

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WOOD, KIMBA M.	2. Court or Organization U.S. District Court, SDNY	3. Date of Report 5/11/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (senior)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 500 Pearl Street New York, N.Y. 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	New York City Ballet, Inc.
2. Board Member	CEELI Institute
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010*	Rockefeller Brothers Fund - Committee Fees
2. 2010*	Sonic Corp. - various Board & Committee Fees
3. 2010*	Vestar, Harding Service, Blackstone, Irving Place Capital - Fee Income
4. *(various)	_____

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. _____	_____	_____	_____	_____
2. _____	_____	_____	_____	_____
3. _____	_____	_____	_____	_____
4. _____	_____	_____	_____	_____
5. _____	_____	_____	_____	_____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Citibank	Line of Credit	P1
2.	Vestar	Loans	K
3.	Citibank	Rental Property Mortgage	N
4.	American Express	Credit Card	J
5.	ENM, Inc.	Escrow Account	None

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. See attached									
2.									
3.									
4.									
5.									
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
1 EXXON CORP COMMON STOCK	B	DIVIDEND	L	T					
2 CREST FUND LP (IRA/ROTH IRA) (SEE NOTE 1 IN SECTION VIII)	E	K-DISTR	P1	T					
3 GOLDMAN SACHS BANK DEPOSIT/CASH #1	A	INTEREST	K	T					
4 BLACKROCK INC COMMON STOCK	A	DIVIDEND	K	T					
5 DEVON ENERGY CORP COMMON STOCK	A	DIVIDEND			SOLD	5/7	J	A	
6 SONIC COMMON STOCK		NONE	K	T					
7 WALGREEN CO COMMON STOCK	A	DIVIDEND	K	T					
8 BIF TAX-EXEMPT FUND (FKA CMA TAX EXEMPT FUND - ML #1)	A	DIVIDEND	L	T					
<u>ADDITIONAL COMMON STOCKS/MUTUAL FNDS (LINES 9-80)</u>									
9 ABBOTT LABORATORIES	E	DIVIDEND	O	T	PARTIAL SALE	10/25	K	C	
10 ACCENTURE LTD	B	DIVIDEND	M	T					
11 AMERICAN EXPRESS	A	DIVIDEND	L	T	PURCHASE	10/4	L		
12 AMERICA MOVIL	E	DIVIDEND	P1	T	PARTIAL SALE	3/31	M	G	
13					PARTIAL SALE	10/21	L	F	
14 AMGEN		NONE	K	T	PURCHASE	1/21	K		
15 ANADARKO PETROLEUM CORP	A	DIVIDEND	L	T	PURCHASE	5/6	K		
16					PARTIAL SALE	5/28	J	A	
17					PARTIAL SALE	6/4	K	A	

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18					PARTIAL SALE	6/14	K		
19 FLY LEASING (FKA BABCOCK & BROWN)	C	DIVIDEND	L	T	PURCHASE	10/4	K		
20 BANK OF AMERICA	A	DIVIDEND			PARTIAL SALE	5/24	K	D	
21					SOLD	5/26	K	D	
22 BERKSHIRE HATHAWAY		NONE	N	T					
23 BHP BILLITON LTD		NONE			SOLD	2/1	L	D	
24 BLACKROCK INC	E	DIVIDEND	O	T	PARTIAL SALE	3/31	N	G	
25 BOEING		NONE			PURCHASE	8/27	K		
26					PARTIAL SALE	9/21	J	A	
27					SOLD	9/22	J	A	
28 CABOT OIL & GAS	A	DIVIDEND	M	T					
29 CARPENTER TECHNOLOGY	A	DIVIDEND	L	T					
30 CONOCOPHILLIPS	C	DIVIDEND	M	T					
31 CONSTELLATION BRANDS		NONE	M	T					
32 COVANTA HLDG CORP		NONE	L	T	PURCHASE	10/4	L		
33 DEVON ENERGY CORPORATION	D	DIVIDEND	O	T	PARTIAL SALE	3/31	N	B	
34 EQT CORP	A	DIVIDEND	K	T					
35 EVERCORE PARTNERS	A	DIVIDEND	J	T					
36 INTERNATIONAL BUSINESS MACHINES	C	DIVIDEND	M	T	PURCHASE	1/21	J		

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37 INTERNATIONAL PAPER CO	B	DIVIDEND	L	T					
38 JOHNSON & JOHNSON	E	DIVIDEND	P1	T	PARTIAL SALE	10/21	M	F	
39 KROGER COMPANY	B	DIVIDEND	L	T	PARTIAL SALE	5/11	K	B	
40					PARTIAL SALE	5/21	J	A	
41 MARKET VECTORS GOLD MINER ETF	C	DIVIDEND	N	T					
42 MCDONALDS	A	DIVIDEND	K	T					
43 OCCIDENTAL PETE CORP	C	DIVIDEND	M	T					
44 ORACLE CORP	A	DIVIDEND	M	T	PURCHASE	10/4	K		
45 PACKAGING CORP	B	DIVIDEND	L	T					
46 PEPSICO	E	DIVIDEND	O	T	PARTIAL SALE	10/21	L	D	
47 PFIZER INC	B	DIVIDEND	L	T	PURCHASE	10/4	K		
48					PURCHASE	10/25	K		
49 PIONEER NATURAL RESOURCES	A	DIVIDEND	N	T	PARTIAL SALE	6/17	J	C	
50					PARTIAL SALE	7/7	K	E	
51 ALTRIA GROUP - IRA ACCOUNT	B	DIVIDEND	K	T					
52 BLACKROCK INTL GRWTH & INC TR - IRA ACCOUNT		NONE	K	T	PURCHASE	12/28	K		
53 BRIDGEPOINT EDUCATION INC - IRA ACCOUNT		NONE	K	T	PURCHASE	12/28	K		
54 GUGGENHEIM BUILD AMER BONDS - IRA ACCOUNT		NONE	K	T	PURCHASE	12/27	K		

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55 KRAFT FOODS INC - IRA ACCOUNT	A	DIVIDEND	K	T					
56 PHILIP MORRIS - IRA ACCOUNT	C	DIVIDEND	L	T					
57 RALCORP HOLDINGS INC - IRA ACCOUNT	A	CIL	J	T					
58 VANGUARD DIVIDEND APPRECIATION - IRA ACCOUNT		NONE	K	T	PURCHASE	12/28	K		
59 REINSURANCE GROUP OF AMERICA	B	DIVIDEND	L	T	PARTIAL SALE	9/7	K	D	
60 SMITHTOWN BANCORP		NONE			TENDERED SHARES (SEE LINE 61 BELOW)	12/24	K		
61 PEOPLES UNITED FINANCIAL INC		NONE			RECEIVED AS PART OF TENDER (SEE LINE 60 ABOVE)	12/24	J		
62					SOLD	12/27	J		
63 SONIC CORP		NONE	P2	T	OPTION EXERCISE	1/8	L		
64 TRANSOCEAN LTD		NONE			PURCHASE	3/26	L		
65					SOLD	4/6	L	C	
66 THE TRAVELERS COS	C	DIVIDEND	M	T					
67 UNILEVER	C	DIVIDEND	L	T	PARTIAL SALE	9/30	K	C	
68					PARTIAL SALE	10/1	K	C	
69					PARTIAL SALE	11/9	J	C	
70 UNITED CONTINENTAL HL		NONE	L	T	PURCHASE	10/4	L		
71 UNITED PARCEL SERVICE	A	DIVIDEND	L	T	PURCHASE	10/4	L		
72 UNUM GROUP	B	DIVIDEND	M	T					

