

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gee, Dolly M.	2. Court or Organization United States District Court, Central District of California	3. Date of Report 05/9/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address United States District Court, Central District of CA 312 N. Spring Street, Room 218P Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Executive Committee	Litigation Section, Los Angeles County Bar Association
2. Executive Board	Federal Bar Association, Central District of California
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2010	Former Law Firm Partnership Agreement: contingency case recovery and withdrawing partner payments are paid within 72 months after withdrawal
2. 2011	Former Law Firm 401(k) through ABA Retirement Funds Program/TD Ameritrade
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Schwartz, Steinsapir, Dohrmann & Sommers LLP partnership withdrawal payments and pro rata share of contingency recovery	\$210,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	OneWest Bank - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-I)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-I)	Identity of buyer/seller (if private transaction)
1. Bank of America accounts	A	Interest	J	T					
2. Citibank (IRA) (CD)	A	Interest	J	T					
3. Citibank accounts	A	Interest	M	T					
4. E*Trade Financial accounts	B	Interest	M	T					
5. OneWest Bank accounts	C	Interest	N	T					
6. U.S. Bank (formerly Downey Savings)		None			Closed	01/03/11	K	A	
7. Ally Bank account	C	Interest	M	T					
8. ING Direct account	B	Interest	K	T					
9. Brokerage Account #1									
10. -Citibank Market-Linked Account	A	Interest	K	T					
11. Brokerage Account #2									
12. -StatOil Hydro ASA Common Stock	A	Dividend	J	T					
13. -Vanguard High Yield Municipal Bond Fund	B	Dividend	L	T					
14. Citigroup Common Stock	A	Dividend	J	T					
15. Brokerage Account #3 (Y)									
16. Brokerage Account #4 (Y)									
17. Brokerage Account #5 (401(k))									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
I11 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
I12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
18. -ABA Retirement Stable Asset Return Fund	A	Interest	N	T					
19. -ABA Retirement Balanced Fund		None	J	T					
20. -ABA Retirement Intermediate Bond Fund		None	J	T					
21. -ABA Retirement Large Cap Equity Fund		None	M	T					
22. -ABA Retirement All Cap Index Equity Fund		None	L	T					
23. -ABA Retirement Small-Mid Cap Equity Fund		None	J	T					
24. -ABA Retirement Small Cap Equity Fund		None	J	T					
25. -ABA Retirement International All Cap Equity Fund		None	J	T					
26. -State Street Global Mkt Money Mkt Fund	A	Interest			Closed	08/29/11	J	A	
27. -TD Ameritrade Money Market Portfolio	A	Interest	J	T	Open	08/29/11	J		
28. -Ariel Appreciation Mid-Cap Blend Mutual Fund	A	Int./Div.	J	T					
29. -Bridgeway Ultra Small Co. Mkt Fund	A	Int./Div.	J	T					
30. -Dodge & Cox Int'l Stock Fund	A	Int./Div.	J	T					
31. -Fairholme Mutual Fund	A	Int./Div.	J	T					
32. -Federated Prudent Bear Fund		None	J	T					
33. -Forester Value Mutual Fund	A	Int./Div.	J	T					
34. -ING Corporate Leaders Trust Series B Mutual Fund	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

3. Value Method Codes
(See Column C2)

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
35. -Meridian Growth Fund	A	Int./Div.	J	T					
36. -Tamarack Enterprise Small Blend Mutual Fund		None							
37. -US World Precious Minerals Fund	A	Dividend	J	T					
38. -Vanguard Star Mutual Fund	A	Int./Div.	J	T					
39. -Vanguard High Yield Municipal Bond Fund	A	Dividend	J	T					
40. -San Juan Basin Royalty Trust	A	Dividend	J	T					
41. -Baytex Energy Trust Common Stock	A	Dividend	K	T					
42. -GE Common Stock	A	Dividend	J	T					
43. -Home Depot Common Stock	A	Dividend	J	T					
44. -Intel Corp. Common Stock	A	Dividend	J	T					
45. -Ishares Inc. MSCI Japan Index Fund	A	Dividend	J	T					
46. -MSFT Common Stock	A	Dividend	J	T					
47. -StatOil Hydro ASA Common Stock	A	Dividend	J	T					
48. Ridgeworth U.S. Gov't Securities Bond Fund	A	Int./Div.	J	T					
49. Brokerage Account #6 (401(k))									
50. -Fidelity Income Fund		None			Closed	01/07/11	N		
51. -Manning & Napier Pro-Blnd (X)	A	Int./Div.	M	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
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52. -Vanguard GNMA (X)	A	Int./Div.	M	T					
53. Brokerage Account #7 (401(k))									
54. -PIMCO Total Return Bond Fund	A	Int./Div.	M	T					
55. -Principal Financial Group Life Cycle Retirement Fund		None			Closed	01/07/11	J		
56.									
57.									
58.									

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(See Columns B1 and D4)

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H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

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(See Columns C1 and D3)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In my 2010 Financial Disclosure Report, I identified AAPL Common Stock (Y) at lines 13 and 42 out of an abundance of caution because I could not recall or locate documentation for the date on which I sold the stock. I have since located documentation indicating that the stock was sold in October 2009, before I became a judge and outside of the 2010 reporting period. I therefore have removed those entries from my current report. Similarly, I reported JAVA Common Stock (Y) at line 51 in my 2010 Financial Disclosure Report, but the stock disappeared as a result of a reverse stock split in approximately September 2009 (shortly after Sun Microsystems was acquired by Oracle), before I became a judge and outside of the 2010 reporting period. I therefore have removed this entry from my current report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Dolly M. Gee

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544