

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Linn, Richard	2. Court or Organization U.S. Court of Appeals, Fed Cir	3. Date of Report 05/14/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address U.S. Court of Appeals 717 Madison Place, N.W. Washington, DC 20439	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	Intellectual Property Advisory Board, George Washington University Law School
2. Past-President	The Giles S. Rich American Inn of Court
3. Member	The Richard Linn American Inn of Court
4. Visiting Member	The Hon. William C. Conner American Inn of Court
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

Linn, Richard

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Linn, Richard

Date of Report

05/14/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	The Ben Franklin American Inn of Court	January 12, 2010	Philadelphia, PA	Inn of Court Dinner	Transportation and Meals
2.	The Hon. William C. Conner American Inn of Court	January 19-20, 2010	New York, NY	Inn of Court Dinner	Transportation, Meals, and Hotel
3.	New York Intellectual Property Law Association	March 25-28, 2010	New York, NY	Annual Judges Dinner	Transportation, Meals, and Hotel
4.	The Seattle Intellectual Property American Inn of Court	April 15-18, 2010	Seattle, WA	Inn of Court Meeting	Transportation, Meals, and Hotel
5.	The Richard Linn American Inn of Court	May 13-15, 2010	Chicago, IL	Inn of Court Meeting	Transportation

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Linn, Richard

Date of Report

05/14/2011

6.	New Jersey Intellectual Property Law Association	June 4-5, 2010	Short Hills, NJ	Annual Judges Dinner	Transportation, Meals, and Hotel
7.	The Richard Linn American Inn of Court	June 11-13, 2010	Chicago, IL	Inn of Court Dinner	Transportation, Meals, and Hotel
8.	The Federal Circuit Bar Association	June 25-27, 2010	Colorado Springs, CO	Bar Association Meetings	Transportation, Meals, and Hotel
9.	The Austin Intellectual Property American Inn of Court	September 12-14, 2010	Austin, TX	Inn of Court Meeting	Transportation, Meals, and Hotel
10.	The Intellectual Property and Innovation American Inn of Court	September 22-23, 2010	Albany, NY	Inn of Court Meeting	Transportation, Meals, and Hotel
11.	The Richard Linn American Inn of Court	October, 14-16, 2010	Chicago, IL	Inn of Court Meeting	Transportation

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Linn, Richard

Date of Report

05/14/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. New York Intellectual Property Law Association	Steuben Glass Distinguished Service Award	\$1,245.00
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Linn, Richard

Date of Report

05/14/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Cash Equivalent Account # 3	A	Interest	J	T					
2. Brokerage Account # 7									
3. - American Funds: AMCAP Fund Class 529A	A	Dividend	J	T					
4. - American Funds: EuroPacific Growth Fund Class 529A	A	Dividend	J	T					
5. - American Funds: Washington Mutual Investors Fund Class 529A	A	Dividend	J	T					
6. Brokerage Account # 9									
7. - American Funds: AMCAP Fund Class 529A	A	Dividend	J	T					
8. - American Funds: EuroPacific Growth Fund Class 529A	A	Dividend	J	T					
9. - American Funds: Washington Mutual Investors Fund Class 529A	A	Dividend	J	T					
10. - American Funds: Intermediate Bond Fund of America Cl. 529A	A	Dividend	J	T					
11. Brokerage Account # 10									
12. - American Funds: AMCAP Fund Class 529A	A	Dividend	J	T					
13. - American Funds: EuroPacific Growth Fund Class 529A	A	Dividend	J	T					
14. - American Funds: Washington Mutual Investors Fund Class 529A	A	Dividend	J	T					
15. - American Funds: Intermediate Bond Fund of America Cl. 529A	A	Dividend	J	T					
16. Brokerage Account # 11									
17. - American Funds: AMCAP Fund Class 529A	A	Dividend	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Linn, Richard

