

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK
UNITED STATES COURTHOUSE
500 PEARL STREET
NEW YORK, NY 10007-1312

NAOMI REICE BUCHWALD
UNITED STATES DISTRICT JUDGE

212-805-0194

August 16, 2007

Honorable Ortrie D. Smith
Chair, Committee on Financial Disclosure
Judicial Conference of the United States
One Columbus Circle, NE
Washington, DC 20544

RECEIVED
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CLERK OF COURT
OFFICE

Re: Calendar Year 2006 Filing – Amendment Letter

Dear Judge Smith:

Reference is made to your letter of July 31, 2007 requesting clarification concerning one matter on my 2006 Financial Disclosure Report. Please accept this letter as an amendment to the 2006 report dated May 14, 2007.

With respect to the Part VII, page 1, line 3A reference in my 2005 report to “Loral (Common)” in [REDACTED] IRA and the absence of a reference to “Loral” on line 3A of my 2006 report, the reason it not included is because it had become valueless during the course of the year. This was noted at Part VII, page 5, line 149 of my 2006 report.

Please let me know if there is any further information which you require.

Very truly yours,

[REDACTED]

Naomi Reice Buchwald
United States District Judge

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BUCHWALD, NAOMI R	2. Court or Organization SOUTHERN DISTRICT OF NEW YORK	3. Date of Report 05/14/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (Active)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address UNITED STATES COURTHOUSE 500 PEARL STREET - ROOM 2270 NEW YORK, NY 10007-1312	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

BUCHWALD, NAOMI R

Date of Report

05/14/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	SELF-EMPLOYED LAWYER
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Federal Bar Council	February 1-10, 2006 -- Panel Moderator at Bench and Bar Conference in St. Thomas, Virgin Islands (Transportation, room, meals)
2.	
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

BUCHWALD, NAOMI R

Date of Report

05/14/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

BUCHWALD, NAOMI R

Date of Report

05/14/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SEE ATTACHED RIDER									
2.									
3.									
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17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

BUCHWALD, NAOMI R

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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Name of Person Reporting

BUCHWALD, NAOMI R

Date of Report

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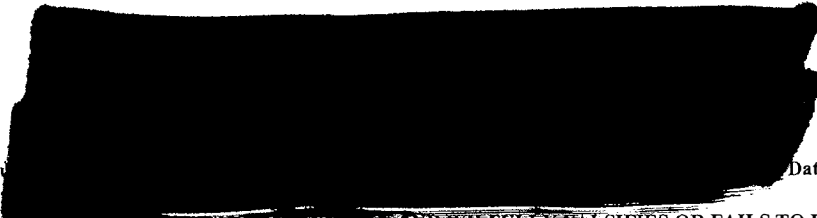
IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date


May 14, 2007

NOTE: ANY PERSON WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

2006 Financial Disclosure Statement

Part VII: Investments and Trusts

	A. Description	B. Income		C. Value		(D) Transactions During Year			
		(1) Amt	(2) Type	(1) Code	(2) Method	(1)	(2)	(3)	(4)
1	Citibank Accounts	D	Interest	N	T				
2	Citibank (IRA) 1	A	Interest	K	T				
	– Citifund Cash Reserves Class N								
3	Citibank (IRA) 2	A	Interest	O	T				
3A		D	Dividends						
	– Citifund Cash Reserves Class N								
	– Analogue Devices (Common)								
	– Applied Materials (Common)								
	– Time Warner (Common)								
	– Dell Computer (Common)								
	– Gateway (Common)								
	– Intel (Common)								
	– Palm Inc (Common)								
	– International Rectifier (Common)								
	– Microsoft (Common)								
	– Sun Microsystems (Common)								
	– CMGI (Common)								
4	JPMorgan Chase Accounts	A	Interest	K	T				
5	Schwab Account								
	NY Muni Money Mkt	D	Dividends	K	T				
6	Bank of America	A	Dividends	J	T				
	Columbia Cash Reserves (formerly Prime Reserves)								
7	Treasury Direct Account	E	Interest	O	T	Bought	1/19	M	–
8	Treasury Bills					Bought	1/19	M	–
9						Bought	3/9	M	–

10	Time Warner	A	Dividends	M	T	partial sold	1/3	L	A
11	Common Stock (also in IRA)					Bought	8/3	N	-
12						Partial sold	8/3	N	A
13	Applied Materials	A	Dividends	L	T	Bought	9/19	N	-
14	Common Stock (IRA)					Sold	9/19	N	B
15						Bought	10/3	M	-
16						Bought	10/4	N	-
17						Sold	10/4	N	D
18						Bought	10/5	N	-
19						Sold	10/5	N	A
20						Bought	11/29	N	-
21						Bought	11/29	N	-
22	Blount	-	None	J	T				
	Common Stock								
23	Dell Computer	-	None	M	T				
	Common Stock (IRA)								
24	Intel	C	Dividends	O	T	Bought	1/3	O	-
25	Common Stock (also in IRA)					Sold	1/3	O	-
26						Bought	1/5	O	-
27						Partial Sold	1/5	N	-
28						Bought	1/6	M	-
29						Sold	1/6	N	B
30						Bought	1/9	P1	-
31						Partial Sold	1/9	P1	E
32						Bought	1/10	N	-
33						Bought	1/11	O	-
34						Sold	1/11	P1	C
35						Bought	1/12	P1	-
36						Sold	1/12	P1	-
37						Bought	1/13	O	-
38						Partial Sold	1/13	N	-
39						Bought	1/17	N	-
40						Sold	1/17	P1	-
41						Bought	1/18	N	-
42						Bought	1/26	N	-
43						Partial Sold	1/26	N	A
44						Bought	1/27	O	-
45						Partial Sold	1/27	O	A
46						Bought	1/31	M	-

