

# FINANCIAL DISCLOSURE REPORT

## FOR CALENDAR YEAR 2007

Report Required by the Ethics  
in Government Act of 1978,  
(5 U.S.C. app. §§101-111)

|                                                                                                                                                                                                                       |  |                                                                                                                           |  |                                                                     |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|---------------------------------------------------------------------------------------------------------------------------|--|---------------------------------------------------------------------|
| 1. Person Reporting (Last name, first, middle initial)<br><b>Wallace, J. Clifford</b>                                                                                                                                 |  | 2. Court or Organization<br><b>United States Court of Appeals<br/>Ninth Circuit</b>                                       |  | 3. Date of Report<br><b>May 14, 2008</b>                            |
| 4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><b>United States Circuit Judge<br/>Senior Status</b>                                                 |  | 5a. Report Type (check appropriate type)<br>____ Nomination, Date _____<br>____ Initial <b>X</b> Annual _____ Final _____ |  | 6. Reporting Period<br><b>January 1, 2007<br/>December 31, 2007</b> |
| 7. Chambers or Office Address<br><b>United States Courthouse<br/>940 Front Street, #4192<br/>San Diego, California 92101</b>                                                                                          |  | 5b. _____ Amended Report                                                                                                  |  |                                                                     |
| 8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.<br>Reviewing Officer _____ Date _____ |  |                                                                                                                           |  |                                                                     |

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

### I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

☐ NONE (No reportable positions.)

| POSITION                 | NAME OF ORGANIZATION/ENTITY |
|--------------------------|-----------------------------|
| 1. <b>SEE ATTACHMENT</b> |                             |
| 2.                       |                             |
| 3.                       |                             |

### II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

☒ NONE (No reportable agreements.)

| DATE | PARTIES AND TERMS |
|------|-------------------|
| 1.   |                   |
| 2.   |                   |

### III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

#### A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

| DATE | SOURCE AND TYPE | AMOUNT |
|------|-----------------|--------|
| 1.   |                 | \$     |
| 2.   |                 | \$     |
| 3.   |                 | \$     |

#### B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section (dollar amount not required except for honoraria).

☒ NONE (No reportable non-investment income.)

|    |  |
|----|--|
| 1. |  |
| 2. |  |

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 FINANCIAL  
 DISCLOSURE OFFICE

**Wallace, J. Clifford**

**Date of Report May 14, 2008**

**Financial Disclosure Report for 2006**

**I: Positions**

**Member of Executive  
Committee/Board of Governors**

**International Organization  
For Judicial Training**

**Senior Advisor on Legal Systems  
and Judicial Administration**

**The Asia Foundation**

**Advisor**

**Thailand Judicial Training Institute**

**Member**

**ABA Asia Law Initiative Council**

**Member**

**Council of Visitors of  
California Western School  
of Law**

**Member**

**William H. Rehnquist Center's  
National Board of Academic  
Advisors**

**Member**

**ABA-UNDP Advisory Committee**

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

**J. Clifford Wallace**

Date of Report

**May 14, 2008****IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.*(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)*☐**NONE** (No such reportable reimbursements.)SOURCEDATESLOCATIONPURPOSEITEMS PROVIDED OR PAID

1.

**SEE ATTACHMENT**

2.

3.

4.

5.

6.

7.

**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)*☒**NONE** (No such reportable gifts.)SOURCEDESCRIPTIONVALUE

1.

\$

2.

\$

3.

\$

4.

\$

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)*☒**NONE** (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

\*Value Codes:

