

FINANCIAL DISCLOSURE REPORT
Calendar Year 2003Report required by the Ethics in
Government Act of 1978, as amended
(5 U.S.C. App. Sec. 101-111)

1. Person Reporting (Last name, first, middle initial) Sullivan, Emmet G.	2. Court or Organization U.S. District Court for D.C.	3. Date of Report 08/13/2004
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Active	5. Report Type (check type) ____ Nomination, Date ____ / ____ / ____ ____ Initial ____ X Annual ____ Final	6. Reporting Period 01/01/2003 to 12/31/2003
7. Chambers or Office Address U.S. District Court for D.C. 333 Constitution Avenue, N.W. Washington, D.C. 20001	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.		

I. POSITIONS (Reporting individual only; see pp. 9-13 of Instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION / ENTITY
1 Director	Frederick B. Abramson Memorial Foundation
2 Director	Council for Court Excellence
3	

II. AGREEMENTS (Reporting individual only; see pp. 14-16 of Instructions.)

☐ NONE (No reportable agreements.)

PARTIES AND TERMS

DATE	
1	None
2	
3	

III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of Instructions.)

☐ NONE (No reportable non-investment income.)

SOURCE AND TYPE

GROSS INCOME
(yours, not spouse's)

1	Retirement Income (D.C. Government)	50,064.00
2		
3		
4		

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IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.*(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)*

	SOURCE	DESCRIPTION
☒	NONE (No such reportable reimbursements.)	
1		
2		
3		
4		
5		
6		
7		

V. GIFTS*(Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)*

	SOURCE	DESCRIPTION	VALUE
☒	NONE (No such reportable gifts.)		
1			
2			
3			

VI. LIABILITIES*(Includes those of spouse and dependent children. See pp 32-33 of Instructions.)*

	CREDITOR	DESCRIPTION	VALUE CODE*
☐	NONE (No reportable liabilities.)		
1	First American Bank (Wachovia)	Mortgage on investment property in D.C.	K
2			
3			
4			
5			
6			

* VAL CODES: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001 to \$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
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Date of Report
08/13/2004

(Includes those of spouse and
dependent children. See pp. 34-57 of Instructions.)

VII. Page 1 INVESTMENTS and TRUSTS — income, value, transactions

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income,assets, or transactions.)									
1 Merrill Lynch Keogh:		None							
2 General Electric	A	Dividend	K	T					
3 Krispy Kreme Donuts		None	K	T					
4 Harley Davidson		None	K	T					
5 Pfizer	A	Dividend	K	T					
6 Xilinx		None	K	T					
7 Handspring aka Palmone		None	J	T					
8 Merrill Lynch Money Market Account:		None	K	T					
9 Schwab IRA:		None							
10 General Electric	A	Dividend	K	T					
11 Schwab IRA:		None							
12 AOL		None	J	T					
13 T. Rowe Price		None	J	T					
14 Radio One		None	J	T					
15 Rental Income Pt II	E	Rent	M	S					
16 Fidelity Mortgage Fund	A	Dividend	J	T					
17,									

1 Inc/Gain Codes: A=\$1,000 or less B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000
(Col. B1, D4) F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H1=\$1,000,001-\$5,000,000 H2=\$5,000,001 or more

2 Val Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
(Col. C1, D3) O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

3 Val Mth Codes: Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market
(Col. C2) U=Book Value V=Other W=Estimated

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.*(Indicate part of report.)*

The rental property assessment for VII-A, Item 15, is \$140,000.

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IX. CERTIFICATION

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature

Date

8/13/04

Note:

Any individual who knowingly and wilfully falsifies or fails to file this report may be subject to civil and criminal sanctions (5 U.S.C. App. 4, Section 104).

FILING INSTRUCTIONS

Mail original and three additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, D.C. 20544