

UNITED STATES DISTRICT COURT
FOR THE
EASTERN DISTRICT OF PENNSYLVANIA

**SELF INITIATED
AMENDMENT**

CHAMBERS OF
JAMES KNOLL GARDNER
UNITED STATES DISTRICT JUDGE

EDWARD N. CAHN UNITED STATES COURTHOUSE
504 WEST HAMILTON STREET, SUITE 4701
ALLENTOWN, PENNSYLVANIA 18101
(610) 434-3457


July 13, 2009

Committee on Financial Disclosure
Administrative Office of U.S. Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

Re: Honorable James Knoll Gardner
2008 Financial Disclosure Report

Gentlemen:

The purpose of this letter is to correct a typographical error in my 2008 Financial Disclosure Report dated July 9, 2009 covering the period January 1, 2008 through December 31, 2008.

In Part VII, Line 20, Column A, Page 5 of 10, change "Nuster Energy, L.P.-Common Stock" to "Nustar Energy, L.P.-Common Stock". All of the other Line 20 entries (Sections B, C and D) are unchanged.

Thank you for your attention.

Very truly yours,


JKG:ces

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2009 JUL 27 A 11:23
FINANCIAL
DISCLOSURE OFFICE

Gardner, James Knoll

UNITED STATES DISTRICT COURT

FOR THE
EASTERN DISTRICT OF PENNSYLVANIA

CHAMBERS OF
JAMES KNOLL GARDNER
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504 WEST HAMILTON STREET, SUITE 4701
ALLENTOWN, PENNSYLVANIA 18101
(610) 434-3457

April 20, 2009

Otrie D. Smith, Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

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APR 24 A 10:31
DISCLOSURE OFFICE

Re: Financial Disclosure Report for Calendar Year 2007;
Amendment of Financial Disclosure Reports for
Calendar Years 2002 through 2007

Dear Mr. Smith:

This is in response to the January 30, 2009 letter from Staff Counsel George D. Reynolds and earlier letters concerning my Financial Disclosure Report for Calendar Year 2007 dated July 11, 2008. The purpose of this letter is to amend my 2007 report pursuant to your August 12, 2008 letter as follows:

Section VII., Investments and Trusts, Line 12, on page 4 of the report currently reads:

<u>A.</u> <u>Description</u> <u>of Assets</u>	<u>B. (2)</u> <u>Income</u> <u>Type</u>	<u>C. (1)</u> <u>Value</u> <u>Code</u>	<u>C. (2)</u> <u>Value</u> <u>Method</u>	<u>D. (1)</u> <u>Transaction</u> <u>Type</u>	<u>D. (2)</u> <u>Date</u>	<u>D. (3)</u> <u>Value</u> <u>Code</u>	<u>D. (5)</u> <u>Identity</u> <u>of</u> <u>buyer/</u> <u>seller</u>
Amgen, Inc. - common stock	None	J	T	Sell	2/21	J	N/A

Please amend line 12 to eliminate the responses "J" and "T" in boxes C.(1) and C.(2). Please also complete box D.(4) as follows:

<u>D. (4)</u> <u>Gain Code</u>
A

Otrie D. Smith, Chair
Committee on Financial Disclosure
April 20, 2009
Page 2

All the remaining boxes A., B.(2), D.(1), D.(2), D.(3) and D.(5) remain unchanged.

Section VII., Line 76, on page 8 currently reads

<u>A.</u> <u>Description of</u> <u>Assets</u>	<u>B.(1)</u> <u>Income</u> <u>Amount</u> <u>Code</u>	<u>B.(2)</u> <u>Type</u>	<u>C.(1)</u> <u>Value Code</u>	<u>C.(2)</u> <u>Value</u> <u>Method</u>
Fun \$ Investment Club - Partnership	A	Dividend	J	T

The income from this asset reported on line 76 first became reportable in the report for calendar year 2007. Prior to that its income was below the reporting threshold. However, an "(X)" notation in column A would be inaccurate because the year-end value of the asset was above the reporting threshold for each report period from the initial report for calendar year 2002 onward. The listing of this asset was inadvertently omitted from each report for calendar year 2002 through 2006. When the oversight was discovered, the asset was reported for the first time in the report for calendar year 2007 in the format indicated above.