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Partial Sold	1/31	M	-
Bought	2/27	O	-
Partial Sold	2/28	O	B
Bought	3/1	N	-
Partial Sold	3/1	N	A
Sold	3/3	N	-
Sold Short	3/3	M	-
Bought	3/16	L	-
Bought	4/5	N	-
Sold	4/5	N	-
Bought	4/6	O	-
Bought	4/7	O	-
Partial Sold	4/7	O	-
Sold	4/10	N	-
Bought	4/11	M	-
Bought	4/19	N	-
Partial Sold	4/19	N	C
Bought	4/20	O	-
Sold	4/20	O	-
Bought	4/21	N	-
Partial Sold	4/27	N	D
Bought	6/12	M	-
Partial Sold	6/13	M	B
Bought	6/20	N	-
Partial Sold	6/20	J	-
Bought	6/21	N	-
Partial Sold	6/21	N	A
Bought	6/22	N	-
Partial Sold	6/22	N	A
Bought	6/29	O	-
Sold	6/29	O	D
Bought	7/5	M	-
Sold	7/5	M	B
Bought	7/6	P1	-
Partial Sold	7/6	O	B
Sold	7/7	N	-
Bought	7/10	M	-
Sold	7/10	M	C
Bought	7/11	M	-
Sold	7/11	M	A
Bought	7/12	O	-
Sold	7/13	O	-
Bought	7/13	N	-

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Sold	7/14	N	A
Bought	7/26	M	-
Sold	7/26	M	B
Bought	8/3	O	-
Partial Sold	8/3	O	-
Bought	8/4	O	-
Partial Sold	8/4	O	C
Bought	8/9	P1	-
Partial Sold	8/9	O	C
Sold	8/24	O	E
Bought	8/25	N	-
Sold	8/25	N	-
Bought	8/25	M	-
Sold	8/29	M	-
Bought	9/13	O	-
Sold	9/13	O	C
Bought	9/19	M	-
Sold	9/19	M	B
Bought	9/25	P1	-
Sold	9/25	P1	D
Bought	9/27	P1	-
Sold	9/27	P1	-
Bought	10/4	O	-
Sold	10/4	O	B
Bought	10/5	N	-
Sold	10/5	N	A
Bought	10/6	N	-
Sold	10/6	N	-
Bought	10/9	O	-
Bought	10/11	O	-
Sold	10/11-12	P1	E
Bought	10/13	P1	-
Partial Sold	10/13	N	-
Bought	10/16	O	-
Partial Sold	10/16	P1	-
Bought	10/23	P1	-
Sold	10/23	P1	-
Bought	10/24	P1	-
Sold	10/24	P1	B
Bought	10/25	O	-
Sold	10/25	O	A
Bought	10/27	M	-
Sold	10/27	M	-

132						Bought	10/30	O	-
133						Sold	10/30	O	A
134						Bought	10/31	N	-
135						Sold	10/31	N	-
136						Bought	11/1	M	-
137						Sold	11/8	M	-
138						Bought	11/29	N	-
139						Sold	11/29	N	A
140						Bought	11/30	M	-
141						Bought	12/5	N	-
142						Partial Sold	12/5	M	-
143						Bought	12/12	M	-
144						Partial Sold	12/12	N	-
145						Bought	12/13	O	-
146						Bought	12/14	O	-
147						Partial Sold	12/14	O	B
148						Bought	12/15	N	-
149						Partial Sold	12/15	O	C

149 Loral Common Stock (IRA) - None [Became worthless during the year]

150 Analogue Devices
Common Stock (IRA) B Dividends L T

151 CMGI Common Stock (IRA) - None J T

152 International Rectifier
Common Stock (IRA) - None L T Bought 7/7 M -

153 Sold 7/7 M -

154 Microsoft
Common Stock (IRA) B Dividends M T Bought 6/15 N -

155 Partial Sold 6/15 M A

156 Bought 6/21 L -

157 Sold 6/21 M B

158 Bought 6/28 N -

159 Sold 6/28 N B

160 Bought 6/29 P1 -

161 Sold 6/29 P1 -

162 Gateway
Common Stock (IRA) - None J T

163	Palm Inc. Common Stock (IRA)	-	None	J	T				
164	Sun Microsystems Common Stock (IRA)	-	None	K	T				
165	Cisco	-	None			Partial Sold	1/3	L	A
166	Common Stock					Sold	2/1	L	B
167	Maytag	A	Dividend			Sold	3/29	M	E
168	Common Stock					Bought	3/30	M	-
169	Maytag merged into					Partial Sold	3/30	M	A
170	Whirlpool in May	A	Dividend	K	T	(Merged into Whirlpool - May'06)			
171	Texas Instruments	A	Dividend			Bought	1/23	M	-
172	Common Stock					Bought	1/24	L	-
173						Sold	1/24	M	A
174						Bought	7/24	L	-
175						Bought	7/25	M	-
176						Partial Sold	7/25	M	-
177						Bought	7/26	N	-
178						Partial Sold	7/26	M	-
179						Bought	7/27	N	-
180						Sold	7/27	O	D
181						Bought	7/28	M	-
182						Sold	7/28	M	-
183						Bought	9/19	N	-
184						Sold	9/19	N	C
185						Bought	9/27	P1	-
186						Sold	9/27	P1	-

