

UNITED STATES COURT OF APPEALS  
FOR THE THIRD CIRCUIT

THOMAS M. HARDIMAN  
CIRCUIT JUDGE

2270 U.S. POST OFFICE & COURTHOUSE  
700 GRANT STREET  
PITTSBURGH, PA 15219-1906

September 6, 2007

Honorable Ortrie D. Smith, Chair  
Judicial Conference of the United States  
Committee on Financial Disclosure  
One Columbus Circle, N.E.  
Washington, D.C. 20544

Re: Calendar Year 2006 Filing

Dear Judge Smith:

In response to your letter of August 9, 2007, I submit the following in amendment of my nomination report dated January 11, 2007 and my annual report dated May 14, 2007.

Dell was sold on November 10, 2006 and the Gain Code is C.

Embarq was sold on November 24, 2006 and the Gain Code is B.

As of December 31, 2006, I continued to hold both Arch Capital preferred stock and Eaton Vance mutual fund. For Arch Capital, the Income Code is A, the Type is Div., the Value Code is J, and the Value Method is T. For Eaton Vance, the Income Code is blank, the Type is Div., the Value Code is K and the Value Method is T.

Thank you for your assistance with these matters.

Sincerely,

Thomas M. Hardiman

FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2005Report Required by the Ethics  
in Government Act of 1978,  
(5 U.S.C. App. §§101-111)

|                                                                                                                                                                                                        |                                                                                                                                                                                                                                  |                                                                   |                                                    |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|----------------------------------------------------|
| <b>1. Person Reporting</b> (Last name, first, middle initial)<br>Hardiman, Thomas M.                                                                                                                   |                                                                                                                                                                                                                                  | <b>2. Court or Organization</b><br>Third Circuit Court of Appeals | <b>3. Date of Report</b><br>May 14, 2007           |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br>U.S. Circuit Judge - Active                                                    | <b>5. Report Type</b> (check appropriate type)<br>____ Nomination, Date _____<br>____ Initial <input checked="" type="checkbox"/> Annual ____ Final                                                                              |                                                                   | <b>6. Reporting Period</b><br>01/01/06 to 12/31/06 |
| <b>7. Chambers or Office Address</b><br>2270 U.S. Post Office & Courthouse<br>700 Grant Street<br>Pittsburgh, Pa. 15219                                                                                | <b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b><br><br>Reviewing Officer _____ Date _____ |                                                                   |                                                    |
| <b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page. |                                                                                                                                                                                                                                  |                                                                   |                                                    |

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of Instructions.)POSITIONNAME OF ORGANIZATION/ENTITY☐

NONE (No reportable positions.)

1 Director

Big Brothers Big Sisters of Greater Pittsburgh, Inc.

2

3

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of Instructions.)DATEPARTIES AND TERMS☒

NONE (No reportable positions.)

1

2

**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of Instructions.)DATESOURCE AND TYPEGROSS INCOME**A. Filer's Non-Investment Income**☒

NONE (No reportable non-investment income.)

1

\$

2

\$

3

\$

**B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)**☐

NONE (No reportable non-investment income.)

1

2006

The First City Company

2

RECEIVED  
2007 MAY 15 P 1:06  
FINANCIAL  
DISCLOSURE OFFICE

**FINANCIAL DISCLOSURE REPORT**Name of Person Reporting  
Thomas M. HardimanDate of Report  
May 14, 2007**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.*(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)*

|                                     | <u>SOURCE</u>                                    | <u>DESCRIPTION</u> |
|-------------------------------------|--------------------------------------------------|--------------------|
| <input checked="" type="checkbox"/> | <b>NONE</b> (No such reportable reimbursements.) |                    |
| 1                                   |                                                  |                    |
| 2                                   |                                                  |                    |
| 3                                   |                                                  |                    |
| 4                                   |                                                  |                    |
| 5                                   |                                                  |                    |
| 6                                   |                                                  |                    |
| 7                                   |                                                  |                    |

**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)*

|                          | <u>SOURCE</u>                                | <u>DESCRIPTION</u>  | <u>VALUE</u> |
|--------------------------|----------------------------------------------|---------------------|--------------|
| <input type="checkbox"/> | <b>NONE</b> (No such reportable gifts.)      |                     |              |
| 1                        | Academy of Trial Lawyers of Allegheny County | Honorary Membership | \$ 500.00    |
| 2                        |                                              |                     | \$           |
| 3                        |                                              |                     | \$           |
| 4                        |                                              |                     | \$           |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)*

|                                     | <u>CREDITOR</u>                          | <u>DESCRIPTION</u> | <u>VALUE CODE*</u> |
|-------------------------------------|------------------------------------------|--------------------|--------------------|
| <input checked="" type="checkbox"/> | <b>NONE</b> (No reportable liabilities.) |                    |                    |
| 1                                   |                                          |                    |                    |
| 2                                   |                                          |                    |                    |
| 3                                   |                                          |                    |                    |
| 4                                   |                                          |                    |                    |
| 5                                   |                                          |                    |                    |

|               |                             |                          |                      |                            |
|---------------|-----------------------------|--------------------------|----------------------|----------------------------|
| *Value Codes: | J=\$15,000 or less          | K=\$15,001-\$50,000      | L=\$50,001-\$100,000 | M=\$100,001-\$250,000      |
|               | N=\$250,001-\$500,000       | O=\$500,001-\$1,000,000  |                      | P1=\$1,000,001-\$5,000,000 |
|               | P2=\$5,000,001-\$25,000,000 | P3=25,000,001-50,000,000 |                      | P4=50,000,001 or more      |

