

AO 10
Rev. 1/2010

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Daughtrey, Martha C.	2. Court or Organization 6th Circuit Court of Appeals	3. Date of Report 5/20/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 300 Customs House 701 Broadway Nashville, TN 37203	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

RECEIVED
200 JUN -1 A 11:19
FINANCIAL
DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1975-93	Tennessee State Retirement System (former state judge)
2. 1972-75	TIAA-CREF (former law professor)
3. _____	_____

FINANCIAL DISCLOSURE REPORT
Page 2 of 9

Name of Person Reporting
Daughtrey, Martha C.

Date of Report
5/20/2010

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 7/09	Institute of Judicial Administration, 1-wk seminar	\$1,800.00
2. 2009	Tennessee State Retirement System	\$30,242.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – transportation, lodging, food, entertainment

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Utah	3/30/09	Salt Lake City, UT	ABA site visit	t,l,f
2. University of Alabama	04/08/09	Tuscaloosa, AL	moot court	t,l,f
3. American Bar Association	06/05/09	Indianapolis, IN	council meeting	t,l,f
4. New York Univ Law School	7/13/09	New York, NY	seminar	t,l,f
5. American Bar Association	09/25/09	Chicago	council meeting	t,l,f
6. Defense Research Institute	11/05/09	La Jolla, CA	speech	t,l,f
7. American Bar Association	12/04/09	Miami, FL	council meeting	t,l,f

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Daughtrey, Martha C.

Date of Report

5/20/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

FINANCIAL DISCLOSURE REPORT
Page 5 of 9

Name of Person Reporting
Daughtrey, Martha C.

Date of Report
5/20/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regions Financial Corp. (stock certificate)	A	Dividend	J	T					
2. Regions Bank (checking accounts)	C	Interest	L	T					
3. Williams Co. (stock)	A	Dividend	J	T					
4. Gannett, Inc. (stock)	A	Dividend	J	T					
5. American Electric Power (stock)	A	Dividend	J	T					
6. Bristol Meyer Co. (stock)	A	Dividend	J	T					
7. J.P. Morgan Chase (stock)	A	Dividend	K	T					
8. Kroger Co. (stock)	A	Dividend	J	T					
9. Chevron Corp. (stock)	D	Dividend	M	T					
10. All State Harbor (annuity) (was Morgan Stanley Harbor)	A	Interest	L	T					
11. TIAA-CREF (stock and annuity)	A	Interest	M	T					
12. Gannett 401(k) (Pension account)	A	Dividend	K	T					
13. Terra Industries (stock)	A	Dividend	J	T					
14. Longleaf Partners (mutual fund)	A	Dividend	M	T					
15. Mutual Series Beacon Fund (mutual fund)	C	Dividend	M	T					
16. T Rowe Price Stock Fund (mutual fund)	A	Dividend	L	T					
17. Federated Kaufmann (mutual fund)	A	Dividend	L	T					

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Daughtrey, Martha C.

Date of Report

5/20/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Pershing Government Accounts (money market)	A	Interest	K	T					
19. HSBC Holdings (stock)	A	Dividend	J	T					
20. Exxon Mobil (stock)	B	Dividend	K	T					
21. Dell Computer (stock)	A	Dividend	J	T					
22. EMC Corp (stock)	A	Dividend	J	T					
23. Intel Corp (stock)	A	Dividend	J	T					
24. Oracle Corp (stock)	A	Dividend	J	T					
25. Sun Micro Systems (stock)	A	Dividend	J	T					
26. Pioneer Natural Resources, Midland TX	A	Royalty	J	W					
27. Zimmer Hlds Inc. (stock)	A	Dividend	J	T					
28. Plains Mktg. LP, Houston, TX	A	Royalty	J	W					
29. Allstate Sched. Annuity Manager (was Morgan Stanley)	C	Interest	L	T					
30. Dodge & Cox Stock Funds (mutual fund)	A	Dividend	K	T					
31. Vanguard Sp. Hlth Care (mutual fund)	B	Dividend	K	T					
32. Meridian Growth Fund (mutual fund)	A	Dividend	K	T					
33. Federated Cap Res	A	Interest	L	T					
34. Ryanair Hlds (stock)	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting
Daughtrey, Martha C.

Date of Report
5/20/2010

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
35. Sequoia Fund (mutual fund)	A	Dividend	K	T						
36. Citigroup (stock)	A	Dividend	J	T	Buy	03/31/09	J			
37. General Motors Corp. (stock)	A	Dividend	J	T	Buy	03/31/09	J			
38. Ford Motor Co. (stock)	A	Dividend	J	T	Buy	03/31/09	J			
39. General Motors Corp (stock)		None				07/17/09	J	A		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	H = \$1,001 - \$7,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Daughtrey, Martha C.

Date of Report

5/20/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

The General Motors stock purchased on March 31, 2009, (see Section VII, item 37) was liquidated on July 17, 2009, (see Section VII, item 39) as a result of bankruptcy.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Daughtrey, Martha C.

Date of Report

5/20/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544