

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                        |                                                                                                                                                                                                                                                          |                                                              |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>MARRERO, VICTOR                                                                                                                   | <b>2. Court or Organization</b><br><br>U.S. DISTRICT COURT, S.D.N.Y.                                                                                                                                                                                     | <b>3. Date of Report</b><br><br>05/03/2006                   |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>U.S. DISTRICT JUDGE - ACTIVE                                               | <b>5a. Report Type (check appropriate type)</b><br><input type="checkbox"/> Nomination, <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br>01/01/2005<br>to<br>12/31/2005 |
| <b>7. Chambers or Office Address</b><br><br>U.S. DISTRICT COURT, SDNY<br>40 CENTRE STREET<br>NEW YORK, NEW YORK 10007                                                                                  | <b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b><br><br>Reviewing Officer _____ Date _____                         |                                                              |
| <b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page. |                                                                                                                                                                                                                                                          |                                                              |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

| <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|-----------------|------------------------------------|
| 1. Trustee      | New York Public Library            |
| 2.              |                                    |
| 3.              |                                    |
| 4.              |                                    |
| 5.              |                                    |

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U.S. DISTRICT COURT  
S.D.N.Y.

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☐ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u>                                                             |
|-------------|--------------------------------------------------------------------------------------|
| 1.          | New York Telephone Company pension for former Directors payable beginning at age 65. |
| 2.          |                                                                                      |
| 3.          |                                                                                      |

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Name of Person Reporting  
**MARRERO, VICTOR**

Date of Report  
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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

**A. Filer's Non-Investment Income**

☒ **NONE** *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------|
| 1.          |                        |                                        |
| 2.          |                        |                                        |
| 3.          |                        |                                        |
| 4.          |                        |                                        |
| 5.          |                        |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.  
(Dollar amount not required except for honoraria.)*

☐ **NONE** *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>     |
|-------------|----------------------------|
| 1. 2005     | Self-employed - consultant |
| 2.          |                            |
| 3.          |                            |
| 4.          |                            |
| 5.          |                            |

**IV. REIMBURSEMENTS** - *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*

☒ **NONE** *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> |
|---------------|--------------------|
| 1.            |                    |
| 2.            |                    |
| 3.            |                    |
| 4.            |                    |
| 5.            |                    |

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**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

☒ **NONE** *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*

☒ **NONE** *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

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## VII. INVESTMENTS and TRUSTS -- Income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure |                                 |                                |                                                                   |
|                                                                                                                             |                                         |                                              |                                                 |                                           |                                                           | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. FIDELITY ROLLOVER IRA: (see lines 2 through 5)                                                                           |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 2. Puritan                                                                                                                  | E                                       | Dividend                                     | N                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 3. Magellan                                                                                                                 | F                                       | Dividend                                     | N                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 4. Contrafund                                                                                                               | B                                       | Dividend                                     | L                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 5. Retirement Fund                                                                                                          | B                                       | Interest                                     | L                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 6. CITIBANK KEOGH ACCOUNT: (see lines 7 and 8)                                                                              |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 7. CD's                                                                                                                     | A                                       | Interest                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 8. Annuity                                                                                                                  | A                                       | Interest                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 9. PUTNAM RETIREMENT IRA: (see line 10)                                                                                     |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 10. Balanced Retirement C1-A                                                                                                | B                                       | Interest                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 11. SUN AMERICA IRA: (see lines 12 and 13)                                                                                  |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 12. SunAmerica Balanced                                                                                                     | B                                       | Dividend                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 13. SunAmerica Goldman Sachs                                                                                                | A                                       | Dividend                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 14. PUTNAM IRA: (see line 15)                                                                                               |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 15. Balanced Retirement C1-A                                                                                                | A                                       | Dividend                                     | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 16. FIDELITY ROLLOVER IRA: (see lines 17 through 22)                                                                        |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 17. Ameristock Fund                                                                                                         | A                                       | Dividend                                     | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |

|                                                  |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000<br>R = Cost (Real Estate Only)<br>V = Other | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000<br>T = Cash Market | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |

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Name of Person Reporting  
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Date of Report  
**05/03/2006**

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions)

☐ **NONE** (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                            | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|--------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure |                                 |                                |                                                                   |
|                                                                                                                             |                                         |                                            |                                                 |                                           |                                                           | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. Artisan Intn'l                                                                                                          | A                                       | Dividend                                   | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 19. FMI Fund                                                                                                                | A                                       | Dividend                                   | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 20. Cash Reserves                                                                                                           | A                                       | Interest                                   | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 21. Oakmark Equity                                                                                                          | A                                       | Dividend                                   | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 22. Royce Stock Fund                                                                                                        | A                                       | Dividend                                   | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 23. FIDELITY SEP IRA: (see lines 24<br>through 29)                                                                          |                                         |                                            |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 24. Baron Small Cap.                                                                                                        | A                                       | Interest                                   | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 25. Exelsior Value                                                                                                          | A                                       | Dividend                                   | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 26. Floating Rate                                                                                                           | B                                       | Dividend                                   | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 27. Cash Reserves                                                                                                           | A                                       | Interest                                   | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 28. Contrafund                                                                                                              | A                                       | Dividend                                   | J                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 29. Tweedy Brown Global                                                                                                     | A                                       | Dividend                                   | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 30. DREYFUS NY TAX EXEMPT FUND                                                                                              | D                                       | Dividend                                   | N                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 31. SCHWAB: ConEd - common stock                                                                                            | B                                       | Dividend                                   | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 32. NY STATE TUITION SAVINGS<br>PLAN                                                                                        | A                                       | Dividend                                   | K                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |
| 33. JPMORGAN CHASE MONEY<br>MARKET                                                                                          | A                                       | Interest                                   | L                                               | T                                         |                                                           |                               |                                 |                                |                                                                   |

|                                                  |                                                                       |                                                        |                                                               |                                                              |                         |
|--------------------------------------------------|-----------------------------------------------------------------------|--------------------------------------------------------|---------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000       | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000                     | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000    | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | P3 = \$250,000.001 - \$500,000.000<br>Q = Appraisal<br>U = Book Value | R = Cost (Real Estate Only)<br>V = Other               | P4 = More than \$5,000,000<br>S = Assessment<br>W = Estimated | T = Cash Market                                              |                         |

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|----------------------------------------------------|-------------------------------------|
| <b>Name of Person Reporting</b><br>MARRERO, VICTOR | <b>Date of Report</b><br>05/03/2006 |
|----------------------------------------------------|-------------------------------------|

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

3 May 2006

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544