

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wake, Neil V.	2. Court or Organization U.S. District Court, Arizona	3. Date of Report 09/7/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address 401 W. Washington St., SPC 52 Phoenix, AZ 85003	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Law Offices of Neil Vincent Wake Money Purchase Plan (I am sole beneficiary)
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Self-employed attorney and mediator
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Wells Fargo accounts	A	Interest	J	T					
2. Apache Peak Inv., LLC		None	M	U					
3. Whetstone Dev. Co. (common stock) (X)		None	J	U					
4. Interstate Ventures V Ltd. Ptshp	E	Distribution	L	U					
5. BROKERAGE ACCT # 1									
6. --Morgan Stanley Active Assets Mon Tr (Liquid Asset Fund)	A	Dividend	K	T					
7. --DC Gen Obl Bond	A	Interest			Redeemed	5-27	K		
8. IRA # 1									
9. --MS Charter Campbell LP		None	J	T					
10. --MS CharterMSFCM LP		None	J	T					
11. --MS Charter Grahman LP		None	J	T					
12. IRA # 3	C	Dividend	K						
13. --Morgan StanleyLiquid Asset Fund (MM)	A	Dividend	J	T					
14. --MS Charter Aspect LP		None	J	T	Buy	9-1	J		
15. --MS Charter Graham		None	J	T	Buy	9-1	J		
16. --MS Charter WCM LP		None	J	T	Buy	9-1	J		
17. UTMA Acct # 3									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. --Liquid Asset Fund (MM)		None	J	T					
19. --MSIF Emerging Markets P Prospectus	A	Dividend			Sold	12-31	J		
20. --MSIF Active Intl Allocatn A Prospectus	A	Dividend			Sold	12-31	J		
21. --MSIF US Large Cap GR P0rt A Prospectu s	A	Dividend			Sold	12-31	J		
22. --MSIF TR US Small Val Inst Prosp.	A	Dividend			Sold	12-31	J		
23. --MSIF TR Mid Cap Growth Inst Prosp.	A	Dividend			Sold	12-31	J		
24. --Van Kampen Growth & Inc I Prosp.	A	Dividend			Sold	12-3	J		
25. --Van Kampen Small Cap Growth I Prosp.	A	Dividend			Sold	12-31	J		
26. --MS Small-Mid Spec Value Fund D Prosp.	A	Dividend			Sold	12-31	J		
27. BROKERAGE ACCT # 3									
28. --SEI Tax-Free Fund (MM)	D	Dividend	N	T	Sold (part)	1-25	K	A	
29. --SEI Tax-Free Fund (MM)					Sold (part)	4-22	J	A	
30. --SEI Tax-Free Fund (MM)					Sold (part)	10-6	J	A	
31. --SEI Tax-Free Fund (MM)					Buy (add'l)	9-26	J		
32. --SEI Tax-Free Fund (MM)					Sold (part)	10-6	J	A	
33. --SEI Tax-Free Fund (MM)					Sold (part)	10-17	M	A	
34. --SEI Tax-Free Fund (MM)					Sold (part)	10-6	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. --SEI Tax-Mgd Large Cap Fund (MM)	C	Dividend			Sold	10-9	J	E	
36. --SEI Small Cap Value Fund (Mut Fd)	C	Dividend			Sold	10-9	K		
37. --SEI Small Cap Growth Fund (Mut Fd)	A	Dividend			Sold	10-9	K		
38. --SEI Intermediate-Term Municipal Fund (Mut Fd)	D	Dividend	M	T	Sold (part)	10-9	M		
39. --SEI Intermediate-Term --Municipal Fund (Mut Fd)					Sold (part)	10-22	M		
40. --SEI International Fixed Income Fund (Mut Fd)	A	Dividend			Sold	10-9	L	A	
41. --SEI High Yield Bond Fund (Mut Fd)	B	Dividend			Sold	10-9	K		
42. --SEI Emerging Markets Debt Fund (Mut Fd)	B	Dividend			Sold	10-9	K		
43. --SEI International Equity Fund (Mut Fd)	D	Dividend			Sold	10-9	L	C	
44. --SEI Emerging Markets Equity Fund	D	Dividend			Sold	9-26	K	D	
45. --SEI Short Duration Munical Fd	B	Distribution	N	T	Buy	9-26	K		
46. --SEI Short Duration Munical Fd					Sold (part)	10-22	M		
47. --STET Tax-Advantaged Income Fd # 19	A	Distribution	L	T	Buy	9-26	L		
48. --STET Tax-Advantaged Income Fd # 19					Sold (part)	10-22	M		
49. --SEI Tax Managed Volatity Fd	A	Distribution	K	T	Buy	9-26	L		
50. --SEI Tax Managed Volatity Fd					Sold (part)	10-22	K		
51. --SEI Real Esate Fd	A	Distribution	L	T	Buy	9-26	L		