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Thomas M. Hardiman

Date of Report

May 14, 2007

## VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br><i>Place "(X)" after each asset<br/>exempt from prior disclosure.</i> | B.<br>Income<br>during<br>reporting period |                                             | C.<br>Gross value<br>at end of<br>reporting period |                                   | D.<br>Transactions during reporting period             |                               |                                    |                               |                                                                |
|--------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|---------------------------------------------|----------------------------------------------------|-----------------------------------|--------------------------------------------------------|-------------------------------|------------------------------------|-------------------------------|----------------------------------------------------------------|
|                                                                                                                                      | (1)                                        | (2)                                         | (1)                                                | (2)                               | (1)                                                    | If not exempt from disclosure |                                    |                               |                                                                |
|                                                                                                                                      | Amt.<br>Code1<br>(A-H)                     | Type<br>(e.g.,<br>div.,<br>rent or<br>int.) | Value<br>Code2<br>(J-P)                            | Value<br>Method<br>Code3<br>(Q-W) | Type<br>(e.g.,<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month-<br>Day | (3)<br>Value<br>Code<br>2<br>(J-P) | (4)<br>Gain<br>Code1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private transaction) |
| <input type="checkbox"/> NONE (No reportable income,                                                                                 |                                            |                                             |                                                    |                                   |                                                        |                               |                                    |                               |                                                                |
| 1 PNC Checking Account                                                                                                               | A                                          | Int.                                        | L                                                  | T                                 |                                                        |                               |                                    |                               |                                                                |
| 2 ESB Bank Savings Account                                                                                                           | C                                          | Int.                                        | M                                                  | T                                 |                                                        |                               |                                    |                               |                                                                |
| 3 PNC Money Market Account                                                                                                           | C                                          | Int.                                        | M                                                  | T                                 |                                                        |                               |                                    |                               |                                                                |
| 4 Vanguard Prmcip Mut. Fund (MF)                                                                                                     | A                                          | Div.                                        | K                                                  | T                                 |                                                        |                               |                                    |                               |                                                                |
| 5 Fulton Financial Stk (CS)                                                                                                          | A                                          | Div.                                        | K                                                  | T                                 |                                                        |                               |                                    |                               |                                                                |
| 6 Evoxis Convertible Debt                                                                                                            |                                            | None                                        | J                                                  | T                                 |                                                        |                               |                                    |                               |                                                                |
|                                                                                                                                      |                                            |                                             |                                                    |                                   |                                                        |                               |                                    |                               |                                                                |
| WACHOVIA EQUITY ACCT.                                                                                                                |                                            |                                             |                                                    |                                   |                                                        |                               |                                    |                               |                                                                |
| 7 Evergreen Money Market (MM)                                                                                                        | D                                          | Int.                                        | N                                                  | T                                 |                                                        |                               |                                    |                               |                                                                |
| 8 American Axle & Mfg CS                                                                                                             | A                                          | Div.                                        | K                                                  | T                                 | Buy                                                    | 3/6<br>4/10                   | J<br>J                             |                               |                                                                |
| 9 American Int'l Grp. CS                                                                                                             | A                                          | Div.                                        | K                                                  | T                                 | Buy                                                    | 5/17<br>5/30                  | J<br>J                             |                               |                                                                |
| 10 Apria Healthcare Group CS                                                                                                         |                                            | None                                        | J                                                  | T                                 |                                                        |                               |                                    |                               |                                                                |
| 11 Bank of America CS                                                                                                                | A                                          | Div.                                        |                                                    |                                   | Sell                                                   | 6/22<br>9/29                  | J<br>K                             | B<br>C                        |                                                                |
| 12 Borders Group CS                                                                                                                  | A                                          | Div.                                        |                                                    |                                   | Sell                                                   | 1/25                          | J                                  | A                             |                                                                |
| 13 Cisco Systems CS                                                                                                                  |                                            | None                                        | K                                                  | T                                 | Buy                                                    | 8/8                           | J                                  |                               |                                                                |
| 14 Citigroup CS                                                                                                                      | A                                          | Div.                                        | K                                                  | T                                 |                                                        |                               |                                    |                               |                                                                |
| 15 Coca-Cola CS                                                                                                                      | A                                          | Div.                                        | J                                                  | T                                 |                                                        |                               |                                    |                               |                                                                |

|   |                                                                                                            |                                                |                                                                                |                                                      |                     |
|---|------------------------------------------------------------------------------------------------------------|------------------------------------------------|--------------------------------------------------------------------------------|------------------------------------------------------|---------------------|
| 1 | Income/Gain Codes: A=\$1,000 or less<br>(See Col. B1, D4) F=\$50,001-\$100,000                             | B=\$1,001-\$2,500<br>G=\$100,001-\$1,000,000   | C=\$2,501-\$5,000<br>H1=\$1,000,001-\$5,000,000                                | D=\$5,001-\$15,000<br>H2=More than \$5,000,000       | E=\$15,001-\$50,000 |
| 2 | Value Codes: J=\$15,000 or less<br>(See Col. C1, D3) N=\$250,001-\$500,000<br>P3=\$25,000,001-\$50,000,000 | K=\$15,001-\$50,000<br>O=\$500,001-\$1,000,000 | L=\$50,001-\$100,000<br>P1=\$1,000,001-\$5,000,000<br>P4=More than \$50,000,00 | M=\$100,001-\$250,000<br>P2=\$5,000,001-\$25,000,000 |                     |
| 3 | Value Method Codes: Q=Appraisal<br>(See Col. C2) U=Book value                                              | R=Cost (real estate only)<br>V=Other           | S=Assessment<br>W=Estimated                                                    | T=Cash/Market                                        |                     |

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Thomas M. Hardiman

Date of Report

May 14, 2007

## VII. Page 2 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

| A.<br>Description of assets<br>(including trust assets)<br><br><i>Place "(X)" after each asset<br/>exempt from prior disclosure.</i> | B.<br>Income during<br>reporting period |                                             | C.<br>Gross value<br>at end of<br>reporting period |                                  | D.<br>Transactions during reporting period             |                               |                                |                               |                                                                |
|--------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|---------------------------------------------|----------------------------------------------------|----------------------------------|--------------------------------------------------------|-------------------------------|--------------------------------|-------------------------------|----------------------------------------------------------------|
|                                                                                                                                      | (1)                                     | (2)                                         | (1)                                                | (2)                              | (1)                                                    | If not exempt from disclosure |                                |                               |                                                                |
|                                                                                                                                      | Amt.<br>Code1<br>(A-H)                  | Type<br>(e.g.,<br>div.,<br>rent or<br>int.) | Value<br>Code2<br>(J-P)                            | Value<br>Method<br>Code<br>(Q-W) | Type<br>(e.g.,<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month-<br>Day | (3)<br>Value<br>Code2<br>(J-P) | (4)<br>Gain<br>Code1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions)                                                        |                                         |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
| 16 Cooper Tire & Rubber CS                                                                                                           | A                                       | Div.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 17 Direct TV CS                                                                                                                      |                                         | None                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 18 Ebay CS                                                                                                                           |                                         | None                                        | J                                                  | T                                | Buy                                                    | 8/1                           | J                              |                               |                                                                |
| 19 Fairchild Semiconductor CS                                                                                                        |                                         | None                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 20 Fannie Mae CS                                                                                                                     | A                                       | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 21 Freescale Semiconductor B CS<br>(Motorola spinoff)                                                                                |                                         | None                                        |                                                    |                                  | Sell                                                   | 4/3                           | J                              | B                             |                                                                |
| 22 General Electric CS                                                                                                               | A                                       | Div.                                        | K                                                  | T                                | Buy                                                    | 7/13<br>7/20                  | J<br>J                         |                               |                                                                |
| 23 Hasbro CS                                                                                                                         | A                                       | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 24 Helix Energy CS                                                                                                                   |                                         | None                                        | K                                                  | T                                | Buy                                                    | 2/13<br>9/19<br>10/3          | K<br>J<br>J                    |                               |                                                                |
| 25 Home Depot CS                                                                                                                     | A                                       | Div.                                        | K                                                  | T                                | Buy                                                    | 7/20<br>8/15                  | J<br>J                         |                               |                                                                |
| 26 Magellan Health Svcs. CS                                                                                                          |                                         | None                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 27 Microsoft CS                                                                                                                      | A                                       | Div.                                        | K                                                  | T                                | Buy                                                    | 5/19                          | K                              |                               |                                                                |
| 28 Motorola Inc. CS                                                                                                                  | A                                       | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 29 NCR CS                                                                                                                            |                                         | None                                        | K                                                  | T                                | Buy                                                    | 1/6                           | K                              |                               |                                                                |
| 30 Newell Rubbermaid CS                                                                                                              | A                                       | Div.                                        | J                                                  | T                                | Buy                                                    | 11/18                         | J                              |                               |                                                                |
| 31 Newmont Mining CS                                                                                                                 | A                                       | Div.                                        |                                                    |                                  | Sell                                                   | 5/1                           | K                              | A                             |                                                                |