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73 VIACOM	A	DIVIDEND	L	T	PURCHASE	10/4	L		
74 WALGREEN CO	D	DIVIDEND	O	T	PURCHASE	6/21	N		
75 WAL MART STORES	E	DIVIDEND	P1	T	DONATED SOME SHARES (SEE LINE 125 BELOW)	4/12	L	E	
76					PURCHASE	10/4	K		
77 WILLIS GROUP HOLDINGS	B	DIVIDEND			PARTIAL SALE	1/21	J	B	
78					PARTIAL SALE	5/28	K	D	
79					SOLD	6/23	K	D	
80 XEROX	B	DIVIDEND	M	T					
<u>MASTER LIMITED PARTNERSHIPS (LINES 81-100)</u>									
81 EAGLE ROCK ENERGY PTNRS (SEE NOTE 1 IN SECTION VIII)		NONE	M	T	PURCHASE	6/17	L		
82					DISTRIBUTION	8/13	J		
83					DISTRIBUTION	11/12	J		
84 ENERGYTRANSEQTY LP (SEE NOTE 1 IN SECTION VIII)		NONE	K	T	PURCHASE	5/5	K		
85					DISTRIBUTION	8/19	J		
86					DISTRIBUTION	11/19	J		
87 ENTERPRISE GP HOLDINGS/AKA ENTERPRISE PRODUCTS (SEE NOTE 1 IN SECTION VIII)		NONE	K	T	PURCHASE	5/5	K		
88					DISTRIBUTION	8/6	J		
89					DISTRIBUTION	11/9	J		

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90 MAGELLAN MIDSTREAM PARTNERS LP (SEE NOTE 1 IN SECTION VIII)		NONE	K	T	PURCHASE	5/5	K		
91					DISTRIBUTION	8/13	J		
92					DISTRIBUTION	11/12	J		
93 MARKWEST ENERGY PARTNERS LP (SEE NOTE 1 IN SECTION VIII)		NONE	K	T	PURCHASE	5/5	K		
94					DISTRIBUTION	8/13	J		
95					DISTRIBUTION	11/12	J		
96 REGENCY ENERGY PTNRS LP (SEE NOTE 1 IN SECTION VIII)		NONE	M	T	PURCHASE	5/5	K		
97					PURCHASE	8/11	L		
98					DISTRIBUTION	8/13	J		
99					DISTRIBUTION	11/12	J		
100 WESTERN GAS PARTNERS (SEE NOTE 1 IN SECTION VIII)		NONE	K	T	PURCHASE	11/11	K		
<u>CASH/ S/T INVESTMENTS/MONEY MARKET ACCOUNTS (LINES 101-120):</u>									
101 JPMORGAN MONEY MKT #1	A	DIVIDEND			ACCOUNT CLOSED	11/24	K		
102 GOLDMAN SACHS FINANCIAL SQUARE MM/CASH	A	INT/DIV	L	T					
103 GOLDMAN SACHS BANK DEPOSIT/CASH #2	A	INTEREST	J	T					
104 CITIBANK CHECKING ACCOUNT - FOUNDATION		NONE	J	T					
105 CITIBANK INTEREST CHECKING ACCOUNT #1	A	INTEREST	J	T					
106 MORGAN STANLEY BANK/TRUST DEPOSITS #1 (FKA MORGAN STANLEY BANK/TRUST DEPOSITS)	A	INTEREST	N	T					

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107 CITIBANK CHECKING ACCOUNT		NONE	L	T					
108 CITIBANK ASSET ACCOUNT		NONE	K	T					
109 CITIBANK MONEY MARKET PLUS	D	INTEREST	P1	T					
110 CITIBANK INTEREST CHECKING ACCOUNT # 2	A	INTEREST	J	T					
111 STATE STREET INST LIQUID RESERVES MM/CASH	A	DIVIDEND	L	T					
112 BARCLAYS CASH (SEE NOTE 16 IN SECTION VIII)	A	INTEREST	J	T					
113 BLACKROCK MONEY MARKET/PNC CASH	A	DIVIDEND			ACCOUNT CLOSED	1/29	J		
114 UBS BANK USA DEPOSIT ACCOUNT	A	INTEREST	K	T					
115 WESTERN ASSET/CITI INST US TREASURY MONEY FUND	A	INTEREST			ACCOUNT CLOSED	2/1	P1		
116 CGMI BANK DEPOSIT PROGRAM	D	INTEREST	P1	T	ACCOUNT OPENED	2/1	P1		
117 MORGAN STANLEY BANK/TRUST DEPOSITS #2	A	INTEREST	L	T	ACCOUNT OPENED	5/5	L		
118 MORGAN STANLEY BANK/TRUST DEPOSITS #3	A	INTEREST	M	T	ACCOUNT OPENED	12/22	M		
119 MORGAN STANLEY BANK/TRUST DEPOSITS #4	A	INTEREST	J	T	ACCOUNT OPENED	11/24	K		
120 OCA WESLEY MORTGAGE REIT	F	K-1 DISTR/ INTEREST	P1	T	ACCOUNT OPENED	7/29	O		
<u>FOUNDATION INVESTMENTS (LINES 121-295)</u>									
<u>FOUNDATION BROKERAGE ACCOUNT #1 (LINES 121-126)</u>									
<u>COMMON STOCKS</u>									
121 ABBOTT LABORATORIES		NONE			SOLD	1/4	L	A	
122 DYERSBURG CORP		NONE			DEEMED WORTHLESS	7/1			
123 WAL MART STORES INC		NONE			PARTIAL SALE	1/15	L		

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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124					SOLD	3/4	M	A	
125					RECEIVED DONATED SHARES (SEE LINE 75 ABOVE)	4/12	L		
126					SOLD	4/12	L		
<u>FOUNDATION BROKERAGE ACCOUNT #2</u>									
127 GOLDMAN SACHS BANK DEPOSIT/CASH #3		NONE			ACCOUNT CLOSED	1/12	J		
<u>FOUNDATION BROKERAGE ACCOUNT #3</u>									
128 SPRUCEGROVE NON US EQUITY LLC/CASH ACCOUNT		NONE			ACCOUNT CLOSED	1/5	J		
<u>FOUNDATION BROKERAGE ACCOUNT #4 (LINES 129-295)</u>									
129 MORGAN STANLEY BANK/TRUST #1 (CASH/MM)	A	INTEREST	J	T					
130 MORGAN STANLEY BANK/TRUST #2 (CASH/MM)	A	INTEREST	L	T					
<u>COMMON STOCKS/FUNDS (LINES 131- 280.)</u>									
131 AERCAP HOLDINGS N.V.		NONE	L	T	PURCHASE	10/29	L		
132 AFLAC INC	A	DIVIDEND			PURCHASE	2/17	J		
133					SOLD	8/3	J	A	
134 AMERICAN ELECTRIC POWER CO	A	DIVIDEND			PURCHASE	2/17	J		
135					SOLD	5/26	J		
136 ANADARKO PETE	A	DIVIDEND			PURCHASE	6/3	K		
137					SOLD	10/29	L	E	
138 APOLLO INVESTMENT CORP	B	DIVIDEND			PURCHASE	8/5	K		
139					SOLD	12/22	K	D	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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140 AUTOMATIC DATA PROCESSING INC	A	DIVIDEND			PURCHASE	2/17	J		
141					SOLD	5/26	J		
142 BANK OF AMERICA	A	DIVIDEND	K	T	PURCHASE	2/17	J		
143					PURCHASE	3/3	K		
144 BLACKROCK INTL GRWTH & INC TR	C	DIVIDEND	L	T	PURCHASE	8/3	K		
145					PURCHASE	10/19	K		
146 BRIDGEPOINT EDUCATION INC		NONE	L	T	PURCHASE	11/15	L		
147					PURCHASE	11/18	K		
148 CDC SOFTWARE CORP		NONE	K	T	PURCHASE	4/8	K		
149 CENTURYTEL INC	A	DIVIDEND			PURCHASE	2/17	J		
150					SOLD	5/14	J		
151 CHEVRON CORP	A	DIVIDEND			PURCHASE	2/17	J		
152					SOLD	5/26	J	A	
153 CIT GROUP		NONE	L	T	PURCHASE	3/3	K		
154 CONOCOPHILLIPS	A	DIVIDEND			PURCHASE	2/17	J		
155					SOLD	5/14	J	A	
156 DANAHER CORP	A	DIVIDEND	K	T	PURCHASE	5/26	K		
157 DEVON ENERGY CORP	A	DIVIDEND	K	T	PURCHASE	10/20	K		

