

Robert T. Dawson
U. S. District Judge

United States District Court
Western District of Arkansas
Post Office Box 1624
Fort Smith, Arkansas 72902-1624

Phone: (479) 783-2898


June 26, 2009

Hon. Bobby R. Baldock, Chair
Judicial Conference of the U.S.
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

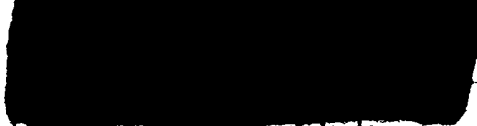
Re: Calendar Year 2007 Filing

RECEIVED
2009 JUL -1 A 10:54
FINANCIAL
DISCLOSURE OFFICE

Dear Judge Baldock,

My 2007 filing should be amended at Part VII, page 5, line 31 to not reflect Jonesboro AR City Wtr. as an asset. That bond was redeemed on November 16, 2006 and was included by inadvertence; it was properly omitted from the 2008 filing. Thank you for making this correction to the 07 filing.

Yours very truly



United States District Judge

cc: Ms. Kathy Jackson

Dawson_Robert_T

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dawson, Robert T.	2. Court or Organization U.S. District Court	3. Date of Report 04/01/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address P O Box 1624 Fort Smith, AR 72902-1624	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Dawson, Robert T.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. TIAA-CREF	C	Interest	M	T					
2. Enterprise Growth Fund CI A 215	A	Dividend	J	T					
3. Stephens Production Company (oil & gas)	A	Royalty	J	W					
4. XTO Energy (oil & gas)	A	Royalty	J	W					
5. Cypress Production (oil & gas)	A	Royalty	J	W					
6. Tellus Operating (oil & gas)	A	Royalty	J	W					
7. ACXIOM	A	Dividend	K	T					
8. Simon Property Group	B	Dividend	K	T					
9. Alltel Corp Del	B	Dividend	J	T					
10. Motorola Inc.	A	Dividend	J	T					
11. USA Truck	A	Dividend	J	T					
12. Arkansas St College Svgs B	B	Interest			Matured	12/01	J	A	
13. Arkansas St College Svgs B	B	Interest	K	T					
14. Arkansas St College Svgs A	B	Interest	K	T					
15. Cisco Sys Inc.	A	Dividend	J	T					
16. Coca Cola	A	Dividend			Sold	10/08	J	A	
17. Wachovia Corporation	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$5,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
3. Value Method Codes	Q=American	R=Cost (Real Estate Only)	S=Accretion	T=Cash Method	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. General Electric	A	Dividend	K	T					
19. Procter & Gamble Co.	A	Dividend	J	T					
20. Johnson & Johnson	A	Dividend			Sold	10/14	J	A	
21. McDonalds Corp	A	Dividend	J	T					
22. Little Rock AR New Pub Hsg Auth Calls	A	Interest			Matured	7/15	J	A	
23. Southwest Airlines	A	Dividend	J	T					
24. Chevron Texaco Corp	A	Dividend			Sold	10/14	K	A	
25. Intel Corp	A	Dividend	J	T					
26. Walt Disney	A	Dividend	J	T					
27. Alcatel-Lucent Technologies	A	Dividend	J	T					
28. Sun Microsystems	A	Dividend			Sold	07/03	J	A	
29. American Small Cap World	A	Dividend	J	T					
30. Home Depot	A	Dividend			Sold	10/01	J	A	
31. Vanguard 500 Index Fund	A	Dividend			Sold	07/01	K	A	
32. NASDAQ 100 Unit Trust Series I/Power Shares QQQ	A	Dividend	M	T	Sold (part)	9/26	M	B	
33. Janus Fund #42	A	Dividend			Sold	10/08	K	A	
34. Vanguard 500 Index Fund	A	Dividend	K	T	Sold (part)	10/08	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Vanguard Life Strategy Growth Fund	A	Dividend	J	T	Sold (part)	12/18	J	A	
36. Sebastian County Junior College	A	Interest			Matured	12/01	J	A	
37. Watson Chapel AR School Dist.	A	Interest	J	T					
38. Merck & Co.	A	Dividend	J	T					
39. Tenn Valley Auth Zero Coupon	A	Interest			Matured	11/03	J	A	
40. LeSalle Bank NA	A	Interest			Matured	03/13	J	A	
41. Univ of Ark	A	Interest	J	T					
42. Cinergy Corp/Duke Energy	B	Dividend	K	T					
43. Ameren Corp	A	Dividend	J	T					
44. Consolidated Edison	A	Dividend	J	T					
45. Bank of Hapoalim NY	A	Interest	L	T					
46. Progress Energy Inc.	A	Dividend	J	T					
47. Pub Svc Ent	A	Dividend	J	T					
48. Little River Cty AR Rev.	A	Interest	J	T					
49. Bank of America	A	Dividend	J	T					
50. Conoco Phillips	A	Dividend			Sold	10/14	J	A	
51. Entergy Corp	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	Q = Appreciated	R = Cost (Real Estate Only)	S = Accretion	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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52. Phoenix Rising/Virtus Growth	A	Dividend	J	T					
53. Murphy Oil	A	Dividend	J	T					
54. Bank of America	A	Dividend	J	T					
55. Ark St Fed Hwy Grant	A	Interest	K	T					
56. GMAC Bond	A	Interest			Matured	1/23	M	B	
57. Blackrock Global	A	Interest	J	T					
58. Windstream/Alltel	A	Dividend	K	T					
59. Little Rock AR New Pub	A	Interest	J	T					
60. Amer Funds Cap Inc.	A	Dividend	J	T					
61. Amer Funds Euro Pacific	A	Dividend	J	T					
62. Amer funds Washington Mutual	A	Dividend	J	T					
63. Janus Worldwide Fund (IRA)	E	Int./Div.	L	T					
64. Spectra	A	Dividend	J	T					
65. Goldman Sachs	A	Interest			Sold	07/01	J		
66. Bentonville, AR Sch	A	Interest	K	T					
67. Hartford Life Ins. Bond	A	Interest	K	T					
68. Crittenden County Bond	A	Interest	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	Q = Asset	P = Cost (Real Estate Only)			