|   |                                         |                                                                             |                                                |                                                                                 |                                                      |                     |
|---|-----------------------------------------|-----------------------------------------------------------------------------|------------------------------------------------|---------------------------------------------------------------------------------|------------------------------------------------------|---------------------|
| 1 | Income/Gain Codes:<br>(See Col. B1, D4) | A=\$1,000 or less<br>F=\$50,001-\$100,000                                   | B=\$1,001-\$2,500<br>G=\$100,001-\$1,000,000   | C=\$2,501-\$5,000<br>H1=\$1,000,001-\$5,000,000                                 | D=\$5,001-\$15,000<br>H2=More than \$5,000,000       | E=\$15,001-\$50,000 |
| 2 | Value Codes:<br>(See Col. C1, D3)       | J=\$15,000 or less<br>N=\$250,001-\$500,000<br>P3=\$25,000,001-\$50,000,000 | K=\$15,001-\$50,000<br>O=\$500,001-\$1,000,000 | L=\$50,001-\$100,000<br>P1=\$1,000,001-\$5,000,000<br>P4=More than \$50,000,000 | M=\$100,001-\$250,000<br>P2=\$5,000,001-\$25,000,000 |                     |
| 3 | Value Method Codes:<br>(See Col. C2)    | Q=Appraisal<br>U=Book value                                                 | R=Cost (real estate only)<br>V=Other           | S=Assessment<br>W=Estimated                                                     | T=Cash/Market                                        |                     |

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Thomas M. Hardiman

Date of Report

May 14, 2007

## VII. Page 3 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br><i>Place "(X)" after each asset<br/>exempt from prior disclosure.</i> | B.<br>Income<br>during<br>reporting period |                                             | C.<br>Gross value<br>at end of<br>reporting period |                                  | D.<br>Transactions during reporting period             |                               |                                |                               |                                                                |
|--------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|---------------------------------------------|----------------------------------------------------|----------------------------------|--------------------------------------------------------|-------------------------------|--------------------------------|-------------------------------|----------------------------------------------------------------|
|                                                                                                                                      | (1)                                        | (2)                                         | (1)                                                | (2)                              | (1)                                                    | If not exempt from disclosure |                                |                               |                                                                |
|                                                                                                                                      | Amt.<br>Code1<br>(A-H)                     | Type<br>(e.g.,<br>div.,<br>rent or<br>int.) | Value<br>Code2<br>(J-P)                            | Value<br>Method<br>Code<br>(Q-W) | Type<br>(e.g.,<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month-<br>Day | (3)<br>Value<br>Code2<br>(J-P) | (4)<br>Gain<br>Code1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions)                                                        |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
| 32 Nokia Corp. ADR                                                                                                                   | A                                          | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 33 Peak Int'l CS                                                                                                                     |                                            | None                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 34 Pfizer CS                                                                                                                         | A                                          | Div.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 35 Pharmion Corp. CS                                                                                                                 |                                            | None                                        | K                                                  | T                                | Buy<br>Buy                                             | 2/24<br>8/10                  | J<br>J                         |                               |                                                                |
| 36 Plato Learning CS                                                                                                                 |                                            | None                                        | J                                                  | T                                | Buy                                                    | 5/31<br>10/31                 | J<br>J                         |                               |                                                                |
| 37 PNC Financial Svcs CS                                                                                                             | A                                          | Div.                                        |                                                    |                                  | Sell                                                   | 6/30                          | K                              | D                             |                                                                |
| 38 Sprint Corp. CS                                                                                                                   | A                                          | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 39 Tyco CS                                                                                                                           | A                                          | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 40 United Online Inc. CS                                                                                                             | B                                          | Div.                                        |                                                    |                                  | Sell                                                   | 4/25                          | K                              | B                             |                                                                |
| 41 UST Inc. CS                                                                                                                       | B                                          | Div.                                        |                                                    |                                  | Sell                                                   | 8/24                          | K                              | D                             |                                                                |
| 42 U. S. Treasury Bill                                                                                                               |                                            | None                                        | M                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 43 Wal-Mart CS                                                                                                                       | A                                          | Div.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 44 Waste Management CS                                                                                                               | A                                          | Div.                                        |                                                    |                                  | Sell                                                   | 1/27                          | K                              | D                             |                                                                |
| 45 Wilson Leather Exp. CS                                                                                                            |                                            | None                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 46 Winn-Dixie CS                                                                                                                     |                                            | None                                        | J                                                  | T                                | Buy                                                    | 11/29                         | J                              |                               |                                                                |
|                                                                                                                                      |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |

|   |                                      |                                                                             |                                                |                                                                                |                                                      |                     |
|---|--------------------------------------|-----------------------------------------------------------------------------|------------------------------------------------|--------------------------------------------------------------------------------|------------------------------------------------------|---------------------|
| 1 | Income/Gain Codes: (See Col. B1, D4) | A=\$1,000 or less<br>F=\$50,001-\$100,000                                   | B=\$1,001-\$2,500<br>G=\$100,001-\$1,000,000   | C=\$2,501-\$5,000<br>H1=\$1,000,001-\$5,000,000                                | D=\$5,001-\$15,000<br>H2=More than \$5,000,000       | E=\$15,001-\$50,000 |
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| 3 | Value Method Codes: (See Col. C2)    | Q=Appraisal<br>U=Book value                                                 | R=Cost (real estate only)<br>V=Other           | S=Assessment<br>W=Estimated                                                    | T=Cash/Market                                        |                     |

