

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Goodwin, Joseph R -	2. Court or Organization US District Court, Southern WV	3. Date of Report 5/10/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge\Active	5. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 300 Virginia Street E Ste 5009 PO Box 2546 Charleston, WV 25301	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1.

_____**B. Spouse's Non-Investment Income** - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE1.

_____**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION1.

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☐ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE

1. ABN-AMRO Mortgage

Mortgage on Property #4

M

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Scudder Mt Tax Free Fund	D	Interest	M	T	Part Sale	3/05	J	A	
2.	A	Cap Gain			Part Sale	05/09	J	A	
3.					Part Sale	6/23	J	A	
4.					Buy	6/06	K		
5. Smith Barney Money Fund	A	Dividend	K	T	Transfer	11/14	K		Transferred to Charles Schwab
6. Common Stock, United Bankshares	C	Dividend	L	T	Transfer	11/14	L		Transferred to Charles Schwab
7. Common Stock, Cisco Systems, Inc.		None	J	T	Transfer	11/14	J		Transferred to Charles Schwab
8.					Part Sale	12/22	K	C	
9. Common Stock America Online, Inc.		None			Partial Sale	3/11	J		
10.					Sell	10/21	J		
11. Common Stock, Microsoft, Corp	A	Dividend	J	T	Transfer	11/14	J		Transferred to Charles Schwab
12. Common Stock, Intl Business Machine	A	Dividend	J	T	Transfer	11/14	J		Transferred to Charles Schwab
13. Common Stock, EchoStar Comm Corp		None	J	T	Transfer	11/14	J		Transferred to Charles Schwab
14. Common Stock, Intel	A	Dividend	-		Sell	11/04	K	C	
15. Citigroup, Inc.	A	Dividend			Sell	1/22	K	A	
16. Common Stock, Pfizer	A	Dividend	J	T	Transfer	11/14	J		Transferred to Charles Schwab
17. Common Stock, EMC Corp-Mass Investment Fund		None			Sell	4/16	J	A	
18. Olsten Financial Alert Mutual Fund		None			Sell	7/22	K	A	

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
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3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
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19. Triquint Semiconductor, Inc. Salomon Smith Barney		None			Buy	1/23	J		
20.					Sell	2/10	J	A	
21. Real Estate #2, Ripley, WV 1/2 Undivided Interest	D	Rent	K	W					
22. Real Estate #4, Ripley, WV	A	Rent	K	W					
23. Real Estate #5, Ripley, WV		None	J	W					
24. 1/4 Min Int Grant & Mineral Co	A	Royalty	J	T					
25. #1 City National Bank, Ripley, WV	A	Interest	J	T					
26. #2 City National Bank, Ripley, WV	A	Interest	J	T					
27. #1 United National Bank, Ripley, WV					Closed				
28. Greenbrier Garden Apt, Limited Partnership	D	Distribution			Sell	12/31	K		
29. Fidelity & Guaranty Life Insurance Policy		None	J	T					
30. Strong Money Market Fund	A	Dividend	J	T	Transfer	11/14	J		Transferred to Charles Schwab
31. Strong Equity Income Fund	A	Dividend			Transfer	11/14	J		Transferred to Charles Schwab
32.					Sell	11/20	K	D	
33. Strong Growth & Income Fund	A	Dividend			Transfer	11/14	K		
34.					Sell	11/20	K	D	
35. Strong Government Security Fund	A	Dividend			Transfer	11/14	K		
36.					Sell	11/20	K	C	

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37. Salomon Smith Barney IRA Account No. 1									
38. -Salomon Smith Barney Money Fund	A	Dividend	J	T	Transfer	11/14	J		Transferred to Charles Schwab
39. -Common Stock EMC Corp		None	J	T	Transfer	11/14	J		Transferred to Charles Schwab
40. -Common Stock, Global Crossing LTD		None	J	T	Transfer	11/14	J		Transferred to Charles Schwab
41. -Common Stock, Cisco Systems, Inc.		None	J	T	Transfer	11/14	J		Transferred to Charles Schwab
42. -Common Stock, IBM.	A	Dividend	J	T	Transfer	11/14	J		Transferred to Charles Schwab
43. -Common Stock, Portal Software		None	J	T	Transfer	11/14	J		Transferred to Charles Schwab
44. Trust #1	A	Royalty	L	T					
45. -Royalty Interest #1, Nicholas County, WV									
46. -Royalty Interest #2, Nicholas County, WV									
47. -Royalty Interest #3, Nicholas County, WV									
48. -Royalty Interest #4, Nicholas County, WV									
49. -Royalty Interest #6, Nicholas County, WV									
50. -Royalty Interest #7, Nicholas County, WV									
51. -Royalty Interest #8, Nicholas County, WV									
52. -Royalty Interest #9, Nicholas County, WV									
53. -Royalty Interest #10, Randolph County, WV									
54. -Royalty Interest #11, Randolph County, WV									

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55. -Royalty Interest #12, Randolph County, WV									
56. -Royalty Interest #13, Randolph County, WV									
57. -Royalty Interest #14, Randolph County, WV									
58. -Royalty Interest #15, Braxton County, WV									
59. -Royalty Interest #16, Braxton County, WV									
60. -Royalty Interest #17, Braxton County, WV									
61. -Royalty Interest #18, Braxton County, WV									
62. -Royalty Interest #19, Braxton County, WV									
63. -Royalty Interest #20, Braxton County, WV									
64. -Royalty Interest #21, Braxton County, WV									
65. -Royalty Interest #22, Braxton County, WV									
66. -Royalty Interest #23, Braxton County, WV									
67. -Royalty Interest #24, Nicholas County, WV									
68. -Royalty Interest #25, Nicholas County, WV									
69. B B & T Account #1	A	Interest	J	T					
70. WV Media Partners	A	Interest	K	T					
71. Real Estate #6, Charleston, WV		None	N	R					
72. Charles Schwab Investment Account									

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73. -Common Stock, Citrix Systems, Inc.		None	J	T	Buy	11/04	J		
74. -Common Stock EMC Corp Mass		None	J	T	Buy	10/21	J		
75. -Common Stock Intrepid Capital Corp		None			Buy	12/23	J		
76.					Sell	12/24	J		
77. -Common Stock, JDS Uniphase Corp		None	J	T	Buy	11/18	J		
78. -Common Stock, Microsoft Corp	A	Dividend	J	T	Buy	11/18	J		
79. -Common Stock, Microsoft Corp			J	T	Buy	12/12	J		
80. -Common Stock, Texas Instruments, Inc.	A	Dividend	J	T	Buy	10/21	J		
81. -Dodge & Cox Mutual Stock Fund	A	Dividend	K	T	Buy	12/01	K		
82. -Dodge & Cox Mutual Stock Fund			K	T	Buy	12/17	K		
83. -Dodge & Cox Mutual Stock Fund			L	T	Buy	12/29	L		
84. -Vanguard Small Cap Index	A	Dividend	K	T	Buy	12/01	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

1. The stocks in Items 5 through 21 that were still owned on November 14th were all transferred into a brokerage account at Charles Schwab. Items 31 through 34 were also transferred into the same brokerage account. Some of the stocks, as indicated, were then sold.
2. The stocks in the Salomon Smith Barney IRA Account No. 1, Items 36 through 41 were transferred into an IRA Account with Charles Schwab.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

May 14, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544