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158 DEVRY INC		NONE			PURCHASE	10/15	K		
159					PURCHASE	10/20	J		
160					PARTIAL SALE	10/29	K	C	
161					SOLD	11/1	K	B	
162 ELI LILLY & CO	A	DIVIDEND			PURCHASE	2/17	J		
163					SOLD	5/14	J		
164 EMERG MKT ENERGY TITANS INDX		NONE	K	T	PURCHASE	1/20	K		
165					PARTIAL SALE	12/8	K	B	
166 EMERG MKT METAL & MINING TITAN		NONE	K	T	PURCHASE	1/20	K		
167					PARTIAL SALE	12/8	K	B	
168 ENERGY SEL SECT SPDR FUND	A	DIVIDEND			SOLD	6/3	K		
169 ENTERGY CORP	A	DIVIDEND			PURCHASE	2/17	J		
170					SOLD	5/26	J		
171 GOLDMAN SACHS GRP INC	A	DIVIDEND			PURCHASE	4/20	K		
172					PARTIAL SALE	8/24	K		
173					SOLD	11/17	K	A	
174 GUGGENHEIM BUILD AMER BONDS		NONE	K	T	PURCHASE	12/17	K		
175 HALLIBURTON CO	A	DIVIDEND	K	T	PURCHASE	6/3	K		
176 HEALTH CARE SEL SECT SPDR FD	A	DIVIDEND			PURCHASE	1/20	K		

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
177					PARTIAL SALE	5/26	J		
178					SOLD	7/19	K		
179 HJ HEINZ CO	A	DIVIDEND			PURCHASE	2/17	J		
180					SOLD	10/15	J	A	
181 HONEYWELL INTERNATIONAL INC	A	DIVIDEND	K	T	PURCHASE	2/17	J		
182					PURCHASE	5/26	K		
183 INTERNATIONAL BUSINESS MACHINES CORP	A	DIVIDEND	K	T	PURCHASE	5/14	K		
184 ISHARES BARCLAYS TIPS BD FUND	A	DIVIDEND			SOLD	8/24	K	A	
185 ISHARES DJ US HEALTH INDEX		NONE			SOLD	3/5	K	C	
186 ISHARES IBOX \$ H/Y CORP BND	D	DIVIDEND	M	T	PARTIAL SALE	11/19	K	B	
187 ISHARES JP MORGAN EM BOND FUND	C	DIVIDEND	K	T	PARTIAL SALE	11/19	J	A	
188 ISHARES MSCI CANADA INDEX FUND	A	DIVIDEND	K	T					
189 ISHARES MSCI PAC EX-JPN INDEX	B	DIVIDEND	K	T					
190 ISHARES S&P 500 GROWTH INDEX	A	DIVIDEND	K	T	PARTIAL SALE	5/26	K		
191 ISHARES S&P 500 VALUE INDEX	A	DIVIDEND	K	T					
192 ISHARES S&P MIDCAP 400 INDEX	A	DIVIDEND	K	T					
193 ISHARES SP SMALL CAP 600 INDEX	A	DIVIDEND	K	T	PURCHASE	5/27	J		
194 ISHARES TR MSCI SMALL CAP	A	DIVIDEND	K	T					

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
195 JOHNSON & JOHNSON	A	DIVIDEND			PURCHASE	2/17	J		
196					SOLD	8/3	J		
197 JPMORGAN CHASE	A	DIVIDEND	K	T	PURCHASE	3/3	K		
198 KIMBERLY CLARK CORP	A	DIVIDEND			PURCHASE	2/17	J		
199					SOLD	5/14	J	A	
200 KRAFT FOODS INC	A	DIVIDEND	K	T	PURCHASE	5/27	K		
201 LOCKHEED MARTIN CORP	A	DIVIDEND			PURCHASE	2/17	J		
202					SOLD	5/14	J	A	
203 LORILLARD INC	A	DIVIDEND			PURCHASE	2/17	J		
204					SOLD	5/14	J	A	
205 M&T BANK CONTINGENT NOTES PREFERRED STOCK		NONE			PURCHASE	10/7	L		
206					PARTIAL SALE	11/1	K	A	
207					SOLD	11/8	K	C	
208 MARKET VECTORS AGRIBUS ETF		NONE			SOLD	6/3	K		
209 MARKET VECTORS BRAZL SM-CAP	B	DIVIDEND	K	T	PURCHASE	11/17	K		
210 MARKET VECTORS RUSSIA ETF RUSS	A	DIVIDEND	K	T	PURCHASE	1/20	K		
211					PARTIAL SALE	5/26	K		
212 MASTERCARD	A	DIVIDEND	K	T	PURCHASE	5/26	K		
213 MCDONALDS CORP	A	DIVIDEND			PURCHASE	2/17	J		

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
214					SOLD	8/3	J	A	
215 MERCK & CO INC	A	DIVIDEND			PURCHASE	2/17	J		
216					SOLD	5/26	J		
217 METLIFE INC	A	DIVIDEND	L	T	PURCHASE	2/17	J		
218					PURCHASE	3/3	K		
219 NFJ DIVIDEND INT & PRE STRGY	B	DIVIDEND	K	T	PURCHASE	2/17	L		
220					PURCHASE	5/26	K		
221					PARTIAL SALE	12/28	K	D	
222 NORFOLK SOUTHERN CORP	A	DIVIDEND			PURCHASE	2/17	J		
223					SOLD	10/15	J	B	
224 NUVEEN BUILD AMERICAN BOND FD	B	DIVIDEND	L	T	PURCHASE	5/27	K		
225					PURCHASE	11/19	K		
226					PURCHASE	12/9	K		
227 ORACLE CORP	A	DIVIDEND	L	T	PURCHASE	5/27	K		
228 PEPSICO INC	A	DIVIDEND			PURCHASE	2/17	J		
229					SOLD	5/26	J	A	
230 PFIZER INC	A	DIVIDEND	K	T	PURCHASE	2/17	J		
231					PURCHASE	5/26	K		

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	(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
232 PHILIP MORRIS INTL INC	A	DIVIDEND			PURCHASE	2/17	J		
233					SOLD	8/3	J	A	
234 POWERSHARES DB COMM TRK INC		NONE			SOLD	8/5	K		
235 POWERSHARES DB US \$ IND BULL FD ETF		NONE			PURCHASE	1/20	M		
236					PARTIAL SALE	5/26	L	D	
237					PARTIAL SALE	5/27	K	B	
238					SOLD	7/19	K	B	
239 POWERSHARES S&P 500 BUYWRITE		NONE			SOLD	2/17	L		
240 PRUDENTIAL FINANCIAL INC	A	DIVIDEND	K	T	PURCHASE	3/3	K		
241 SCHLUMBERGER LTD	A	DIVIDEND	L	T	PURCHASE	11/4	K		
242 SEADRILL LTD	C	DIVIDEND	K	T	PURCHASE	5/3	K		
243					PURCHASE	5/27	K		
244					PARTIAL SALE	12/15	K	D	
245 SOLAR CAPITAL LTD	C	DIVIDEND	L	T	PURCHASE	8/24	L		
246					PARTIAL SALE	12/8	K	D	
247 SPDR KBW BANK ETF	A	DIVIDEND	L	T	PURCHASE	11/5	K		
248 SPDR S&P DIVIDEND	B	DIVIDEND	L	T					
249 SPDR S&P EMERGING ASIA PACIF	A	DIVIDEND	K	T	PURCHASE	11/17	K		
250 SPDR S&P MIDCAP 400 ETF TRUST	A	DIVIDEND	K	T	PURCHASE	5/27	K		