	A. Description	C. Income		D. Value		Transactions During Year			
		(1) Amt	(2) Type	(1) Code	(2) Method	(1)	(2)	(3)	(4)
187	Advanced Micro Devices	-	None			Bought	4/20	M	-
188	Common Stock					Sold	4/20	M	-
189						Bought	10/3	M	-
190						Sold	10/4	M	D
191						Bought	10/5	N	-
192						Sold	10/5	N	A
193						Bought	10/6	M	-

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Amazon.com
Common Stock

-

None

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Sold 10/6 M -

Bought 1/3 P1 -

Partial Sold 1/3 P1 C

Bought 1/4 M -

Partial Sold 1/4 M A

Sold 1/5 N B

Bought 1/6 P1 -

Sold 1/6 P1 C

Bought 1/10 N -

Sold 1/10 N -

Bought 1/11 M -

Sold 1/11 M A

Bought 2/22 M -

Bought 2/23 M -

Partial Sold 2/23 M A

Partial Sold 2/24 M A

Sold 3/3 M -

Bought 3/6 N -

Partial Sold 3/6 M A

Bought 3/7 L -

Partial Sold 3/7 L A

Bought 3/14 M -

Sold 3/14 M A

Bought 3/15 N -

Sold 3/15 O A

Bought 3/17 O -

Bought 3/24 M -

Sold 3/24 M B

Bought 3/29 M -

Sold 3/29 M B

Bought 4/10 O -

Sold 4/10 O -

Bought 4/21 K -

Bought 4/27 M -

Partial Sold 4/27 M -

Bought 4/28 M -

Sold 5/15 M -

Bought 5/26 M -

Sold 5/26 M B

Bought 6/7 O -

Sold 6/7 O B

Bought 6/8 N -

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Sold	6/9	N	C
Bought	6/12	O	-
Partial Sold	6/12	N	-
Bought	6/13	N	-
Partial Sold	6/13	N	B
Bought	6/14	M	-
Sold	6/14	O	B
Bought	6/22	M	-
Sold	6/22	M	-
Bought	7/10	J	-
Bought	7/11	O	-
Partial Sold	7/11	O	C
Sold	7/25	K	-
Bought	7/26	N	-
Partial Sold	7/26	M	A
Sold	7/27	M	B
Bought	7/31	M	-
Sold	8/1	M	-
Sold short	9/1	M	-
Bought	9/6	M	A
Bought	9/11	N	-
Partial Sold	9/11	M	C
Sold	9/12	M	C
Bought	9/13	O	-
Sold	9/13	O	B
Bought	10/6	O	-
Partial Sold	10/6	N	-
Partial Sold	10/10-11	N	B
Bought	10/13	N	-
Sold	10/13	N	B
Bought	10/16	N	-
Bought	10/17	N	-
Partial Sold	10/17	N	C
Sold	10/19	N	-
Bought	10/25-26	P1	-
Sold	10/25-26	P1	E
Bought	10/27	N	-
Sold	10/27	N	-
Bought	10/30	P1	-
Partial Sold	10/30	P1	-
Bought	10/31	N	-
Partial Sold	10/31	M	-
Bought	11/2	M	-
Partial Sold	11.2	M	A

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Apple Computer
 Common Stock

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None

Sold	11/7	N	D
Bought	11/8	M	-
Sold	11/8	M	A
Bought	12/1	M	-
Sold	12/1	M	A
Bought	12/27	M	-
Sold	12/27	M	A
Bought	1/11	O	-
Partial Sold	1/11	N	A
Bought	1/12	L	-
Sold	1/12	N	A
Bought	1/23	P1	-
Partial Sold	1/23	N	-
Bought	1/24	P1	-
Sold	1/24	P1	-
Bought	1/26	P1	-
Sold	1/26	P1	-
Bought	1/27	M	-
Sold	1/27	M	A
Bought	1/31	M	-
Sold	1/31	M	A
Bought	2/21	P1	-
Partial Sold	2/21	P1	A
Bought	2/22	N	-
Partial Sold	2/22	N	A
Bought	2/23	M	-
Sold	2/23	O	D
Bought	3/6	N	-
Partial Sold	3/6	M	B
Bought	3/7	O	-
Partial Sold	3/7	O	C
Bought	3/8	O	-
Sold	3/8	O	-
Bought	3/9	O	-
Partial Sold	3/9	N	A
Bought	3/10	O	-
Sold	3/10	P1	-
Bought	3/15	O	-
Sold	3/15	O	-
Bought	3/16	O	-
Sold	3/16	O	A
Bought	3/17	N	-
Sold	3/17	N	A