J=\$15,000 or less

K=\$15,001-\$50,000

L=\$50,001-\$ 00,000

M=\$100,001-\$250,000

N=\$250,001-\$500,000

O=\$500,001-\$1,000,000

P1=\$1,000,001-\$5,000,000

P2=\$5,000,001-\$25,000,000

P3=\$25,000,001-\$0,000,000

P4=\$50,000,001 or more

**Wallace, J. Clifford**

**Date May 14, 2008**

**Financial Disclosure Report for 2007**

**IV. Reimbursements**

| <b>Source</b>                                                           | <b>Description</b>                                     |
|-------------------------------------------------------------------------|--------------------------------------------------------|
| 1. American Bar Association<br>Beijing, China                           | Food, MIE Expenses<br>12/5-9/2006                      |
| 2. American Bar Association<br>Bangkok, Thailand                        | Transportation, Food, Lodging<br>Expenses 2/16-22/2007 |
| 3. The University of Utah<br>School of Law<br>Salt Lake City, Utah      | Transportation, Food Expenses<br>2/27/2007             |
| 4. United States Embassy and<br>Thailand Supreme Court                  | Transportation, Food, Lodging<br>Expenses 3/19-27/2007 |
| 5. University of California Davis<br>Law School<br>San Jose, California | Transportation, Food Expenses<br>4/14/2007             |
| 6. The Salzburg Institute<br>Salzburg, Austria                          | Transportation, Food, Lodging<br>Expenses 5/2-7/2007   |
| 7. The Fred Furth Foundation<br>Detroit, Michigan                       | Transportation, Food, Lodging<br>Expenses 5/15-19/2007 |

**Wallace, J. Clifford**

**Date May 14, 2008**

**Financial Disclosure Report for 2007**

**IV. Reimbursements**

**Page 2**

- |                                                                           |                                                                   |
|---------------------------------------------------------------------------|-------------------------------------------------------------------|
| <b>8. USAID<br/>Beijing, China</b>                                        | <b>Transportation, Food, Lodging<br/>Expenses 5/19-6/2/2007</b>   |
| <b>9. The Asia Foundation<br/>Hong Kong, China</b>                        | <b>Transportation, Food, Lodging<br/>Expenses 6/02-11/2007</b>    |
| <b>10. High Court of Botswana<br/>Gaborone, Botswana</b>                  | <b>Transportation, Food, Lodging<br/>Expenses 7/19-29/2007</b>    |
| <b>11. USAID<br/>Podgorica, Montenegro</b>                                | <b>Transportation, Food, Lodging<br/>Expenses 9/9-18/2007</b>     |
| <b>12. The Federalist Society/Vanderbilt<br/>Nashville, Tennessee</b>     | <b>Transportation, Food, Lodging<br/>Expenses 9/29-10/01/2007</b> |
| <b>13. Brigham Young University<br/>Provo, Utah</b>                       | <b>Transportation, Food, Lodging<br/>Expenses 10/7-10/2007</b>    |
| <b>14. American Society of<br/>International Law<br/>Barcelona, Spain</b> | <b>Transportation, Food, Lodging<br/>Expenses 10/16-26/2007</b>   |

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

**J. Clifford Wallace**

**May 14, 2008**

## VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br><i>Place "(X)" after each asset<br/>exempt from prior disclosure.</i> | B.<br>Income<br>during<br>reporting period |                                            | C.<br>Gross value<br>at end of<br>reporting period |                                   | D.<br>Transactions during reporting period             |                               |                                |                               |                                                                |
|--------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|--------------------------------------------|----------------------------------------------------|-----------------------------------|--------------------------------------------------------|-------------------------------|--------------------------------|-------------------------------|----------------------------------------------------------------|
|                                                                                                                                      | (1)                                        | (2)                                        | (1)                                                | (2)                               | (1)                                                    | If not exempt from disclosure |                                |                               |                                                                |
|                                                                                                                                      | Amt.<br>Code1<br>(A-H)                     | Type<br>(e.g.,<br>div.<br>rent or<br>int.) | Value<br>Code2<br>(J-P)                            | Value<br>Method<br>Code3<br>(Q-W) | Type<br>(e.g.,<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month-<br>Day | (3)<br>Value<br>Code2<br>(J-P) | (4)<br>Gain<br>Code1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions.)                                                       |                                            |                                            |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| <sup>1</sup> Transwest Credit Union                                                                                                  | D                                          | Int.                                       | M                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| <sup>2</sup> Changing Parameters                                                                                                     | E                                          | Int.                                       | O                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| <sup>3</sup>                                                                                                                         |                                            |                                            |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| <sup>4</sup>                                                                                                                         |                                            |                                            |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| <sup>5</sup>                                                                                                                         |                                            |                                            |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| <sup>6</sup>                                                                                                                         |                                            |                                            |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| <sup>7</sup>                                                                                                                         |                                            |                                            |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| <sup>8</sup>                                                                                                                         |                                            |                                            |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| <sup>9</sup>                                                                                                                         |                                            |                                            |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| <sup>10</sup>                                                                                                                        |                                            |                                            |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| <sup>11</sup>                                                                                                                        |                                            |                                            |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| <sup>12</sup>                                                                                                                        |                                            |                                            |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| <sup>13</sup>                                                                                                                        |                                            |                                            |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| <sup>14</sup>                                                                                                                        |                                            |                                            |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| <sup>15</sup>                                                                                                                        |                                            |                                            |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| <sup>16</sup>                                                                                                                        |                                            |                                            |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| <sup>17</sup>                                                                                                                        |                                            |                                            |                                                    |                                   |                                                        |                               |                                |                               |                                                                |