Date of Report

05/14/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - American Funds: EuroPacific Growth Fund Class 529A	A	Dividend	J	T					
19. - American Funds: Washington Mutual Investors Fund Class 529A	A	Dividend	J	T					
20. - American Funds: Intermediate Bond Fund of America Cl. 529A	A	Dividend	J	T					
21. Brokerage Account # 13									
22. - American Funds Growth-Income Separate Account		None			Sold	08/16/10	L		
23. - American Funds Growth Separate Account		None	M	T	Buy (add'l)	08/16/10	L		
24. - T. Rowe Price Large Cap Growth Portfolio Separate Account		None			Sold	08/16/10	M		
25. - MFS Value Portfolio Separate Account (See note)		None			Sold	08/16/10	L		
26. - Russell 2000 Index Portfolio Separate Account		None			Sold	08/16/10	L		
27. - Artio Int'l Stock Portfolio Separate Acct (See note)		None	M	T					
28. Neuberger Berman Genesis Portfolio		None	K	T	Buy	08/16/10	K		
29. Davis Venture Value Portfolio		None	N	T	Buy	08/16/10	M		
30. Clarion Global Real Estate Portfolio		None	K	T	Buy	08/16/10	K		
31. Brokerage Account # 14									
32. - Clarion Global Real Estate Portfolio Sep. Acct (See note)		None			Sold	08/16/10	K		
33. - MetLife Stock Index Portfolio Separate Account		None			Sold	08/16/10	L		
34. T. Rowe Price Small Cap Growth Portfolio		None	K	T	Buy	08/16/10	K		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Linn, Richard

Date of Report

05/14/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. T, Rowe Price Mid Cap Growth Portfolio		None	K	T	Buy	08/16/10	K		
36. Neuberger Berman Mid Cap Value		None	K	T	Buy	08/16/10	K		
37. Cash Equivalent Account #15	A	Interest	J	T			L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Linn, Richard

Date of Report

05/14/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Linn, Richard

Date of Report

05/14/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Richard Linn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Linn, Richard	2. Court or Organization U.S. Court of Appeals, Fed Cir	3. Date of Report 08/20/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address U.S. Court of Appeals 717 Madison Place, N.W. Washington, DC 20439	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1. Member	Intellectual Property Advisory Board, George Washington University Law School
2. Past-President	The Giles S. Rich American Inn of Court
3. Member	The Richard Linn American Inn of Court
4. Visiting Member	The Hon. William C. Conner American Inn of Court
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☒ NONE (No reportable agreements.)DATEPARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Linn, Richard

Date of Report

08/20/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	The Ben Franklin American Inn of Court	January 12, 2010	Philadelphia, PA	Inn of Court Dinner	Transportation and Meals
2.	The Hon. William C. Conner American Inn of Court	January 19-20, 2010	New York, NY	Inn of Court Dinner	Transportation, Meals, and Hotel
3.	New York Intellectual Property Law Association	March 25-28, 2010	New York, NY	Annual Judges Dinner	Transportation, Meals, and Hotel
4.	The Seattle Intellectual Property American Inn of Court	April 15-18, 2010	Seattle, WA	Inn of Court Meeting	Transportation, Meals, and Hotel
5.	The Richard Linn American Inn of Court	May 13-15, 2010	Chicago, IL	Inn of Court Meeting	Transportation

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Linn, Richard

Date of Report

08/20/2011

6.	New Jersey Intellectual Property Law Association	June 4-5, 2010	Short Hills, NJ	Annual Judges Dinner	Transportation, Meals, and Hotel
7.	The Richard Linn American Inn of Court	June 11-13, 2010	Chicago, IL	Inn of Court Dinner	Transportation, Meals, and Hotel
8.	The Federal Circuit Bar Association	June 25-27, 2010	Colorado Springs, CO	Bar Association Meetings	Transportation, Meals, and Hotel
9.	The Austin Intellectual Property American Inn of Court	September 12-14, 2010	Austin, TX	Inn of Court Meeting	Transportation, Meals, and Hotel
10.	The Intellectual Property and Innovation American Inn of Court	September 22-23, 2010	Albany, NY	Inn of Court Meeting	Transportation, Meals, and Hotel
11.	The Richard Linn American Inn of Court	October, 14-16, 2010	Chicago, IL	Inn of Court Meeting	Transportation

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Linn, Richard

Date of Report

08/20/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	New York Intellectual Property Law Association	Steuben Glass Distinguished Service Award	\$1,245.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Linn, Richard

