

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McKeague, David W	2. Court or Organization U.S. Court of Appeals - Sixth	3. Date of Report 05/14/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address U.S. Court of Appeals 315 W. Allegan Street Lansing, MI 48933	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor	Michigan State University College of Law
2. Board Member	Federal Judges' Association
3. Board Member	Michigan State University College of Law
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

McKeague, David W

Date of Report

05/14/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2007	Michigan State University College of Law (approved teaching)	\$ 24,500
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007	Michigan Health & Hospital Association - wages
2. 2007	Accident Fund Insurance Company - Director fees
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Cornell University Law School	02-16-07 to 02-18-07	Ithaca, New York	Moot Court competition	Transportation, meals, hotel
2.	Michigan State University	12/12/07 to 12/13/07	Williamsburg, Virginia	School affiliation mtg.	Transportation, meals, hotel
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.	Sallie Mae Servicing Corporation	Student loans	K
2.			
3.			
4.			
5.			

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Name of Person Reporting

McKeague, David W

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Stonehedge LP	A	Dividend	K	W					
2. National City Bank	A	Interest	J	T					
3. Ohio National Univ. Life		None	K	T					
4. IRA 1	F	Dividend	O	T					
5. -Schwab Advisor Cash Reserve									
6. -PIMCo Low Duration					Partial sale	9/19	K	A	
7. -PIMCo Real Return					Buy	9/19	J		
8. -PIMCo Total Return									
9. -American Beacon Intl Equity Instl					Partial sale	9/19	J	A	
10. -Artisan Intl					Partial sale	9/19	J	B	
11. -DFA U.S. Small Cap					Partial sale	9/19	J	C	
12. -Columbia Intl Value Z					Partial sale	9/19	J	A	
13. -T Rowe Price Mid Cap					Partial sale	9/19	K	D	
14. -Vanguard Explorer					Partial sale	9/19	J	C	
15. -Vanguard Index 500 Signal Shares					Partial sale	9/19	K	D	
16. - PIMCo Developing Local Markets									
17. - Touchstone Sands Capital Inst. Growth					Buy	9/19	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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18.	- ICAP Select Equity				Buy	9/19	J		
19.	- iShares S&P 100 Index				Buy	9/19	L		
20.	- Western Asset Core Plus Bond Instl				Buy	9/19	K		
21.	Michigan Health & Hospital Association - 401K	A	Dividend	K	T				
22.	Fifth Third Bank accounts	A	Interest	K	T				
23.	Ohio National Univ. Life Policy		None	K	T				
24.	IRA 2	E	Dividend	N	T				
25.	-Schwab Adv. Cash Reserve								
26.	-PIMCo Low Duration				Buy	1/05	J		
27.					Partial Sale	9/20	K	A	
28.	-PIMCo Real Return				Buy	9/20	J		
29.	-PIMCo Total Return								
30.	-American Beacon Intl. Equity Instl.								
31.	-Artisan Intl								
32.	-DFA U.S. Small Cap								
33.	-Rainier Small Mid Cap				Partial Sale	9/20	K	D	
34.	-Vanguard Explorer				Partial Sale	9/20	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. -Vanguard Index 500 Signal Shares					Partial sale	9/20	J	C	
36. - PIMCo Developing Local Markets									
37. - ICAP Select Equity									
38. - Touchstone Sands Capital Inst. Growth					Buy	9/20	J		
39. - iShares S&P 100 Index					Buy	9/20	K		
40. - Western Asset Core Plus Bond Instl					Buy	9/20	K		
41. IRA 3	A	Dividend	L	T					
42. -Vanguard Index 500									
43. IRA 4	A	Interest	J	T					
44. -Schwab Advisor Cash Reserve									
45. IRA 5	D	Dividend	L	T					
46. -Schwab Advisor Cash Reserve									
47. -PIMCo Real Return									
48. -PIMCo Total Return					Buy	1/5	J		
49.					Buy	9/20	J		
50. -Columbia Int'l. Value Z									
51. Schwab Individual Account	D	Dividend	M	T					

1. Income Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

R = Cost (Real Estate Only)

V = Other

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -Schwab Advisor Cash Reserve	A	Interest	J	T					
53. -Vanguard Index 500 Signal Shares	B	Dividend	L	T					
54. -DFA Tax Managed U.S. Small Cap	A	Dividend	J	T	Buy	1/5	J		
55.					Partial Sale	9/20	J	A	
56. -Vanguard Explorer	A	Dividend	J	T					
57. -American Beacon Int. Equity Instl.	A	Dividend	J	T					
58. -Artisan Intl.	A	Dividend	J	T	Buy	1/5	J		
59. - Rainier Small/Mid Cap Instl.	A	Dividend	J	T					
60. - iShares S&P 100 Index	A	Dividend	J	T	Buy	9/20	J		
61.									
62.									
63.									
64.									
65.									