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
251 SPDR S&P INTL ENERGY SECTOR	A	DIVIDEND			SOLD	10/15	K		
252 STARWOOD PROPERTY TRUST INC	B	DIVIDEND	L	T	PURCHASE	7/19	L		
253 SYSCO CORP	A	DIVIDEND			PURCHASE	2/17	J		
254					SOLD	5/26	J	A	
255 TAIWAN SMCNDCTR MFG CO LTD		NONE			PURCHASE	2/17	J		
256					SOLD	5/26	J		
257 TORONTO DOM BK	A	DIVIDEND			PURCHASE	2/17	J		
258					SOLD	5/26	J	A	
259 TOTAL FINA ELF SA	A	DIVIDEND			PURCHASE	2/17	J		
260					SOLD	8/3	J		
261 UTILITIES SEL SECT SPDR FUND	B	DIVIDEND			PARTIAL SALE	5/26	J		
262					SOLD	11/17	K	A	
263 VANGUARD EMRG MKTS ETF	C	DIVIDEND	M	T	PURCHASE	1/20	J		
264					PURCHASE	5/27	K		
265 VANGUARD EUROPEAN ETF	B	DIVIDEND	K	T					
266 VISA INC	A	DIVIDEND	K	T	PURCHASE	5/26	K		
267 VISTEON CORP		NONE	K	T	PURCHASE	12/22	K		
268 VODAFONE GP PLC		NONE			PURCHASE	2/17	J		

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	AMT CODE (A - H)	TYPE	VALUE CODE (J - P)	VALUE METHOD (Q - W)	TYPE	DATE	VALUE CODE (J - P)	GAIN CODE (A - H)	IDENTITY (IF PRIVATE)
269					SOLD	4/13	J	A	
270 WELLS FARGO & CO		NONE	K	T	PURCHASE	12/8	K		
271 WESTAR ENERGY INC	A	DIVIDEND			PURCHASE	2/17	J		
272					SOLD	5/26	J	A	
273 WILLIAMS CO INC	A	DIVIDEND			PURCHASE	2/17	J		
274					PURCHASE	5/26	K		
275					SOLD	10/20	K	B	
276 YUM BRANDS	A	DIVIDEND			PURCHASE	2/17	J		
277					SOLD	10/15	J	C	
278 PUTNAM DIVERSIFIED INC TR A	D	DIVIDEND			PARTIAL SALE	8/24	J		
279					PARTIAL SALE	8/25	K		
280					SOLD	9/21	L		
<u>CALLS (LINES 281-295)(SEE NOTE 2 IN SECTION VIII)</u>									
281 ANADARKO PETROLEUM					PARTIAL SHORT SALE	10/13	J		
282					SOLD SHORT	10/20	J		
283					PURCHASE	10/29	J		
284 DEVRY INC					SOLD SHORT	10/19	J		
285					PURCHASE	10/29	J		
286 HALLIBURTON CO					SOLD SHORT	10/20	J		

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	(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
287 HONEYWELL INTERNATIONAL					SOLD SHORT	10/20	J		
288 KRAFT FOODS					SOLD SHORT	10/20	J		
289 MASTERCARD INC					SOLD SHORT	10/20	J		
290 MET LIFE INC					SOLD SHORT	10/20	J		
291 JP MORGAN CHASE & CO					SOLD SHORT	10/20	J		
292 ORACLE CORP					SOLD SHORT	10/20	J		
293 PFIZER INC					SOLD SHORT	10/20	J		
294 SEADRILL LTD					SOLD SHORT	10/20	J		
295					OPTIONS ASSIGNED (SEE LINE 244 ABOVE)	12/15			
<u>INVESTMENTS IN NON-PUBLIC COMPANIES (LINES 296-414)(SEE NOTES 3, 5 & 7 IN SECTION VIII)</u>									
296 ARIZONA SNOWBOWL (AKA SUNWEST HOLDINGS) (SEE NOTE 1 IN SECTION VIII)	E	K-1 DISTR	N	W	DISTRIBUTION	3/23	K		
297 ENTERTAINMENT ACQUISITION (SEE NOTES 1 & 4 IN SECTION VIII)	D	K-1 DISTR							
298 COSMOS PARTNERS (SEE NOTES 1 & 11 IN SECTION VIII)		NONE			FINAL DISTRIBUTION	12/14	J		
299 TAMPA BAY RAYS (SEE NOTES 1 & 14 IN SECTION VIII)		NONE	N	W					
300 FRESH DIRECT		NONE	O	W					
301 HARDING SERVICE, LLC (SEE NOTES 1, 4 & 14 IN SECTION VIII)	A	K-1 DISTR							

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	AMT CODE (A - H)	TYPE	VALUE CODE (J - P)	VALUE METHOD (Q - W)	TYPE	DATE	VALUE CODE (J - P)	GAIN CODE (A - H)	IDENTITY (IF PRIVATE)
302 OFFIT CAPITAL ADVISORS		NONE	N	W					
303 OPUS MEDIA PARTNERS (SEE NOTE 9 IN SECTION VIII)		NONE							
304 UNIVERSAL HOSPITAL SERVICES HOLDING CO		NONE	M	W					
305 IRVING PLACE CAPITAL II (SEE NOTES 9 & 14 IN SECTION VIII)	G	K-1 DISTR/ DIVIDEND	M	W	DISTRIBUTION	5/13	J		
306					DISTRIBUTION	6/9	M		
307					DISTRIBUTION	12/16	M		
308 IRVING PLACE CAPITAL III (SEE NOTES 9 & 14 IN SECTION VIII)	E	K-1 DISTR/ DIV/INT	P1	W	ADDITIONAL INVESTMENT	10/1	N		
309					DEEMED DISTRIBUTION	11/10	K		
310					ADDITIONAL INVESTMENT	12/15	M		
311 BLACKSTONE REAL ESTATE PARTNERS (SEE NOTES 9 & 14 IN SECTION VIII)	G	K-1 DISTR	P1	W	ADDITIONAL INVESTMENT	3/15	J		
312					ADDITIONAL INVESTMENT	3/29	L		
313					ADDITIONAL INVESTMENT	4/5	L		
314					ADDITIONAL INVESTMENT	4/28	M		
315					ADDITIONAL INVESTMENT	8/23	K		
316					DISTRIBUTION	8/23	J		
317					ADDITIONAL INVESTMENT	9/3	L		
318					ADDITIONAL INVESTMENT	10/4	M		
319					ADDITIONAL INVESTMENT	10/29	N		