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Thomas M. Hardiman

Date of Report

May 14, 2007

## VII. Page 4 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br><i>Place "(X)" after each asset<br/>exempt from prior disclosure.</i> | B.<br>Income<br>during<br>reporting period |                                             | C.<br>Gross value<br>at end of<br>reporting period |                                  | D.<br>Transactions during reporting period             |                               |                                |                               |                                                                |
|--------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|---------------------------------------------|----------------------------------------------------|----------------------------------|--------------------------------------------------------|-------------------------------|--------------------------------|-------------------------------|----------------------------------------------------------------|
|                                                                                                                                      | (1)                                        | (2)                                         | (1)                                                | (2)                              | (1)                                                    | If not exempt from disclosure |                                |                               |                                                                |
|                                                                                                                                      | Amt.<br>Code1<br>(A-H)                     | Type<br>(e.g.,<br>div.,<br>rent or<br>int.) | Value<br>Code2<br>(J-P)                            | Value<br>Method<br>Code<br>(Q-W) | Type<br>(e.g.,<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month-<br>Day | (3)<br>Value<br>Code2<br>(J-P) | (4)<br>Gain<br>Code1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions)                                                        |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
| WACHOVIA BOND ACCT.                                                                                                                  |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
| 47 Evergreen MMF                                                                                                                     | A                                          | Int.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 48 ABN Amro Cap VII                                                                                                                  | B                                          | Int.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 49 Duke Energy Pfd. Stk. (PF)                                                                                                        | A                                          | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 50 Ryder System Inc. PF                                                                                                              | B                                          | Div.                                        |                                                    |                                  | Sell                                                   | 6/1                           | K                              | A                             |                                                                |
| 51 Safeco Cap PF                                                                                                                     | B                                          | Div.                                        |                                                    |                                  | Sell                                                   | 5/30                          | K                              | B                             |                                                                |
| 52 Franklin Biotech MF                                                                                                               | A                                          | Div.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 53 Investment Co. MF                                                                                                                 | A                                          | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 54 Putnam New Opp. MF                                                                                                                | A                                          | Div.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 55 UnumProvident PF                                                                                                                  | A                                          | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 56 Wash. Mut. Inves. MF                                                                                                              | A                                          | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
|                                                                                                                                      |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
| 401(k) ACCOUNT #1                                                                                                                    |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
| 57 Principal MM                                                                                                                      | A                                          | Int.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 58 Principal Large Cap MF                                                                                                            | A                                          | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 59 Principal Med. Co. Bld MF                                                                                                         | A                                          | Div.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |

|   |                                         |                                                                             |                                                |                                                                                 |                                                      |                     |
|---|-----------------------------------------|-----------------------------------------------------------------------------|------------------------------------------------|---------------------------------------------------------------------------------|------------------------------------------------------|---------------------|
| 1 | Income/Gain Codes:<br>(See Col. B1, D4) | A=\$1,000 or less<br>F=\$50,001-\$100,000                                   | B=\$1,001-\$2,500<br>G=\$100,001-\$1,000,000   | C=\$2,501-\$5,000<br>H1=\$1,000,001-\$5,000,000                                 | D=\$5,001-\$15,000<br>H2=More than \$5,000,000       | E=\$15,001-\$50,000 |
| 2 | Value Codes:<br>(See Col. C1, D3)       | J=\$15,000 or less<br>N=\$250,001-\$500,000<br>P3=\$25,000,001-\$50,000,000 | K=\$15,001-\$50,000<br>O=\$500,001-\$1,000,000 | L=\$50,001-\$100,000<br>P1=\$1,000,001-\$5,000,000<br>P4=More than \$50,000,000 | M=\$100,001-\$250,000<br>P2=\$5,000,001-\$25,000,000 |                     |
| 3 | Value Method Codes:<br>(See Col. C2)    | Q=Appraisal<br>U=Book value                                                 | R=Cost (real estate only)<br>V=Other           | S=Assessment<br>W=Estimated                                                     | T=Cash/ market                                       |                     |

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Thomas M. Hardiman

Date of Report

May 14, 2007

## VII. Page 5 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br><i>Place "(X)" after each asset<br/>exempt from prior disclosure.</i> | B.<br>Income<br>during<br>reporting period |                                             | C.<br>Gross value<br>at end of<br>reporting period |                                  | D.<br>Transactions during reporting period             |                               |                                |                               |                                                                |
|--------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|---------------------------------------------|----------------------------------------------------|----------------------------------|--------------------------------------------------------|-------------------------------|--------------------------------|-------------------------------|----------------------------------------------------------------|
|                                                                                                                                      | (1)                                        | (2)                                         | (1)                                                | (2)                              | (1)                                                    | If not exempt from disclosure |                                |                               |                                                                |
|                                                                                                                                      | Amt.<br>Code1<br>(A-H)                     | Type<br>(e.g.,<br>div.,<br>rent or<br>int.) | Value<br>Code2<br>(J-P)                            | Value<br>Method<br>Code<br>(Q-W) | Type<br>(e.g.,<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month-<br>Day | (3)<br>Value<br>Code2<br>(J-P) | (4)<br>Gain<br>Code1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private transaction) |
| <input type="checkbox"/> <b>NONE</b> (No reportable income, assets, or transactions)                                                 |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
| 60 Principal Sm. Co. Grwth MF                                                                                                        | A                                          | Div.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 61 Principal Int'l Stock MF                                                                                                          | A                                          | Div.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 62 Principal Fin. Grp Inc.                                                                                                           | A                                          | Div.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 401(k) ACCOUNT #2                                                                                                                    |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
| 63 Merrill Lynch                                                                                                                     | A                                          | Int.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 64 Agere Sys Inc. Class A CS                                                                                                         |                                            | None                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 65 Agere Sys Inc. Class B CS                                                                                                         |                                            | None                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 66 Central Europe & Russia MF                                                                                                        | A                                          | Div.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 67 Cisco Systems CS                                                                                                                  |                                            | None                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 68 Citigroup CS                                                                                                                      | A                                          | Div.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 69 Connetics CS                                                                                                                      |                                            | None                                        |                                                    |                                  | Merger                                                 | 12/29                         | J                              | A                             |                                                                |
| 70 Dow Chemical CS                                                                                                                   | A                                          | Div.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 71 EMC Corp CS                                                                                                                       |                                            | None                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 72 Ford Motor CS                                                                                                                     | A                                          | Div.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |

|   |                                         |                                                                             |                                                |                                                                                 |                                                      |                     |
|---|-----------------------------------------|-----------------------------------------------------------------------------|------------------------------------------------|---------------------------------------------------------------------------------|------------------------------------------------------|---------------------|
| 1 | Income/Gain Codes:<br>(See Col. B1, D4) | A=\$1,000 or less<br>F=\$50,001-\$100,000                                   | B=\$1,001-\$2,500<br>G=\$100,001-\$1,000,000   | C=\$2,501-\$5,000<br>H1=\$1,000,001-\$5,000,000                                 | D=\$5,001-\$15,000<br>H2=More than \$5,000,000       | E=\$15,001-\$50,000 |
| 2 | Value Codes:<br>(See Col. C1, D3)       | J=\$15,000 or less<br>N=\$250,001-\$500,000<br>P3=\$25,000,001-\$50,000,000 | K=\$15,001-\$50,000<br>O=\$500,001-\$1,000,000 | L=\$50,001-\$100,000<br>P1=\$1,000,001-\$5,000,000<br>P4=More than \$50,000,000 | M=\$100,001-\$250,000<br>P2=\$5,000,001-\$25,000,000 |                     |
| 3 | Value Method Codes:<br>(See Col. C2)    | Q=Appraisal<br>U=Book value                                                 | R=Cost (real estate only)<br>V=Other           | S=Assessment<br>W=Estimated                                                     | T=Cash/Market                                        |                     |