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69. Conway AR Sch Bond	A	Interest	J	T					
70. Nuveen Prem Ins.	A	Interest	J	T					
71. Kinder Morgan	A	Interest	J	T					
72. Ark St Higher Educ	A	Interest	K	T					
73. J P Morgan Chase	A	Interest	J	T					
74. Hartford Growth bond	A	Interest	J	T					
75. Fed Kaufman Small Cap	A	Interest	J	T					
76. Matthews Asia	A	Interest	J	T					
77. John Hancock Large Cap	A	Interest	J	T					
78. DWS Deeman High Ret	A	Interest			Sold	10/21	J		
79. Allianz NFJ	A	Interest	J	T					
80. Julius Baer Int'l/Artio Int'l	A	Interest	J	T					
81. Mutual Discovery	A	Interest	J	T					
82. Davis New York	A	Interest	J	T					
83. Ivy Global Natural	A	Interest			Sold	10/28	J		
84. Allianz CCM Cap	A	Interest			Sold	10/28	J		
85. Neuberger & Bernm	A	Interest	J	T					

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3. Value Method Codes	Q = Annuitant	R = Cost (Real Estate Only)	S = Accrual	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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86. AIM Int'l	A	Interest	J	T					
87. Crocs	A	Interest	J	T					
88. Hillcrest CD	B	Interest	L	T	Buy	09/09	L		
89. Bank of Rogers CD	B	Interest	L	T	Buy	10/07	L		
90. Colonial Bank CD	B	Interest	L	T	Buy	10/15	L		
91. Van Kamper	A	Interest	J	T	Buy	08/25	J		
92. Buckeye Ohio Bond	A	Interest	J	T	Buy	08/25	J		
93. New York City Bond	A	Interest	J	T	Buy	08/25	J		
94. Bentonville AR Sales	A	Interest	K	T	Buy	08/01	K		
95. Little Rock AR Sewer	A	Interest	J	T	Buy	10/08	J		
96. Baron Partners	A	Interest	J	T	Buy	10/21	J		
97. Cambiar Opp.	A	Int./Div.	J	T	Buy	10/21	J		
98. Ivy Asset	A	Interest	J	T	Buy	10/21	J		
99. DN Lehman Bro CD	A	Interest	K	T	Buy	02/26	K		
100. General Electric Cap	A	Interest	K	T	Buy	10/28	K		
101. Verizon	A	Interest	J	T	Buy	11/24	J		
102.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544