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	AMT CODE (A - H)	TYPE	VALUE CODE (J - P)	VALUE METHOD (Q - W)	TYPE	DATE	VALUE CODE (J - P)	GAIN CODE (A - H)	IDENTITY (IF PRIVATE)
320					ADDITIONAL INVESTMENT	11/17	M		
321					ADDITIONAL INVESTMENT	12/15	L		
322 CENTERVIEW CAPITAL (SEE NOTE 10 IN SECTION VIII)	A	K-1 DISTR							
323 NIELSEN COMPANY (X) (SEE NOTE 15 IN SECTION VIII)		NONE	M	W					
324 RICHELIEU FOODS, INC (SEE NOTE 15 IN SECTION VIII)		NONE	N	W	INITIAL INVESTMENT	11/9	N		
325 BIRDS EYE FOODS (SEE NOTES 12 & 15 IN SECTION VIII)		NONE			DEEMED DISTRIBUTION	12/23	J	A	
326 DYNA VOX (SEE NOTE 15 IN SECTION VIII)		NONE	O	W	PARTIAL SALE	5/27	N	G	
327					PARTIAL SALE	5/28	J		
328					DEEMED DISTRIBUTION	12/23	J		
329 VESTAR CAPITAL PARTNERS/ASSOC. III (SEE NOTES 10 & 14 IN SECTION VIII)		NONE							
330 VESTAR CAPITAL PARTNERS/ASSOC. IV & AIV (SEE NOTES 10 & 14 IN SECTION VIII)	E	DIV/INT							
331 VESTAR CAPITAL PARTNERS/ASSOC V (SEE NOTE 10 IN SECTION VIII)	D	K-1 DISTR							
332 CONSOLIDATED CONTAINER (SEE NOTE 15 IN SECTION VIII)		NONE	P1	W					
333 ST JOHNS KNITS (SEE NOTE 15 IN SECTION VIII)		NONE	O	W					

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
334 ARGO TECH (SEE NOTE 15 IN SECTION VIII)		NONE	K	W					
335 AZ ELECTRONIC MATERIALS (SEE NOTE 15 IN SECTION VIII)	E	DIV/INT	M	W	RETURN OF CAPITAL	11/19	L		
336 BORDER MEDIA (X) (SEE NOTE 15 IN SECTION VIII)		NONE	J	W					
337 CCS INCOME TRUST (SEE NOTE 15 IN SECTION VIII)		NONE	L	W					
338 CESARE FIORUCCI (SEE NOTE 15 IN SECTION VIII)		NONE	M	W					
339 DUFF & PHELPS (SEE NOTE 15 IN SECTION VIII)		NONE	O	W	DEEMED DISTRIBUTION	12/23	J		
340 ESSENT HEALTHCARE (SEE NOTE 15 IN SECTION VIII)		NONE	O	W					
341 GLEASON CORP (SEE NOTE 15 IN SECTION VIII)		NONE	M	W					
342 HEALTHGRADES (SEE NOTE 15 IN SECTION VIII)		NONE	M	W	INITIAL INVESTMENT	9/3	M		
343 INHEALTH (SEE NOTE 15 IN SECTION VIII)		NONE	J	W	INITIAL INVESTMENT	7/22	J		
344 THE SUN PRODUCTS CORP (SEE NOTE 15 IN SECTION VIII)		NONE	O	W					
345 MEDI-MEDIA (SEE NOTE 15 IN SECTION VIII)		NONE	M	W					

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
346 NATIONAL MENTOR HOLDINGS (SEE NOTE 15 IN SECTION VIII)		NONE	L	W					
347 OGF GROUP (SEE NOTE 15 IN SECTION VIII)		NONE	N	W					
348 PARTNER RE HOLDINGS (FKA PARIS RE) (SEE NOTE 15 IN SECTION VIII)	D	DIV/INT	L	W	RETURN OF CAPITAL	11/19	K		
349					RETURN OF CAPITAL	12/23	K		
350 PRESS GANEY (SEE NOTE 15 IN SECTION VIII)	D	DIV/INT	N	W	RETURN OF CAPITAL	11/19	L		
351 RADIATION THERAPY (SEE NOTE 15 IN SECTION VIII)		NONE	N	W					
352 SEVES SPA (SEE NOTE 15 IN SECTION VIII)		NONE	K	W	RETURN OF CAPITAL	1/4	J		
353 SOLO CUP COMPANY (SEE NOTE 15 IN SECTION VIII)		NONE	P1	W					
354 SUNRISE MEDICAL/JOERNS HEALTHCARE (SEE NOTE 15 IN SECTION VIII)		NONE	P1	W	SOLD JOERNS HEALTHCARE	8/24	N	G	Quad-C
355					ADDITIONAL INVESTMENT	8/24	K		Mgmt
356					ADDITIONAL INVESTMENT	8/25	J		
357					DEEMED ADDL INVESTMENT	3Q	K		
358					DEEMED ADDL INVESTMENT	12/23	K		
359 SYMETRA FINANCIAL (SEE NOTE 15 IN SECTION VIII)		NONE	N	W					
360 VALIDUS HOLDINGS (SEE NOTE 15 IN SECTION VIII)	E	DIVIDEND	P1	W	RETURN OF CAPITAL	12/24	M		

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
361 WILTON RE HOLDINGS (SEE NOTE 15 IN SECTION VIII)		NONE	P1	W					
362 ENTERPRISE NEWSMEDIA (SEE NOTE 12 IN SECTION VIII)		NONE			FINAL ESCROW DISTRIBUTION	12/27	L		
363 FLAG VENTURE PARTNERS (SEE NOTES 9 & 14 IN SECTION VIII)	G	K-1 DISTR/ DIV	P1	W	DISTRIBUTION	2/16	L		
364					DISTRIBUTION	3/29	K		
365					DISTRIBUTION	5/5	K		
366					DISTRIBUTION	7/30	K		
367					DISTRIBUTION	9/30	L		
368					DISTRIBUTION	10/14	K		
369					DISTRIBUTION	11/10	L		
370					DISTRIBUTION	12/23	K		
371 RED ABBEY (SEE NOTE 9 IN SECTION VIII)		NONE	N	W	DEEMED CONTRIBUTION	1Q	J		
372					DEEMED DISTRIBUTION	1Q	J		
373 SENTINEL CAPITAL PARTNERS/SENTINEL PARTNERS(II) (SEE NOTES 10 & 14 IN SECTION VIII)	A	K-1 DISTR							
374 SENTINEL CAPITAL PARTNERS (III) (SEE NOTE 10 IN SECTION VIII)	G	K-1 DISTR							
375 SENTINEL CAPITAL PARTNERS (IV) (SEE NOTES 10 & 14 IN SECTION VIII)	G	K-1 DISTR							
376 CREDIT INFONET HOLDINGS		NONE	N	W					

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	(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
(SEE NOTE 15 IN SECTION VIII)									
377 ENGINEERED CONTROLS (SEE NOTE 15 IN SECTION VIII)		NONE	O	W	INITIAL INVESTMENT	8/24	N		
378					DEEMED ADDL INVESTMENT	1Q	J		
379					DEEMED ADDL INVESTMENT	2Q	K		
380					DEEMED ADDL INVESTMENT	3Q	J		
381					ADDITIONAL INVESTMENT	10/19	N		
382 INSCAPE (SEE NOTE 15 IN SECTION VIII)		NONE	O	W					
383 INTERIM HEALTHCARE (SEE NOTE 15 IN SECTION VIII)		NONE	O	W					
384 LTI FLEXIBLE PRODUCTS (SEE NOTE 15 IN SECTION VIII)		NONE	N	W					
385 MADILL CORP (SEE NOTES 8 & 15 IN SECTION VIII)		NONE							
386 MESSAGE ENVY (SEE NOTE 15 IN SECTION VIII)		NONE	N	W	INITIAL INVESTMENT	3/3	N		
387 MIDWEST WHOLESALE HARDWARE (SEE NOTE 15 IN SECTION VIII)		NONE	J	W					
388 NORTH AMERICAN RESCUE HOLDINGS (SEE NOTE 15 IN SECTION VIII)		NONE	M	W	DEEMED DISTRIBUTION	1Q	J		
389					DEEMED DISTRIBUTION	2Q	K		
390					DEEMED DISTRIBUTION	3Q	J		
391 PRECISION PIPELINE SOLUTIONS (SEE NOTE 15 IN SECTION VIII)		NONE	K	W					