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Thomas M. Hardiman

Date of Report

May 14, 2007

## VII. Page 6 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br><i>Place "(X)" after each asset<br/>exempt from prior disclosure</i> | B.<br>Income<br>during<br>reporting period |                                             | C.<br>Gross value<br>at end of<br>reporting period |                                  | D.<br>Transactions during reporting period             |                               |                                |                               |                                                                |
|-------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|---------------------------------------------|----------------------------------------------------|----------------------------------|--------------------------------------------------------|-------------------------------|--------------------------------|-------------------------------|----------------------------------------------------------------|
|                                                                                                                                     | (1)                                        | (2)                                         | (1)                                                | (2)                              | (1)                                                    | If not exempt from disclosure |                                |                               |                                                                |
|                                                                                                                                     | Amt.<br>Code1<br>(A-H)                     | Type<br>(e.g.,<br>div.,<br>rent or<br>int.) | Value<br>Code2<br>(J-P)                            | Value<br>Method<br>Code<br>(Q-W) | Type<br>(e.g.,<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month-<br>Day | (3)<br>Value<br>Code2<br>(J-P) | (4)<br>Gain<br>Code1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions)                                                       |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
| 73 General Electric CS                                                                                                              | A                                          | Div.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 74 Intel CS                                                                                                                         | A                                          | Div.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 75 Merck CS                                                                                                                         | A                                          | Div.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 76 Nektar Therapeutics CS                                                                                                           |                                            | None                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 77 Panacos Pharm. CS                                                                                                                |                                            | None                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 78 Pfizer CS                                                                                                                        | A                                          | Div.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 79 Qwest CS                                                                                                                         |                                            | None                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 80 Sirius CS                                                                                                                        |                                            | None                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 81 Time Warner CS                                                                                                                   | A                                          | Div.                                        |                                                    |                                  | Sell                                                   | 3/13                          | J                              | A                             |                                                                |
| TRUST #1                                                                                                                            |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
| 82 PNC Trust Account                                                                                                                | A                                          | Int.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 83 AMCAP MF                                                                                                                         | A                                          | Div.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 84 Fundamental Inv. MF                                                                                                              | A                                          | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 85 Growth Fund of America MF                                                                                                        | A                                          | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |

|   |                                         |                                                                             |                                                |                                                                                 |                                                      |                     |
|---|-----------------------------------------|-----------------------------------------------------------------------------|------------------------------------------------|---------------------------------------------------------------------------------|------------------------------------------------------|---------------------|
| 1 | Income/Gain Codes:<br>(See Col. B1, D4) | A=\$1,000 or less<br>F=\$50,001-\$100,000                                   | B=\$1,001-\$2,500<br>G=\$100,001-\$1,000,000   | C=\$2,501-\$5,000<br>H1=\$1,000,001-\$5,000,000                                 | D=\$5,001-\$15,000<br>H2=More than \$5,000,000       | E=\$15,001-\$50,000 |
| 2 | Value Codes:<br>(See Col. C1, D3)       | J=\$15,000 or less<br>N=\$250,001-\$500,000<br>P3=\$25,000,001-\$50,000,000 | K=\$15,001-\$50,000<br>O=\$500,001-\$1,000,000 | L=\$50,001-\$100,000<br>P1=\$1,000,001-\$5,000,000<br>P4=More than \$50,000,000 | M=\$100,001-\$250,000<br>P2=\$5,000,001-\$25,000,000 |                     |
| 3 | Value Method Codes:<br>(See Col. C2)    | Q=Appraisal<br>U=Book value                                                 | R=Cost (real estate only)<br>V=Other           | S=Assessment<br>W=Estimated                                                     | T=Cash/Market                                        |                     |

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Thomas M. Hardiman

Date of Report

May 14, 2007

## VII. Page 7 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br><i>Place "(X)" after each asset<br/>exempt from prior disclosure.</i> | B.<br>Income<br>during<br>reporting period |                                             | C.<br>Gross value<br>at end of<br>reporting period |                                  | D.<br>Transactions during reporting period             |                               |                                |                               |                                                                |
|--------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|---------------------------------------------|----------------------------------------------------|----------------------------------|--------------------------------------------------------|-------------------------------|--------------------------------|-------------------------------|----------------------------------------------------------------|
|                                                                                                                                      | (1)                                        | (2)                                         | (1)                                                | (2)                              | (1)                                                    | If not exempt from disclosure |                                |                               |                                                                |
|                                                                                                                                      | Amt.<br>Code1<br>(A-H)                     | Type<br>(e.g.,<br>div.,<br>rent or<br>int.) | Value<br>Code2<br>(J-P)                            | Value<br>Method<br>Code<br>(Q-W) | Type<br>(e.g.,<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month-<br>Day | (3)<br>Value<br>Code2<br>(J-P) | (4)<br>Gain<br>Code1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions)                                                        |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
| 86 Investment Co of America MF                                                                                                       | A                                          | Div.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 87 New Perspective MF                                                                                                                | A                                          | Div.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 88 Washington Mutual Inv. MF                                                                                                         | A                                          | Div.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
|                                                                                                                                      |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
| TRUST #2                                                                                                                             |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
| 89 PNC Trust Account                                                                                                                 | A                                          | Int.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 90 AMCAP MF                                                                                                                          | A                                          | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 91 Fundamental Inv. MF                                                                                                               | A                                          | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 92 Growth Fund of America MF                                                                                                         | A                                          | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 93 Investment Co of America MF                                                                                                       | A                                          | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 94 New Perspective MF                                                                                                                | A                                          | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 95 Washington Mutual Inv. MF                                                                                                         | A                                          | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
|                                                                                                                                      |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
| TRUST #3                                                                                                                             |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
| 96 PNC Trust Account                                                                                                                 | A                                          | Int.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |

|   |                                         |                                                                             |                                                |                                                                                |                                                      |                     |
|---|-----------------------------------------|-----------------------------------------------------------------------------|------------------------------------------------|--------------------------------------------------------------------------------|------------------------------------------------------|---------------------|
| 1 | Income/Gain Codes:<br>(See Col. B1, D4) | A=\$1,000 or less<br>F=\$50,001-\$100,000                                   | B=\$1,001-\$2,500<br>G=\$100,001-\$1,000,000   | C=\$2,501-\$5,000<br>H1=\$1,000,001-\$5,000,000                                | D=\$5,001-\$15,000<br>H2=More than \$5,000,000       | E=\$15,001-\$50,000 |
| 2 | Value Codes:<br>(See Col. C1, D3)       | J=\$15,000 or less<br>N=\$250,001-\$500,000<br>P3=\$25,000,001-\$50,000,000 | K=\$15,001-\$50,000<br>O=\$500,001-\$1,000,000 | L=\$50,001-\$100,000<br>P1=\$1,000,001-\$5,000,000<br>P4=More than \$5,000,000 | M=\$100,001-\$250,000<br>P2=\$5,000,001-\$25,000,000 |                     |
| 3 | Value Method Codes:<br>(See Col. C2)    | Q=Appraisal<br>U=Book value                                                 | R=Cost (real estate only)<br>V=Other           | S=Assessment<br>W=Estimated                                                    | T=Cash/Market                                        |                     |