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Bought	3/21	P1	-
Partial Sold	3/21	P1	-
Bought	3/22	P1	-
Partial Sold	3/22	O	C
Bought	3/23	P1	-
Sold	3/23	P1	-
Bought	3/24	P1	-
Sold	3/24	P1	-
Bought	3/27	P1	-
Partial Sold	3/27	P1	D
Bought	3/28	P1	-
Sold	3/28	P1	D
Bought	3/29	O	-
Sold	3/29	P1	-
Bought	4/19	N	-
Bought	4/20	O	-
Partial Sold	4/20	O	-
Bought	4/25	O	-
Partial Sold	4/25	N	-
Bought	4/26	O	-
Sold	4/26	P1	-
Bought	6/14	L	-
Sold	6/14	L	A
Bought	6/16	O	-
Sold	6/16	O	-
Bought	6/20	N	-
Partial Sold	6/20	M	A
Bought	6/21	N	-
Sold	6/21	O	C
Bought	7/7	O	-
Sold	7/7	O	-
Bought	7/10	O	-
Sold	7/10	O	A
Bought	7/11	O	-
Partial Sold	7/11	N	A
Bought	7/12	N	-
Sold	7/13	O	-
Bought	7/13	M	-
Bought	7/17	N	-
Partial Sold	7/18	N	B
Bought	7/19	N	-
Partial Sold	7/19	M	A
Bought	7/21	O	-
Partial Sold	7/21	O	B

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Ebay
 Common Stock

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Bought	7/24	P1	—
Partial Sold	7/24	P1	E
Bought	7/25	P1	—
Sold	7/25	P1	E
Bought	7/26	P1	—
Sold	7/26	P1	D
Bought	7/27	O	—
Sold	7/27	O	B
Bought	9/11	P1	—
Partial Sold	9/11	O	D
Bought	9/12	P1	—
Partial Sold	9/12	P1	—
Bought	9/13	N	—
Sold	9/13	P1	E
Bought	10/6	P1	—
Sold	10/6	P1	A
Bought	10/19	O	—
Sold	10/19	O	—
Bought	10/20	P1	—
Sold	10/20	P1	C

Bought	1/3	P1	—
Partial Sold	1/3	P1	D
Bought	1/4	N	—
Partial Sold	1/4	N	B
Bought	1/6	M	—
Sold	1/6	M	A
Bought	1/10	N	—
Sold	1/10	N	—
Bought	1/17	M	—
Sold	1/17	M	A
Bought	1/18	M	—
Sold	1/18	M	—
Bought	2/22	M	—
Sold	2/22	M	—
Bought	2/23	O	—
Partial Sold	2/23	M	A
Bought	2/27	M	—
Sold	2/27	O	—
Bought	2/28	P1	—
Sold	2/28	P1	C
Bought	3/1	O	—
Partial Sold	3/1	N	A

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Bought	3/2	N	-
Sold	3/2	O	C
Bought	3/14	M	-
Sold	3/14	M	A
Bought	3/15	N	-
Sold	3/15	N	B
Bought	3/16	O	-
Sold	3/17	O	-
Bought	3/20	O	-
Partial Sold	3/20	O	B
Bought	3/21	O	-
Partial Sold	3/21	O	B
Bought	3/22	O	-
Partial Sold	3/22	N	-
Bought	3/23	N	-
Sold	3/23	O	-
Bought	3/24	M	-
Sold	3/24	M	B
Bought	3/27	M	-
Sold	3/27	M	B
Bought	3/28	N	-
Sold	3/28	N	A
Bought	3/29	M	-
Sold	3/29	M	A
Bought	4/6	P1	-
Partial Sold	4/6	N	B
Sold	4/7	P1	-
Bought	4/10	P1	-
Sold	4/10	P1	C
Bought	4/21	M	-
Bought	4/27	M	-
Partial Sold	4/27	M	C
Bought	4/28	M	-
Bought	5/5	M	-
Sold	5/10	O	-
Bought	5/26	M	-
Sold	5/26	M	A
Bought	6/7	N	-
Sold	6/7	N	-
Bought	6/8	O	-
Partial Sold	6/8	O	-
Bought	6/9	M	-
Sold	6/9	N	-
Bought	6/12	O	-

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Partial Sold	6/12	M -
Bought	6/13	O -
Partial Sold	6/13	O -
Bought	6/14	O -
Sold	6/14	P1 D
Bought	6/15	N -
Sold	6/15	N C
Bought	6/16	N -
Sold	6/16	N -
Bought	6/19	N -
Sold	6/19	N A
Bought	6/21	M -
Sold	6/21	M A
Bought	6/22	N -
Sold	6/22	N -
Bought	6/23	O -
Partial Sold	6/23	N -
Bought	6/26	N -
Partial Sold	6/26	N -
Bought	6/29	P1 -
Sold	6/29	P1 -
Bought	6/30	N -
Sold	6/30	N -
Bought	7/3	N -
Bought	7/5	P1 -
Partial Sold	7/5	P1 -
Bought	7/7	N -
Sold	7/7	O -
Bought	7/24	P1 -
Partial Sold	7/24	P1 C
Bought	7/25	P1 -
Partial Sold	7/25	P1 C
Bought	7/26	N -
Partial Sold	7/26	N B
Bought	7/28	N -
Partial Sold	7/28	O -
Bought	7/31	M -
Partial Sold	7/31	M B
Bought	8/1	N -
Sold	8/1	N -
Bought	8/4	N -
Sold	8/4	N A
Bought	8/11	N -
Sold	8/11	N A