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
392 REACHOUT HEALTHCARE (SEE NOTE 15 IN SECTION VIII)		NONE			SOLD	12/30	P1	G	MORGAN ST CAPITAL
393 SOUTHERN CALIFORNIA PIZZA CO (SEE NOTE 15 IN SECTION VIII)	E	INTEREST	O	W	DISTRIBUTION	12/30	M		
394 SPINRITE (SEE NOTE 15 IN SECTION VIII)		NONE	N	W					
395 STRATEGIC PARTNERS (SEE NOTE 15 IN SECTION VIII)		NONE			SOLD	8/24	P1	H1	BANK OF AMER ML CAPITAL
396 TRINITY CONSULTANTS HOLDINGS (SEE NOTE 15 IN SECTION VIII)	D	INTEREST	N	W					
397 TRUSSBILT HOLDINGS (SEE NOTE 15 IN SECTION VIII)		NONE	M	W	DEEMED DISTRIBUTION	2Q	J		
398 VINTAGE HOLDINGS (SEE NOTE 15 IN SECTION VIII)		NONE	O	W	DISTRIBUTION	12/30	O	G	
399 SCIENS CAPITAL PARTNERS (SEE NOTE 9 IN SECTION VIII)		NONE	L	W					
400 NORTHERN HELLENIC HOLDINGS LLC (SEE NOTES 6, 9 & 14 IN SECTION VIII)		NONE	N	V					
401 PARK AVENUE PARTNERS (SEE NOTES 9 & 14 IN SECTION VIII)	G	K-1 DISTR	P1	W	DISTRIBUTION	2/19	J		
402					DISTRIBUTION	5/18	M		
403					ADDITIONAL INVESTMENT	5/18	J		
404					DISTRIBUTION	8/24	M		
405 QUINTANA ENERGY PARTNERS		NONE	O	W	DISTRIBUTION	8/26	J		

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
(SEE NOTES 9 & 14 IN SECTION VIII)									
406					ADDITIONAL INVESTMENT	9/30	J		
407					DISTRIBUTION	9/30	K		
408 NB CO-INVESTMENT PARTNERS LP/CAYMAN AIV LP (SEE NOTES 9 & 14 IN SECTION VIII)	G	K-1 DISTR/ DIV/INT	P1	W	DEEMED CAPITAL CALL	1Q	K		
409					DEEMED DISTRIBUTION	1Q	K		
410					ADDITIONAL INVESTMENT	5/28	K		
411					ADDITIONAL INVESTMENT	11/9	L		
412					DISTRIBUTION	11/9	J		
413					DISTRIBUTION	11/19	M		
414					ADDITIONAL INVESTMENT	12/30	K		
<u>OTHER INVESTMENTS/NOTES RECEIVABLE (LINES 415-444)</u>									
415 CHESAPEAKE PARTNERS (SEE NOTE 1 IN SECTION VIII)	F	K-1 DISTR	P1	U					
416 FARALLON CAPITAL PARTNERS (SEE NOTES 1 & 14 IN SECTION VIII)	E	K-1 DISTR	P1	U	PARTIAL WITHDRAWAL	3/12	J		
417					PARTIAL WITHDRAWAL	6/2	M		
418					PARTIAL WITHDRAWAL	6/29	K		
419					PARTIAL WITHDRAWAL	8/27	L		
420					PARTIAL WITHDRAWAL	10/26	J		
421					PARTIAL WITHDRAWAL	11/16	K		
422 AXIOM INTERNATIONAL INVESTORS LLC (SEE NOTE 1 IN SECTION VIII)		NONE	P1	U					

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	AMT CODE (A - H)	TYPE	VALUE CODE (J - P)	VALUE METHOD (Q - W)	TYPE	DATE	VALUE CODE (J - P)	GAIN CODE (A - H)	IDENTITY (IF PRIVATE)
423 THE BLENHEIM FUND LLC (SEE NOTE 1 IN SECTION VIII)	G	K-1 DISTR	P2	U					
424 BREVAN HOWARD, LP (SEE NOTE 1 IN SECTION VIII)	F	K-1 DISTR	P1	U					
425 PAULSON ADVANTAGE, LP (SEE NOTE 1 IN SECTION VIII)	E	K-1 DISTR	P1	U					
426 SABRETOOTH ONSHORE FUND (SEE NOTE 1 IN SECTION VIII)	E	K-1 DISTR	N	U					
427 TOWLE CAPITAL PARTNERS (SEE NOTE 1 IN SECTION VIII)		NONE			PARTIAL WITHDRAWAL	1/14	O		
428					WITHDREW	3/29	K		
429 WHALE ROCK FLAGSHIP FUND (SEE NOTE 1 IN SECTION VIII)	G	K-1 DISTR	P1	U					
430 F.E. RICHARDSON & CO (SEE NOTES 1 & 13 IN SECTION VIII)		NONE	L	V	ADDITIONAL INVESTMENT	1/25	L		
431					ADDITIONAL INVESTMENT	2/5	L		
432					ADDITIONAL INVESTMENT	2/26	K		
433					ADDITIONAL INVESTMENT	3/22	K		
434					ADDITIONAL INVESTMENT	4/23	L		
435					ADDITIONAL INVESTMENT	5/21	L		
436					ADDITIONAL INVESTMENT	7/9	K		
437					ADDITIONAL INVESTMENT	8/19	K		
438					ADDITIONAL INVESTMENT	9/17	K		

MAY, 2011

[illegible]

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	AMT CODE (A - H)	TYPE	VALUE CODE (J - P)	VALUE METHOD (Q - W)	TYPE	DATE	VALUE CODE (J - P)	GAIN CODE (A - H)	IDENTITY (IF PRIVATE)
455 --- KRAFT FOODS INC COMMON STOCK									
456 --- PHILIP MORRIS COMMON STOCK					SOLD	5/7	L		
457 --- SONIC CORP COMMON STOCK									
458 --- WALGREEN CO COMMON STOCK									
459 <u>TRUST #2 (LINES 459-472)</u>	C	DIV/INT/ SETTMNT	M	T					
460 --- BLACKROCK LIQUIDITY FUNDS									
461 --- PRUDENTIAL JENNISON 20/20 FOCUS FUND (MUTUAL FUND) (FKA JENNISON 20/20 FOCUS FUND)									
462 --- THIRD AVENUE INTL VALUE (MUTUAL FUND)					SOLD	2/16	M		
463 --- BLACKROCK EQUITY DIVIDEND INST CLASS FUND					PURCHASE	2/16	K		
464 --- CALAMOS GROWTH FUND					PURCHASE	2/16	J		
465 --- EAGLE SMALL CAP GROWTH FUND					PURCHASE	2/16	J		
466 --- ISHARES BARCLAYS TR US TIP ETF					PURCHASE	2/16	J		
467 --- ISHARES TR MSCI EMERGING MKTS INDEX FD ETF					PURCHASE	2/16	J		
468 --- ISHARES MSCI EAFE INDEX FUND ETF					PURCHASE	2/16	J		
469 --- ISHARES S&P NATIONAL MUNI BOND FUND					PURCHASE	2/16	K		
470 --- IVY BLOBAI NATURAL RESOURCES FD					PURCHASE	2/16	J		
471 --- T ROWE PRICE INTL FD INC INTL BOND FUND					PURCHASE	2/16	J		
472 --- SPDR BARCLAYS CAP HIGH YIELD BOND ETF					PURCHASE	2/16	J		

