bought	1/4	P1	-
248						Sold	1/4	P1	C
249						Bought	1/5	O	-
250						Sold	1/5	O	B
251						Bought	1/9	P1	-
252						Sold	1/9	P1	C
253						Bought	1/11	N	-
254						Sold	1/11	N	B
255						Bought	1/16	O	-
256						Sold	1/16	O	C
257						Bought	1/19	O	-
258						Bought	1/23	M	-
259						Partial Sold	1/23	O	-
260						Bought	1/24	L	-
261						Sold	2/6	M	A
262						Bought	2/21	O	-
263						Sold	2/21	O	C
264						Bought	4/12	O	-
265						Partial Sold	4/12	O	B
266						Bought	4/13	N	-
267						Sold	4/13	O	D
268						Bought	4/16	O	-
269						Sold	4/16	O	B
270						Bought	4/19	M	-
271						Sold	4/19	M	-
272						Bought	4/20	N	-
273						Sold	4/20	N	A
274						Bought	4/27	O	-
275						Sold	4/27	O	B
276						Bought	4/30	O	-
277						Sold	4/30	O	-
278						Bought	5/1	O	-
279						Partial Sold	5/2	N	D
280						Bought	5/3	O	-
281						Sold	5/3	O	C
282						Bought	5/4	O	-
283						Bought	5/9	O	-
284						Sold	5/9	O	B
285						Bought	5/10	N	-
286						Bought	5/14	O	-

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Partial Sold	5/14	O	B
Bought	5/16	O	-
Partial Sold	5/16	O	C
Bought	5/17	O	-
Partial Sold	5/18	O	E
Bought	5/21	O	-
Bought	5/23	O	-
Partial Sold	5/23	O	-
Bought	5/24	O	-
Partial Sold	5/24	O	A
Partial Sold	5/25	P1	-
Bought	5/29	N	-
Bought	5/30	N	-
Partial Sold	5/30	N	B
Bought	5/31	O	-
Partial Sold	5/31	O	B
Bought	6/4	N	-
Partial Sold	6/4	O	A
Bought	6/5	N	-
Partial Sold	6/5	N	B
Bought	6/15	N	-
Partial Sold	6/15	N	-
Bought	6/18	P1	-
Partial Sold	6/18	P1	D
Bought	6/21	N	-
Partial Sold	6/21	N	-
Bought	7/20	O	-
Sold	8/3	P1	-
Bought	8/8	P1	-
Sold	8/8	P1	C
Bought	8/14	P1	-
Sold	8/14	P1	-
Bought	8/22	P1	-
Partial Sold	8/22	O	A
Sold	8/28	O	-
Bought	8/30	N	-
Sold	8/30	N	B
Bought	8/31	P1	-
Partial Sold	8/31	A	A
Bought	9/25	M	-
Sold	9/25	N	E
Bought	9/28	O	-
Sold	9/28	O	B
Bought	10/4	O	-

330	Sold	10/4	O	B
331	Bought	11/14	P1	-
332	Partial Sold	11/27	P1	D
333	Bought	11/28	P1	-
334	Partial Sold	11/29	M	C
335	Bought	11/30	O	-
336	Partial Sold	11/30	P1	F
337	Bought	12/4	P1	-
338	Partial Sold	12/4	P1	C
339	Bought	12/5	P1	-
340	Partial Sold	12/21	N	-
341	Partial Sold	12/24	O	-

A. Description	C. Income	D. Value		Transactions During Year			
	(1) Amt	(2) Type	(1) Code	(2) Method	(1)	(2)	(3) (4)
342 Advanced Micro Devices	-	None		Bold	1/12	O	-
343 Common Stock				Sold	1/12	O	-
344				Bought	4/19	M	-
345				Sold	4/19	M	B
346				Bought	5/16	M	-
347				Sold	5/16	M	A
348				Bought	5/18	O	-
349				Sold	5/18	O	B
350 Amazon.com	-	None		Bought	1/4	K	-
351 Common				Sold	1/4	K	A
352				Bought	1/5	M	-
353				Sold	1/23	M	-
354				Bought	2/21	N	-
355				Sold	2/21	N	A
356				Bought	4/4	M	-
357				Sold	4/4	M	A
358				Bought	4/13	M	-
359				Sold	4/13	M	A
360				Bought	4/23	L	-
361				Sold	4/23	L	A
362				Bought	4/24	K	-
363				Sold	4/24	L	B
364				Bought	4/27	P1	-
365				Sold	4/27	P1	D
366				Bought	6/18	N	-
367				Sold	6/18	N	A