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Thomas M. Hardiman

Date of Report

May 14, 2007

## VII. Page 8 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br><i>Place "(X)" after each asset<br/>exempt from prior disclosure.</i> | B.<br>Income<br>during<br>reporting period |                                             | C.<br>Gross value<br>at end of<br>reporting period |                                  | D.<br>Transactions during reporting period             |                               |                                |                               |                                                                |
|--------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|---------------------------------------------|----------------------------------------------------|----------------------------------|--------------------------------------------------------|-------------------------------|--------------------------------|-------------------------------|----------------------------------------------------------------|
|                                                                                                                                      | (1)                                        | (2)                                         | (1)                                                | (2)                              | (1)                                                    | If not exempt from disclosure |                                |                               |                                                                |
|                                                                                                                                      | Amt.<br>Code1<br>(A-H)                     | Type<br>(e.g.,<br>div.,<br>rent or<br>int.) | Value<br>Code2<br>(J-P)                            | Value<br>Method<br>Code<br>(Q-W) | Type<br>(e.g.,<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month-<br>Day | (3)<br>Value<br>Code2<br>(J-P) | (4)<br>Gain<br>Code1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions)                                                        |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
| 97 AMCAP MF                                                                                                                          | A                                          | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 98 Fundamental Inv. MF                                                                                                               | A                                          | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 99 Growth Fund of America MF                                                                                                         | A                                          | Div.                                        | L                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 100 Investment Co of America MF                                                                                                      | A                                          | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 101 New Perspective MF                                                                                                               | A                                          | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 102 Washington Mutual Inv. MF                                                                                                        | A                                          | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
|                                                                                                                                      |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
| TRUST #4                                                                                                                             |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
| 103 PNC Trust Account                                                                                                                | A                                          | Int.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 104 AMCAP MF                                                                                                                         | A                                          | Div.                                        | L                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 105 Fundamental Inv. MF                                                                                                              | A                                          | Div.                                        | L                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 106 Growth Fund of America MF                                                                                                        | A                                          | Div.                                        | L                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 107 Investment Co of America MF                                                                                                      | A                                          | Div.                                        | L                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 108 New Perspective MF                                                                                                               | A                                          | Div.                                        | L                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 109 Washington Mutual Inv. MF                                                                                                        | B                                          | Div.                                        | L                                                  | T                                |                                                        |                               |                                |                               |                                                                |

|   |                                         |                                                                             |                                                |                                                                                 |                                                      |                     |
|---|-----------------------------------------|-----------------------------------------------------------------------------|------------------------------------------------|---------------------------------------------------------------------------------|------------------------------------------------------|---------------------|
| 1 | Income/Gain Codes:<br>(See Col. B1, D4) | A=\$1,000 or less<br>F=\$50,001-\$100,000                                   | B=\$1,001-\$2,500<br>G=\$100,001-\$1,000,000   | C=\$2,501-\$5,000<br>H1=\$1,000,001-\$5,000,000                                 | D=\$5,001-\$15,000<br>H2=More than \$5,000,000       | E=\$15,001-\$50,000 |
| 2 | Value Codes:<br>(See Col. C1, D3)       | J=\$15,000 or less<br>N=\$250,001-\$500,000<br>P3=\$25,000,001-\$50,000,000 | K=\$15,001-\$50,000<br>O=\$500,001-\$1,000,000 | L=\$50,001-\$100,000<br>P1=\$1,000,001-\$5,000,000<br>P4=More than \$50,000,000 | M=\$100,001-\$250,000<br>P2=\$5,000,001-\$25,000,000 |                     |
| 3 | Value Method Codes:<br>(See Col. C2)    | Q=Appraisal<br>U=Book value                                                 | R=Cost (real estate only)<br>V=Other           | S=Assessment<br>W=Estimated                                                     | T=Cash/Market                                        |                     |

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Thomas M. Hardiman

Date of Report

May 14, 2007

## VII. Page 9 INVESTMENTS and TRUSTS -- income, value, transactions *(Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)*

| A.<br>Description of Assets<br>(including trust assets)<br><br><i>Place "(X)" after each asset<br/>exempt from prior disclosure.</i> | B.<br>Income<br>during<br>reporting period |                                             | C.<br>Gross value<br>at end of<br>reporting period |                                  | D.<br>Transactions during reporting period             |                               |                                |                               |                                                                |
|--------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|---------------------------------------------|----------------------------------------------------|----------------------------------|--------------------------------------------------------|-------------------------------|--------------------------------|-------------------------------|----------------------------------------------------------------|
|                                                                                                                                      | (1)                                        | (2)                                         | (1)                                                | (2)                              | (1)                                                    | If not exempt from disclosure |                                |                               |                                                                |
|                                                                                                                                      | Amt.<br>Code1<br>(A-H)                     | Type<br>(e.g.,<br>div.,<br>rent or<br>int.) | Value<br>Code2<br>(J-P)                            | Value<br>Method<br>Code<br>(Q-W) | Type<br>(e.g.,<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month-<br>Day | (3)<br>Value<br>Code2<br>(J-P) | (4)<br>Gain<br>Code1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions)                                                        |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
|                                                                                                                                      |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
|                                                                                                                                      |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
| REAL ESTATE PARTNERSHIPS                                                                                                             |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
| 110 West Moon Twp. Assoc.                                                                                                            | D                                          | Dist.                                       | M                                                  | U                                |                                                        |                               |                                |                               |                                                                |
| 111 Bensalem Realty Assoc.                                                                                                           | F                                          | Dist.                                       | N                                                  | U                                |                                                        |                               |                                |                               |                                                                |
| 112 Rodi Land Assoc.                                                                                                                 | D                                          | Dist.                                       | L                                                  | U                                |                                                        |                               |                                |                               |                                                                |
| 113 FC Donegal Assoc.                                                                                                                | A                                          | Dist.                                       | J                                                  | U                                |                                                        |                               |                                |                               |                                                                |
| 114 First City GA Partners                                                                                                           | E                                          | Dist.                                       | M                                                  | U                                |                                                        |                               |                                |                               |                                                                |
| 115 First City WV Partners                                                                                                           | A                                          | Dist.                                       | J                                                  | U                                |                                                        |                               |                                |                               |                                                                |
| 116 McIntyre Square Associates                                                                                                       | B                                          | Dist.                                       | M                                                  | U                                |                                                        |                               |                                |                               |                                                                |
| 117 FC Hampton LP                                                                                                                    | A                                          | Dist.                                       | K                                                  | U                                |                                                        |                               |                                |                               |                                                                |
|                                                                                                                                      |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
|                                                                                                                                      |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
| SCHWAB ACCOUNT                                                                                                                       |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
| 118 3M CS                                                                                                                            |                                            | None                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 119 Allegheny County Bond BD                                                                                                         | B                                          | Int.                                        | K                                                  | T                                | Buy                                                    | 3/31                          | K                              |                               |                                                                |