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
473 <u>TRUST #3 (LINES 473-555)</u> (SEE NOTE 7 IN SECTION VIII)	C	DIV/INT/ K-1 DISTR	N	T					
474 --- BLACKROCK LIQUIDITY FUNDS									
475 --- JENNISON 20/20 FOCUS FUND (MUTUAL FUND)					SOLD	2/16	L		
<u>COMMON STOCKS (EXCEPT WHERE NOTED)</u>									
476 --- COOPER INDUSTRIES PLC					PURCHASE	2/16	J		
477 --- COVIDIEN PLC					PURCHASE	2/16	J		
478 --- NOBLE CORPORATION					PURCHASE	2/16	J		
479 --- AT&T INC					PURCHASE	2/16	J		
480 --- ALLERGAN INC					PURCHASE	2/16	J		
481 --- AMERICAN ELECTRIC POWER INC					PURCHASE	2/16	J		
482 --- AMERICAN EXPRESS CO					PURCHASE	2/16	J		
483 --- AMERICAN TOWER CORP					PURCHASE	2/16	J		
484 --- AMERISOURCEBERGEN CORP					PURCHASE	2/16	J		
485 --- AMERIPRISE FINANCIAL INC					PURCHASE	2/16	J		
486 --- AMGEN INC					PURCHASE	2/16	J		
487 --- APACHE CORPORATION					PURCHASE	2/16	J		
488 --- APPLE INC					PURCHASE	2/16	J		
489 --- BARON SMALL CAP MUTUAL FUND					PURCHASE	2/16	J		
490 --- BAXTER INTERNATIONAL INC					PURCHASE	2/16	J		

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	AMT CODE (A - H)	TYPE	VALUE CODE (J - P)	VALUE METHOD (Q - W)	TYPE	DATE	VALUE CODE (J - P)	GAIN CODE (A - H)	IDENTITY (IF PRIVATE)
491 --- BROADCOM CORP					PURCHASE	2/16	J		
492 --- CAPITAL ONE FINANCIAL CORP					PURCHASE	2/16	J		
493 --- CELANESE CORP					PURCHASE	2/16	J		
494 --- CHUBB CORP					PURCHASE	2/16	J		
495 --- CISCO SYSTEMS INC					PURCHASE	2/16	J		
496 --- COACH INC					PURCHASE	2/16	J		
497 --- CUMMINS INC					PURCHASE	2/16	J		
498 --- DOLBY LABORATORIES INC					PURCHASE	2/16	J		
499 --- DOLLAR TREE INC					PURCHASE	2/16	J		
500 --- EMC CORP					PURCHASE	2/16	J		
501 --- EAGLE SMALL CAP GROWTH MUTUAL FUND					PURCHASE	2/16	J		
502 --- EBAY INC					PURCHASE	2/16	J		
503 --- EXXON MOBIL CORP					PURCHASE	2/16	J		
504 --- FEDEX CORPORATION					PURCHASE	2/16	J		
505 --- FORD MOTOR COMPANY					PURCHASE	2/16	J		
506 --- FRANKLIN RESOURCES INC					PURCHASE	2/16	J		
507 --- FREEPORT MCMORAN COPPER & GOLD INC					PURCHASE	2/16	J		
508 --- GENERAL ELECTRIC CO					PURCHASE	2/16	J		

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	AMT CODE (A - H)	TYPE	VALUE CODE (J - P)	VALUE METHOD (Q - W)	TYPE	DATE	VALUE CODE (J - P)	GAIN CODE (A - H)	IDENTITY (IF PRIVATE)
509 --- GENERAL MILLS INC					PURCHASE	2/16	J		
510 --- GOLDMAN SACHS GROUP INC					PURCHASE	2/16	J		
511 --- GOOGLE INC					PURCHASE	2/16	J		
512 --- GRAINGER WW INC					PURCHASE	2/16	J		
513 --- HARBOR INTERNATIONAL MUTUAL FUND					PURCHASE	2/16	J		
514 --- ILLINOIS TOOL WORKS INC					PURCHASE	2/16	J		
515 --- INTEL CORP					PURCHASE	2/16	J		
516 --- INTERNATIONAL BUSINESS MACHINES CORP					PURCHASE	2/16	J		
517 --- ISHARES BARCLAYS TR US TIP ETF					PURCHASE	2/16	J		
518 --- ISHARES TR MSCI EMERGING MKTS INDEX FD ETF					PURCHASE	2/16	K		
519 --- ISHARES MSCI EAFE INDEX FUND ETF					PURCHASE	2/16	J		
520 --- ISHARES TR S&P MIDCAP 400 INDEX FD ETF					PURCHASE	2/16	J		
521 --- ISHARES S&P NATIONAL AMT-FREE MUNI BOND FUND					PURCHASE	2/16	K		
522 --- IVY GLBL NATURAL RESOURCES MUTUAL FUND					PURCHASE	2/16	J		
523 --- JP MORGAN CHASE & CO					PURCHASE	2/16	J		
524 --- JOHNSON & JOHNSON					PURCHASE	2/16	J		
525 --- JOHNSON CONTROLS INC					PURCHASE	2/16	J		
526 --- KOHLS CORP					PURCHASE	2/16	J		
527 --- LAUDER ESTEE COS INC					PURCHASE	2/16	J		

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	AMT CODE (A - H)	TYPE	VALUE CODE (J - P)	VALUE METHOD (Q - W)	TYPE	DATE	VALUE CODE (J - P)	GAIN CODE (A - H)	IDENTITY (IF PRIVATE)
528 --- MEDCO HEALTH SOLUTIONS INC					PURCHASE	2/16	J		
529 --- MERCK & CO INC					PURCHASE	2/16	J		
530 --- METLIFE INC					PURCHASE	2/16	J		
531 --- MICROSOFT CORP					PURCHASE	2/16	J		
532 --- NEWS CORPORATION					PURCHASE	2/16	J		
533 --- OCCIDENTAL PETROLEUM CORP					PURCHASE	2/16	J		
534 --- ORACLE CORP					PURCHASE	2/16	J		
535 --- PEPSICO INC					PURCHASE	2/16	J		
536 --- PFIZER INC					PURCHASE	2/16	J		
537 --- PRICE T ROWE GROUP INC					PURCHASE	2/16	J		
538 --- PROCTER & GAMBLE CO					PURCHASE	2/16	J		
539 --- ROCKWELL AUTOMATION INC					PURCHASE	2/16	J		
540 --- ROSS STORES INC					PURCHASE	2/16	J		
541 --- T ROWE PRICE INTL FD INC (MUTUAL FUND)					PURCHASE	2/16	J		
542 --- SPDR BARCLAYS CAP HIGH YIELD BD ETF					PURCHASE	2/16	J		
543 --- SCHLUMBERGER LTD					PURCHASE	2/16	J		
544 --- SCRIPPS NETWORKS INTERAC					PURCHASE	2/16	J		
545 --- JM SMUCKER CO					PURCHASE	2/16	J		

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	AMT CODE (A - H)	TYPE	VALUE CODE (J - P)	VALUE METHOD (Q - W)	TYPE	DATE	VALUE CODE (J - P)	GAIN CODE (A - H)	IDENTITY (IF PRIVATE)
546 --- TALISMAN ENERGY INC					PURCHASE	2/16	J		
547 --- 3M COMPANY					PURCHASE	2/16	J		
548 --- US BANCORP DEL					PURCHASE	2/16	J		
549 --- UNITED TECHNOLOGIES CORP					PURCHASE	2/16	J		
550 --- UNITEDHEALTH GROUP INC					PURCHASE	2/16	J		
551 --- VIACOM INC					PURCHASE	2/16	J		
552 --- WAL-MART STORES INC					PURCHASE	2/16	J		
553 --- WELLS FARGO & COMPANY					PURCHASE	2/16	J		
554 --- WILLIAMS COMPANIES INC					PURCHASE	2/16	J		
555 --- WISCONSIN ENERGY CORP					PURCHASE	2/16	J		
556 RENTAL PROPERTY - NEW YORK, NY (6/15/2005 \$850,000)	E	RENT	O	R					

ADS - 4/27/2011

DISK: 2010 DISCLOSURE file: 10DISCLfiling

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

WOOD, KIMBA M.