|   |                                         |                                                                             |                                                |                                                                                 |                                                      |                     |
|---|-----------------------------------------|-----------------------------------------------------------------------------|------------------------------------------------|---------------------------------------------------------------------------------|------------------------------------------------------|---------------------|
| 1 | Income/Gain Codes:<br>(See Col. B1, D4) | A=\$1,000 or less<br>F=\$50,001-\$100,000                                   | B=\$1,001-\$2,500<br>G=\$100,001-\$1,000,000   | C=\$2,501-\$5,000<br>H1=\$1,000,001-\$5,000,000                                 | D=\$5,001-\$15,000<br>H2=More than \$5,000,000       | E=\$15,001-\$50,000 |
| 2 | Value Codes:<br>(See Col. C1, D3)       | J=\$15,000 or less<br>N=\$250,001-\$500,000<br>P3=\$25,000,001-\$50,000,000 | K=\$15,001-\$50,000<br>O=\$500,001-\$1,000,000 | L=\$50,001-\$100,000<br>P1=\$1,000,001-\$5,000,000<br>P4=More than \$50,000,000 | M=\$100,001-\$250,000<br>P2=\$5,000,001-\$25,000,000 |                     |
| 3 | Value Method Codes:<br>(See Col. C2)    | Q=Appraisal<br>U=Book value                                                 | R=Cost (real estate only)<br>V=Other           | S=Assessment<br>W=Estimated                                                     | T=Cash/Market                                        |                     |

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Date of Report

**J. Clifford Wallace****May 14, 2008****VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)**

Attached are copies of letters which I received from Judge Pratt dated July 31, 1990, and of my response thereto dated August 30, 1990, and from Judge Julian Cook Jr., dated October 21, 1991, and of my response thereto dated November 20, 1991.

**X. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY PROVIDES FALSE INFORMATION MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104.)

**FILING INSTRUCTIONS:**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the  
United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544

August 30, 1990

Honorable John H. Pratt  
Chairman  
Judicial Ethics Committee  
811 Vermont Avenue, N.W.  
Washington, D.C. 20544

Dear Judge Pratt:

I have reviewed your letter dated July 31, 1990. You raise two questions pertaining to my status as Senior Advisor on Legal Systems and Judicial Administration for The Asia Foundation.

Your first question asks for information about the "nature" of The Asia Foundation. The Asia Foundation is a private American non-profit organization incorporated under the laws of the State of California. For over 30 years it has been assisting institutions, organizations, and individuals in Asia and the Pacific in ways that contribute to their development, and to the long-term foreign policy interests of the American people. A principal emphasis in the Foundation's program is the promotion of legal institutions and procedures that provide safeguards for the human rights of citizens of countries in Asia and the Pacific.

Your second question asks for information about "the extent of the functions" that I perform. Primarily, I consult with judges and others in Asia and advise The Asia Foundation programs to improve the administration of justice in Asian countries. At times, I also give lectures or teach while in Asia.

You have kindly called to my attention the proscriptions in the Code. I do not believe my service to The Asia Foundation violates the Code.