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Bought	8/28	M	-
Sold	8/28	M	A
Bought	8/31	M	-
Sold	8/31	M	A
Bought	9/6	M	-
Sold	9/6	M	A
Bought	9/11	N	-
Partial Sold	9/11	M	A
Sold	9/12	M	A
Bought	10/6	P1	-
Partial Sold	10/6	P1	-
Bought	10/10	O	-
Sold	10/10	P1	--
Bought	10/11	P1	-
Sold	10/11	P1	-
Bought	10/12	M	-
Sold	10/12	M	-
Bought	10/16	N	-
Sold	10/16	N	-
Bought	10/17	O	-
Sold	10/17	O	C
Bought	10/26	P1	-
Sold	10/26	P1	C
Bought	10/27	N	-
Sold	10/27	N	-
Bought	10/30	M	-
Sold	10/30	M	-
Bought	10/31	M	-
Bought	11/1	M	-
Partial Sold	11/1	M	A
Bought	11/2	L	-
Sold	11/2	M	C
Bought	11/6	N	-
Sold	11/6	N	A
Bought	11/7	O	-
Sold	11/7-8	O	-
Bought	12/1	M	-
Sold	12/1	M	A
Bought	12/14	M	-
Sold	12/14	M	B
Bought	12/27	P1	-
Sold	12/27	P1	B

541	Google	-	None
542	Common Stock		
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Bought	1/3	P1	-
Partial Sold	1/3	P1	D
Bought	1/4	O	-
Partial Sale	1/4	O	C
Bought	1/5	P1	-
Partial Sold	1/5	P1	D
Bought	1/6	N	-
Partial Sold	1/6	N	A
Bought	1/9	P1	-
Partial Sold	1/9	P1	E
Bought	1/10	P1	-
Sold	1/10	P1	A
Bought	1/11	P1	-
Partial Sold	1/11	P1	C
Bought	1/12	P1	-
Partial Sold	1/12	P1	-
Bought	1/13	P1	-
Sold	1/13	P1	-
Bought	1/18	N	-
Partial Sold	1/18	M	-
Bought	1/19	P1	-
Partial Sold	1/19	P1	-
Bought	1/23	P2	-
Sold	1/23	P2	-
Bought	1/24	P1	-
Sold	1/24	P1	D
Bought	1/25	N	-
Bought	1/26	P1	-
Partial Sold	1/26	P1	D
Bought	1/27	P1	-
Partial Sold	1/27	P1	D
Bought	1/31	P1	-
Sold	1/31	P1	-
Bought	2/21	P1	-
Partial Sold	2/21	O	B
Bought	2/22	O	-
Bought	2/23	P1	-
Sold	2/23	P1	D
Bought	2/28	P1	-
Partial Sold	2/28	P1	D
Bought	3/1	O	-
Partial Sold	3/1	P1	D
Bought	3/2	P1	-
Partial Sold	3/2	P1	D

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Bought	3/3	O	-
Bought	3/6	P1	-
Partial Sold	3/6	P1	-
Sold	3/7	N	-
Bought	3/7	P1	-
Bought	3/8	P1	-
Partial Sold	3/8	P1	D
Bought	3/9	O	-
Partial Sold	3/9	P1	-
Bought	3/10	P1	-
Sold	3/10	P1	-
Bought	3/14	P1	-
Partial Sold	3/14	P1	D
Bought	3/15	P1	-
Partial Sold	3/15	P1	A
Bought	3/16	P1	-
Partial Sold	3/16	N	A
Partial Sold	3/17	N	A
Bought	3/20	O	-
Partial Sold	3/20	P1	D
Bought	3/21	P1	-
Partial Sold	3/21	P1	-
Bought	3/22	P1	-
Sold	3/22	P1	D
Bought	3/23	P2	-
Sold	3/23	P2	E
Bought	3/24	N	-
Bought	3/27	O	-
Sold	3/27	O	B
Bought	3/28	M	-
Sold	3/28	M	B
Bought	3/29	P1	-
Partial Sold	3/29	P1	-
Partial Sold	3/30	M	-
Bought	3/31	N	-
Partial Sold	3/31	N	B
Bought	4/5	N	-
Partial Sold	4/5	N	B
Bought	4/7	O	-
Partial Sold	4/7	P1	E
Bought	4/10	P1	-
Sold	4/10	P1	D
Bought	4/11	P1	-
Partial Sold	4/11	O	B

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Bought	4/13	P1	-
Partial Sold	4/13	N	-
Partial Sold	4/17	M	-
Bought	4/18	P1	-
Partial Sold	4/18	P1	D
Bought	4/19	P1	-
Partial Sold	4/19	O	A
Bought	4/20	O	-
Partial Sold	4/20	P1	E
Bought	4/21	P1	-
Partial Sold	4/21	P1	E
Bought	4/24	P1	-
Partial Sold	4/24	P1	A
Bought	4/25	P1	-
Partial Sold	4/25	P1	-
Bought	4/27	P1	-
Bought	5/3	O	-
Partial Sold	5/3	P1	-
Bought	5/4	P1	-
Partial Sold	5/4	N	-
Bought	5/5	O	-
Partial Sold	5/5	P1	D
Bought	5/10	P1	-
Partial Sold	5/10	O	-
Bought	5/11	P1	-
Partial Sold	5/11	P1	-
Bought	5/12	L	-
Partial Sold	5/12	N	-
Partial Sold	5/15	N	-
Bought	5/16	O	-
Partial Sold	5/16	L	A
Partial Sold	5/17	O	A
Bought	5/22	N	-
Bought	5/23	O	-
Partial Sold	5/23	N	C
Bought	5/24	M	-
Partial Sold	5/24	N	C
Bought	5/25	P1	-
Partial Sold	5/25	P1	E
Bought	5/26	N	-
Sold	5/26	N	A
Bought	5/31	N	-
Sold	5/31	N	B
Bought	6/6	P1	-