|   |                                                           |                                                       |                                                |                                                                                  |                                                      |                     |
|---|-----------------------------------------------------------|-------------------------------------------------------|------------------------------------------------|----------------------------------------------------------------------------------|------------------------------------------------------|---------------------|
| 1 | Income/Gain Codes: A=\$1,000 or less<br>(See Col. B1, D4) | F=\$50,001- \$100,000                                 | B=\$1,001-\$2,500<br>G=\$100,001-\$1,000,000   | C=\$2,501-\$5,000<br>H=\$1,000,001-\$5,000,000                                   | D=\$5,001-\$15,000<br>H2=More than \$5,000,000       | E=\$15,001-\$50,000 |
| 2 | Value Codes: J=\$15,000 or less<br>(See Col. C1, D3)      | N=\$250,001-\$500,000<br>P3=\$25,000,001-\$50,000,000 | K=\$15,001-\$50,000<br>O=\$500,001-\$1,000,000 | L=\$50,001- \$100,000<br>P1=\$1,000,001-\$5,000,000<br>P4=More than \$50,000,000 | M=\$100,001-\$250,000<br>P2=\$5,000,001-\$25,000,000 |                     |
| 3 | Value Method Codes: Q=Appraisal<br>(See Col. C2)          | U=Book value                                          | R=Cost (real estate only)<br>V=Other           | S=Assessment<br>W=Estimated                                                      | T=Cash/Market                                        |                     |

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Thomas M. Hardiman

Date of Report

May 14, 2007

## VII. Page 10 INVESTMENTS and TRUSTS -- income, value, transactions *(Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)*

| A.<br>Description of Assets<br>(including trust assets)<br><br><i>Place "(X)" after each asset<br/>exempt from prior disclosure.</i> | B.<br>Income<br>during<br>reporting period |                                             | C.<br>Gross value<br>at end of<br>reporting period |                                  | D.<br>Transactions during reporting period             |                               |                                |                               |                                                                |
|--------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|---------------------------------------------|----------------------------------------------------|----------------------------------|--------------------------------------------------------|-------------------------------|--------------------------------|-------------------------------|----------------------------------------------------------------|
|                                                                                                                                      | (1)                                        | (2)                                         | (1)                                                | (2)                              | (1)                                                    | If not exempt from disclosure |                                |                               |                                                                |
|                                                                                                                                      | Amt.<br>Code1<br>(A-H)                     | Type<br>(e.g.,<br>div.,<br>rent or<br>int.) | Value<br>Code2<br>(J-P)                            | Value<br>Method<br>Code<br>(Q-W) | Type<br>(e.g.,<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month-<br>Day | (3)<br>Value<br>Code2<br>(J-P) | (4)<br>Gain<br>Code1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions)                                                        |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
| 120 Amer. Pwr. Conv. CS                                                                                                              | A                                          | Div.                                        |                                                    |                                  | Sell                                                   | 3/23                          | J                              | A                             |                                                                |
| 121 Bard CR Inc. CS                                                                                                                  |                                            | None                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 122 Becton Dickinson CS                                                                                                              |                                            | None                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 123 Bentworth School Dist. BD                                                                                                        | B                                          | Int.                                        | K                                                  | T                                | Buy                                                    | 5/11                          | K                              |                               |                                                                |
| 124 BP PLC ADR                                                                                                                       | A                                          | Div.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 125 CBL & Assoc REIT                                                                                                                 |                                            | None                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 126 Clarcor CS                                                                                                                       |                                            | None                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 127 Comcast CS                                                                                                                       |                                            | None                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 128 Dell CS                                                                                                                          |                                            | None                                        |                                                    |                                  | Sell                                                   | 7/27                          | J                              | A                             |                                                                |
| 129 Dentsply CS                                                                                                                      |                                            | None                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 130 Dowington Pa. School Dist. BD                                                                                                    | B                                          | Int.                                        | K                                                  | T                                | Buy                                                    | 4/21                          | K                              |                               |                                                                |
| 131 Eaton Corp CS                                                                                                                    |                                            | None                                        | J                                                  | T                                | Buy                                                    | 6/6                           | J                              |                               |                                                                |
| 132 Emerson Elec. CS                                                                                                                 | A                                          | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 133 Exxon Mobil CS                                                                                                                   | A                                          | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 134 Fox Chapel School Dist. BD                                                                                                       | B                                          | Int.                                        | K                                                  | T                                | Buy                                                    | 3/27                          | K                              |                               |                                                                |

|   |                                         |                                                                             |                                                |                                                                                 |                                                      |                     |
|---|-----------------------------------------|-----------------------------------------------------------------------------|------------------------------------------------|---------------------------------------------------------------------------------|------------------------------------------------------|---------------------|
| 1 | Income/Gain Codes:<br>(See Col. B1, D4) | A=\$1,000 or less<br>F=\$50,001-\$100,000                                   | B=\$1,001-\$2,500<br>G=\$100,001-\$1,000,000   | C=\$2,501-\$5,000<br>H1=\$1,000,001-\$5,000,000                                 | D=\$5,001-\$15,000<br>H2=More than \$5,000,000       | E=\$15,001-\$50,000 |
| 2 | Value Codes:<br>(See Col. C1, D3)       | J=\$15,000 or less<br>N=\$250,001-\$500,000<br>P3=\$25,000,001-\$50,000,000 | K=\$15,001-\$50,000<br>O=\$500,001-\$1,000,000 | L=\$50,001-\$100,000<br>P1=\$1,000,001-\$5,000,000<br>P4=More than \$50,000,000 | M=\$100,001-\$250,000<br>P2=\$5,000,001-\$25,000,000 |                     |
| 3 | Value Method Codes:<br>(See Col. C2)    | Q=Appraisal<br>U=Book value                                                 | R=Cost (real estate only)<br>V=Other           | S=Assessment<br>W=Estimated                                                     | T=Cash/Market                                        |                     |