Very truly yours,

J. Clifford Wallace

Jul 31 1990

Washington, D.C. 20544

AUG 3 1990

Honorable J. Clifford Wallace  
United States Court of Appeals  
125 United States Courthouse  
600 Front Street  
San Diego, California 92189  
Dear Judge Wallace:

This committee reviews Financial Disclosure Reports. A committee member has reviewed your 1988 Report and has commented the following:

You have reported in part I, "Position," that you are a Senior Advisor on Legal Systems and Judicial Administration of Asia Foundation. The nature of that entity and the extent of functions that you perform in connection with it cannot be obtained from the entry in your report. It is clear, nevertheless, that you receive substantial non-investment income from the organization. Your attention is invited to the provisions of Canon 5 of the Code of Conduct for United States Judges, as well as to Advisory Opinions 10, 30 and 62.

Because your position in this organization may conflict with the Code of Conduct, it is requested that you furnish the committee with additional information reconciling your position with the prescriptions of the Code.

In Section VII, line 9, the value code used is incorrect. The necessary ranges for the applicable value codes (J-2) are set out at the end of Section VII on the Report form. In future reports, please use the proper codes.

If we have a response within 30 days, in three copies, with the information requested, to the clerk of your court, with the information requested. Thank you for your cooperation.

Sincerely,

John A. Pratt  
Chairman

November 20, 1991

Honorable Julian Abele Cook, Jr.  
Chairman  
Judicial Ethics Committee  
811 Vermont Avenue, N.W.  
Washington, D.C. 20544

Dear Judge Cook:

I have reviewed your letter dated October 21, 1991,  
pertaining to my 1989 Financial Disclosure report.

Section IV, lines 3 and 5 - transportation, food, and  
lodging received from the Liberty Fund and the Anglo/American  
Exchange:

Liberty Fund - this is a tax-exempt, private opera-  
ting foundation established to encourage study of the idea of a  
society of free and responsible individuals. It conducts confer-  
ences (for people from all walks of life), publishes books, and  
produces films. ~~Wallace~~ and I were invited to attend. This  
program was entitled "Law, Liberty, and Responsible Individuals."  
They paid our transportation, food, and lodging while we attended  
the conference, and I assume they did this for every participant.

Anglo/American Exchange - I was selected by Chief  
Justice Rehnquist to be part of the American team of judges at  
his event, which occurs every three to four years. It is a  
well-known conference. I do not know the source of the money,  
but I am sure that Chief Justice Rehnquist, or Justice O'Connor  
or team leader, could enlighten you. Do you wish further infor-  
mation?

Section VII, line 8, Subsection D, line 8: This home  
is not purchased for investment purposes or for production of  
income. This is a second personal home. Because I was not sure  
whether or not it should be in the report, I decided to include it  
be on the safe side. I will not include it in the future.

Very truly yours,

  
Clifford Wallace

Judicial Committee  
of the  
United States

Julian Abele Cook, Jr., Chairman

Howard C. Branton  
Dickinson P. DeBevoise  
Leonard I. Gorth  
Thomas W. Gilmore  
Cynthia Dinsen King  
Sister K. Lemaire  
A. Little, Jr.

Alan D. Lourie  
Frank J. Magill  
James B. Moran  
Robert B. Probst  
Norman P. Ramsey  
Eugene A. Wright

311 Vermont Avenue, N.W.  
Washington, D.C. 20544  
(202) 786-7066  
FIS: 786-7066  
FAX: 786-6610

JUL 21 1991

Honorable J. Clifford Wallace  
Chief Judge, United States  
Court of Appeals  
425 United States Courthouse  
40 Front Street  
San Diego, California 92189

Dear Judge Wallace:

This Committee reviews Financial Disclosure Reports. A Committee Member has reviewed your 1989 Report and has commented the following:

In Section IV, lines 3 and 5, you have reported gifts of transportation, food and lodging received from the Liberty Fund and the Anglo-American Exchange. Please provide additional information regarding the sources and circumstances of the gifts.

In Section VII, line 8, Subsection D, you included the base of a second home. Real property which is held as an investment or for the production of income should be listed in Sections (A-C) to disclose its description (city or county and in which the property is located), income and value. In event this is a second personal residence or a non-investment property, you are not required to disclose any information. Provide the Committee with clarification of your interest in this property.

If we have a response within 30 days, in three copies, with information requested. Thank you for your cooperation.

Sincerely,

Julian Abele Cook, Jr.  
Chairman