Accordingly, another purpose of this letter is to amend my Financial Disclosure Report for each calendar year 2002 through 2006 with the identical amendment in Section VII., Investments and Trusts, by the addition of an additional line as follows:

<u>Report for Calendr Year</u>	<u>Line Number</u>
2002	85
2003	57
2004	96
2005	79
2006	82

Otrie D. Smith, Chair
Committee on Financial Disclosure
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Each of the above lines should read:

<u>A.</u> <u>Description of</u> <u>Assets</u>	<u>B.(1)</u> <u>Income</u> <u>Amount</u> <u>Code</u>	<u>B.(2)</u> <u>Type</u>	<u>C.(1)</u> <u>Value Code</u>	<u>C.(2)</u> <u>Value</u> <u>Method</u>
Fun \$ Investment Club - Partnership	A	Dividend	J	U

Please also amend Section VII., Line 76 of the report for Calendar Year 2007, Column C.(2), Value Method, to change the designation from "T" to "U".

Do not hesitate to contact me if your require anything additional.

Please acknowledge receipt of these amendments.

Thank you for your cooperation.



JKG:ces

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gardner, James Knoll	2. Court or Organization Eastern District of PA	3. Date of Report 07/09/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address Edward N. Cahn U.S. Courthouse 504 W. Hamilton Street, #4701 Allentown, PA 18101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Officer, Director	Allentown Symphony Association
2. Director	Boys and Girls Club of Allentown, Inc.
3. Director	Police Athletic League, Inc.
4. General Partner (passive investment in Subchapter "S" Corporation)	1/6 share of Rental Property #1
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2002	State Employees Pension Fund; retirement pension for former judicial position, includes medical insurance and long-term care benefits, no control
2.	
3.	

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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gardner, James Knoll

Date of Report

07/09/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2008	State Employees Pension Fund reported in Part II (fixed benefits from a vested pension plan)	\$67,455.60
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	self-employed piano teacher
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. The New York Intellectual Property Law Association (NYIPLA)	March 28-29, 2008	New York City, NY	86th Annual Dinner	Transportation, Food and Hotel
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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07/09/2009

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Johnson Capital	1/6 share Mortgage on Rental Property #1, Torrence, California (Part VII, line 1)	O
2. Chase Card Services	Credit Card (Visa)	J
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gardner, James Knoll

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Rental Property #1, Torrence, California (1997 \$533,333)	E	Rent	O	Q					
2. Time Share #1, Williamsburg, Virginia (1999 \$18,700)	C	Rent	K	R					
3. Sovereign Bank Accounts	A	Interest	K	T					
4. IRA #1 (Evergreen Foundation Fund Class B)	A	Dividend	J	T					
5. Brokerage Account #1:									
6. CITIBANK NA South Dakota-Bank Deposit Program	A	Interest	J	T					
7. Disney - Common Stock	A	Dividend	J	T					
8. LMP* Appreciation Fund Class A - Mutual Fund	A	Dividend	J	T					
9. Calamos Convertible & High Income Fund - Closed End Fund	B	Dividend	J	T					
10. Wells Fargo & Company - Common Stock	A	Dividend	J	T					
11. Calamos Strategic Total Return Fund - Closed End Fund	B	Dividend	J	T					
12. Luzerne County PA Water Revenue Bond	A	Interest	J	T					
13. Microsoft Corporation - Common Stock	A	Dividend			Sold	8/14	J	A	N/A
14. Safra National Bank - NY - Certificate of Deposit	A	Interest			Full Call	4/1	J		N/A
15. BT Group PLC - Common Stock	A	Dividend			Sold	1/7	J	A	N/A
16. Western Union - Common Stock		None			Sold	11/18	J		N/A
17. Eaton Vance Tax Eqty. Inc. Fd.-Closed End Fund	B	Dividend	J	T					

*LMP = Legg Mason Partners

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gardner, James Knoll

Date of Report

07/09/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Legg Mason International Equity Trust - Mutual Fund		None			Sold	1/14	J		N/A
19. Unilever PLC - Common Stock		None			Sold	1/14	J	A	N/A
20. Nuster Energy L.P. - Common Stock	A	Dividend	J	T	Buy	1/14	J		N/A
21. The Private Bank and Trust Co. - Certificate of Deposit	A	Interest			Buy	1/14	K		N/A
22.					Matured	7/23	K		N/A
23. First Bank of Puerto Rico - PR - Certificate of Deposit	A	Interest			Buy	1/14	J		N/A
24.					Full Call	4/18	J		N/A
25. BT Group PLC ADR - USD - Mutual Fund		None			Buy	7/9	J		N/A
26.					Sold	9/18	J		N/A
27. BT Group PLC ADR - USD - Mutual Fund	A	Dividend	J	T	Buy	8/6	J		N/A
28. Carolina First Bank - SC - Certificate of Deposit	A	Interest			Buy	9/18	K		N/A
29.					Matured	10/29	K		N/A
30. BlackRock Global Allocation Fund - Mutual Fund	A	Interest	J	T	Buy	10/15	J		N/A
31.	A	Interest	J	T	Buy	11/14	J		N/A
32.			J	T	Buy	12/15	J		N/A
33. CITIBANK NA-NV *C* - Certificate of Deposit		None	K	T	Buy	10/14	K		N/A
34.		None	K	T	Buy	11/4	K		N/A