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

McKeague, David W

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII. Investments - Items 15, 35 and 53. Assets converted to Signal shares required by custodian. Not a purchase/sale transaction, no gain or loss.

Part VII. Investments - Items 17 and 38. Constellation Sands Capital Inst. Growth changed name to Touchstone Sands Capital Inst. Growth.

Part VII. Investments - Item 46 Transfer to different money market fund class. Not a purchase/sale transaction.

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Name of Person Reporting

McKeague, David W

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544



UNITED STATES COURT OF APPEALS
FOR THE SIXTH CIRCUIT
MICHIGAN - OHIO - KENTUCKY - TENNESSEE

CHAMBERS OF
DAVID W. McKEAGUE
CIRCUIT JUDGE

CHARLES E. CHAMBERLAIN
FEDERAL BUILDING
315 W. ALLEGAN ST.
LANSING, MI 48933
(517) 377-1563
[REDACTED]

September 2, 2008

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FINANCIAL
DISCLOSURE OFFICE

Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

Attn: Ortrie D. Smith, Chair

RE: Calendar Year 2007 Filing

Dear Mr. Smith:

Enclosed please find three copies of my Amended Financial Disclosure Report for 2007. Notations have been made in Part VIII, page 8, to explain the discrepancies noted in your letter of July 21, 2008. Should you need anything further, please advise.

Sincerely,

[REDACTED]

David W. McKeague

/bsd
Enclosure

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McKeague, David W	2. Court or Organization U.S. Court of Appeals - Sixth	3. Date of Report 09/02/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address U.S. Court of Appeals 315 W. Allegan Street Lansing, MI 48933	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor	Michigan State University College of Law
2. Board Member	Federal Judges' Association
3. Board Member	Michigan State University College of Law
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2007	Michigan State University College of Law (approved teaching)	\$ 24,500
2.		
3.		
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B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
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IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
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	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Sallie Mae Servicing Corporation	Student loans	K
2.			
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19.	- iShares S&P 100 Index				Buy	9/19	L		
20.	- Western Asset Core Plus Bond Instl				Buy	9/19	K		
21.	Michigan Health & Hospital Association - 401K	A	Dividend	K	T				
22.	Fifth Third Bank accounts	A	Interest	K	T				
23.	Ohio National Univ. Life Policy		None	K	T				
24.	IRA 2	E	Dividend	N	T				
25.	-Schwab Adv. Cash Reserve								
26.	-PIMCo Low Duration				Buy	1/05	J		
27.					Partial Sale	9/20	K	A	
28.	-PIMCo Real Return				Buy	9/20	J		
29.	-PIMCo Total Return								
30.	-American Beacon Intl. Equity Instl.								
31.	-Artisan Intl								
32.	-DFA U.S. Small Cap								
33.	-Rainier Small Mid Cap				Partial Sale	9/20	K	D	
34.	-Vanguard Explorer				Partial Sale	9/20	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

McKeague, David W

Date of Report

09/02/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Vanguard Index 500 Signal Shares					Partial sale	9/20	J	C	
36. - PIMCo Developing Local Markets									
37. - ICAP Select Equity									
38. - Touchstone Sands Capital Inst. Growth					Buy	9/20	J		
39. - iShares S&P 100 Index					Buy	9/20	K		
40. - Western Asset Core Plus Bond Instl					Buy	9/20	K		
41. IRA 3	A	Dividend	L	T					
42. -Vanguard Index 500									
43. IRA 4	A	Interest	J	T					
44. -Schwab Advisor Cash Reserve									
45. IRA 5	D	Dividend	L	T					
46. -Schwab Advisor Cash Reserve									
47. -PIMCo Real Return									
48. -PIMCo Total Return					Buy	1/5	J		
49.					Buy	9/20	J		
50. -Columbia Int'l. Value Z									
51. Schwab Individual Account	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. -Schwab Advisor Cash Reserve	A	Interest	J	T					
53. -Vanguard Index 500 Signal Shares	B	Dividend	L	T					
54. -DFA Tax Managed U.S. Small Cap	A	Dividend	J	T	Buy	1/5	J		
55.					Partial Sale	9/20	J	A	
56. -Vanguard Explorer	A	Dividend	J	T					
57. -American Beacon Int. Equity Instl.	A	Dividend	J	T					
58. -Artisan Intl.	A	Dividend	J	T	Buy	1/5	J		
59. - Rainier Small/Mid Cap Instl.	A	Dividend	J	T					
60. - iShares S&P 100 Index	A	Dividend	J	T	Buy	9/20	J		
61.									
62.									
63.									
64.									
65.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

McKeague, David W

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII. Investments - Items 15, 35 and 53. Assets converted to Signal shares required by custodian. Not a purchase/sale transaction, no gain or loss.