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Sold	6/6	P1	-
Bought	6/7	N	-
Sold	6/8	N	B
Bought	6/12	P1	-
Sold	6/12-13	P1	D
Bought	6/14	O	-
Sold	6/14	O	C
Bought	6/23	N	-
Sold	6/23	N	A
Bought	6/28	N	-
Sold	6/28	N	A
Bought	7/5	N	-
Sold	7/5	N	A
Bought	7/10	O	-
Partial Sold	7/10	N	A
Bought	7/11	P1	-
Sold	7/11	P1	D
Bought	7/12	P1	-
Bought	7/13	M	-
Partial Sold	7/13	P1	-
Bought	7/18	N	-
Partial Sold	7/18	N	-
Bought	7/21	P1	-
Partial Sold	7/21	P1	E
Bought	7/24	P1	-
Partial Sold	7/24	P1	D
Bought	7/25	N	-
Partial Sold	7/25	N	A
Bought	7/26	P1	-
Partial Sold	7/26	P1	D
Bought	7/27	O	-
Partial Sold	7/27	O	B
Bought	7/31	P1	-
Partial Sold	7/31	P1	D
Bought	8/1	P1	-
Sold	8/1	P1	-
Bought	8/2	P1	-
Sold	8/2	P1	-
Bought	8/3	O	-
Sold	8/3	O	D
Bought	8/11	P1	-
Partial Sold	8/11	O	-
Bought	8/22	N	-
Partial Sold	8/22	N	B

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Bought	8/23	P1	-
Bought	8/24	N	-
Partial Sold	8/25	P1	B
Sold	8/28	M	D
Bought	8/29	P1	-
Partial Sold	8/29	O	-
Bought	8/30	P1	-
Partial Sold	8/30	P1	-
Bought	8/31	P1	-
Partial Sold	8/31	N	-
Bought	9/5	N	-
Partial Sold	9/5	O	D
Bought	9/5	N	-
Partial Sold	9/5	O	C
Bought	9/6	N	-
Partial Sold	9/6	O	C
Bought	9/11	O	-
Sold	9/11	P1	D
Bought	10/5	N	-
Bought	10/6	N	-
Sold	10/6	O	B
Bought	10/10	P1	-
Sold	10/10	P1	B
Bought	10/11-12	P1	-
Sold	10/12-13	P1	C
Bought	10/16-18	P1	-
Sold	10/16-18	P1	-
Bought	10/19	N	-
Sold	10/19	N	B
Bought	10/20	O	-
Sold	10/20	O	C
Bought	10/23-24	P1	-
Sold	10/23-25	P1	D
Bought	10/25	N	-
Bought	10/26	N	-
Bought	10/27	P1	-
Partial Sold	10/27	P1	-
Bought	10/30	O	-
Partial Sold	10/30	O	-
Sold	10/31	O	-
Bought	11/1	O	-
Sold	11/1	O	-
Bought	11/2	P1	-
Partial Sold	11/2	P1	-

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Bought	11/3	M	-
Partial Sold	11/3	M	B
Bought	11/6	O	-
Partial Sold	11/6	O	B
Bought	11/7	P1	-
Bought	11/8	P1	-
Partial Sold	11/8	P1	-
Bought	11/29	N	-
Sold	11/29	P1	A
Bought	11/30	P1	-
Partial Sold	11/30	P1	-
Bought	12/1	O	-
Partial Sold	12/1	O	B
Bought	12/5	N	-
Bought	12/1	O	-
Partial Sold	12/7	P1	-
Sold	12/7	O	D
Bought	12/11	N	-
Partial Sold	12/11	M	-
Bought	12/12	O	-
Partial Sold	12/12	P1	-
Bought	12/13	O	-
Partial Sold	12/13	O	-
Bought	12/14	P1	-
Partial Sold	12/14	P1	B
Sold	12/15	P1	-
Bought	12/18	M	-
Partial Sold	12/18	L	A
Sold	12/19	M	B
Bought	12/21	P1	-
Sold	12/21	P1	D
Bought	12/22	P1	-
Sold	12/22	P1	B
Bought	12/28	M	-
Sold	12/28	M	A

795	Home Depot	-	None		
796	Common Stock				
797	HPQ	-	None	M	T
798	Common Stock				
799					
800					

Bought	2/27	N	-
Sold	2/27	N	-
Bought	9/12	N	-
Partial Sold	10/6	M	B
Sold	10/10-11	M	C
Bought	10/20	N	-

801				Partial Sold	10/20	M	A
802				Bought	10/24	M	-
803				Sold	10/24	N	B
804				Bought	10/25	N	-
805				Sold	10/30	N	-
806				Bought	10/31	N	-
807				Sold	10/31	N	A
808				Bought	11/2	N	-
809				Sold	11/2	N	A
810				Bought	11/6	N	-
811				Sold	11/6	N	C
812				Bought	11/7	M	-
813				Bought	11/8	N	-
814				Partial Sold	11/8	N	A
815	IBM	-	None	Bought	9/19	O	-
816	Common Stock			Partial Sold	9/19	N	A
817				Sold	9/25	M	A
818				Bought	10/19	O	-
819				Sold	10/19	O	-
820	Micron Technology	-	None	Bought	1/3	L	-
821	Common Stock			Sold	1/3	L	A
822	National Oilwell	-	None	Bought	4/10	L	-
823	Common Stock			Sold	4/10	L	A
824				Bought	4/11	L	-
825				Sold	4/11	L	A
826				Bought	4/17	M	-
827				Partial Sold	4/17	L	A
828				Bought	4/18	M	-
829				Partial Sold	4/18	M	-
830				Bought	4/19	N	-
831				Partial Sold	4/19	N	C
832				Bought	4/20	O	-
833				Sold	4/20	O	-
834				Bought	4/21	L	-
835				Bought	4/24	N	-
836				Sold	4/24	N	A
837				Bought	4/25	M	-
838				Sold	4/25	M	-
839				Bought	4/27	L	-
840				Sold	4/28	L	B