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Gardner, James. Knoll

Date of Report

07/09/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Compass Bank-AL - Certificate of Deposit		None	K	T	Buy	10/14	K		N/A
36. TotalBank-FL - Certificate of Deposit		None	K	T	Buy	11/4	J		N/A
37. GE Money Bank-UT - Certificate of Deposit		None	K	T	Buy	11/4	J		N/A
38. End of Brokerage Account #1									
39. Brokerage Account #2 (Custodial Account #1) redeemed 5/20/04									
40. Brokerage Account #3 (Custodial Account #2) redeemed 8/28/07									
41. Brokerage Account #4:									
42. General Electric Corporation - Common Stock	A	Dividend	J	T					
43. Johnson & Johnson - Common Stock	A	Dividend	J	T					
44. LMP* Appreciation Fund Class B - Mutual Fund	A	Dividend	J	T					
45. LMP* Capital and Income Fund Class B - Mutual Fund	A	Dividend	J	T					
46. CITIBANK NA - Bank Deposit Program	A	Interest	J	T					
47. End of Brokerage Account #4									
48. Tme Share #2, Williamsburg, Virginia (2002 \$19,900)	C	Rent	K	R					
49. IRA #2 (CitiGroup Global Markets)									
50. Legg Mason Special Investment Trust - Mutual Fund		None			Sold	3/12	J		N/A
51. Royce Total Return Fund Consultant - Mutual Fund	A	Dividend	J	T					

*Legg Mason Partners

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A.	period

[illegible]

(See Column C2)

U = Book Value

V = Other

W = Estimated

TABLE 1

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gardner, James, Knoll

Date of Report

07/09/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Ginnie Mae Series 2007-30 Class JG - Asset Backed Security	A	Interest	J	T	Buy	3/12	J		N/A
70. BlackRock Global Allocation Fund Inc - Mutual Fund	A	Dividend	K	T	Buy	3/14	K		N/A
71. Putnam Asset Allocation Balanced Class C - Mutual Fund	A	Dividend	J	T	Buy	3/14	J		N/A
72. Select Sector SPDR TRX Financial - Closed End Fund	A	Dividend	J	T	Buy	5/15	J		N/A
73. End of IRA #2									
74. New York Life Whole Life	A	Dividend	L	T					
75. Brokerage Account #5:									
76. AB* Intermed. Bond Fund Class A - Mutual Fund	A	Dividend	J	T					
77. AB* Growth & Income Fund Class A - Mutual Fund	A	Dividend	J	T					
78. AB* Small and Mid Cap Value Fund - Class A - Mutual Fund	A	Dividend	J	T					
79. AB* Int'l Growth Fund Class A - Mutual Fund	A	Dividend	J	T					
80. End of Brokerage Account #5									
81. KNBT Bank Accounts	D	Interest	M	T					
82. Rental Property #2, Lehigh Valley, PA (2006 \$250,000)	C	Rent	M	R					
83. Fun \$ Investment Club - Partnership	A	Dividend	J	T					
84. U.S. Savings Bonds	A	Interest	J	T					
85. Fidelity Mutual Life Insurance Co. - whole life	A	Dividend	J	T					

*Alliance Bernstein

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1. Re Part VII, Line 65: Previously, CITIBANK NA - Bank Deposit Program was reported with a parenthetical "(Y)" (as reported in Part VII, Line 64 of the 2007 Financial Disclosure Report). This is because this asset was reported in previous years as a qualifying asset. However, in 2007 this asset failed both qualifying requirements. (That is, in 2007 this asset had a value of less than \$1,000 on December 31, and earned less than \$200 interest.) In the within 2008 Financial Disclosure Report this asset is again reported with a parenthetical "(Y)" (as reported in Part VII, Line 65 of the within 2008 Financial Disclosure Report. This is because once again the asset in 2008 never had a value of \$1,000 or more and earned less than \$200 in interest. In March 2008 this asset ceased to exist because it merged into CITIBANK NA South Dakota - Bank Deposit Program which was reported as a qualifying asset with a value greater than \$1,000 on both December 31, 2007 and December 31, 2008, and which earned more than \$200 interest in 2007, but less than \$200 interest in 2008 (as reported in Part VII, Line 65 of the 2007 Financial Disclosure Report and in Part VII, Line 66 of the 2008 Financial Disclosure Report).

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Name of Person Reporting

Gardner, James, Knoll

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544