Part VII. Investments - Items 17 and 38. Constellation Sands Capital Inst. Growth changed name to Touchstone Sands Capital Inst. Growth.

Part VII. Investments - Item 42. AIM S&P 500 Index funds utilized to purchase Vanguard Index 500 account. Prior account of AIM S&P 500 Index Funds was closed upon purchase of Vanguard Index 500 account.

Part VII. Investments - Item 44. Incorrectly identified as Prime Fund Capital Reserves previously, but it is same asset. Schwab Advisor Cash Reserves is correct name.

Part VII. Investments - Item 46 Transfer to different money market fund class. Not a purchase/sale transaction.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

McKeague, David W

Date of Report

09/02/2008

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McKeague, David W	2. Court or Organization U.S. Court of Appeals - Sixth	3. Date of Report 05/14/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address U.S. Court of Appeals 315 W. Allegan Street Lansing, MI 48933	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor	Michigan State University College of Law
2. Board Member	Federal Judges' Association
3. Board Member	Michigan State University College of Law
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

McKeague, David W

Date of Report

05/14/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2007	Michigan State University College of Law (approved teaching)	\$ 24,500
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007	Michigan Health & Hospital Association - wages
2. 2007	Accident Fund Insurance Company - Director fees
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Cornell University Law School	02-16-07 to 02-18-07	Ithaca, New York	Moot Court competition	Transportation, meals, hotel
2.	Michigan State University	12/12/07 to 12/13/07	Williamsburg, Virginia	School affiliation mtg.	Transportation, meals, hotel
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

McKeague, David W

Date of Report

05/14/2008

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Sallie Mae Servicing Corporation	Student loans	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

McKeague, David W

Date of Report

05/14/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Stonehedge LP	A	Dividend	K	W					
2. National City Bank	A	Interest	J	T					
3. Ohio National Univ. Life		None	K	T					
4. IRA 1	F	Dividend	O	T					
5. -Schwab Advisor Cash Reserve									
6. -PIMCo Low Duration					Partial sale	9/19	K	A	
7. -PIMCo Real Return					Buy	9/19	J		
8. -PIMCo Total Return									
9. -American Beacon Intl Equity Instl					Partial sale	9/19	J	A	
10. -Artisan Intl					Partial sale	9/19	J	B	
11. -DFA U.S. Small Cap					Partial sale	9/19	J	C	
12. -Columbia Intl Value Z					Partial sale	9/19	J	A	
13. -T Rowe Price Mid Cap					Partial sale	9/19	K	D	
14. -Vanguard Explorer					Partial sale	9/19	J	C	
15. -Vanguard Index 500 Signal Shares					Partial sale	9/19	K	D	
16. -PIMCo Developing Local Markets									
17. -Touchstone Sands Capital Inst. Growth					Buy	9/19	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

McKeague, David W

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05/14/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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18. - ICAP Select Equity					Buy	9/19	J		
19. - iShares S&P 100 Index					Buy	9/19	L		
20. - Western Asset Core Plus Bond Instl					Buy	9/19	K		
21. Michigan Health & Hospital Association - 401K	A	Dividend	K	T					
22. Fifth Third Bank accounts	A	Interest	K	T					
23. Ohio National Univ. Life Policy		None	K	T					
24. IRA 2	E	Dividend	N	T					
25. -Schwab Adv. Cash Reserve									
26. -PIMCo Low Duration					Buy	1/05	J		
27.					Partial Sale	9/20	K	A	
28. -PIMCo Real Return					Buy	9/20	J		
29. -PIMCo Total Return									
30. -American Beacon Intl. Equity Instl.									
31. -Artisan Intl									
32. -DFA U.S. Small Cap									
33. -Rainier Small Mid Cap					Partial Sale	9/20	K	D	
34. -Vanguard Explorer					Partial Sale	9/20	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Name of Person Reporting

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45. IRA 5	D	Dividend	L	T					
46. -Schwab Advisor Cash Reserve									
47. -PIMCo Real Return									
48. -PIMCo Total Return					Buy	1/5	J		
49.					Buy	9/20	J		
50. -Columbia Int'l. Value Z									
51. Schwab Individual Account	D	Dividend	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

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Signature



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One Columbus Circle, N.E.
Washington, D.C. 20544