Date of Report

08/20/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Cash Equivalent Account	A	Interest	J	T					
2. Brokerage Account # 7									
3. - American Funds: AMCAP Fund Class 529A	A	Dividend	J	T					
4. - American Funds: EuroPacific Growth Fund Class 529A	A	Dividend	J	T					
5. - American Funds: Washington Mutual Investors Fund Class 529A	A	Dividend	J	T					
6. Brokerage Account # 9									
7. - American Funds: AMCAP Fund Class 529A	A	Dividend	J	T					
8. - American Funds: EuroPacific Growth Fund Class 529A	A	Dividend	J	T					
9. - American Funds: Washington Mutual Investors Fund Class 529A	A	Dividend	J	T					
10. - American Funds: Intermediate Bond Fund of America Cl. 529A	A	Dividend	J	T					
11. Brokerage Account # 10									
12. - American Funds: AMCAP Fund Class 529A	A	Dividend	J	T					
13. - American Funds: EuroPacific Growth Fund Class 529A	A	Dividend	J	T					
14. - American Funds: Washington Mutual Investors Fund Class 529A	A	Dividend	J	T					
15. - American Funds: Intermediate Bond Fund of America Cl. 529A	A	Dividend	J	T					
16. Brokerage Account # 11									
17. - American Funds: AMCAP Fund Class 529A	A	Dividend	J	T					

1. Income Gain Codes:

A = \$1,000 or less

B = \$1,001 - \$2,500

C = \$2,501 - \$5,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

(See Columns B1 and D4)

F = \$50,001 - \$100,000

G = \$100,001 - \$1,000,000

H1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000

2. Value Codes

J = \$15,000 or less

K = \$15,001 - \$50,000

L = \$50,001 - \$100,000

M = \$100,001 - \$250,000

(See Columns C1 and D3)

N = \$250,001 - \$500,000

O = \$500,001 - \$1,000,000

P1 = \$1,000,001 - \$5,000,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

3. Value Method Codes

Q = Appraisal

R = Cost (Real Estate Only)

S = Assessment

T = Cash Market

(See Column C2)

U = Book Value

V = Other

W = Estimated

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Linn, Richard

Date of Report

08/20/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - American Funds: EuroPacific Growth Fund Class 529A	A	Dividend	J	T					
19. - American Funds: Washington Mutual Investors Fund Class 529A	A	Dividend	J	T					
20. - American Funds: Intermediate Bond Fund of America Cl. 529A	A	Dividend	J	T					
21. Brokerage Account # 13									
22. - American Funds Growth-Income Separate Account		None			Sold	08/16/10	L		
23. - American Funds Growth Separate Account		None	M	T	Buy (add'l)	08/16/10	L		
24. - T. Rowe Price Large Cap Growth Portfolio Separate Account		None			Sold	08/16/10	M		
25. - MFS Value Portfolio Separate Account (See note)		None			Sold	08/16/10	L		
26. - Russell 2000 Index Portfolio Separate Account		None			Sold	08/16/10	L		
27. - Artio Int'l Stock Portfolio Separate Acct (See note)		None	M	T					
28. Neuberger Berman Genesis Portfolio		None	K	T	Buy	08/16/10	K		
29. Davis Venture Value Portfolio		None	N	T	Buy	08/16/10	M		
30. Clarion Global Real Estate Portfolio		None	K	T	Buy	08/16/10	K		
31. Brokerage Account # 14									
32. - Clarion Global Real Estate Portfolio Sep. Acct (See note)		None			Sold	08/16/10	K		
33. - MetLife Stock Index Portfolio Separate Account		None			Sold	08/16/10	L		
34. T. Rowe Price Small Cap Growth Portfolio		None	K	T	Buy	08/16/10	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Linn, Richard

Date of Report

08/20/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. T, Rowe Price Mid Cap Growth Portfolio		None	K	T	Buy	08/16/10	K		
36. Neuberger Berman Mid Cap Value		None	K	T	Buy	08/16/10	K		
37. MetLife Bank Money Market Account	A	Interest	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Linn, Richard

Date of Report

08/20/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII, A, 1 The description of the asset has been amended to identify the name of the bank.

VII, A, 37 The description of the asset has been amended to identify the name of the bank.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Linn, Richard

Date of Report

08/20/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Richard Linn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544