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Apple Computer
 Common Stock

- None

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Bought 6/21 N -
 Sold 6/21 N A
 Bought 6/27 N -
 Sold 6/27 N B
 Bought 9/13 O -
 Bought 9/25 N -
 Sold 9/25 P1 F
 Bought 9/26 N -
 Bought 10/2 O -
 Partial Sold 10/2 O B
 Bought 10/3 O -
 Partial Sold 10/3 O B
 Bought 10/4 O -
 Partial Sold 10/5 O D
 Bought 10/8 P1 -
 Sold 10/8 P1 D
 Bought 10/11 O -
 Sold 10/19 O -
 Bought 10/24 N -
 Sold 10/24 N C
 Bought 10/31 N -
 Sold 10/31 N C
 Bought 11/2 O -
 Sold 11/2 O B
 Bought 11/5 M -
 Bought 11/7 M -
 Bought 11/8 M -
 Sold 11/27 O -

Bought 1/12 P1 -
 Sold 1/12 P1 B
 Bought 1/18 N -
 Sold 1/18 N -
 Bought 1/19 O -
 Sold 1/23 O -
 Bought 1/29 P1 -
 Sold 1/29 P1 C
 Bought 1/30 P1 -
 Sold 1/30 P1 -
 Bought 1/31 P1 -
 Partial Sold 1/31 P1 D
 Bought 2/1 P1 -
 Partial Sold 2/1 P1 C

410						Sold	2/2	P1	-
411						Bought	2/5	N	-
412						Sold	2/7	N	D
413						Bought	2/8	N	-
414						Sold	2/8	N	C
415						Bought	2/9	L	-
416						Sold	2/9	L	-
417						Bought	2/13	L	-
418						Sold	2/14	L	A
419						Bought	2/15	O	-
420						Bought	2/16	N	-
421						Partial Sold	2/16	O	-
422						Sold	2/21	O	E
423						Bought	2/22	N	-
424						Sold	2/22	N	C
425						Bought	2/23	O	-
426						Sold	2/26	O	-
427						Bought	4/18	P1	-
428						Sold	4/18	P1	-
429						Bought	4/23	M	-
430						Sold	4/23	M	A
431						Bought	4/24	M	-
432						Sold	4/24	M	-
433						Bought	4/25	M	-
434						Partial Sold	4/25	M	B
435						Bought	5/1	N	-
436						Partial Sold	5/1	M	A
437						Partial Sold	5/2	M	B
438						Sold	5/4	L	-
438	Applied Materials	A	Div	L	T	Bought	6/13	K	-
439	Common Stock (also in IRA)					Sold	6/13	K	A
440	Cisco	-	None	O	T	Bought	1/1	P1	-
441	Common Stock					Partial Sold	1/11	O	B
442						Bought	1/12	P1	-
443						Partial Sold	1/12	P1	C
444						Bought	1/16	O	-
445						Sold	1/19	O	-
446						Bought	1/25	O	-
447						Partial Sold	1/25	M	A
448						Sold	1/26	N	B
449						Bought	1/30	N	-
450						Sold	1/30	M	-

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Bought	1/31	O	-
Sold	1/31	O	C
Bought	2/1	O	-
Sold	2/1	O	C
Bought	2/5	O	-
Sold	2/5	O	C
Bought	2/6	P1	-
Partial Sold	2/6	P1	E
Bought	2/7	O	-
Partial Sold	2/7	O	D
Bought	2/8	P1	-
Partial Sold	2/8	P1	-
Sold	2/9	O	-
Bought	2/12	M	-
Bought	2/13	M	-
Sold	2/14	N	A
Bought	2/14	M	-
Bought	2/15	N	-
Sold	2/15	O	B
Bought	2/16	N	-
Sold	2/16	O	A
Bought	2/21	N	-
Bought	2/23	O	-
Sold	2/23	P1	C
Bought	3/14	N	-
Sold	3/14	N	A
Bought	3/21	P1	-
Sold	3/21	P1	C
Bought	3/23	P1	-
Partial Sold	3/23	L	-
Sold	3/26	P1	B
Bought	3/28	P1	-
Sold	3/28	P1	-
Bought	3/29	N	-
Bought	4/2	N	-
Sold	4/3	O	D
Bought	4/4	N	-
Sold	4/4	N	B
Bought	4/5	O	-
Sold	4/5	O	C
Bought	4/9	P1	-
Bought	4/10	O	-
Sold	4/11	P1	-
Bought	4/12	O	-

