

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) GRAHAM, DONALD L.	2. Court or Organization Southern District of Florida	3. Date of Report 8/26/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 400 North Miami Avenue Chambers 13-4 Miami, FL 33128-1812	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting Individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting Individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

Graham, Donald L.

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

GRAHAM, DONALD L.

Date of Report

8/26/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. The Florida Bar	6/24/2010	Boca Raton, FL	Speaker	Transportation and food
2. Harvard Law School	9/13/2010-9/18/2010	Boston, MA	Teach	Transportation and food
3. The Just The Beginning Foundation	9/29/2010-10/3/2010	Atlanta, GA	Attend conference	Transportation and food
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

GRAHAM, DONALD L.

Date of Report

8/26/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

GRAHAM, DONALD L.

Date of Report

8/26/2011

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Miami Federal Credit Union	A	Interest	J	T					
2. Fidelity Over The Counter (IRA)	A	Dividend			Redeemed	07/15/10	M	A	
3. Fidelity Agressive Growth	A	Dividend			Redeemed	07/15/10	K	A	
4. American Century 20th Century Ultra	A	Dividend			Redeemed	07/20/10	L	A	
5. T. Rowe Price Int'l Stock Fund	A	Dividend			Redeemed	04/07/10	L	C	
6. USAA Agressive Growth	A	Dividend			Redeemed	07/14/10	J		
7. Four Kings, Inc.		None	K	T					
8. USAA S&P Index Fund	C	Dividend			Redeemed	07/14/10	M	E	
9. Columbia Management Excelsior Value & Restructuring Fund	B	Dividend			Redeemed	07/15/10	M	E	
10. Abbott Laboratories (Common)	B	Dividend	K	T					
11. American Express (Common)	A	Dividend			Sold	12/30/10	K	B	
12. Ameriprise Financial	A	Dividend			Sold	12/30/10	J	C	
13. Citigroup (Common)	A	Dividend			Sold	12/30/10	J	A	
14. Hewlett-Packard (HP)(Common)	A	Dividend	J	T					
15. EMC Corporation (Common)		None			Sold	12/30/10	K	D	
16. Home Depot (Common)	A	Dividend			Sold	12/30/10	K	C	
17. Alcatel-Lucent (Common)		None			Sold	12/30/10	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

GRAHAM, DONALD L.

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8/26/2011

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18. Texas Instruments (Common)	A	Dividend			Sold	12/30/10	K	E	
19. International Business Machines (Common)	A	Dividend	K	T					
20. Microsoft Corporation (Common)	A	Dividend	J	T					
21. Altria Corp.	A	Dividend			Sold	12/31/10	J	D	
22. Walgreen Company (Common)	A	Dividend			Sold	12/31/10	K	D	
23. E-Trade (Kemper Institution) Money Market (JPM Muni)	A	Dividend			Redeemed	07/20/10	K	A	
24. Bank of America Corp. (Common)	A	Dividend			Sold	12/30/10	J	A	
25. PG (Common)	A	Dividend			Sold	12/30/10	K	D	
26. Pfizer (Common)	A	Dividend			Sold	12/30/10	J	A	
27. TJX, Inc. (Common)	A	Dividend			Sold	12/30/10	L	E	
28. Lenovo Group Ltd.	A	Dividend			Sold	12/30/10	K	A	
29. Ameriprise Cash	A	Dividend			Redeemed	07/15/10	K	A	Spin off from American
30.									Express
31. Ameriprise Personal	A	Interest			Redeemed	07/15/10	K	A	
32. St. Paul Travelers Companies	A	Dividend			Sold	12/30/10	J	A	
33. First Eagle Global Class C	B	Dividend	M	T	Buy	10/25/10	M		
34. Black Rock Global	B	Dividend	M	T	Buy	10/25/10	M		

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(See Columns B1 and D4)

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F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

3. Value Method Codes
(See Column C2)

R = Cost (Real Estate Only)
V = Other

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

GRAHAM, DONALD L.

Date of Report

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35. Templeton Global Bond	C	Dividend	M	T	Buy	09/03/10	M		
36. Pimco Total Return	D	Dividend	M	T	Buy	09/03/10	M		
37. DWS Intermediate Tax	A	Dividend	M	T	Buy	09/03/10	M		
38. Black Rock Global (Retirement)	A	Dividend	K	T	Buy	10/25/10	K		
39. First Eagle (Retirement)	A	Dividend	K	T	Buy	10/25/10	K		
40. Pimco Total Return (Retirement)	A	Dividend	K	T	Buy	09/03/10	K		
41. Pimco Low Duration (Retirement)	A	Dividend	K	T	Buy	09/03/10	K		
42. Templeton Global Bond (Retirement)	A	Dividend	K	T	Buy	09/03/10	K		

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(See Columns B1 and D4)

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B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I12 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

GRAHAM, DONALD L.

Date of Report

8/26/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

GRAHAM, DONALD L.

Date of Report

8/26/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ DONALD L. GRAHAM**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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