

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Barkett, Rosemary	2. Court or Organization U.S. Court of Appeals, 11 Cir.	3. Date of Report 4/12/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 99 NE 4th Street Suite 1223 Miami, FL 33132	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 9/1/79	State of Florida, Div. of Retirement; Sect. 401(a), IRC
2. 10/1/82	Aetna Life Ins.; Deferred Comp., Sect. 457, IRC
3. 3/3/87	Nationwide Life Ins.; Deferred Comp., Sect. 457, IRC

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2010	State of Florida Retirement (Aetna & Nationwide)	\$14,203.43
2. 2010	State of Florida Judicial Retirement	\$50,660.64
3. July 2010	New York University - Teaching Appellate Judges Seminar	\$1,800.00
4. April 2010	U. of Cincinnati Law School	\$3,000.00
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Society of International Law Judicial Outreach Committee (The American Society)	2/16-17 2010	Washington, DC	Attend meeting	airfare, meals, lodging
2.	University Of Cincinnati Law School	4/14-16/2010	Cincinnati, Ohio	Teaching	airfare, meals, lodging
3.	Tallahassee Women Lawyers Installation Banquet	6/9/2010	Tallahassee, Fl	Meeting	airfare,

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4.	New York University - Appellate Judges Seminar	7/11-16 /2010	New York, NY	Teaching	airfare, meal, lodging
5.	George Washington University	7/25-27 2010	Washington, DC	attend Seminar/teaching	airfare, meals, lodging
6.	American Bar Association (Children's Rights Cmtee)	8/4-5 2010	San Francisco, Calif	panel teaching	airfare, meals, lodging
7.	American Bar Assn Rule of Law Committee Board Meeting	9/20/2010	Washington, DC	meeting	airfare, meals, lodging
8.	Ordine Dei Medici Chirurghi	10/5-7 2010	Sardinia, Italy	panel-lecture	airfare, meals, lodging
9.	Appellate Judges Education Institute - Southern Methodist University	11/20-21 2010	Dallas, Texas	panel lecture	airfare, meals, lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Wachovia Bank (re-mortgage)	Real Estate Mortgage (1/2 owner)	L
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	AT & T, Inc (formerly SBC & before that Pacific Telesis)	A	Dividend	J	T					
2.	Altera	A	Dividend	L	T					
3.	Amgen, Inc (Amgn)		None	L	T					
4.	Apple		None	L	T	Buy (add'l)	07/12/10	J		
5.	Applied Materials	A	Dividend	K	T					
6.	Attl (formerly Firstcom FCLX)		None	J	T					
7.	Bank of America	A	Dividend	J	T	Buy	07/12/10	J		
8.	Cisco		None	K	T					
9.	Citicorp (c) formerly Travelers Group		None	J	T					
10.	Coca Cola (KO)	A	Dividend	K	T					
11.	Corning, Inc. (GLW)	A	Dividend	K	T					
12.	Dell		None	J	T					
13.	Walt Disney (DIS)	A	Dividend	J	T					
14.	EMC Corp.		None	K	T					
15.	Federal National Mortgage Association (Fannie MAE)		None	J	T					
16.	Fld.Contra Fd FCNTX		None	K	T					
17.	Hewlett-Packard (HPQ)	A	Dividend	L	T					

1. Income Gain Codes:

A = \$1,000 or less

B = \$1,001 - \$2,500

C = \$2,501 - \$5,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

(See Columns B1 and D4)

F = \$50,001 - \$100,000

G = \$100,001 - \$1,000,000

H1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000

2. Value Codes

J = \$15,000 or less

K = \$15,001 - \$50,000

L = \$50,001 - \$100,000

M = \$100,001 - \$250,000

(See Columns C1 and D3)

N = \$250,001 - \$500,000

O = \$500,001 - \$1,000,000

P1 = \$1,000,001 - \$5,000,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

3. Value Method Codes

Q = Appraisal

R = Cost (Real Estate Only)

S = Assessment

T = Cash Market

(See Column C2)

U = Book Value

V = Other

W = Estimated

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Intel Corporation	A	Dividend	L	T					
19. IBM	A	Dividend	J	T					
20. JP Morgan Chase (JPM)	A	Dividend	K	T	Buy (add'l)	07/07/10	J		
21. Microsoft Corporation (MSFT)	A	Dividend	K	T					
22. Nokia Corp. (NOK)	A	Dividend	K	T					
23. North. Trust NTRS	A	Dividend	K	T					
24. Qualcomm, Inc. (QCOM)	A	Dividend	J	T					
25. Research Motion LTD		None	J	T					
26. Texas Instruments	A	Dividend	K	T					
27. Wells Fargo	A	Dividend	J	T	Buy	07/21/10	J		
28. Live Ops		None	M	T					
29. Aetna Deferred Comp Plan (now ING Life)	D	Dividend	L	T					
30. Nationwide	D	Dividend	L	T					
31. Skandia now GldnSelect (Am. Skandia transferred into ING)		None	M	T					
32. Citibank Money Market	A	Int./Div.	M	T					
33. TRUST # 1 (Global Managed Portfolio Level 5 (Citibank))	D	Dividend	O	T					
34. Citigroup SMANS Energy	A	Int./Div.	M	T	Buy	06/16/10	M		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

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P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. IRA #1 (ClearBridge Multi Cap Growth Portfolios (Citibank))	A	Dividend	M	T					
36.									
37. Re-Parcel 1-Lake Park, FL (1/2 ownership)		None	N	W					
38. Re-Parcel 2-Key Largo, FL (1/4 ownership)		None	K	W					
39. Re-Parcel 4-Homestead, FL (1/3 ownership)		None	L	W					
40. Re-Parcel 5-Homestead, FL (1/2 ownership)		None	L	W					
41.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Rosemary Barkett

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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