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Thomas M. Hardiman

Date of Report

May 14, 2007

## VII. Page 11 INVESTMENTS and TRUSTS -- income, value, transactions *(Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)*

| A.<br>Description of Assets<br>(including trust assets)<br><br><i>Place "(X)" after each asset<br/>exempt from prior disclosure.</i> | B.<br>Income<br>during<br>reporting period |                                             | C.<br>Gross value<br>at end of<br>reporting period |                                  | D.<br>Transactions during reporting period             |                               |                                |                               |                                                                |
|--------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|---------------------------------------------|----------------------------------------------------|----------------------------------|--------------------------------------------------------|-------------------------------|--------------------------------|-------------------------------|----------------------------------------------------------------|
|                                                                                                                                      | (1)                                        | (2)                                         | (1)                                                | (2)                              | (1)                                                    | If not exempt from disclosure |                                |                               |                                                                |
|                                                                                                                                      | Amt.<br>Code1<br>(A-H)                     | Type<br>(e.g.,<br>div.,<br>rent or<br>int.) | Value<br>Code2<br>(J-P)                            | Value<br>Method<br>Code<br>(Q-W) | Type<br>(e.g.,<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month-<br>Day | (3)<br>Value<br>Code2<br>(J-P) | (4)<br>Gain<br>Code1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private transaction) |
| <input type="checkbox"/> <b>NONE</b> (No reportable income, assets, or transactions)                                                 |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
| 135 Gallagher Arthur CS                                                                                                              |                                            | None                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 136 General Electric CS                                                                                                              | A                                          | Div.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 137 IBM CS                                                                                                                           | A                                          | Div.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 138 ITT Corp. CS                                                                                                                     | A                                          | Div.                                        | J                                                  | T                                | Buy                                                    | 3/24                          | J                              |                               |                                                                |
| 139 Johnson & Johnson CS                                                                                                             | A                                          | Div.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 140 Kimberly-Clark CS                                                                                                                |                                            | None                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 141 Leggett & Platt CS                                                                                                               |                                            | None                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 142 Liberty Property REIT                                                                                                            |                                            | None                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 143 Lower Merion Twp. BD                                                                                                             | B                                          | Int.                                        | K                                                  | T                                | Buy                                                    | 2/3                           | K                              |                               |                                                                |
| 144 Mantech Int'l CS                                                                                                                 |                                            | None                                        |                                                    |                                  | Buy<br>Sell                                            | 3/24<br>6/6                   | J<br>J                         | A                             |                                                                |
| 145 McGraw Hill CS                                                                                                                   | A                                          | Div.                                        | K                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 146 Nokia CS                                                                                                                         | A                                          | Div.                                        | K                                                  | T                                | Buy                                                    | 10/3                          | J                              |                               |                                                                |
| 147 Northhampton Cty BD                                                                                                              | B                                          | Int.                                        | K                                                  | T                                | Buy                                                    | 3/22                          | K                              |                               |                                                                |
| 148 Pennsylvania State BD                                                                                                            | B                                          | Int.                                        |                                                    |                                  | Buy<br>Sell                                            | 2/15<br>4/7                   | K<br>K                         | A                             |                                                                |
| 149 Pepsico CS                                                                                                                       |                                            | None                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |

|   |                                         |                                                                             |                                                |                                                                                 |                                                      |                     |
|---|-----------------------------------------|-----------------------------------------------------------------------------|------------------------------------------------|---------------------------------------------------------------------------------|------------------------------------------------------|---------------------|
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| 2 | Value Codes:<br>(See Col. C1, D3)       | J=\$15,000 or less<br>N=\$250,001-\$500,000<br>P3=\$25,000,001-\$50,000,000 | K=\$15,001-\$50,000<br>O=\$500,001-\$1,000,000 | L=\$50,001-\$100,000<br>P1=\$1,000,001-\$5,000,000<br>P4=More than \$50,000,000 | M=\$100,001-\$250,000<br>P2=\$5,000,001-\$25,000,000 |                     |
| 3 | Value Method Codes:<br>(See Col. C2)    | Q=Appraisal<br>U=Book value                                                 | R=Cost (real estate only)<br>V=Other           | S=Assessment<br>W=Estimated                                                     | T=Cash/Market                                        |                     |

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Thomas M. Hardiman

Date of Report

May 14, 2007

## VII. Page 12 INVESTMENTS and TRUSTS -- income, value, transactions *(Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)*

| A.<br>Description of Assets<br>(including trust assets)<br><br><i>Place "(X)" after each asset<br/>exempt from prior disclosure.</i> | B.<br>Income<br>during<br>reporting period |                                             | C.<br>Gross value<br>at end of<br>reporting period |                                  | D.<br>Transactions during reporting period             |                               |                                |                               |                                                                |
|--------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|---------------------------------------------|----------------------------------------------------|----------------------------------|--------------------------------------------------------|-------------------------------|--------------------------------|-------------------------------|----------------------------------------------------------------|
|                                                                                                                                      | (1)                                        | (2)                                         | (1)                                                | (2)                              | (1)                                                    | If not exempt from disclosure |                                |                               |                                                                |
|                                                                                                                                      | Amt.<br>Code1<br>(A-H)                     | Type<br>(e.g.,<br>div.,<br>rent or<br>int.) | Value<br>Code2<br>(J-P)                            | Value<br>Method<br>Code<br>(Q-W) | Type<br>(e.g.,<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month-<br>Day | (3)<br>Value<br>Code2<br>(J-P) | (4)<br>Gain<br>Code1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private transaction) |
| <input type="checkbox"/> NONE (No reportable income,<br>assets, or transactions)                                                     |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
| 150 Pfizer CS                                                                                                                        | A                                          | Div.                                        | J                                                  | T                                | Buy                                                    | 10/3                          | J                              |                               |                                                                |
| 151 Schwab MM                                                                                                                        | A                                          | Int.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
| 152 Somerset Cty BD                                                                                                                  | A                                          | Int.                                        | J                                                  | T                                | Buy                                                    | 4/4                           | J                              |                               |                                                                |
| 153 Unilever CS                                                                                                                      | A                                          | Div.                                        | J                                                  | T                                |                                                        |                               |                                |                               |                                                                |
|                                                                                                                                      |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
|                                                                                                                                      |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
|                                                                                                                                      |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |
|                                                                                                                                      |                                            |                                             |                                                    |                                  |                                                        |                               |                                |                               |                                                                |

|   |                                         |                                                                             |                                                |                                                                                 |                                                      |                     |
|---|-----------------------------------------|-----------------------------------------------------------------------------|------------------------------------------------|---------------------------------------------------------------------------------|------------------------------------------------------|---------------------|
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| 2 | Value Codes:<br>(See Col. C1, D3)       | J=\$15,000 or less<br>N=\$250,001-\$500,000<br>P3=\$25,000,001-\$50,000,000 | K=\$15,001-\$50,000<br>O=\$500,001-\$1,000,000 | L=\$50,001-\$100,000<br>P1=\$1,000,001-\$5,000,000<br>P4=More than \$50,000,000 | M=\$100,001-\$250,000<br>P2=\$5,000,001-\$25,000,000 |                     |
| 3 | Value Method Codes:<br>(See Col. C2)    | Q=Appraisal<br>U=Book value                                                 | R=Cost (real estate only)<br>V=Other           | S=Assessment<br>W=Estimated                                                     | T=Cash/Market                                        |                     |

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Thomas M. Hardiman

Date of Report

May 14, 2007

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)****IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app., § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date

*May 14, 2007*

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)

**FILING INSTRUCTIONS:**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the  
United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544