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Sold	4/12-13	O	C
Bought	4/16	M	-
Sold	4/17	M	A
Bought	4/18	N	-
Sold	4/18	N	A
Bought	4/19	P1	-
Sold	4/19	P1	-
Bought	4/23	P1	-
Sold	4/23	P1	A
Bought	4/24	P1	-
Sold	4/24	P1	B
Bought	5/9	N	-
Sold	5/9	N	B
Bought	5/10	N	-
Sold	5/15	N	-
Bought	5/17	N	-
Bought	5/31	N	-
Partial Sold	5/31	N	C
Sold	6/5	N	D
Bought	6/18	N	-
Partial Sold	6/18	N	A
Bought	9/13	O	-
Sold	9/18	O	B
Bought	9/25	P1	-
Sold	9/25	P1	D
Bought	10/2	O	-
Sold	10/2	O	B
Bought	10/3	O	-
Sold	10/3	O	-
Bought	10/4	O	-
Sold	10/4	O	A
Bought	11/9	P1	-
Sold	11/9	P1	-
Bought	11/27	O	-
Partial Sold	11/27	A	-
Bought	11/30	N	-
Sold	11/30	O	D
Bought	12/4	N	-
Bought	12/5	N	-
Partial Sold	12/5	N	B
Bought	12/7	N	-
Partial Sold	12/21	O	-
Bought	12/24	O	-

538	Ebay	—	None	J	T	Bought	1/26	M	—
539	Common Stock					Bought	1/29	M	—
540						Sold	1/29	N	B
541						Bought	1/30	O	—
542						Sold	1/30	O	—
543						Bought	1/31	M	—
544						Sold	1/31	M	B
545						Bought	2/1	M	—
546						Sold	2/2	M	A
547						Bought	2/21	N	—
548						Sold	2/21	N	B
549						Bought	4/4	N	—
550						Bought	4/10	N	—
551						Sold	4/11	O	—
552						Bought	4/23	N	—
553						Sold	4/23	N	—
554						Bought	4/27	P1	—
555						Sold	4/27	P1	—
556						Bought	5/16	P1	—
557						Sold	5/16	P1	C
558						Bought	5/18	N	—
559						Partial Sold	5/18	M	A
560						Bought	5/23	M	—
561						Sold	5/23	N	B
562						Bought	6/18	N	—
563						Partial Sold	6/18	N	A
564						Bought	6/21	M	—
565						Partial Sold	6/21	M	A
566						Bought	6/31	P1	—
567						Partial Sold	6/31	P1	—
568						Bought	9/13	P1	—
569						Sold	9/13	P1	E
570						Bought	9/25	P1	—
571						Sold	9/25	P1	E
572						Bought	10/2	O	—
573						Sold	10/2	O	A
574						Bought	10/4	O	—
575						Sold	10/4	O	D
576						Bought	10/5	O	—
577						Bought	10/8	P1	—
578						Sold	10/8	P1	—
579						Bought	10/19	O	—
580						Partial Sold	10/19	O	—
581						Bought	11/2	O	—

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590 Ford - None
591 Common Stock

592 Google - None
593 Common Stock

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Partial Sold 11/2 O D
Bought 11/5 N -
Bought 11/7 N -
Partial Sold 11/9 O -
Bought 11/13 N -
Partial Sold 11/13 N B
Bought 11/14 N -
Partial Sold 11/14 N B

Bought 1/3 K -
Sold 1/23 K C

Bought 1/3 N -
Sold 1/3 N -
Bought 1/4 N -
Sold 1/4 N B
Bought 1/5 P1 -
Sold 1/5 P1 A
Bought 1/8 P1 -
Sold 1/9 P1 -
Bought 1/10 P1 -
Sold 1/10 P1 D
Bought 1/11 O -
Sold 1/11 O B
Bought 1/12 P1 -
Sold 1/12 P1 B
Bought 1/16 P1 -
Bought 1/17 P1 -