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52. --SEI Real Estate Fd					Sold (part)	10-22	K		
53. --ING USA Annuity & Life Ins Co (annuity)		None	M	T	Buy	10-17	M		
54. --Amer. Inv. Life Ins. Co. (annuity)		None	N	T	Buy	10-22	N		
55. BROKERAGE ACCT # 4									
56. --SEI Tax-Mgd Large Cap Fund (Mt Fd)	B	Dividend			Sold	9-26	J	A	
57. --SEI Small Cap Value Fund (Mut Fd)	A	Dividend			Sold	9-26	J		
58. --SEI Small Cap Growth Fund (Mut Fd)	A	Dividend			Sold	9-26	J		
59. --SEI Intermediate-Term Municipal Fund (Mut Fd)	A	Dividend			Sold	9-26	K		
60. --SEI International Fixed Income Fund (Mut Fd)	A	Dividend			Sold	9-26	J	A	
61. --SEI Emerging Markets Debt Fund (Mut F d)	A	Dividend			Sold	9-26	J		
62. --SEI High Yield Bond Fund (Mut Fd)	A	Dividend			Sold	9-26	J	B	
63. --SEI International Equity Fund (Mut Fd)	B	Dividend			Sold	9-26	J	A	
64. --SEI Emerging Markets Equity Fund (Mut Fd)	B	Dividend			Sold	9-26	J	B	
65. --Cisco Systems Inc (common) (transfer from other acct)		None	J	T					
66. --SEI Short Duration Municipal Fd	A	Dividend	L	T	Buy	9-26	L		
67. --STET Tax-Advantaged Income Fd # 19	A	Dividend	J	T	Buy	9-26	J		
68. --SEI Tax Managed Volatility Fd	A	Dividend	J	T	Buy	9-26	J		

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69. --SEI RealEstate Fd	A	Dividend	J	T	Buy	9-26	J		
70. --SEI Tax-Free Fd	A	Dividend	J	T	Buy	9-26	J		
71. BROKERAGE ACCT # 5									
72. --SEI Large Cap Growth Fund (Mut Fd)	D	Dividend			Sold	9-26	N		
73. --SEI Large Cap Value Fund (Mut Fd)	D	Dividend			Sold	9-26	M		
74. --SEI Small Cap Value Fund (Mut Fd)	B	Dividend			Sold	9-26	K		
75. --SEI Small Cap Growth Fund (Mut Fd)	B	Dividend			Sold	9-26	K		
76. --Medtronic Inc common stock	A	Dividend			Sold	10-7	K		
77. --Microsoft Corp common stock	A	Dividend			Sold	10-7	J		
78. --Verizon Communications common stock	A	Dividend			Sold	10-7	J		
79. --SEI International Equity Fund (Mut Fd)	D	Dividend			Sold	9-26	M		
80. --SEI Emerging Markets Equity Fund (Mut Fd)	E	Dividend			Sold	9-26	L		
81. --SEI Core Fixed Incom Fund (Mut Fd)	D	Dividend			Sold	9-26	K		
82. --SEI International Fixed Income Fund (Mut Fd)	B	Dividend			Sold	9-26	K	A	
83. --SEI High Yield Bond Fund (Mut Fd)	B	Dividend	L	T	Buy (add'l)	9-26	L		
84. --SEI Emerging Markets Debt Fund (Mut Fd)	B	Dividend			Sold	9-26	K		
85. --SEI Prime Obligation Fund (Money Market)	A	Dividend	K	T	Buy (add'l)	9-26	O		

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86. --SEI Prime Obligation Fund (Money Market)					Buy (add'l)	10-7	K		
87. --SEI Prime Obligation Fund (Money Market)					Sell part	10-7	J	A	
88. --SEI Prime Obligation Fund (Money Market)					Sell part	10-22	O	A	
89. --SIMT Enhanced Income (Fd)	A	Dividend	L	T	Buy	9-26	L		
90. --SEI Managed Volatility Fd		Dividend	K	T	Buy	9-26	K		
91. --SEI Real Estate Fd	A	Dividend	J	T	Buy	9-26	J		
92. --SIMT Global Managed Volatility Fd		Distribution	J	T	Buy	9-26	J		
93. --Jackson Nat. Life Ins. Co. (annuity)		None	O	T	Buy	10-22	O		
94. Pinal County, AZ, land		None	M	W					
95. Mohave County, AZ, land		None	K	W					
96. Roth IRA # 4									
97. --Morgan Stanley Liquid Asset Fund (Mut Fd)	A	Dividend	J	T					
98. Manulife Financial Life Ins	A	Dividend	K	T					
99. Section 529 Account									
100. --Ivy Capital Apprec. E (422)		None	L	T	Buy	10-4	L		
101. IRA # 5--									
102. --Allilanz Life Ins Co of North Am (annuity)		None	N	T	Buy	8-23	N		