841	Portal Player, Inc.	—	None	Bought	1/10	L	--
842	Common Stock			Sold	1/11	L	A
843	Rambus	—	None	Bought	1/4	M	—
844	Common Stock			Sold	1/4	M	A
845				Bought	1/5	N	—
846				Sold	1/5	N	—
847				Bought	1/6	L	—
848				Sold	1/6	L	A
849				Bought	2/23	L	—
850				Sold	2/23	L	B
851				Bought	7/6	M	—
852				Sold	7/7	M	—
853				Bought	7/11	M	—
854				Sold	7/11	M	C
855				Bought	7/12	M	—
856				Sold	7/13	M	—
857	Research-In-Motion	—	None	Bought	1/9	M	—
858	Common Stock			Sold	1/9	M	—
859				Bought	2/24	N	—
860				Sold	2/24	N	—
861				Bought	2/27	O	—
862				Sold	2/27	O	—
863				Bought	3/3	L	—
864				Sold	3/3	L	—
865				Bought	3/6	L	—
866				Sold	3/6	L	A
867				Bought	3/8	O	—
868				Partial Sold	3/8	M	—
869				Sold	3/10	N	C
870				Bought	3/16	N	—
871				Sold	3/17	N	B
872				Bought	4/6	L	—
873				Sold	4/6	L	A
874				Bought	6/15	L	—
875				Sold	6/15	L	B
876				Bought	10/19	M	—
877				Sold	10/23	M	C
878				Bought	12/22	O	—
879				Partial Sold	12/22	O	—
880				Bought	12/26	M	—
881				Sold	12/26	M	—
				Bought	12/27	O	—

				Sold	12/27-28	O	A
882							
883	Valero	—	None	Bought	4/13	O	—
884	Common Stock			Sold	4/13	O	B
885				Bought	4/17	N	—
886				Partial Sold	4/17	M	A
887				Bought	4/18	O	—
888				Partial Sold	4/18	N	—
889				Bought	4/19	N	—
890				Sold	4/19	O	CD
891				Bought	4/20	P1	—
892				Partial Sold	4/20	O	—
893				Bought	4/21	N	—
894				Partial Sold	4/21	N	B
895				Bought	4/24	P1	—
896				Partial Sold	4/24	P1	C
897				Bought	4/25	M	—
898				Sold	4/25	O	D
899				Bought	4/26	O	—
900				Sold	4/27	O	—
901				Bought	4/28	M	—
902				Sold	5/4	M	—
903				Bought	6/6	N	—
904				Partial Sold	6/6	M	—
905				Sold	6/26	L	B
906	Yahoo	—	None	Bought	1/3	P1	—
907	Common Stock			Sold	1/3	P1	E
908				Bought	1/4	P1	—
909				Partial Sold	1/4	P1	—
910				Bought	1/5	M	—
911				Partial Sold	1/5	M	A
912				Bought	1/6	P1	—
913				Sold	1/6	P1	D
914				Bought	1/9	P1	—
915				Sold	1/9	P1	B
916				Bought	1/10	P1	—
917				Sold	1/10	P1	C
918				Bought	1/17	O	—
919				Sold	1/18	O	C
920				Bought	1/24	P1	—
921				Sold	1/24	P1	—
922				Bought	1/26	M	—
923				Sold	1/26	M	A

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Bought	1/27	P1	-
Partial Sold	1/26	O	B
Bought	1/31	N	-
Partial Sold	1/31	O	-
Bought	2/13	N	-
Partial Sold	2/13	J	-
Sold	2/22	N	C
Bought	2/23	P1	-
Partial Sold	2/23	O	A
Partial Sold	2/27	M	-
Bought	2/28	N	-
Sold	2/28	O	-
Bought	3/1	N	-
Sold	3/1	N	A
Bought	3/2	O	-
Bought	3/6	M	-
Sold	3/6	O	-
Bought	3/7	O	-
Bought	3/8	N	-
Partial Sold	3/8	N	-
Sold	3/9	N	-
Bought	3/9	M	-
Bought	3/10	N	-
Sold	3/10	O	B
Bought	3/14	P1	-
Sold	3/14	P1	D
Bought	3/15	N	-
Partial Sold	3/15	L	-
Bought	3/16	O	-
Partial Sold	3/16	N	A
Bought	3/17	P1	-
Partial Sold	3/17	P1	-
Bought	3/22	N	-
Partial Sold	3/22	O	D
Bought	3/23	P1	-
Sold	3/23	P1	D
Bought	3/24	P1	-
Partial Sold	3/24	P1	A
Bought	3/28	P1	-
Partial Sold	3/28	P1	C
Bought	3/29	P1	-
Partial Sold	3/29	P1	-
Bought	3/31	N	-
Partial Sold	3/31	N	B