Date of Report

5/11/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

See attached

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

NOTE 1

If there was either a partial withdrawal or no withdrawal from this S corporation or partnership during the reporting year, all K-1 activity (interest, dividends, capital gains, ordinary income, etc.) was netted together, and, if that net figure was larger than the required income threshold, it was included in Column B.(1) with Column B.(2) noting “K-1 DISTR” (K-1 Distribution). If the K-1 activity netted to a loss, then Column B.(1) was left blank and “NONE” was included in Column B.(2).

If the S Corporation or partnership interest was either sold or fully withdrawn from during the reporting year, the capital gain amount is included in Column D along with other transaction details. The remaining K-1 figures were netted together, and if larger than the required income threshold, included in Column B.(1) with Column B.(2) noting “K-1 DISTR”.

Both of the above approaches were obtained from Mr. George Reynolds, Financial Disclosure Examiner.

NOTE 2

For options outstanding at the end of the year, there is no value code listed in Column C.(1) because the options had an unrealized loss at that time.

NOTE 3

At times in private equity funds, a Partnership will “deem” distribution/contribution activity. All deemed activities are non-cash transactions.

In 2010, we learned of prior year “deemed” activity in some of the Funds. Per my discussion with Rachel Molinaro, FDR examiner, on 3/3/2011, there is no need to include prior years’ deemed activity on the current year report. We should just include 2010 deemed activity. Further, per Ms Molinaro, there is no need to amend prior years’ Financial Disclosure Reports in order to reflect the prior years’ deemed activity.

Most times when a Partnership declares deemed activity, a calendar quarter, rather than a specific date, is referenced. Therefore, in Column D. (2), often times just a quarter is noted.

NOTE 4

This asset had no value on the books as of the end of the year. Therefore, there is no value code reported in Column C.(1). However, the K-1 reported income over the threshold level so the asset is reportable.

NOTE 5

There are many investments in non-public companies. The estimated market values used for all such investments, unless otherwise noted, are based upon the current knowledge of the worth of such companies, discussions with the companies' management and company issued reports.

NOTE 6

Either the Ending Capital Account value from the entity's 2010 K-1, or an estimate of the same, was used to determine its year-end value code for Column C.(1).

NOTE 7

For those entities which issued K-1s, if the K-1 netted to a loss but there was another source of income from the entity, the source of the other income is listed instead of "NONE". If there was K-1 income and an additional source of income, both amounts were summed to determine the code for Column B.(1) and both types of income are noted in Column B.(2).

NOTE 8

Although there is an investment in this company, it is considered worthless. Therefore, there is no year-end value code reported in Column C.(1). However, since it is a Sentinel Capital portfolio company, it is deemed reportable. See Note 15 for more information.

NOTE 9

There is a limited partnership interest in this entity. Since neither the filer nor her spouse can "direct, influence or in any other manner affect the purchase, exchange, sale or disposition of the entity or property owned by the entity", *Financial Disclosure Filing Instructions, page 35*, the assets held by the partnership are not reportable.

If the partnership had a capital call for an investment in a specific company, "ADDITIONAL INVESTMENT" is noted in Column D.(1). The name of the underlying company is not listed. Further, if the partnership either returned capital or sold one of its investments and returned funds to the reporting family, "DISTRIBUTION" is listed in Column D.(1). Neither the name of the underlying company nor the gain amount is listed.

The entity's K-1 is considered the source document for all income from this investment (including capital gains/losses). All K-1 activity was netted together and if greater than the required income threshold, included in Column B.(1) with Column B.(2) noting "K-1 DISTR". If the K-1 activity netted to a loss, then Column B.(1) was left blank and "NONE" was included in Column B.(2).

NOTE 10

There are several Vestar and Sentinel Partnerships (See Lines 329-331 and 373-375 in Section VII) and a Centerview Capital Partnership (See Line 322 in Section VII). The portfolio companies that the Partnerships own are all listed separately on this report because the filer's spouse could potentially "direct, influence or in any other manner affect the purchase, exchange, sale or disposition of the entity or property owned by the entity," *Financial Disclosure Filing Instructions, page 35*.

In Vestar III, IV and V and Sentinel II, III and IV, there is both a limited partner (LP) and general partner (GP) interest (each interest is housed in a different partnership). For purposes of this report, the K-1 information for the LP/GP interests of each Fund is combined and included on one line. This approach matches the way the Funds' portfolio companies are included on this report (the GP/LP interests are both included when determining the value code for Column C.(1)).

Each year, there is a K-1 from each of the partnerships. The K-1s summarize all of the ordinary income (loss), portfolio income, capital gains/losses, etc., for all of the underlying companies. To avoid double counting, if an underlying company was sold, the capital gain/loss is included on the line where the actual company is listed and is excluded from the K-1 income reported in Column B.(1) for each of the partnerships.

The remaining K-1 items were netted together. If the net amount was larger than the required income threshold, that amount was included in Column B.(1) with Column B.(2) noting "K-1 DISTR". If the K-1 activity netted to a loss, then "NONE" is included in Column B.(2). As a result, since all K-1 activity is accounted for at the partnership level, the underlying companies which are listed separately do not show any income in Column B.(1) (unless there is an item that is not included on the K-1).

For the Vestar, Sentinel and Centerview Partnerships, there are no year end market values reported in Column C.(1) because the value of the Partnerships' portfolio companies are listed separately on this report.

NOTE 11

This Partnership was dissolved and its final K-1 issued in 2010. Therefore, there is no 12/31/2010 value code noted in Column C.(1).

NOTE 12

This company was either written off or sold in a prior year. Therefore, there is no year end value reported in Column C.(1).

NOTE 13

The filer's spouse is the sole shareholder of this S corporation. The company's assets are limited to office furniture, office equipment, a checking account, a money market account and a limited partnership interest in Hawk which is listed separately on this report (See Line 444 in Section VII). The value used to determine the year-end valuation code was the checking/money market account balances plus the office furniture and equipment balance (less accumulated depreciation).

NOTE 14

This company's 2010 K-1 was not received by the May 15, 2011 Disclosure Report filing deadline. Therefore, the company's income has been estimated. Once the actual K-1 is received, if the income code based on the actual K-1 differs from the code reported in this report, a letter explaining the difference will be filed with the Committee.

NOTE 15

This investment is made through either a Vestar, a Sentinel or the Centerview Partnership (See Lines 329-331, 373-375 and 322 in Section VII). Since the filer's spouse could potentially "direct, influence or in any other manner affect the purchase, exchange, sale or disposition of the entity or property owned by the entity", *Financial Disclosure Filing Instructions, page 35*, the partnership's assets must be listed separately on this report. As a result, this company is reportable.

All transactions (investments, sales and related gain/(loss), distributions, etc.) are reported for the company. Any K-1 income (excluding gain/(loss) on sales) attributable to the company, however, is included in the partnership's line. If income was received separate from the K-1, it is recorded on the portfolio company's line in Column B. See Note 10 for more information.

NOTE 16

Neither the income nor the cash balance at year-end met the threshold for reporting. However, since the cash account is associated with a reportable investment that is listed separately on this report, the account is deemed reportable.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
WOOD, KIMBA M.	5/11/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **KIMBA M. WOOD**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544