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103. Trust # 5 (beneficiaries)									
104. --SEI Prime Obligation Fund	C	Int./Div.	L	T	Sold (part)	10-16	K		
105. IRA # 6									
106. --Morgan Stanely Liquid Assets Fund	A	Dividend	J	T					
107. --Legg Mason Ptnrs Inv Val A (formerly Salomon Investors)	A	Dividend	J	T					
108. --Fidelity Adv Intermed Bd T (reported sold, but only part)	A	Dividend			Sold	11-7	J		
109. --Fidelity Adv Dvrsfd Intl A Propectus	A	Dividend	J	T					
110. --JP Morgan Mid Cap Calue A Prospecuts	A	Dividend	J	T					
111. --American Gr Fd of America F Prospecuts	A	Dividend	J	T					
112. --Jellison Small Co A	A	Dividend	J	T					
113. --Am Europacific Grw Fd	A	Dividend	J	T					
114. --Blackrock US Opport. SVC	A	Dividend			Sold	12-31	J		
115. --Western Asset Core Plus BD FI		None			Buy	11-7	J		
116. --Western Asset Core Plus Bd FI		None			Sold	12-30	J		
117. BROKERAGE ACCT # 6									
118. --Morgan Stanley Mid-Cap Value Fund D	B	Dividend			Sold	12-31	J		
119. --MSIF Active Intl Allocatn A	A	Dividend			Sold	12-31	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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09/7/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --Van Kampen Grw & Inc A	A	Dividend	K	T	Sell part	12-31	K		
121. --MSIF TR Small Co Growth A	A	Dividend			Sold	12-31	J		
122. --MSIF Emerging Markets A	A	Dividend			Sold	12-31	J		
123. --MSIF TR Mid Cap Growth Inst	B	Dividend			Sold	12-31	J		
124. --MSIF TR Large Cap Growth Port A	A	Dividend			Sold	12-31	J		
125. --MSIF TR US Small Cap Val Int	A	Dividend			Sold	12-31	J		
126. Callon Petroleum Co (common)		None	J	T					
127. BROKERAGE ACCT # 8									
128. --Morgan Stanley Bank (transfer from other acct)	A	Interest	M	T					
129. --AZ Hlth Facs Auth Rv Bannerr Hlth-E W /7	A	Interest			Sold	4-3	L		
130. --AZ Hlth Facs Auth Rv Bannerr Hlth-F W /7	A	Interest			Sold	4-7	L		
131. BROKERAGE ACCT # 9									
132. --MSIF Active Intl Allocat A	B	Dividend			Sold	12-31	K		
133. --MSIF Intl Magnum A	A	Dividend			Sold	11-7	J		
134. --Van Kampen Grow & Inc I	B	Dividend			Sold	12-31	K		
135. --Van Kampen Small Cap Growth I	A	Dividend			Sold	12-31	J		
136. --MSIF TR Mid Cap Growth INST	A	Dividend			Sold	12-31	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Wake, Neil V.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

137. --MSIF US Large Cap Growth Port A	A	Dividend			Sold	12-31	J		
138. --MSIF TR US Small Cap Val. Inst.	A	Dividend			Sold	12-31	J		
139. --MS Small-Mid Spec. Value Fund D	A	Dividend			Sold	12-31	J		
140. --MFS Total Return A		None	K	T	Buy	12-31	K		
141. --Blackrock Equity Dividend A		None	K	T	Buy	12-31	K		
142. --Columbia Select LG CP Growth A		None	K	T	Buy	12-31	K		
143. IRA # 7	C	Dividend	M	T					
144. --MS Liquid Asset Fd			J	T					
145. --Jennison Small Co A					Sold	12-30	J		
146. --Mainstay Hi Yld Corp Bond A					Sold	12-30	J		
147. --Western Asseet Core Inc. Bd FI					Sold	12-30	K		
148. --RS Partners A					Sold	12-30	J		
149. --MFS Research Intl A					Sold	12-30	J		
150. --Lazard Emerging Markets I					Sold	12-30	J		
151. --Am Growth Fd of Amer F									
152. --JP Morgan Mid Cap Value A					Sold	12-30	J		
153. --Alliance Bernstein Intl Value A					Sold	12-30	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Wake, Neil V.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. --TCW Divident Focused N					Sold	12-30	K		
155. --Blackrock US Opportunities SVC					Sold	12-30	J		
156. --Gabelli EQ Inc AAA					Buy	12-30	K		
157. --MS Strategist Fund A					Buy	12-30	J		
158. --American GR FD of America F					Buy	12-30	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

The 2007 Report on line 208 listed "--MSIF TR US Small Cap Val. Inst" as an asset under "IRA # 7." That asset has been omitted from this Report without a transaction because it was listed by error in the 2007 Report.

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

A large black rectangular redaction box covering the signature area.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544