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Bought	4/5	P1	-
Partial Sold	4/5	P1	B
Bought	4/6	N	-
Partial Sold	4/6	O	D
Bought	4/7	O	-
Sold	4/7	P1	-
Bought	4/10	O	-
Bought	4/11	N	-
Partial Sold	4/11	N	A
Bought	4/18	N	-
Partial Sold	4/18	N	B
Sold	4/20	O	D
Bought	4/20	O	-
Bought	4/28	M	-
Partial Sold	4/28	M	A
Bought	5/3	P1	-
Partial Sold	5/3	P1	-
Bought	5/5	M	-
Partial Sold	5/5	P1	-
Bought	5/9	P1	-
Bought	5/11	P1	-
Partial Sold	5/11	P1	-
Partial Sold	5/12	M	-
Bought	5/17	O	-
Sold	5/18	O	-
Bought	5/22	O	-
Partial Sold	5/22	L	A
Bought	5/23	N	-
Partial Sold	5/23	N	C
Bought	5/25	P1	-
Partial Sold	5/25	P1	-
Bought	5/26	N	-
Sold	5/26	P1	E
Bought	5/31	N	-
Sold	6/1	N	B
Bought	6/2	N	-
Bought	6/6	M	-
Bought	6/6	P1	-
Partial Sold	6/6	P1	-
Bought	6/7	O	-
Bought	6/8	N	-
Partial Sold	6/8	N	B
Sold	6/9	P1	-
Bought	6/12	P1	-

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Partial Sold	6/12	P1	-
Partial Sold	6/15	P1	A
Bought	6/16	M	-
Partial Sold	6/16	N	C
Bought	6/19	M	-
Partial Sold	6/19	M	B
Partial Sold	6/20	O	D
Bought	6/21	O	-
Sold	6/21	P1	C
Bought	6/22	P1	-
Sold	6/22	P1	-
Bought	6/26	O	-
Sold	6/26	O	B
Bought	6/28	P1	-
Sold	6/28	P1	-
Bought	6/29	P1	-
Partial Sold	6/29	P1	D
Sold	6/30	M	A
Bought	6/30	O	-
Bought	7/5	N	-
Sold	7/5	O	-
Sold	7/7	P1	-
Bought	7/7	P1	-
Bought	7/11	N	-
Sold	7/11	N	B
Bought	7/17	P1	-
Partial Sold	7/18	P1	-
Sold	7/19	M	-
Bought	7/21	M	-
Sold	7/21	M	A
Bought	7/24	P1	-
Sold	7/24	P1	D
Bought	7/25	M	-
Sold	7/25	M	A
Bought	7/27	O	-
Partial Sold	7/27	O	-
Bought	7/31	M	-
Partial Sold	7/31	M	-
Sold	8/1	L	-
Bought	8/2	O	-
Sold	8/2	O	-
Bought	8/3	O	-
Sold	8/3	O	C
Bought	8/22	O	-

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Sold	8/22	O	B
Bought	8/23	M	-
Bought	8/24	M	-
Sold	8/24	N	A
Bought	8/28	P1	-
Partial Sold	8/28	M	-
Bought	8/29	O	-
Sold	8/29	P1	-
Bought	8/30	P1	-
Sold	8/30	P1	D
Bought	8/31	P1	-
Partial Sold	8/31	O	C
Bought	9/1	N	-
Sold	9/1	P1	E
Bought	9/5	O	-
Bought	9/6	N	-
Sold	9/6	P1	-
Bought	9/11	N	-
Sold	9/11	N	A
Bought	9/25	O	-
Sold	9/27	O	-
Bought	10/4	P1	-
Partial Sold	10/4	N	B
Bought	10/5	N	-
Partial Sold	10/5	O	C
Bought	10/6	P1	-
Sold	10/6	P1	D
Bought	10/9	N	-
Sold	10/10	N	-
Bought	10/11	O	-
Sold	10/11	O	-
Bought	10/12	N	-
Sold	10/12-13	N	-
Bought	10/16	N	-
Sold	10/16	N	-
Bought	10/17	K	-
Sold	10/17	K	A
Bought	10/18	N	-
Sold	10/19	N	-
Bought	10/20	O	-
Sold	10/20	O	C
Bought	10/23	O	-
Sold	10/23	O	B
Bought	10/24	P1	-

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Sold	10/24	P1	C
Bought	10/25	P1	-
Sold	10/25	P1	C
Bought	10/26	P1	-
Sold	10/26	P1	D
Bought	10/27	N	-
Sold	10/27	N	A
Bought	10/30	O	-
Sold	10/30	O	-
Bought	10/31	O	-
Sold	10/31	O	-
Bought	11/1-2	P1	-
Sold	11/1-2	P1	-
Bought	11/6	N	-
Sold	11/6	N	C
Bought	11/7	P1	-
Sold	11/7	P1	-
Bought	11/8	P1	-
Sold	11/8	P1	-
Bought	11/13	N	-
Bought	11/29	P1	-
Partial Sold	11/29	P1	-
Bought	11/30	O	-
Sold	11/30	P1	-
Bought	12/1	N	-
Sold	12/1	N	-
Bought	12/4	O	-
Partial Sold	12/4	N	-
Sold	12/5	M	C
Bought	12/7	O	-
Bought	12/12-15	P1	-
Sold	12/12-15	P1	-
Bought	12/18	K	-
Bought	12/19	N	-
Partial Sold	12/19	N	A
Bought	12/20	M	-
Bought	12/21	N	-
Partial Sold	12/21	N	C
Partial Sold	12/22	J	-
Bought	12/28	N	-
Partial Sold	12/28	N	A