Partial Sold 1/17 P1 -
Bought 1/18 O -

Partial Sold 1/18 P1 -
Bought 1/22 N -

Bought 1/23 N -
Partial Sold 1/23 O -

Bought 1/25 N -
Partial Sold 1/23 O E

Bought 1/29 O -
Sold 1/29 P1 -

Bought 1/30 N -
Sold 1/30 N A

Bought 1/31 O -
Bought 2/1 P1 -

Partial Sold 2/1 P1 -
Bought 2/2 P1 -

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Partial Sold	2/2	P1	-
Partial Sold	2/6	M	-
Bought	2/7	O	-
Partial Sold	2/7	O	-
Sold	2/8	O	-
Bought	2/9	O	-
Sold	2/9	O	-
Bought	2/13	M	-
Sold	2/13	M	A
Bought	2/14	O	-
Partial Sold	2/14	M	A
Bought	2/15	P1	-
Partial Sold	3/15	O	-
Bought	2/16	O	-
Sold	2/16	P1	D
Bought	2/21	O	-
Partial Sold	2/21	O	C
Bought	2/22	O	-
Partial Sold	2/22	N	-
Bought	2/23	N	-
Sold	2/26	P1	-
Bought	4/4	N	-
Sold	4/4	N	B
Bought	4/5	O	-
Partial Sold	4/5	N	A
Bought	4/13	P1	-
Partial Sold	4/13	P1	A
Bought	4/16	O	-
Sold	4/16	P1	B
Bought	4/17	N	-
Partial Sold	4/17	M	A
Sold	4/18	M	A
Bought	4/20	P1	-
Sold	4/20	P1	-
Bought	4/23	N	-
Sold	4/23	N	A
Bought	4/24	O	-
Partial Sold	4/24	N	A
Sold	4/25	K	A
Bought	5/7	O	-
Sold	5/8	O	-
Bought	5/9	O	-
Sold	5/9	O	D
Bought	5/10	O	-

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680 National Oilwell
681 Common Stock

— None

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Sold	5/11	O	B
Bought	5/14	N	—
Sold	5/14	N	B
Bought	5/15	O	—
Sold	5/15	O	—
Bought	6/13	O	—
Sold	6/13	O	B
Bought	6/15	O	—
Sold	6/15	O	C
Bought	11/14	N	—
Sold	11/23	N	D

Bought	1/26	M	—
Sold	1/26	M	A
Bought	1/29	M	—
Sold	1/29	M	—
Bought	2/7	M	—
Sold	2/7	M	A
Bought	2/16	N	—
Partial Sold	2/16	M	A
Partial Sold	2/22	M	C
Sold	2/26	M	—
Bought	3/8	N	—
Bought	3/12	N	—
Partial Sold	3/12	N	A
Bought	3/13	O	—
Partial Sold	3/13	N	A
Bought	3/14	P1	—
Partial Sold	3/14	P1	B
Bought	3/15	O	—
Partial Sold	3/15	M	A
Bought	3/16	O	—
Bought	3/19	O	—
Partial Sold	3/19	P1	E
Bought	3/20	P1	—
Sold	3/20	P1	E
Bought	3/21	N	—
Bought	3/26	P1	—
Partial Sold	3/26	P1	C
Bought	3/27	N	—
Sold	3/27	O	B
Bought	3/28	N	—
Sold	3/28	N	—
Bought	3/29	N	—

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Research-In-Motion — None
 Common Stock

Sold	3/29	N	D
Bought	3/30	O	—
Partial Sold	3/30	M	—
Bought	4/2	N	—
Sold	4/2	O	C
Bought	4/3	P1	—
Sold	4/3	P1	C
Bought	4/11	P1	—
Sold	4/11	P1	—
Bought	4/12	P1	—
Partial Sold	4/12	P1	D
Bought	4/13	O	—
Sold	4/13	O	A
Bought	4/16	M	—
Sold	4/16	M	A
Bought	4/18	M	—
Partial Sold	4/18	J	A
Sold	4/19	M	—
Bought	4/20	O	—
Partial Sold	4/20	N	—
Bought	4/23	P1	—
Sold	4/23	P1	D
Bought	4/24	O	—
Sold	4/24	O	—
Bought	4/30	O	—
Sold	4/30-5/1	O	A
Bought	5/18	M	—
Sold	5/18	M	A
Bought	10/8	O	—
Partial Sold	10/8	O	—
Sold	11/9	M	—
Bought	12/28	O	—
Sold	12/28	O	B
Bought	1/3	M	—
Sold	1/3	M	—
Bought	1/4	M	—
Sold	1/4	M	A
Bought	1/5	N	—
Sold	1/5	N	C
Bought	1/9	O	—
Sold	1/9	O	—
Bought	1/10	O	—
Sold	1/10	O	C

