

FINANCIAL DISCLOSURE REPORT

FOR CALENDAR YEAR 2003

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. App., §§101-111)

1. Person Reporting (Last name, first, middle initial) Boggs, Danny J.	2. Court or Organization Sixth Circuit Court of Appeals	3. Date of Report 5/13/04
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Judge (Active)	5. Report Type (check appropriate type) ___ Nomination, Date _____ ___ Initial <u>X</u> Annual ___ Final	6. Reporting Period 01/01/03 - 12/31/03
7. Chambers or Office Address 220 U.S. Courthouse Bldg. Louisville, KY 40202	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐

NONE (No reportable positions.)

1	Trustee	Foundation for Research on Economy & Environment
2	Board Member	The Lexington School
3	Executive Committee Member	American Bar Association, Appellate Judges Conference

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE

PARTIES AND TERMS

☒

NONE (No reportable agreements.)

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2		
3		

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME
(yours, not spouse's)

☐

NONE (No reportable non-investment income.)

1	2003	Administrative Review Board, United States Department of Labor	\$
2			\$
3			\$
4			\$
5			\$

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IV. REIMBURSEMENTS — transportation, lodging, food, entertainment. (Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

SOURCE

DESCRIPTION

☐ NONE (No such reportable reimbursements.)

1	American Bar Association, Appellate Judges Conference	1/23-24 - Transportation, lodging and meals for Executive Committee Meeting in Dallas, Texas.
2	American Bar Association, Appellate Judges Conference	2/6-8 - Transportation, lodging and meals for mid-year meeting of American Bar Association in Seattle, Washington.
3	American Bar Association	3/14-15 - Transportation, lodging and meals for American Bar Association, Environmental Law Section, Annual Environmental Law Conference in Keystone, Colorado.
4	University of Utah School of Law	4/6-8 - Transportation, lodging and meals for self as David T. Lewis Distinguished Jurist in Residence in Salt Lake City, Utah.
5	Defense Research Institute	4/30-5/1 - Transportation, lodging and meals for service as speaker on Appellate Advocacy at Seminar in Chicago, Illinois.
6	American Academy of Arts & Sciences	5/15 - Transportation and meals as speaker at session of Committee on Congress, American Academy of Arts & Sciences in Washington, D.C.
7	George Mason University School of Law, Law and Economics Center	6/26-29 - Transportation, lodging and meals for Conference on Thucydides at Yale, New Haven, Connecticut.
8	Ohio Federal Bar Association	10/3 - Transportation as speaker at the Ohio Federal Bar Association's Bench-Bar Conference in Columbus, Ohio.
9	Federal Bar Association, Cleveland, Ohio	11/5 - Transportation, lodging and meals as speaker at the Appellate Practice Program of the Federal Bar Association in Cleveland, Ohio.
10	Appellate Judges Education Institute, Dallas, Texas	11/7-9 - Transportation, lodging and meals at meeting of Executive Committee in Dallas, Texas.

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)

SOURCE

DESCRIPTION

VALUE

☒ NONE (No such reportable gifts.)

1			\$
2			\$
3			\$
4			\$

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)

CREDITOR

DESCRIPTION

VALUE CODE*

☐ NONE (No reportable liabilities.)

1	Washington Mutual Northridge, CA	Mortgage loan on rental house in Arlington, Va	N
2	Sallie Mae Loan Corp. Wilkes Barre, PA	Student Loans	L
3	MBNA American Bank Wilmington, DE	Credit Card Loan for Education	L

4	Firststar Home Mortgage Owensboro, KY	Mortgage on Bowling Green Rental House	K
5	US Government Direct Loan Program	Student Loans	L
6	Bank One Wilmington, DE	Credit Card Loan	L
7	American Express Optima Chicago, IL	Credit Card Loan for Education	K
8	AES Student Loan Servicing Harrisburg, PA	Student Loan	K
9	Chase Wilmington, DE	Credit Card Loan	K
10	Discover Wilmington, DE	Credit Card Loan	K

*Value Codes: J=\$15,000 or less O=\$500,001-\$1,000,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000	L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000 P4=\$50,000,001 or more	N=\$250,001-\$500,000 P2=\$5,000,001-\$25,000,000
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VII. Page 1 INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

A Description of Assets (including trust assets) <i>Place "X" after each asset exempt from prior disclosure</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Am't. Code1 (A-E)	Type (e.g., div. rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date Month Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-E)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 1/4 interest in rental house, in Bowling Green, KY	B	Rent	K	Q					
2 Bank One, Louisville, KY (checking account)	A	Int	L	T					
3 House in Arlington, VA	E	Rent	O	V					
4 Carriage house [REDACTED] property, Louisville, KY	D	Rent	M	Q					
5 IRA Account - Bank One, Louisville, KY	A	Int	K	T					

1 Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4) F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2 Value Codes: J=\$15,000 or less (See Col. C1, D3) N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	P4=More than \$50,000,000
3 Value Method Codes: O=Appraisal (See Col. C2) U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

Name

Date of Report

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure.	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code: (A-H)	Type (e.g., div., rent or int.)	Value Code: (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date Month- Day	(3) Value Code: (I-P)	(4) Gain Code: (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
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7	A								
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1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$250,000.001-\$500,000.000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$5,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

Item VII, 1. The appraisal on this house in Bowling Green, KY was done in 2002.

Item VII, 3. The value of this house in Arlington, VA was based on a bona fide offer made in 2003.

Item VII, 4. Carriage house is part of a [REDACTED] property that is [REDACTED] and is otherwise exempt from disclosure under page 34 of the instructions. I am reporting this asset value as a proportionate share of the value of the whole property, as we received income from renting the carriage house. The appraisal on this property was done in 2003.

Item VII, 5. Bank One IRA consists of shares of Standard & Poor Deposit Receipts and money market funds.

Item VII, 7. Prudential IRA consists of shares of Standard & Poor Deposit Receipts, Israel Bonds, and money market funds.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app., § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date

5/13/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)

Committee on Financial Disclosure
Administrative Office of the
United States Courts
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