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Bought	1/11	O	-
Partial Sold	1/11	N	-
Bought	1/12	N	-
Partial Sold	1/12	N	A
Bought	1/17	O	-
Partial Sold	1/17	N	-
Bought	1/18	N	-
Sold	1/18	P1	-
Bought	1/19	O	-
Sold	1/19	O	D
Bought	1/22	N	-
Partial Sold	1/23	N	D
Bought	1/24	P1	-
Partial Sold	1/24	O	B
Bought	1/25	N	-
Partial Sold	1/25	N	A
Bought	1/26	O	-
Partial Sold	1/26	O	B
Bought	2/2	P1	-
Partial Sold	2/2	P1	E
Partial Sold	2/5	M	C
Sold	2/6	M	A
Bought	2/7	O	-
Sold	2/7	O	D
Bought	2/8	O	-
Sold	2/8	O	A
Bought	2/9	N	-
Sold	2/9	N	-
Bought	2/13	M	-
Sold	2/14	M	B
Bought	2/15	M	-
Bought	2/16	M	-
Sold	2/16	N	-
Bought	2/21	N	-
Sold	2/21	N	B
Bought	2/22	N	-
Sold	2/22	N	B
Bought	2/23	N	-
Sold	2/23	N	B
Bought	4/13	O	-
Sold	4/13	O	-
Bought	4/23	O	-
Partial Sold	4/23	O	B
Sold	4/25	M	B

799	Valero	A	Div	N	T	Bought	1/26	O	-
800	Common Stock					Sold	1/26	O	B
801						Bought	1/29	M	-
802						Sold	1/29	M	-
803						Bought	2/7	O	-
804						Sold	2/7	O	-
805						Bought	2/8	N	-
806						Sold	2/8	N	A
807						Bought	2/13	M	-
808						Sold	2/14	M	A
809						Bought	2/23	N	-
810						Sold	2/26	N	-
811						Bought	3/8	N	-
812						Bought	3/12	O	-
813						Partial Sold	3/12	N	-
814						Bought	3/13	O	-
815						Bought	3/14	N	-
816						Partial Sold	3/14	N	A
817						Bought	3/15	O	-
818						Partial Sold	3/15	P1	C
819						Bought	3/16	O	-
820						Partial Sold	3/16	P1	B
821						Bought	3/19	N	-
822						Sold	3/19	P1	D
823						Bought	3/20	P1	-
824						Sold	3/20	P1	-
825						Bought	3/21	P1	-
826						Sold	3/21	P1	B
827						Bought	3/23	M	-
828						Sold	3/23	M	A
829						Bought	3/26	P1	-
830						Sold	3/26	P1	C
831						Bought	3/27	N	-
832						Sold	3/27	N	A
833						Bought	3/28	O	-
834						Partial Sold	3/28	N	-
835						Sold	3/29	N	C
836						Bought	3/30	O	-
837						Sold	3/30	O	-
838						Bought	4/2	P1	-
839						Sold	4/2	P1	-
840						Bought	4/3	P1	-
841						Partial Sold	4/3	P1	C

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Sold	4/3	M	-
Bought	4/10	O	-
Bought	4/11	P1	-
Sold	4/11	P1	C
Bought	4/12	O	-
Sold	4/12	O	A
Bought	4/13	O	-
Partial Sold	4/13	O	-
Bought	4/16	O	-
Sold	4/16	O	-
Bought	4/18	N	-
Sold	4/18	N	-
Bought	4/19	M	-
Sold	4/19	M	-
Bought	4/20	O	-
Sold	4/20	O	-
Bought	4/20	N	-
Sold	4/20	N	A
Bought	5/18	P1	-
Sold	5/18	P1	B
Bought	6/27	O	-
Sold	6/27	O	B
Bought	7/19	N	-
Sold	7/19	N	-
Bought	8/8	M	-
Sold	8/8	M	B
Bought	8/22	N	-
Sold	8/22	N	B
Bought	8/27	N	-
Sold	8/27	N	A
Bought	8/28	M	-
Sold	8/28	M	-
Bought	8/31	O	-
Partial Sold	8/31	M	A
Partial Sold	9/18	N	D
Sold	9/24	M	C
Bought	9/25	N	-
Bought	10/8	P1	-
Partial Sold	10/8	P1	D
Sold	10/10	N	D
Bought	10/11	M	-
Bought	10/19	M	-
Partial Sold	10/19	M	-
Sold	11/9	M	-

886
887
888

Bought	11/29	M	-
Sold	11/29	M	A
Bought	12/28	O	-